

Division of Social Services

Social Services Block Grant

Intended Use Plan

The Paperwork Reduction Act of 1995 (Pub. L. 104-13). STATEMENT OF PUBLIC BURDEN: Through this information collection, ACF is identifying plans for State use of Social Services Block Grant (SSBG) Funding. The purpose of this information is to identify estimated SSBG expenditures and recipients, as well as the intended geographic location and eligibility considerations for planned services. Information will be used to gain insight on the administration of the SSBG program and to provide support to grantees related to the administration of their SSBG program. Public reporting burden for this collection of information is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information. This is a mandatory collection of information and is required to retain a benefit [45 C.F.R. §96.74.]. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information subject to the requirements of the Paperwork Reduction Act of 1995, unless it displays a currently valid OMB control number. The OMB # is 0970-0234 and the expiration date is 05/31/2024. If you have any comments on this collection of information, please contact the Office of Community Services, Social Services Block Grant Program via email: SSBG@acf.hhs.gov.

II. Administrative Operations

1. **Administering Agency** Oregon Department of Human Services

2. **Location** 500 Summer Street NE, Salem OR 97301-1067

3. **Mission/Goals of Agency**

To help Oregonians in their own communities achieve wellbeing and independence through opportunities that protect, empower, respect choice, and preserve dignity.

4. **Description of Financial Operations Systems**

The ODHS Office of the Chief Financial Officer (OCFO) provides optimal business services to ensure accountability and stewardship of resources in support of the mission of ODHS. This is done by working closely with ODHS programs to ensure accurate, timely and efficient recording and management of financial resources and the establishment of administrative controls. Within OCFO, the Office of Financial Services provides accounting services, administers employee benefits and payroll, prepares financial reports, and collects funds owed to ODHS. This office provides accurate, accountable and responsive financial management and business services to ODHS clients, providers, vendors, stakeholders and employees in support of ODHS' mission and in compliance with state laws and federal policies, rules and regulations.

As a state agency, ODHS receives financial operations support from the Department of Administrative Services (DAS). DAS works to effectively implement the policy and financial decisions made by the Governor and the Oregon Legislature. DAS also sets and monitors high standards of accountability to ensure that state government uses tax dollars productively. To fulfill its mission, DAS supports state agencies by providing a strong and stable management infrastructure, including a full suite of accounting and budgeting services. DAS focuses on ensuring accounting activity is performed in accordance with all applicable statutes, rules, policies, and generally accepted accounting principles.

III. Program Planning

1. **Planning for Distribution and Use of Funds**

Describe the planning process for determining the State's use and distribution of SSBG funds.

The Oregon Legislature has consistently used SSBG funds to support DHS programs that would otherwise have been funded with state general funds. To simplify the accounting and reporting procedures within the department, in 1990, DHS began allocating all SSBG funds to its Child Welfare division.

Since 2011, Child Welfare has used SSBG in a few key areas, as described elsewhere in this report. With the goal of maintaining spending priorities, adjustments to spending are made as needed, e.g., when the SSBG grant award is reduced or a change in the DHS cost allocation methodology affects SSBG. Any significant changes to the use of SSBG would be done in consultation with partnering state agencies, service providers and other stakeholders, and with the approval of the Legislature.

2. **Describe the Characteristics of Individuals to be Served**

Include definitions for child, adult, and family; eligibility criteria; and income guidelines.

Children and/or youth between 0 through 21 years of age who are in the legal custody of Oregon Department of Human Services.

Native American children, descendants, young adults between 0 through 21 years of age and their families who require out of home care and/or treatment services due to abuse or neglect.

Runaway and Homeless Youth Program recipients shall be unaccompanied youth, between the ages of 14-24 years old. Young people do not need to be residents of the specific geographic areas of SSBG recipient programs to receive services, though this is often the case.

To be eligible for In-Home Safety and Reunification Services, a child must be determined to be unsafe using the Oregon Safety Threats Guide or the child must be in foster care. Child means a person under the age of 21.

Early Learning Division - Relief Nurseries serve children, ages 0-5 years old, and their families who experience multiple stresses related to child abuse and neglect and demonstrate a need for supports and skills to prevent the need for more intensive state services.

Youth Development Division - Recipients are youth age 6 through 24 who experience barriers to educational and workforce success due to the consequences of racism, poverty, abuse, neglect, and violence.

3. Public Inspection of Pre-Expenditure Report

Describe how the State made available for public inspection and comment the current Pre-Expenditure Report or revision to the report. Supporting documentation for public inspection is also required. (See V. Appendices, Appendix A: Documentation of public Hearing).

The Oregon Department of Human Services (ODHS) hereby gives notice of the availability of the “FFY 2022 Social Services Block Grant (SSBG) Pre-Expenditure Report” to the citizens of Oregon for review and comment. The report reflects plans of Oregon Department of Human Services to expend SSBG funds for the 2022 fiscal year, October 1, 2021 through September 30, 2022.

This notice is given pursuant to the requirements of Title XX, Section 2004 of the Social Security Act (as enacted in the Omnibus Budget Reconciliation Act of 1981 [P.L. 97-35] and codified at 42 U.S.C. 1397c). Comments regarding this notice will be accepted through September 31, 2018.

Written comments about the FFY 2022 Pre-Expenditure Report may be submitted using the on-line form below, or mailed to:

Oregon Department of Human Services
Office of Child Welfare Programs

IV. Program Operations

Complete one table for each service category provided by the state during the reporting period.

1. Program Operations – Foster Care Services for Children

a. Service Category (use uniform definition) – Foster Care Services for Children
b. SSBG Goal Foster care services for children are those services or activities associated with the provision of an alternative family life experience for abused, neglected or dependent children, between birth and the age of majority, on the basis of a court commitment or a voluntary placement agreement signed by the parent or guardian. Services may be provided to children in foster family homes, foster homes of relatives, group homes, emergency shelters, residential facilities, childcare institutions, pre-adoptive homes or supervised independent living situation. Component services or activities may include assessment of the child's needs; case planning and case management to assure that the child receives proper care in the placement; medical care as an integral but subordinate part of the service; counseling of the child, the child's parents, and the foster parents; referral and assistance in obtaining other necessary supportive services; periodical reviews to determine the continued appropriateness and need for placement; and recruitment and licensing of foster homes and child care institutions.
c. Description of Services Oregon Department of Human Services (ODHS) Child Welfare case workers provide case management services to parents, caregivers, and children throughout the foster care episode. The specific case management services are: <ul style="list-style-type: none">• Participating in, supervising visitation between children and parents.• Transporting children to and from visitation and court.• Case workers preparation and participation in court. ODHS allocates SSBG funds to the nine federally recognized Tribal Nations in Oregon. The Tribal-State agreements are specifically with Oregon Tribal Nations Child Protective Services programs. They provide services that are specific to families, foster parents and children needs to prevent the removal of the child or support reunification of the child. Many of the services are provided to the Tribal families when a child is in the care and custody of ODHS. Oregon Tribal Nations co-case manage foster care cases and are able to refer or provide families with culturally appropriate services that may support better outcomes.
d. Description of Recipients (eligibility considerations) Children and/or youth between 0 through 21 years of age who are in the legal custody of Oregon Department of Human Services. Native American children, descendants, young adults between 0 through 21 years of age and their families who require out of home care and/or treatment services due to abuse or neglect.

e. Method of Delivery and Geographic Area

These are statewide services, and the costs are generated from the Child Welfare Random Moment Time Study which allocates costs based on eligibility for federal funds. SSBG is used for these costs after first using Title IV-E administrative funding for Title IV-E eligible children.

Program planning for individual Tribes occurs jointly between the social services agencies associated with each Tribe and/or Tribal Councils and DHS. Services are provided directly by the Tribal agency or purchased on contract. ODHS allocates SSBG funds to Tribes based on inter-governmental agreements.

f. Partnering State Agency

N/A

g. Subgrantee / Service Providers

N/A

2. Program Operations – Prevention and Intervention Services

<p>a. Service Category (use uniform definition) – Prevention and Intervention Services</p>
<p>b. SSBG Goal</p> <p>Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families.</p>
<p>c. Description of Services</p> <p>Oregon Department of Human Services (ODHS) Self Sufficiency division Runaway and Homeless Youth Program contracts with local providers to provide direct assistance to unaccompanied homeless youth to gain skills that help them enter the workforce and connect with their communities. The receive direct assistance tailored to their individual needs. The assistance may include skill development and pre-vocational training, employment screening and career exploration, training programs, case management, pairing with workforce and independent living mentors, supported employment, and paid employment. Programs may also provide job-related supplies, transportation, and instructional material to young people.</p> <p>ODHS Child Welfare division contracts with In-Home Safety and Reunification Services (ISRS) providers that provide a combination of concrete services that will lead to lasting safety changes within the home. Families may be referred to these ISRS services during a Child Protective Services assessment or when planning for a family reunification. Services are designed to protect children, stabilize the family, and assist parents in establishing linkages to formal, informal, and natural supports and resources so that a child can remain safely with their family without further intervention. The opportunity for lasting change is improved when parents develop skills to advocate for their family and meet their child’s individual needs for safety.</p> <p>ODHS allocates SSBG funds to Oregon’s Department of Education Early Learning Division and Youth Development Division and they provide the following services:</p> <ul style="list-style-type: none">• The Early Learning Division contract with local, community-based nonprofit Relief Nurseries. The Relief Nurseries provide research-based interventions including home visits, therapeutic early childhood classrooms, crisis response, and drug and alcohol recovery are utilized to keep children safe in their homes, reduce the number of children in foster care, enhance early literacy and increase school readiness.• The Youth Development Division contracts with local, community-based providers to provide services or activities designed to provide early identification and/or timely intervention to help prevent or ameliorate the consequences of, abuse, neglect, or violence. Recreational services are services or activities designed to provide, or assist individuals to take advantage of, individual or group activities directed towards promoting physical, cultural, and/or social development. Education and training services are those services provided to improve knowledge or daily living skills and to enhance cultural opportunities. These services may include case management, mentoring, outreach and assessment, service plan development, crisis intervention, parenting services, pro-social skill development, individual and group counseling, independent living skill training, and transitional living skills development. Educational and workforce/career development services may also be included.

d. Description of Recipients (eligibility considerations)

Runaway and Homeless Youth Program recipients shall be unaccompanied youth, between the ages of 14-24 years old. Young people do not need to be residents of the specific geographic areas of SSBG recipient programs to receive services, though this is often the case.

To be eligible for In-Home Safety and Reunification Services, a child must be determined to be unsafe using the Oregon Safety Threats Guide or the child must be in foster care. Child means a person under the age of 21.

Early Learning Division - Relief Nurseries serve children, ages 0-5 years old, and their families who experience multiple stresses related to child abuse and neglect and demonstrate a need for supports and skills to prevent the need for more intensive state services.

Youth Development Division - Recipients are youth age 6 through 24 who experience barriers to educational and workforce success due to the consequences of racism, poverty, abuse, neglect, and violence.

e. Method of Delivery and Geographic Area

The Runaway and Homeless Youth Program provides a grant payment quarterly. Geographic areas represented in this grant area are:

- Multnomah County
- Mid-Willamette Valley
 - Marion & Polk Counties
 - Yamhill County
 - Linn & Benton Counties
- Deschutes County

Each District throughout the state contracts with providers for the In-Home Safety and Reunification Services. Child Welfare caseworkers refer a family to one of the contracted providers. The provider engages with the family and provides the In-Home Safety and Reunification Services to the family and submit monthly invoices to the local Child Welfare branch office for payment. Payment is based on the negotiated monthly rate.

The Early Learning Division allocates Title XX funds to community-based organizations, county and municipal governments throughout Oregon who provide the Relief Nursery services to families across the state.

The Oregon Youth Development Council allocates Title XX Youth Investment funds to community-based organizations, county and municipal governments, tribal governments, regional entities, and educational institutions throughout Oregon (statewide). A competitive process is used to select recipients with attention to indicators of community need, proven practices, experience and capacity, and innovative approaches to serving youth. While services and service delivery may vary in communities across the state, all are outcomes-based and designed to build supports that keep youth and their families from needing more intensive intervention.

f. Partnering State Agency

Oregon Department of Education.

Oregon Early Learning Division.

The Oregon Youth Development Council acting by and through the Oregon Youth Development Division.

g. Subgrantee / Service Providers

Runaway and Homeless Youth Program includes the following service providers:

- J Bar J Youth Services
- Mid-Willamette Valley Community Action Agency
- Native American Youth Association
- New Avenues for Youth
- Yamhill Community Action Partnership
- Jackson Street Youth Services

Oregon Early Learning Division includes:

- Community-based organizations
- County and municipal governments

Oregon Youth Development Division includes:

- Community-based organizations
- County and municipal governments
- Tribal governments
- Regional entities
- Educational institutions

3. Program Operations – Other Services

a. Service Category (use uniform definition) – Other Services
b. SSBG Goal Prevent or remedy neglect, abuse, or exploitation of children and adults unable to protect their own interests or preserve, rehabilitate, or reunite families.
c. Description of Services Oregon’s Other Services are child welfare program operations expenditures that qualify as direct costs, per the ACF guidance in SSBG IM 02-2008 – Definition and allowability of direct and administrative costs. These are child welfare program costs for salaries and benefits of staff and management, and for services and supplies.
d. Description of Recipients (eligibility considerations) Oregon’s Other Services are child welfare program operations expenditures, not expenditures for providing direct services to clients. Therefore, there are no recipients to describe for this service category.
e. Method of Delivery and Geographic Area Oregon’s Other Services are child welfare program operations expenditures, and the geographic area is statewide. There is no method of delivery to describe, however, because the expenditures are not for direct services to clients.
f. Partnering State Agency Oregon’s child welfare program partners with several state agencies, but not via this service category. See other service category sections for descriptions of state agency partners.
g. Subgrantee / Service Providers The Oregon Department of Justice (DOJ) is the key service provider in the Other Services category. The Oregon DOJ expenditures are for legal services provided to the child welfare program. They are not for the provision of legal services to individual clients seeking legal help

V. Appendices

Appendix A

Public Inspection

Public Notice

FFY 2022 Social Services Block Grant (SSBG) Pre-Expenditure Report

The Oregon Department of Human Services (DHS) hereby gives notice of the availability of the “FFY 2022 Social Services Block Grant (SSBG) Pre-Expenditure Report” to the citizens of Oregon for review and comment. The report reflects plans of Oregon Department of Human Services to expend SSBG funds for the 2022 fiscal year, October 1, 2021 through September 30, 2022.

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Written comments about the FFY 2022 Pre-Expenditure Report may be submitted using the on-line form below, or mailed to:

Department of Human Services
Office of Child Welfare Program
Federal Policy & Resources Unit
500 Summer Street NE,
Second Floor, E16
Salem, OR 97301

A copy of the final and complete FFY 2022 SSBG application and post-expenditure report for FFY 2020 may be obtained through written request to the address listed above or may be accessed through the DHS Internet site on the World Wide Web at <http://www.oregon.gov/dhs/children/Pages/publications/index.aspx> later this year.

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about - -
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
 - (1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

500 Summer Street NE, Salem, Oregon 97301

Marion County

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]



Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title


Oregon Department of Human Services

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.



Signature and Date Rebecca Jones Gaston

Printed Name Director, Child Welfare Programs

Title Oregon Department of Human Services

Organization

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.



Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title

Oregon Department of Human Services

Organization

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - -
Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant

may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared

ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.



Signature and Date

Rebecca Jones Gaston

Printed Name

Director, Child Welfare Programs

Title

Oregon Department of Human Services

Organization

Appendix C: Proof of Audit

Statewide Single Audit

Below is the link where you can access to:

The State of Oregon Statewide Single Audit Report for Fiscal Year 2021

<https://sos.oregon.gov/audits/Pages/standards.aspx>

[OLDC Home](#) [Report](#) [Report Form Status](#)


[Program Name:](#) Social Services Block Grant
[Grantee Name:](#) OR [1 936001958 A3] Oregon
[Report Name:](#) Application SF-424M
[Report Period:](#) 10/01/2021 - 09/30/2022
[Report Status:](#) Submitted with Warnings

Report Progress

Initialized	Edit-Saved	Validated	Certified	Submitted
✓	✓	✓	✓	✓

Warning #1: [11540] Missing Attachment(s).
[\[Long Description\]](#)

APPLICATION FOR FEDERAL ASSISTANCE SF - 424 - MANDATORY			
			OMB APPROVED Control No: 4040-0020 Expires 01/31/2023 Version 01.1
* 1.a. Type of Submission: <input checked="" type="radio"/> Plan <input type="radio"/> Funding Request	* 1.b. Frequency: <input checked="" type="radio"/> Annual <input type="radio"/> Other * Other (Specify)	* 1.c. Consolidated Application/Plan/Funding Request? Explanation: 2. Date Received: 3. Applicant Identifier: 4a. Federal Entity Identifier: 4b. Federal Award Identifier:	* 1.d. Version: <input checked="" type="radio"/> Initial <input type="radio"/> Resubmission <input type="radio"/> Revision <input type="radio"/> Update State Use Only: 5. Date Received By State: 6. State Application Identifier:
7. APPLICANT INFORMATION			
* a. Legal Name: Oregon			
* b. Employer/Taxpayer Identification Number (EIN/TIN): 1936001958A3		* c. Organizational DUNS: 136726507	
* d. Address:			
* Street 1:	500 Summer Street NE	Street 2:	
* City:	SALEM	County:	
* State:	OR	Province:	
* Country:	United States	* Zip / Postal Code:	97310 -
e. Organizational Unit:			
Department Name:		Division Name:	

f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	* First Name: Sherril	Middle Name:	* Last Name: Kuhns
Suffix:	Title: Federal Policy and Resources	Organizational Affiliation:	
* Telephone Number: (503) 569-6148	Fax Number:	* Email: sherril.kuhns@dhsosha.state.or.us	
* 8a. TYPE OF APPLICANT: A: State Government			
b. Additional Description:			
* 9. Name of Federal Agency: Administration for Children and Families, Office of Community Services			
10. CFDA Numbers and Titles 1	Catalog of Federal Domestic Assistance Number: 93.667	CFDA Title: Social Services Block Grant	
11. Descriptive Title of Applicant's Project State of Oregon - Social Services Block Grant Report of FY2022			
12. Areas Affected by Funding: Child Welfare			
13. CONGRESSIONAL DISTRICTS OF:			
* a. Applicant OR		b. Program/Project: Statewide	
Attach an additional list of Program/Project Congressional Districts if needed.			
14. FUNDING PERIOD:		15. ESTIMATED FUNDING:	
a. Start Date: 10/01/2021	b. End Date: 09/30/2022	* a. Federal (\$): \$0	b. Match (\$): \$0
* 16. IS SUBMISSION SUBJECT TO REVIEW BY STATE UNDER EXECUTIVE ORDER 12372 PROCESS?			
a. This submission was made available to the State under the Executive Order 12372			
Process for Review on :			
b. Program is subject to E.O. 12372 but has not been selected by State for review.			
c. Program is not covered by E.O. 12372.			
* 17. Is The Applicant Delinquent On Any Federal Debt?			
<input type="radio"/> YES			
<input checked="" type="radio"/> NO			
Explanation:			
18. By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)			
**I Agree <input checked="" type="checkbox"/>			
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.			
18a. Typed or Printed Name and Title of Authorized Certifying Official Sherril Kuhns		18c. Telephone (area code, number and extension) (503) 945-6679	
		18d. Email Address sherril.kuhns@dhsosha.state.or.us	
18b. Signature of Authorized Certifying Official 		18e. Date Report Submitted (Month, Day, Year) 08/27/2021	

OMB Approval Number: 0348-0061
Expiration Date: 02/28/2022

FEDERAL FINANCIAL REPORT (FFR)

1. Federal Agency and Org. Element to Which Report is Submitted Administration for Children and Families		2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) 2001ORSOSR	
3. Recipient Organization (Name and complete address including Zip code)			
4. Grantee Name Oregon		Grantee Name2 Department of Human Resources	
Grantee Street Address1 500 Summer Street NE		Grantee Street Address2	
Grantee Street Address3			
Grantee City SALEM	Grantee State OR	Grantee Zip 5 97310	Grantee Zip +4
4a. DUNS Number 136726507	4b. EIN 1936001958A3	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) 111092-20/SSBG	6. Report Type <input type="radio"/> QUARTERLY <input type="radio"/> SEMI-ANNUAL <input checked="" type="radio"/> ANNUAL
7. Basis of Accounting <input checked="" type="radio"/> CASH <input type="radio"/> ACCRUAL		8. Project/Grant Period From (Month, Day, Year) 10/01/2019	9. Reporting Period End Date (Month, Day, Year) 09/30/2020
		To: (Month, Day, Year) 09/30/2021	Final Report <input type="radio"/> NO <input checked="" type="radio"/> YES

Transaction Information


10. TRANSACTIONS	Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):	
a. Cash Receipts	\$0
b. Cash Disbursements	\$0
c. Cash on hand (line a minus b)	\$0
Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	\$20,232,677
e. Federal share of expenditures	\$20,232,677
f. Federal share of unliquidated obligations	\$0
g. Total Federal share (sum of line e plus line f)	\$20,232,677
h. Unobligated balance of Federal funds (line d minus g)	\$0
Recipient Share:	
i. Total recipient share required	\$0
j. Recipient share of expenditures	\$0
k. Remaining recipient share to be provided (line i minus j)	\$0
Program Income:	
l. Total Federal program income earned	\$0
m. Program income expended in accordance with the deduction alternative	\$0
n. Program income expended in accordance with the addition alternative	\$0
o. Unexpended program income (line l minus line m or line n)	\$0

Indirect Expense

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
No. 1		0.00%			\$0	\$0	\$0
g. Totals:					\$0	\$0	\$0

12. Remarks

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official Travis Labrum	c. Telephone (Area code, number and extension)
Certification Title Grant Account Manager	d. Email address travis.d.labrum@dhssoha.state.or.us
b. Signature of Authorized Certifying Official 	e. Date Report Submitted (Month, Day, Year) 12/10/2020

Report Attachment (For reporting multiple grants)

14. List Information below for each grant covered by this report.	Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
No. 1			\$0

Total (Should correspond to the amount on Line 10b)				\$0
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