



Oregon Racing Commission
 P.O. Box 366
 Gresham, Oregon 97230

Phone: 971-806-7091  Fax: 971-673-0213

Application for a Totalizer License

Date of Application: _____

Name of Company: _____

Address of Company: _____

City: _____ State: _____ Zip: _____

Telephone No: _____ Fax: _____

Is the business licensed to operate in Oregon? Yes or No? _____

If yes, please provide the Oregon business license number and expiration:

A background check will have to be completed on Officers and Directors of the Company prior to approval of this application. Please complete the following required information: (**Note:** A separate Personal History Form and 2 fingerprint cards must be submitted for all parties)

Name	Position/Title
1.	
2.	
3.	
4.	
5.	
6.	

If more spaces are needed, please add those individuals in the supplemental section.

Oregon Racing Licenses must be obtained for Tote employees prior to the approval of this application. Please complete the following required information:

Employee's Name	ORC License Number
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	
11.	
12.	
13.	
14.	
15.	
16.	

If more spaces are needed please use the supplemental section or use a separate sheet of paper.

Has a Type II SSAE report or an independent report been completed within the prior 12 months?
 Yes or No? _____ **If yes**, please provide a copy of the report in its entirety and provide the following information:

Date of the Type II SSAE report or independent report? _____

Who conducted the audit? _____

Is the Company operating in any other jurisdictions? **If yes**, provide the name of the jurisdiction, license number, and expiration:

Jurisdiction	License Number	Expiration

Has the Company been cited or fined in any of the other jurisdictions? **If yes**, please provide details:

Please use this space if you need to provide any Supplemental Information:

The following items **must** be submitted in order for this application to be approved (put a check next to submitted items):

_____ Payment of the \$1,000 for the Annual Fiscal Year Licensure Fee. If this a new license it may be prorated.

_____ Payment of Annual Fiscal Year Licensure Fee. If renewing an existing license, please contact ORC staff to have your license fee calculated.

_____ An Organizational Chart of the company

_____ Type II SSAE report or independent report, in its entirety

_____ Personal History Form and Fingerprint Cards submitted for Officers and Directors, prior to the approval of this application.

_____ *All Tote employees must possess an Oregon Racing Commission license prior to the approval of this application. A separate license application for each individual, and 2 fingerprint cards will need to be submitted, in addition to a \$60 licensing fee for each.

By signing this application I acknowledge I have provided truthful and complete information, and I have read the Oregon Administrative Rules and Statutes pertaining to Totalizer operation in Oregon. *Failure to submit any required documentation may delay in the processing of your license. Any dishonest or false statements may result in denial of license.*

Signature of Person Completing Application

Title

Printed Name

Date

Do not complete anything in this section. For Office Use Only:

Date Application Received: _____

Application Received By: _____

Have all required items been submitted? _____

Have criminal histories and applications been processed for those required? _____

Remarks: _____

Oregon Administrative Rules (OAR)

OAR 462-230-0010

Totalizator Licensing Requirements

(1) A Totalizator company transacting any business within the State of Oregon shall first obtain a license from the Oregon Racing Commission. As a condition of licensing, or annual license renewal, the license application shall include the following:

- (a) The applicant's legal name;
- (b) A list of personnel assigned to work in this jurisdiction and their current license numbers issued by the Oregon Racing Commission;
- (c) Disclosure of all officers, directors, partners, and shareholders with at least a five percent share of ownership or beneficial interest;
- (d) A completed Oregon Racing Commission Personal History Record application for any individual requiring disclosure under subsection (1)(c);
- (e) Documentation that the applicant and/or parent company is registered to do business in the State of Oregon. If the applicant and/or parent company is not required to be registered to do business in the state of Oregon an explanation is to be included;
- (f) A chart illustrating the organizational structure, including reporting lines;
- (g) A list of all jurisdictions in which the company is operating.

(2) The Commission may conduct investigations or inspections or request additional information from the applicant as it deems appropriate in determining whether to approve the license application.

(3) Totalizator companies shall submit their an application at least 30 days in advance of the scheduled commission meeting at which the application is to be presented.

(4) The fee for Totalizator companies providing service subject to ORS 462.057 shall be \$100.00. The fee for Totalizator companies providing service subject to ORS 462.062 and 462.725 shall be \$1000.00.

Statutory/Other Authority: ORS 462.727

Statutes/Other Implemented: ORS 462.727

OAR 462-230-0020

General Totalizator Requirements

(1) Totalizator companies providing service within the state of Oregon shall meet the following requirements:

- (a) Agreement to facility inspections and verification by the commission;
- (b) Agreement to testing of hardware and software as may be directed by the Oregon Racing Commission;
- (c) Agreement to provide information upon request by the commission.

(2) Totalizator companies providing service subject to ORS 462.062 and 462.725:

- (a) Shall make reimbursement to the commission for incurred expenses related to out-of-state regulatory visits; and

(b) Provide a copy of the annual Type II SSAE report or an independent report completed within the previous 12 month period that is approved by the Oregon Racing Commission.

Statutory/Other Authority: ORS 462.727

Statutes/Other Implemented: ORS 462.727