| 1  | REAL ESTATE AGENCY   |  |  |
|----|--|--|--|
| 2  | BEFORE THE REAL ESTATE COMMISSIONER  |  |  |
| 3  |  |  |  |
| 4  | )  |  |  |
| 5  | In the Matter of the Broker  |  |  |
| 6  | License of STIPULATED FINAL ORDER  |  |  |
| 7  | JOSEPH JOHN ZIKA III   |  |  |
| 8  | }  |  |  |
| 9  |  |  |  |
| 10 | The Oregon Real Estate Agency (Agency) and Joseph John Zika III (Zika) do hereby                 |  |  |
| 11 | agree and stipulate to the following:  |  |  |
| 12 | FINDINGS OF FACT, STATEMENTS OF LAW  |  |  |
| 13 | AND  |  |  |
| 14 | CONCLUSIONS OF LAW   |  |  |
| 15 | 1.   |  |  |
| 16 | In establishing the violations set forth herein, the Agency may rely on one or more of the       |  |  |
| 17 | definitions contained in ORS 696.010.  |  |  |
| 18 | Findings of Fact:  |  |  |
| 19 | 1.1 Zika was licensed as a broker working under the registered business name Bend                |  |  |
| 20 | Premier Real Estate LLC (Bend Premier). Zika's license expired on November 1, 2021.              |  |  |
| 21 | Currently, Zika's license remains expired.   |  |  |
| 22 | 1.2 On March 30, 2022, the Agency received a complaint from Patrick Priest against               |  |  |
| 23 | Zika and the Agency opened an investigation.   |  |  |
| 24 | 1.3 On September 16, 2021, Zika listed property located at 12227 SW Lords Place,                 |  |  |
| 25 | Terrebonne, OR (subject property).   |  |  |
| 26 | 1.4 On October 1, 2021, the Agency sent a renewal notification to Zika stating his               |  |  |
| 27 | license would expire at the end of October 2021. On October 21, 2021, the Agency sent            |  |  |
| 28 | another notification to Zika regarding the upcoming license expiration.                          |  |  |
| 29 | 1.5 The subject property closed on January 5, 2022, and Zika earned a commission                 |  |  |
| 30 | on the sale. Zika continued conducting professional real estate activity as if actively licensed |  |  |
|    |  |  |  |
|    |  |  |  |

working on the subject transaction, 65 days from when his license expired (November 1, 2021) 2 to January 5, 2022).

3 1.6 In his interview with Agency staff, Zika stated he had no idea his license was expired. 4

## Statements of Law:

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ORS 696.020(2) states an individual may not engage in, carry on, advertise or purport to engage in or carry on professional real estate activity, or act in the capacity of a real estate licensee, within this state unless the individual holds an active license as provided for in this chapter.

ORS 696.990(4)(a) and (b) states: (4) Any person that violates ORS 696.020(2) may be required by the Real Estate Commissioner to forfeit and pay to the General Fund of the State Treasury a civil penalty in an amount determined by the commissioner of: (a) Not less than \$100 nor more than \$500 for the first offense of unlicensed professional real

estate activity; and (b) Not less than \$500 nor more than \$1,000 for the second and subsequent offenses of unlicensed professional real estate activity.

ORS 696.990(9) states for the purposes of subsection (4) of this section, any violation of ORS 696.020(2) that results from a failure of a real estate licensee to renew a license within the time allowed by law constitutes a single offense of unlicensed professional real estate activity for each 30-day period after expiration of the license during which the individual engages in professional real estate activity. A civil penalty imposed for a violation of ORS 696.020(2) that results from a failure of a real estate licensee to renew a license within the time allowed by law is not subject to the minimum dollar amounts specified in subsection (4) of this section.

#### 24 Conclusion of Law:

25 By conducting professional real estate activity over the course of 65 days after Zika's 26 license expired, Zika violated ORS 696.020(2) and is subject to discipline or civil penalty pursuant to ORS 696.990(4) and (9).

29 According to ORS 696.775, the lapsing, expiration, revocation or suspension of a real estate license, whether by operation of law, order of the Real Estate Commissioner or decision 30

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of a court of law, or the inactive status of the license, or voluntary surrender of the license by
the real estate licensee does not deprive the commissioner of jurisdiction to: (1) proceed with
an investigation of the licensee; (2) conduct disciplinary proceedings relating to the licensee;
(3) Take action against a licensee, including assessment of a civil penalty against the licensee
for a violation of ORS 696.020(2); or (4) revise or render null and void an order suspending or
revoking a license.

3.

The Agency reserves the right to investigate and pursue additional complaints that may be received in the future regarding this licensee.

### 4.

# STIPULATION AND WAIVER

I, Joseph John Zika III, have read and reviewed this Stipulated Final Order and its Findings of Fact, Statements of Law and Conclusions of Law. I understand that the Findings of Fact, Conclusions of Law and this Stipulation and Waiver of Hearing rights embody the full and complete agreement and stipulation between the Agency and me. I further understand that if I do not agree with this stipulation I have the right to request a Hearing on this matter and to be represented by legal counsel at such a Hearing. I also understand that any Hearing would be conducted in accordance with the procedures set forth in ORS Chapter 183 and in accordance with the Rules of Practice and Procedure adopted by the Attorney General of the State of Oregon. By signing this Stipulated Final Order I freely and voluntarily waive my rights to a Hearing, to representation by legal counsel at such a Hearing, and to judicial review of this matter.

I hereby agree and stipulate to the above Findings of Fact and Conclusions of Law and
understand that the Order which follows hereafter, which I have also read and understand,
may be completed and signed by the Real Estate Commissioner or may be rejected by the
Real Estate Commissioner. I further understand that, in accordance with the provisions of
ORS 696.445(3), notice of this Order shall be published in the Oregon Real Estate News
Journal.

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In addition to all of the above, I agree that once the Commissioner executes this Stipulated Final Order, I will accept service of the Stipulated Final Order by email, and hereby waive the right to challenge the validity of service.

### ORDER

IT IS HEREBY ORDERED that pursuant to ORS 696.990(1) to (9) and based upon the violation set forth above, Zika pay a civil penalty in the sum of \$600.00, said penalty to be paid to the General Fund of the State Treasury by paying the same to the Agency. The civil penalty is computed in accordance with ORS 696.990(4) and (9) in that each 30-day period of unlicensed activity is considered one violation. In this instance, there were two 30-day periods of unlicensed activity.

IT IS SO STIPULATED:

IT IS SO ORDERED:

TE OF OREO

| 14 |   | STE OF OREO                 |
|----|---|-----------------------------|
| 15 | DocuSigned by:  | DocuSigned by:              |
| 16 | J ZN  | Steven Strode               |
| 17 | JOSEPH JOHN ZIKA III                                  | STEVEN STRODE               |
| 18 |   | Real Estate Commissioner    |
| 19 | Date 8/19/2022   9:20 AM PDT                          | Date                        |
| 20 |   |                             |
| 21 |   | Date of Service: 08/25/2022 |
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|    | 4 of 4 – Stipulated Final Order- Joseph John Zika III |                             |