

**STATE OF OREGON
Department of Human Services
Fariborz Pakseresht, Director**

**Social Services Block Grant
(SSBG)**

**Report of Intended Use For
Federal Fiscal Year 2021**

**For Time Period Beginning
October 1, 2020 to September 30, 2021**

and

**Post Expenditure Report of Use
For Federal Fiscal Year 2019**

**For the Time Period Covering
October 1, 2018 to September 30, 2019**

**Submitted to
Children's Bureau
Administration for Children and Families
Department of Health and Human Services**

August 2020

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**STATE OF OREGON
DEPARTMENT OF HUMAN SERVICES
SOCIAL SERVICES BLOCK GRANT**

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OREGON DEPARTMENT OF HUMAN SERVICES

Use of Social Services Block Grant (SSBG) Funding in Oregon

On January 4, 1975, Title XX of the Social Security Act authorized an entitlement to States for providing social services. Title XX gave States increased flexibility to offer a wider range of services to a broader population of adults and children. The statute also included requirements for planning, public participation, income eligibility, and administration. In 1981, Congress amended Title XX to establish the Social Services Block Grant (SSBG) program. States determine what services are provided, the eligible populations, the locations in which each service is provided, and whether the services are provided by State or local agency staff or through grants or contracts.

SSBG funds are allocated to States as block grants, based on State population, to support the delivery of social services. The SSBG encourages each State to furnish services directed at five statutory goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families;
- Preventing or reducing inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care; and
- Securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions.

By federal law, SSBG funds must be administered by the same state agency that administers child welfare and foster care/adoption programs. In Oregon, the designated state agency is the Department of Human Services (DHS).

The Oregon Legislature has consistently used SSBG funds to support DHS budget-based programs that would have otherwise been funded with state general funds. To simplify the accounting and reporting procedures within the department, in 1990, DHS began allocating all SSBG funds to its Child Welfare division. SSBG is one of many federal and other (non-state) funding sources that support its Legislatively Approved Budget.

DHS has an intricate accounting system that preserves state funds by charging every possible cost to federal categorical entitlement funding sources. Expenditures that are not eligible for categorical reimbursement are analyzed and charged to the least flexible funding source for which it is an allowable cost.

Finally, SSBG and state general funds are used to pay any remaining costs. While this method achieves the highest level of federal funding, it also makes it difficult to estimate where SSBG ultimately will be spent. Only expenditures which are allowable costs under the SSBG federal program requirements are applied to SSBG funding.

Services funded with SSBG are described on the following pages.

PROGRAM DESCRIPTION

Service: In-home Safety and Reunification Services

Purpose: The In-Home Safety and Reunification Services (ISRS) program is designed to provide for the immediate safety of children by managing the safety threats within the family; or when children have been placed in protective custody to help them return home with intensive in-home services.

Objective: To increase the safety of children in the family home when documented safety threats exist through various protective strategies; to maintain family unity, insofar as consistent with the child's safety; to reduce those factors, causes, and stresses which led to abuse or neglect.

Activities/Services:

The ISRS program will provide a combination of concrete safety and strengths-based change services that will lead to lasting safety changes within the home. Services are designed to protect children, stabilize the family, and assist parents in establishing linkages to formal, informal, and natural supports and resources so that a child can remain safely with their family without further intervention of the Oregon Child Welfare System. The opportunity for lasting change is improved when parents develop skills to advocate for their family and meet their child's individual needs for safety.

Proposers will be expected to describe a proposed model for working with racial and cultural groups disproportionately represented in the child welfare population.

Program Description:

The ISRS Program is designed to complement the Oregon Safety Model to ensure services are change-based and address safety issues for families referred during the Child Protective Services (CPS) assessment or when planning for

family reunification. During the ISRS program intervention, the assigned caseworker and Provider will work with the family to provide child safety, stabilize and improve parental protection of children, and help families access necessary formal, informal community resources and supports.

The following chart outlines the purpose and differences for both Safety and Change services.

Safety Services:	Change Services:
The purpose is to control and manage.	The purpose is to change behaviors.
Safety Services are limited to managing and controlling identified safety threats.	ISRS Services are put in place following provider assessment and when the family is ready.
Activity and Services are dense which means there are a lot of things going on frequently.	Activities and Services focus on lasting behavioral change.
The Safety Services must have an immediate effect. This means the moment they are set in place.	Change Services build on existing protective capacity of the Parents.
The Safety Service provider’s role and responsibility in the ongoing safety plan is precise and focused on managing safety threats.	The Change Service provider’s role and responsibility vary according to client need.

Safety Services

Services are expected to be delivered in the family's home and provided when family members are at home and most likely to impact child safety. This may include early morning, mealtimes and bedtimes.

The range of services will be flexible to meet client needs. Highly skilled intensive services can be used initially to resolve the initial child safety crisis and to maintain family stability. Services can then evolve to less concentrated reinforcement and follow-up sessions.

The DHS protective action or safety plan will outline the safety services and conditions designed to control a safety threat through in-home observation, supervision and specific intervention. These conditions will be confirmed by the Safety Services Provider on each contact with referred families.

During initial contact, the Provider will work with the family to develop plans and services to improve child safety, stabilize functioning, and access necessary formal, informal, and community resources and supports.

The ISRS Contractor is expected to provide safety services, in whole or in part, that have been identified in the Department's Protective Action or In-home Safety Plan. These plans will identify safety services that will have immediate impact with respect to controlling identified safety threats; and will not use a parent or caregiver who is the alleged perpetrator of physical abuse, sexual abuse, or domestic violence to provide protection. Protective Actions or Safety Plans may include the following types of services:

- a. Supervising and monitoring child safety by direct observation
- b. Stress reduction
- c. Basic Parenting Assistance
- d. Respite Care
- e. Social/Emotional Support
- f. Safe Housing Assistance
- g. Food/Clothing/Basic Needs
- h. Assistance to access emergency medical, mental health and addiction services, as needed
- i. Stabilization of home environment, assuring child's needs for stability and safety from potential abusers and dangerous home conditions
- j. Monitoring and supporting family managed safety

Change Services will utilize interventions with demonstrated effectiveness for improving child safety. When services are initiated before final development of the DHS Case Plan, the Provider will use their professional judgment to evaluate and report on parental capacity to protect their children, and recommend actions and services to the parents and to DHS which will improve parental care of their children.

Change services may include:

- a. **Crisis Intervention services to address the crisis of disclosure, CPS assessment, and concerns when a significant family member leaves the home.**
- b. **Motivational Interviewing, Collaborative Problem Solving, and Parent–Child attachment coaching sessions.**

Services will utilize the existing protective capacity of caregivers and will assist parents to improve the diminished protective capacities that lead to their child being unsafe. Services will help parents build additional problem-solving skills to eventually become self-sufficient, including problem solving to access needed community services and supports.

Services will help parents develop empathy for their child’s experience and help the child contribute to their solutions. Services will also help parents identify strategies for managing predictable problems relating to child’s behavior, child safety, depression, mood stabilization and other adult relationships.

Implementation:

The ISRS services were implemented October 1, 2010.

Progress to Date: July 2020

New contracts have been executed in all of the counties statewide through June 30, 2019. Performance-based contracting language is in place in all of the executed ISRS contracts and we will continue to track outcome data. The outcomes we are tracking are stabilization and reunification for the ISRS contracts. Referrals are being made for stabilization to prevent foster care placement or maintain a child or children safely in the home with a parent. Referrals are also being made for reunification when the plan is to reunify a child or children home to a parent.

Services continue to be utilized at a high percentage in most counties statewide. Some counties have chosen to utilize a fee for service option in their contracts and some have continued with the 1/12th funding option.

The Office of Child Welfare has also implemented "Strengthening, Preserving and Reunifying Families" (SPRF) services in all counties Statewide. These efforts are integrated with the current ISRS services, and we expect this work will help Oregon achieve our goal of a safe and equitable reduction of foster care. This helps Oregon provide a continuum of services to first serve families where safety threats have been identified as well as those with moderate to high needs. ISRS and SPRF are also available to support the safe return of children home sooner, both to prepare for the return and also after the child's return, to help re-build family relationships.

We plan to continue with referrals toward foster care placement prevention when the home is calm and stable enough for ISRS services to take place. The following standards in Oregon Administrative Rules help define the criteria for these decisions and for returning children to their homes:

413-015-0435 Develop a Protective Action Plan

- (1) If the CPS worker determines the *child* is *unsafe* due to a *present danger safety threat*, the CPS worker must immediately initiate a *protective action plan*. This usually occurs during the *initial contact* but must occur at any time during the CPS assessment if it is determined that the *child* is *unsafe* due to present danger. The purpose of the *protective action plan* is to assure that children are *safe* while CPS intervention continues and a fuller understanding of the family behaviors, conditions, and circumstances is obtained. A *protective action plan* occurs the same day that it is determined the *child* is *unsafe* and provides a *child* with responsible adult supervision and care.
- (2) Requirements for a Protective Action Plan. When developing a *protective action plan* the CPS worker must assure all requirements in OAR 413-015-0432, "Develop Safety Plans", are met and that the *protective action plan*:
 - (a) Manages present danger safety threats;

- (b) Is in place before the CPS worker leaves the home;
- (c) Does not remain in place longer than 10 calendar days; and
- (d) Does not remain in place after the CPS assessment is complete.

413-040-0006 Requirements for the Conditions for Return

- (1) The caseworker must determine *conditions for return* during the development of the *ongoing safety plan*.
- (2) The *conditions for return* are documented in the *ongoing safety plan* and the *case plan* and must describe:
 - (a) The specific behaviors, conditions, or circumstances that must exist before the Department may develop an in-home *ongoing safety plan* that assures a child's safety, as described in OAR 413-015-0450(2)(b)(A)(i)-(iii); and
 - (b) The actions, services, and time requirements of all participants in the in-home *ongoing safety plan*.

Categories of Individuals to be Served:

- Child(ren) who are, or who are alleged to be abused, neglected, exploited, or abandoned and their families.
- Parent(s)/Caregiver(s) of children who are, or who are alleged to be abused, neglected, exploited, or abandoned and their families.

Characteristics of Individual to be Served:

The purpose of Oregon's In-home Safety and Reunification Services Program is to provide intensive in-home services to increase the safety of children in the family home when documented Safety Threats exist.

In order to be eligible for In-home Safety and Reunification Services, a Safety Threat must be present, and a child must be determined to be unsafe using the Oregon Safety Threats Guide.

"Child" means a person who:

- (a) Is under 18 years of age; or
- (b) Is under 21 years of age and residing in or receiving care of services at a child-caring agency.

"Parent" means the biological or adoptive mother or the legal father of the child. A legal father is a man who has adopted the child or whose paternity has been established or declared under ORS 109.070, ORS 416.400 to 416.465, or by a juvenile court. In cases involving an Indian child under the Indian Child Welfare Act (ICWA), a legal father includes a man who is a father under applicable tribal law. "Parent" also includes a putative father who has demonstrated a direct and significant commitment to the child by assuming or attempting to assume responsibilities normally associated with parenthood unless a court finds that the putative father is not the legal father.

"Caregiver" means a guardian, legal custodian, or other person acting in loco parentis, who exercises significant authority over and responsibility for a child.

"Unsafe" means the presence of a present danger safety threat or an impending danger safety threat.

PROGRAM DESCRIPTION

Service: Community-based, School-based and County-based Services

Purpose: To fund local, community-based preventive programs for at risk children and youth and their families

Objective: To reduce the risk factors and build resiliency factors associated with readiness to learn, educational and workforce success, poverty, families' ability to care for their own children, and access to child and respite care at the community level, juvenile delinquency, teenage pregnancy, drug and alcohol abuse, and child abuse and neglect through community-based and county-based planning and services

Geographic Area/Location: Statewide

Statutory Goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest or preserving, rehabilitating, or reuniting families.
- Ensuring that services are provided to children and youth in a manner that supports educational success, focuses on crime prevention, reduces high risk behaviors and is integrated, measurable and accountable.

Description:

State government services are prioritized toward achieving benchmarks that serve children and youth at risk. The Oregon Legislature transferred responsibility for children and youth at risk of entering more intensive state services to local county government, tribal governments and community-based organizations. Local service delivery can help overcome access barriers, build community supports, and prevent the need for state government intervention.

Description of the Service:

Services may include outreach and assessment, service plan development, crisis intervention, parent support, family counseling and mediation, individual and group counseling, independent living skill training, transitional living services, and other services to meet the objectives listed above. These may also include educational supports and workforce and career development supports for children and youth, alcohol and drug abuse intervention, and detoxification diversion programs.

How Services are Delivered:

The Oregon Youth Development Council allocates Title XX Youth Investment funds to counties, tribes, and community-based organizations for children and youth ages 11-18, building supports and mitigating risks for children, youth and their families. Specific strategies are developed and prioritized to achieve the objectives identified above.

A competitive process based on equity and indicators of need is used to select service providers best able to achieve identified outcomes. Funding is awarded to providers who utilize the most effective community-based prevention and intervention strategies. While services may vary in communities, all are outcomes-based and designed to build supports that keep children, youth and families from needing more intensive intervention. Examples of services are listed above.

A collective impact methodology is utilized to ensure collaborative efforts are maintained with our community-based partners and stakeholders.

Categories of Individuals to be Served:

The funds serve non-delinquent youth, ages 11 through 18, who experience barriers to learning resulting in behavior problems. Eleven and 12-year olds may be included in the services, systems, programs or initiatives where appropriate. Clients typically experience academic and school behavior problems, substance abuse, lack of parental control, homelessness, poverty and/or other risk factors. They have yet to become involved in the juvenile justice system but are likely to do so without intervention.

These youth demonstrate a need for supports and skills to prevent the need for more intensive state services. The need for such support and services may include children, youth and their families who:

- Are at risk of dropping out of school and experiencing academic and future workforce challenges
- Lack mentoring opportunities
- Have a lack of parenting knowledge
- Need short-term crisis counseling
- Chronically act out
- Are out of parental control
- Are at risk of child abuse and neglect
- Have alcohol and drug abuse issues
- Are non-adjudicated runaway or homeless youth
- Other categories appropriate for service needs identified through indicators of need

Characteristics of Individual to be Served:

Social Services Block Grant/Youth Investment Title XX programming through the Youth Development Council provides evidence-based/best practice community services for at-risk middle school and high school-age youth and young parents who are in need of a range of supports. Funds are used in education and family support activities; academic, life skills and workforce

skills support, along with employment support; youth development and asset-building; and health, and mental health counseling

The funds serve non-delinquent youth ages 11-18, and are allocated to communities to address needs that have been identified in communities, and adolescent risk factors, which if left unaddressed, would lead to more costly outcomes such as homelessness, school dropouts, unemployment, criminal activity, and substance abuse.

Program delivery is mostly done through community-based nonprofit providers but can also include local governmental entities such as school districts, counties and tribes.

PROGRAM DESCRIPTION

- Service:** Community-Based Services
- Purpose:** To fund local, community-based preventive programs for at risk children and their families
- Objective:** To reduce and mitigate risk factors and their effects on children and families through a range of therapeutic early childhood and family services designed to maximize family strengths while addressing their specific needs. This is done through community-based nonprofit agencies known as Relief Nurseries.

Geographic Area/Location: Statewide

Albany, Bend, Corvallis, Cottage Grove, Creswell, Dallas, Drain, Eugene, Gladstone, Grants Pass, Hillsboro, Lebanon, Madras, McMinnville, Medford, Myrtle Creek, Newberg, Ontario, Pendleton, Phoenix, Portland, Prineville, Roseburg, Salem, Springfield

Possible Future Locations in 2021:

Baker, Portland, Klamath Falls, Monroe, Roseburg, Redmond, Stayton, Toledo, Willamina, Woodburn

Statutory Goals:

- Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest or preserving, rehabilitating, or reuniting families.
- Research based interventions including home visits, therapeutic early childhood classrooms, crisis response, and drug and alcohol recovery are utilized to keep children safe in their homes, reduce the number of children in foster care, enhance early literacy and increase school readiness.

Description:

State government services are prioritized toward achieving benchmarks that serve children at risk. The Oregon Legislature established the relief nursery programs under ORS 417 to support children at risk of entering more intensive state services and their families. Local planning and service delivery can help overcome access barriers, build community supports, and prevent the need for state government intervention.

Description of the Service:

Services may include outreach and assessment, therapeutic classrooms sessions, crisis intervention, respite care, parent education, training and support, home visits, and information and referral for other available community services.

How Services are Delivered:

The Early Learning Division, allocates SSBG funds directly to each Relief Nursery (community-based nonprofit agencies) in Oregon as specified in ORS 417.788, based on the number of children aged 0 - 5 years old being served by each Relief Nursery in their local community. While services may vary slightly from agency to agency, all are outcome-based and designed to build supports that keep children and families from needing more intensive state intervention. Examples of services are listed in the paragraph above.

Categories of Individuals to be Served:

Relief Nurseries serve children, ages 0-5 years old, and their families who experience multiple stresses related to child abuse and neglect and demonstrate a need for supports and skills to prevent the need for more intensive state services.

Characteristics of Individuals to be Served:

Social Services Block Grant/Relief Nursery Title XX programming through the Early Learning Division provides comprehensive therapeutic family support programs serving children 0-5 in families experiencing multiple stresses related to abuse and neglect. Many families are at or below the Federal Poverty Level. Program delivery is done through community-based nonprofit agencies (Relief

Nurseries) proven to strengthen high-risk families and keep young children safe.

Relief Nurseries intervene to keep children safe in their homes, reduce foster care placement, increase school readiness, and enhance parenting skill through therapeutic early childhood classrooms, home visits and related services.

PROGRAM DESCRIPTION

Service: Substitute Care Including Residential Care and Treatment Services

Service: Substitute Care

Purpose: To assure protective care and support for children who require 24-hour emergency shelter, foster care, residential care, or other treatment services due to a determination that the child is unsafe in their home and, on a voluntary basis, for children whose behaviors are out of the control of their parent(s)/guardian(s).

Objective: To temporarily provide appropriate substitute care services for children removed from their homes, while engaging families in services that improve their capacity to provide for the safety, permanency and well-being of their children.

Geographic Area/Location: Statewide

Statutory Goals:

- To provide care, support and protective services for children who are dependent or neglected, who have mental or physical disabilities, or who for other reasons, are in need of public service.
- To accept any child placed in the Department's custody by a court and provide such services for the child as the Department finds to be necessary.
- All children in the legal custody of the Department who, in the judgment of the Director of Human Services or the authorized representative for the director, are in need of care or treatment services, may be placed with any person or family of good standing or any child caring agency for such services.

Activities/Services:

Services are provided in the following settings: (1) relative caregiver homes, (2) family foster care homes, (3) family shelter homes, and (4) licensed private child-caring agencies.

A variety of purchased and staff provided services are available to children and their families while the child is in substitute care. Those provided by the Department staff (including volunteers) are:

- Determination of eligibility for service including authorization for Behavioral Rehabilitative Services (BRS) and Qualified Residential Treatment Program (QRTP) Assessment.
- Assessment of family needs.
- Development of case plans.
- Determination of need for and type of care resources.
- Selection of the most appropriate services for the child.
- Recruitment, certification/licensing of foster homes or residential programs.
- Placement and supervision of children in substitute care and residential treatment resources.
- Comprehensive monitoring of certified families and private child caring agencies to assure compliance with Oregon Administrative Rules for certification and licensing.
- Work with family to stabilize a child and family, and to strengthen parental protective capacity so that a child can safely return home.
- Achieve permanency for children in foster care, either by achieving reunification with their parents, or establishing guardianship or adoption.

- Complete parent and relative searches for children placed into protective custody, to identify additional resources for the child.
- Provide intensive casework and supportive services to parents/guardians, child, and foster parents.
- Pursue adoption or guardianship planning for children who cannot be safely returned to parents.
- When a case plan is changed to achieve adoption, follow legal process to free children for adoption either through relinquishment or through the court termination of parental rights process via the purchase of attorney services, including miscellaneous case costs for the termination proceedings.
- Match child to adoptive home, prepare child for adoption, and make the placement.

Service components purchased through contracts and agreements include:

- BRS Treatment Foster Homes through private child-caring agencies including evaluation services.
- FOCUS Planning, Consultation and supportive services for children with complex needs that cannot be met through other systems or the service array.
- Residential treatment services including Qualified Residential Treatment Programs as required by the Family First Prevention Services Act.
- Legal assistance for termination of parental rights cases.

These services include individualized treatment for behavioral and emotionally disturbed children and other specialized activities. These services are delivered in certified family foster homes, licensed private child caring agencies and through community service providers.

Categories of Individuals to be Served:

- Children who require substitute care and/or treatment services due to abuse or neglect, and children whose behavior is out of the control of their parent/guardian.
- Ages 0 through 20

Characteristics of clients served:

While Oregon provides Substitute Care services to individuals from birth through the age of 20 years old, there are unique characteristics of these individuals:

- "Child" means a person under 18 years of age.
 - The child must be under 18 years of age, at the time the child is placed in the Department's legal custody, and placement services are first initiated.
- "Young adult" means a person aged 18 through 20 years.
 - The young adult is an individual who remains in the legal care and custody of the Department beyond the age of 18 years of age, by Court Order.

There is no consideration for income or financial need for a child or young adult to receive Substitute Care Services.

**DEPARTMENT OF HUMAN SERVICES
PROGRAM OPERATIONS**

Services: **TRIBAL SOCIAL SERVICE BLOCK GRANT**

Purpose: Provide effective, culturally relevant social services to Indian children and their families.

Objective: Jointly plan and provide social services to Indian children with Oregon Indian Tribal Organization social service agencies to better meet the social needs of Tribal members.

Statutory Goals:

- Achieving or maintaining economic self-support to prevent, reduce or eliminate dependency;
- Achieving or maintaining self-sufficiency, including reduction or prevention of dependency;
- Preventing or remedying neglect, abuse or exploitation of Native American children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families.

Characteristics of Individuals to be Served:

- Native American children, descendants, young adults between 0 through 21 years of age and their families who require prevention services, out of home care and/or treatment services due to abuse or neglect and would be TANF and Medicaid eligible due to low or no income.
- Child means a person under 18 years of age.
- Young adult means a person 18-20 years of age.

Types of Activities to be Supported:

- Case Management Services
 - Case management supports and services to our tribal youth and at-risk families to assist those in crisis and those in need of critical and general assistance.
- Counseling Services
 - Individual counseling in co-dependency, anger management, substance abuse, specialized counseling.
- Daycare Services-Children
 - Early Childhood Education programs, Head Start, social service counseling for parents, recreation and transportation.
- Education and Training Services
 - Assist high-risk tribal families by providing education and training, as well as building rapport and offering direct services that support the family needs and capacities. Provide community awareness opportunities to inform and educate the community on child welfare issues, prevention topics.
- Foster Care Services for Children
 - Assessment of child's needs and case management supports and services to tribal youth and assist those in crisis and need of critical and general assistance.
- Home Based Services
 - In-home activities to assist families with budget management, housekeeping skills, individual in-home parent coaching. Assistance with clothing, cultural services and necessary life skills to aid in a healthier better functioning family system.
- Home Delivered Meals
 - Nutritional coaching and meal planning. Necessary refrigeration for food storage and or food.
- Housing Services
 - Financial assistance to reduce the risk of abuse or neglect and establish permanent housing, for utilities, minor renovations, security deposits, rental expenses, clothing, household furnishings, beds, bedding and other services necessary to avoid a family breakup or child in out of home placement.
- Information and Referral

- Refer families to resources that encourage self-sufficiency, positive parenting, back to baby boards classes, in-house counseling services, conscious discipline, anger management, WIC/Self Sufficiency and other classes necessary to promote and retain stability.
- Pregnancy and Parenting Services for Young Parents
 - Counseling to assist with budget management, housekeeping skills, individual in-home parent coaching.
- Prevention and Intervention Services
 - Prevention and intervention in family dysfunction and distress for families and children at imminent risk of removal. Provide respite care, evaluations for children/parents and transportation.
- Protective Services for Children
 - Investigations, assessments, referral to services, emergency placements, respite care, safety planning and counseling supports.
- Recreational Services
 - Youth advocacy providing activities to promote physical, cultural and social development such as hiking, climbing, canoeing, camping, etc.
- Residential Treatment Services
 - Psychological evaluations and referrals, counseling, transportation costs and pre-paid cell phones for families to stay in contact with their case workers, counselors, school, treatment center.
- Special Services for Persons with Developmental or Physical Disabilities
 - Transportation, fuel assistance, respite care, recreational activities for families with special needs children needing taken to medical appointments or those experiencing trauma.
- Substance Abuse Services
 - Alcohol and drug abuse treatment and support services to prevent break up of families and assist those in transitional housing.
- Transportation Services
 - Transportation services, gas cards, travel arrangements to minimize disruption of children's lives and avoid a family breakup or child in out of home placements. Gas assistance for families with special needs children needing taken to medical appointments or those experiencing trauma. Possible small auto repair for transport involving employment or work search.
- Other Services

- Financial assistance to allow children to attend cultural activities, obtain regalia, participate in sports and extracurricular activities in school.

Method of Delivery:

Program planning for individual Tribes occurs jointly between the social services agencies associated with each Tribe and/or Tribal Councils and DHS. Services are provided directly by the Tribal agency or purchased on contract. DHS awards SSBG funds to Tribes based on inter-governmental agreements.

Geographic Area/Location: Statewide

Distribution of the Social Services Block Grant Report

DHS has historically placed a public notice in the largest paper in the state, the *Oregonian*, under the public notice section. Due to the lack of response and ineffectiveness of this past practice, DHS has developed alternative ways to distribute the SSBG report. This report will be distributed to all interested parties by direct mailing. A copy of this report will be placed on the DHS website, and stakeholders such as the Oregon Early Learning Council/Youth Development Council, the Citizen Review Board, the Tribes of Oregon, and other human service providers will be sent a copy. Below is a list of stakeholders and interested parties who requested or were sent a copy of the SSBG report during the last twelve months, or who will be sent a copy of this report.

Record of Distribution of SSBG FY 2021 Proposed SSBG state plan:

Christina Clark – ACF, DHHS, DC

Nakeshia Knight-Coyle – ELD
David Mandell – ELD

Serena Stoudamire – YDD
Sandy Braden - YDD

Fariborz Pakseresht – DHS
Rebecca Jones Gaston - DHS
Sherril Kuhns – DHS
Adam Becenti - DHS
Melinda Lupinacci – DHS
Sonya Olsen-Hasek - DHS

Maureen V. Hoodie – Burns Paiute Tribe

Vickie Faciane – Confederated Tribes of Coos, Lower Umpqua & Siuslaw
Indians

Yvonne Livingstone – Coquille Indian Tribe

Michelle Moore – Cow Creek Band of Umpqua Indians

Kristi Petite – Confederated Tribes of Grand Ronde

Faye Brattain – The Klamath Tribes

Brenda Bremner – Confederated Tribes of Siletz Indians

Julie Taylor – Confederated Tribes of the Umatilla Indian Reservation

Cecelia Collins – Confederated Tribes of Warm Springs

REPORT OF INTENDED USE

Federal Fiscal Year 2021

**For Time Period Beginning
October 1, 2020 to September 30, 2021**

(Please see the 2021 Pre-Expenditure Report
submitted through the Portal)

SSBG Pre-Expenditure Report

Year: 2021 Group: Oregon

Contacts

Contact Type Name	First Name	Last Name	Title	Agency	Street1	Street2	City	State Name	Zip	Phone Number	Fax Number
State CFO Contact Info	George	Naughton	Chief Financial Officer	Department of Administrative Services	155 Cottage Street NE, U-10		Salem	Oregon	97301	503-378-5460	503-373-7643
State SSBG Contact Info	Sherril	Kuhns	Manager, Federal Policy and Resources, Child Welfare Program	Department of Human Services	500 Summer Street NE, E-16		Salem	Oregon	97301	503-945-6679	503-373-7032
State Official Contact Info	Fariborz	Pakseresht	Director	Department of Human Services	500 Summer Street NE, E-15		Salem	Oregon	97301	503-945-7001	503-581-6198

Definitions

Child	Oregon does not have a statewide definition. For SSBG-funded services, child is defined as ages 0-17 or ages 0-20.
Adult	Oregon does not have a statewide definition. SSBG is not used for programs dedicated to adults.
Family	Oregon does not have a statewide definition. For SSBG-funded services, biological families of eligible children may receive services.

Assurances

Assurance Name	Yes	No	Comment
Is the total amount of funds transferred from TANF to SSBG equal to the amount reported for the related period in the TANF financial report (ACF196R)?	X		
The grantee certifies that funds transferred from TANF to SSBG comply with the statutory requirements described in Section 404(d) of the Social Security Act.	X		
The grantee certifies that no carryover extends beyond the two year expenditure period outlined in the code Sec.2002 42 U.S.C. 1397a (c)	X		
Was the actual use of funds transferred from TANF to SSBG reflected in the pre-expenditure report?	X		

Expenditures and Recipients

Service Supported with SSBG Expenditures	SSBG Allocation	Carry Over from Previous FY	Funds Transferred into SSBG	Carry Over of Funds Transferred into SSBG from Previous FY	Expenditures of All Other Federal, State, and Local Funds	Total Expenditures	Public	Private	Include All	Children	Adults Age 59 Years & Younger	Adults Age 60 years & Older	Adults of Unknown Age	Total Adults	Total Recipients	Actual	Estimated	Sampled	Duplicated	Unduplicated	Eligibility Comment	Email	
1) Adoption Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
2) Case Management	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
3) Congregate Meals	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
4) Counseling Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
5) Day Care--Adults	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
6) Day Care--Children	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
7) Education and Training Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
8) Employment Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
9) Family Planning Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
10) Foster Care Services--Adults	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0								
11) Foster Care Services--Children	\$6,412,622	\$0	\$0	\$0	\$98,702,694	\$105,115,316	X	X	X	10,016	0	0	0	0	10,016		X			X	Children, ages 0-20, who are in foster care.		

12) Health-Related Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
13) Home-Based Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
14) Home-Delivered Meals	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
15) Housing Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
16) Independent/Transition al Living Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
17) Information & Referral	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
18) Legal Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
19) Pregnancy & Parenting	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
20) Prevention & Intervention	\$11,951,847	\$0	\$0	\$0	\$55,539,170	\$67,491,017	X	X	X	54,699	0	0	0	0	54,699	X	X	Children, ages 0-17, who are, or who are alleged to be, abused or neglected.
21) Protective Services--Adults	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
22) Protective Services--Children	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
23) Recreation Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
24) Residential Treatment	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
25) Special Services--Disabled	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
26) Special Services--Youth at Risk	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
27) Substance Abuse Services	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
28) Transportation	\$0	\$0	\$0	\$0	\$0	\$0				0	0	0	0	0	0			
29) Other Services***	\$603,319	\$0	\$0	\$0	\$68,462,658	\$69,065,977	X	X	X	0	0	0	0	0	0			
30) SUM OF EXPENDITURES FOR SERVICES	\$18,967,788	\$0	\$0	\$0	\$222,704,522	\$241,672,310				64,715	0	0	0	0	64,715			
31) Administrative Costs	\$1,264,889	\$0	\$0	\$0		\$1,264,889				0	0	0	0	0	0			
32) SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	\$20,232,677	\$0	\$0	\$0	\$222,704,522	\$242,937,199				64,715	0	0	0	0	64,715			
33) Total SSBG Expenditures	\$20,232,677									0	0	0	0	0	0			
34) Remaining funds to be carried over into the next fiscal year	\$0	\$0								0	0	0	0	0	0			

Comments

From which block grant(s) were these funds transferred:	N/A
**Please list the sources of these funds:	Title IV-E, Title IV-B, Medicaid, TANF, State Funds
***Please list other services:	Child Welfare program administration expenditures that qualify as direct program costs per SSBG IM 02-2008.

Additional Comments

POST EXPENDITURE REPORT

Federal Fiscal Year 2019

**For Time Period Beginning
October 1, 2018 to September 30, 2019**

(Please see the 2019 Post Expenditure Report
submitted through the Portal)

SSBG Post-Expenditure Report

Year: 2019 Group: Oregon

Contacts

Contact Type Name	First Name	Last Name	Title	Agency	Street1	Street2	City	State Name	Zip	Phone Number	Fax Number
State CFO Contact Info	George	Naughton	Chief Financial Officer	Department of Administrative Services	155 Cottage Street NE, U-10		Salem	Oregon	97301-3965	503-378-5460	503-373-7643
State SSBG Contact Info	Sherril	Kuhns	Manager, Federal Policy and Resources, Child Welfare Program	Department of Human Services	500 Summer Street NE, E-16		Salem	Oregon	97301	503-945-6679	503-373-7032
State Official Contact Info	Fariborz	Pakseresht	Director	Department of Human Services	500 Summer Street NE, E-15		Salem	Oregon	97301	503-945-7001	503-581-6198

Definitions

Child	Oregon does not have a statewide definition. For SSBG-funded services, child is defined as ages 0-17 or ages 0-20.
Adult	Oregon does not have a statewide definition. SSBG is not used for programs dedicated to adults.
Family	Oregon does not have a statewide definition. For SSBG-funded services, biological families of eligible children may receive services.

Assurances

Assurance Name	Yes	No	Comment
Is the total amount of funds transferred from TANF to SSBG equal to the amount reported for the related period in the TANF financial report (ACF196R)?	X		
The grantee certifies that funds transferred from TANF to SSBG comply with the statutory requirements described in Section 404(d) of the Social Security Act.	X		
The grantee certifies that no carryover extends beyond the two year expenditure period outlined in the code Sec.2002 42 U.S.C. 1397a (c)	X		
Was the actual use of funds transferred from TANF to SSBG reflected in the pre-expenditure report?	X		

Expenditures and Recipients

Service Supported with SSBG Expenditures	SSBG Allocation	Carry Over from Previous FY	Funds Transferred into SSBG	Carry Over of Funds Transferred into SSBG from Previous FY	Expenditures of All Other Federal, State, and Local Funds	Total Expenditures	Actual	Estimated	Public	Private	Include All	Children	Adults Age 59 Years & Younger	Adults Age 60 years & Older	Adults of Unknown Age	Total Adults	Total Recipients	Actual	Estimated	Sampled	Duplicated	Unduplicated	Eligibility Comment	Email
1) Adoption Services	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
2) Case Management	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
3) Congregate Meals	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
4) Counseling Services	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
5) Day Care--Adults	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
6) Day Care--Children	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
7) Education and Training Services	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							
8) Employment Services	\$0	\$0	\$0	\$0	\$0	\$0						0	0	0	0	0	0							

Comments

From which block grant(s) were these funds transferred: N/A

****Please list the sources of these funds:** Title IV-E, Title IV-B, Medicaid, TANF, State Funds

*****Please list other services:** Child Welfare program administration expenditures that qualify as direct program costs.

Additional Comments

Appendix A

Public Inspection

Public Notice

FFY 2021 Social Services Block Grant (SSBG) Pre-Expenditure Report

The Oregon Department of Human Services (DHS) hereby gives notice of the availability of the “FFY 2021 Social Services Block Grant (SSBG) Pre-Expenditure Report” to the citizens of Oregon for review and comment. The report reflects plans of Oregon Department of Human Services to expend SSBG funds for the 2021 fiscal year, October 1, 2020 through September 30, 2021.

This notice is given pursuant to the requirements of Title XX, Section 2004 of the Social Security Act (as enacted in the Omnibus Budget Reconciliation Act of 1981 [P.L. 97-35] and codified at 42 U.S.C. 1397c). Comments regarding this notice will be accepted through September 31, 2018.

Written comments about the FFY 2021 Pre-Expenditure Report may be submitted using the on-line form below, or mailed to:

Department of Human Services
Office of Child Welfare Program
Federal Policy & Resources Unit
500 Summer Street NE,
Second Floor, E16
Salem, OR 97301

A copy of the final and complete FFY 2021 SSBG application and post-expenditure report for FFY 2019 may be obtained through written request to the address listed above or may be accessed through the DHS Internet site on the World Wide Web at
<http://www.oregon.gov/dhs/children/Pages/publications/index.aspx>
later this year.

APPENDIX B

CERTIFICATIONS

Certification Regarding Debarment, Suspension and Other Responsibility Matters

Certification Regarding Drug-Free Workplace Requirements

Certification Regarding Environmental Tobacco Smoke

Certification Regarding Lobbying

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - -
Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant

may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared

ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



08/05/2020

Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title

Oregon Department of Human Services

Organization

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about - -
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
 - (1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

500 Summer Street NE, Salem, Oregon 97301

Marion County

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]



08/05/2020

Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title


Oregon Department of Human Services

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children’s services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children’s services and that all subgrantees shall certify accordingly.



08/05/2020

Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title

Oregon Department of Human Services

Organization

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



08/05/2020

Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title

Oregon Department of Human Services

Organization

Appendix C

Statewide Single Audit

Below is the link to The State of Oregon Statewide Single Audit Report for
Fiscal Year 2020

<https://sos.oregon.gov/audits/Documents/2020-14.pdf>