

# BUDGET NARRATIVE

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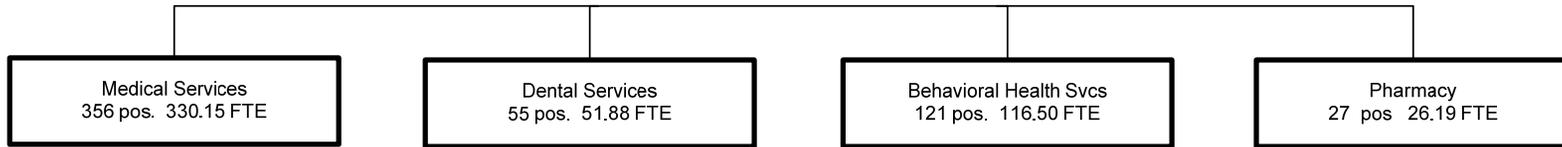
## Health Services

### Program Description

## OREGON DEPARTMENT OF CORRECTIONS

### Health Services Organizational Chart

2011-13 Legislatively Adopted Budget



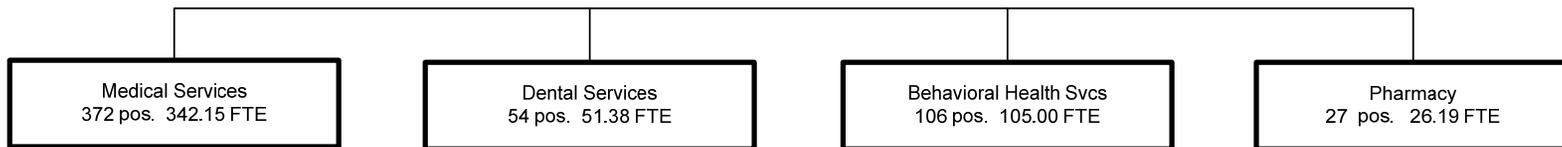
**Total Positions: 559**

**FTE: 524.72**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Health Services Division Organizational Chart 2011-13 Current Legislatively Approved Budget

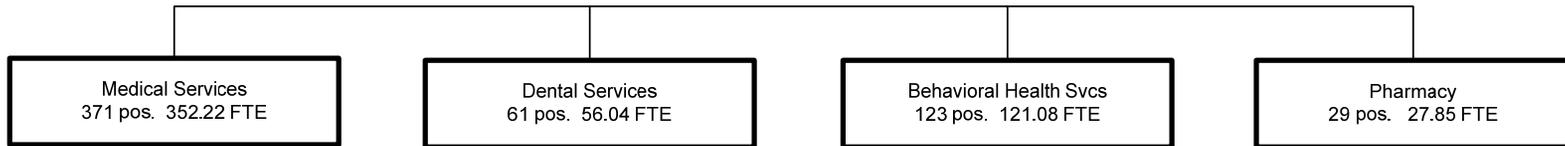


**Total Positions: 559**  
**FTE: 524.72**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Health Services Organizational Chart 2013 -15 Agency Request Budget

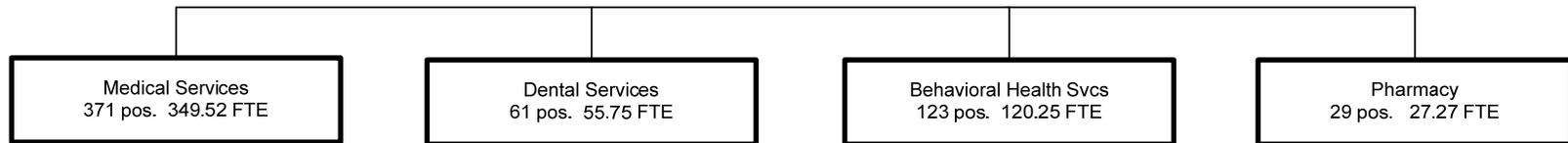


**Total Positions: 584**  
**FTE: 557.19**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Health Services Organizational Chart 2013 -15 Governor's Balanced Budget

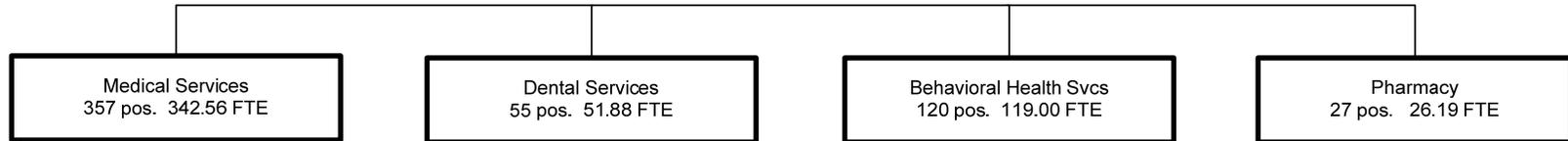


**Total Positions: 584**  
**FTE: 552.79**

# BUDGET NARRATIVE

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## OREGON DEPARTMENT OF CORRECTIONS Health Services Organizational Chart 2013 -15 Legislatively Adopted Budget



**Total Positions: 559**  
**FTE: 539.63**

# BUDGET NARRATIVE

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## Health Services

The legal framework for prison health care was established in the 1976 landmark decision of *Estelle v. Gamble*. In the hundreds of published cases following *Estelle*, three basic rights have emerged: the right to access to care, the right to care that is ordered, and the right to a professional medical judgment. The failure of correctional officials to honor these rights has resulted in protracted litigation, the awarding of damages and attorneys' fees, and the issuance of injunctions regarding the delivery of health care services.

The Department of Corrections (DOC) Health Services section uses a managed care model which is the least cost health care method of service delivery and provides as much medical care on-site as appropriately and economically possible. To accomplish this task effectively and efficiently, DOC uses a managed care model that stresses a limited standardized benefit package, on-site primary care with early intervention, coordinated care and management of diseases, controlled access to specialists and specialist procedures, restricted pharmacy medication formulary, controlled utilization, utilization review, and claims review.

Health Services provides services to all 14 DOC institutions across the state:

- Oregon State Penitentiary (OSP), Salem
- OSP Minimum, Salem (Deactivated)
- Oregon State Correctional Institution (OSCI), Salem
- Mill Creek Correctional Facility (MCCF), Salem
- Santiam Correctional Institution (SCI), Salem
- Columbia River Correctional Institution (CRCI), Portland
- South Fork Forest Camp (SFFC), Tillamook
- Shutter Creek Correctional Institution (SCCI), North Bend
- Eastern Oregon Correctional Institution (EOCI), Pendleton
- Coffee Creek Correctional Facility (CCCF), Wilsonville
- Powder River Correctional Facility (PRCF), Baker City
- Snake River Correctional Institution (SRCI), Ontario
- Two Rivers Correctional Institution (TRCI), Umatilla
- Warner Creek Correctional Facility (WCCF), Lakeview
- Deer Ridge Correctional Institution (DRCI), Madras

# BUDGET NARRATIVE

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The state is required to ensure that inmates have unimpeded access to health care, that their health complaints are evaluated by a qualified health care professional, and that care and treatment is provided as prescribed and is consistent with community standards. Primary care services are generally delivered onsite and inmates may be moved from institution to institution based on the range of services available at any given location. While DOC is organized to treat the vast majority of all medical encounters onsite and basic health care services are provided at every location, occasionally patients need to see community providers based on the severity of their conditions.

DOC maintains a team of professionals that includes Physicians, Nurse Practitioners, Physician Assistants, Registered Nurses, Dentists, Psychiatrists, Mental Health Specialists, Pharmacists, as well as other licensed professionals.

## **Major Challenges during the 2013-15 biennium**

The costs of delivering health care in DOC institutions are impacted by the same social and economic forces that cause rapid increases in health care costs for employers and citizens in Oregon's communities and nationwide.

- Increasing costs of equipment, supplies and pharmaceuticals. Example: Newer and more expensive medications or therapies replacing those previously accepted for the treatment of a disease or condition.
- Lack of sufficient infirmary beds in the Willamette Valley to manage cost of care most effectively.
- Changing medical community standards of care (CT scan, MRI, HEP C, etc.).
- Increasing cost to treat and/or inpatient hospital care. Inpatient hospital care inflation rates were 7.5% for the year ending July 2011 and 6.3% for the year ending July 2012.) (Source: Health Inflation News, July 2012). DOC must continue to keep pace with changes in the medical field while trying to manage continually increasing costs.
- Lack of sufficient staff. Medical and mental health acuity has increased with no additional staff resources. In addition, the infirmaries are accepting more and more acute care hospital type patients. The inmate population increasingly has more complex medical, dental, and mental health issues at intake, based on their risk-taking behaviors and lifestyles, histories of substance abuse, and a general lack of preventative healthcare.
- Delivering an ever increasing level of healthcare in facilities that were not designed for it when they were built and continue to age and deteriorate.
- Lack of Electronic Health Records results in operational inefficiencies like the difficulty of producing reports on demand to more effectively manage patients. The current system also has the risk of physical charts being misplaced, damaged, lost, or misfiled.

## **Program Objectives and Services**

The Health Services section is organized to deliver least cost institution-based medical, dental, behavioral health, and pharmacy services. These services are constitutionally mandated and further defined and mandated by substantial federal and state case law.

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## **New Laws Affecting the Program Unit**

Additional mandatory sentencing legislation was instituted by the voters in the fall of 2008 resulting in the passage of Ballot Measure 57 (M57), which was suspended by the legislature and reinstated in January of 2012. As a result of M57, mandatory sentences ranging from 14 months to 3 years will be enforced for drug and property crimes, which were often handled with probation in the past. Once fully implemented this change will significantly affect the growth of Oregon's prison population which strains Health Services ability to provide adequate services safely in aging clinics that were not designed for this kind of volume.

## **Division Accomplishments – 2011-2013**

### **Medical Services**

- Continued to meet national medical standards and correctional medical standards.
  - Ongoing monitoring, fulfilling of standards, and earning accreditation by the National Commission on Correctional Health Care.
  - Ongoing reviews of national evidence based medical recommendations of disease management, with ongoing updates of DOC guidelines and providing education to standards.
  
- Continued a Managed Care medical model with ongoing utilization reviews of both on-site and off-site services for necessity, quality, and efficiency.
  - Ongoing reviews of on-site services for medical necessity.
  - Prior authorization and utilization reviews for off-site care.
  - Chronic Disease Self-Management and Hepatitis & HIV Aids Awareness programs are being implemented at all facilities.
  - Review of off-site services that could be provided on-site to further reduce costs. This biennium DOC improved efficiency by bringing the following services on-site:
    - Liver biopsies
    - Denturist services on-site in the eastern region of the state
    - Surgical consults at TRCI
    - On-site dialysis, currently serving up to 25 patients
    - Added on-site surgeon visits at CCCF
    - Added on-site orthopedic clinic at SRCI
    - Statewide mobile ultrasound
  
- Reduced paid versus billed charges while at the same time improved network access for offsite care. Through a change in Third Party Claims Administration, Health Services was able to realize savings on a per claim basis of approximately 10%. DOC was

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able to increase the historical average discount of billed charges from 24% to 30-34%, while at the same time better managing bed days for offsite care and improving our network of discounted providers.

- In November of 2011 Health Services began transitioning medical, dental, and behavioral health providers to a computerized prescriber order entry (CPOE) system for patient medication orders. The benefits of these systems are well documented and DOC expects operational improvements would include:
  - Reduced time for pharmacy staff to fill orders
  - Reduced nursing time devoted to facilitating antiquated paper based ordering system
  - Reduced pharmacy errors due to illegibility of handwritten orders
  - Reduced prescriber/nursing staff time devoted to correcting illegible and incomplete handwritten orders
  - Reduced rate of lost/unfilled medication orders by pharmacy staff
  - Reduced transcription errors by nursing staff
  - Improved error-checking for duplicate or incorrect doses

## Dental Services

- Continued to improve managed dental care system by utilization of committee review for elective and off-site dental procedures and development of intricate data collection of review systems.
- Continued to improve peer review systems for DOC dentists.
- Procured on-site oral surgery services at EOCl, reducing transport costs. This surgeon also provides services at TRCI.
- Continued to meet dental standards as set forth by the National Commission on Correctional Health Care (NCCHC).
- Continued to improve dental intake process with more comprehensive examinations being performed.
- Implemented on-site dental prostheses available at the majority of the institutions.
- Developed comprehensive dental forms which provide improved management of dental care.

## Behavioral Health Services

- Mental Health Housing/Suicide Prevention. A 2010 audit of Behavioral Health Services focused on recommendations from the 2004 Managing Mental Health in Prison Task Force and the 2005 Hayes Oregon Department of Corrections Suicide Prevention Report. The combination of a growing population (7,123 inmates with diagnosed mental illness – 7/31/2012), heightened acuity, and increased needs of mentally ill inmates in this budget climate present a challenge for delivery of safe, effective, evidence based care. Significant efficiencies, safety measures, and housing changes have been successfully implemented.

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- Repurposed inmate beds to provide a broader level of care for mentally and behaviorally challenged inmates. This resulted in a total of 856 Mental Health beds statewide with the largest concentration in the western region where mental health providers are more readily available.
  - 59 Mental Health Infirmiry beds (highest need/crisis)
  - 69 Intermediate Care Housing (highest need/non crisis)
  - 132 Day Treatment beds (severe need)
  - 528 Mental Health beds (moderate need)
  - 68 Behavioral Housing beds (highest need/dangerous behavior)

## Key Performance Measures

The Health Services Key Performance Measure is related to the following agency goals:

- To ensure that inmates in the system receive community standard health care.
- Operate a safe, civil, and productive organization.

The Key Performance Measure for Health Services is as follows:

- The target is for 99% of all medical encounters to occur onsite. The current reported rate from July 2011 through June 2012 is 99.4% of medical encounters occurring onsite.

## Agency Request Budget

### Staffing

Positions	584
FTE	557.19

### Revenue Sources

General Fund	\$239,919,610
Other Funds	561,676
Federal Funds	6,253,705

# BUDGET NARRATIVE

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## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts. Also included is the denial of Policy Package 105 – Electronic Health Records.

### Staffing

Positions	584
FTE	552.79

### Revenue Sources

General Fund	\$232,313,544
Other Funds	561,676
Federal Funds	6,253,705

## Legislatively Adopted Budget

The Legislatively Adopted Budget includes policy changes that include mid-management position reductions, PERS police and fire rate corrections and forecast changes based on the October 2012 and April 2013 population forecasts resulting in a (\$4,627,253) General Fund adjustment, (25) positions and (13.16) FTE. In addition, HB 2087 resulted in a (\$12,571,570) General Fund adjustment due to expected reimbursement from Medicaid for off-site inmate medical care. Also, the Oregon Health Network received \$315,654 in Federal Funds limitation to assist with pass-through telemedicine bandwidth expenses. The Legislatively Adopted Budget also includes unspecified reductions of (\$5,532,485) to the General Fund, and 2% and 5% General Fund reductions totaling (\$9,655,978).

### Staffing

Positions	559
FTE	539.63

### Revenue Sources

General Fund	\$199,926,258
Other Funds	561,676
Federal Funds	6,569,359

# BUDGET NARRATIVE

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## Medical Services

Federal law mandates that every state provide basic medical services to inmates. The goal of Medical Services is to provide essential services that yield the best return on the state's investment in health care. The obligations of the state for health care are to ensure that inmates have unimpeded access to health care, that their health concerns are evaluated by a qualified professional, and that care and treatment is provided as prescribed and is consistent with community standards. State and federal courts have defined acceptable levels of health care in Estelle v. Gamble, (1976) and Capps v. Atiyeh, 1982). As these definitions and the associated expectations for care continue to evolve with the addition of new case law, Medical Services adapts to the needed changes.

Basic medical care includes primary care and some specialty medical care, infirmary care, renal dialysis, and licensed hospice.

## Agency Request Budget

### Staffing

Positions	371
FTE	352.22

### Revenue Sources

General Fund	\$153,408,111
Other Funds	561,676
Federal Funds	6,253,705

## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts. Also included are impacts to the forecasted caseload between April 2012 and October 2012 and the denial of Policy Package 105 – Electronic Health Records.

### Staffing

Positions	371
FTE	349.52

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$147,471,081
Other Funds	561,676
Federal Funds	6,253,705

## Legislatively Adopted Budget

The Legislatively Adopted Budget includes policy changes that include General Fund vacancy savings of (\$2,299,079), other Personal Services adjustments of (\$3,066,007) and (14) positions and (6.96) FTE. In addition, Supplies and Services adjustments resulted in a (\$19,263,632) General Fund difference. The Oregon Health Network received \$315,654 in Federal Funds limitation to assist with pass-through telemedicine bandwidth expenses.

## Staffing

Positions	357
FTE	342.56

## Revenue Sources

General Fund	\$122,842,363
Other Funds	561,676
Federal Funds	6,569,359

## Dental Services

Within Health Services the Dental Services section is responsible for a comprehensive oral care program. Inmates are assessed at intake and provided with a dental examination which includes a full range of diagnostic x-rays and a comprehensive evaluation. Because of severe neglect and damage to teeth, many inmates require immediate/urgent dental care. Dental treatment within DOC is provided by fully licensed dentists. Referral to outside providers is only allowed for procedures beyond the scope of general dentistry such as complicated surgery for the removal of wisdom teeth. The Dental Services section functions under the same state and federal court benchmarks of acceptable levels of care defined in *Estelle v. Gamble* (1976) and *Capps v. Atiyeh* (1982). Much like Medical Services, as the definitions and associated expectations for care continue to evolve with the addition of new case law, the Dental Services section makes every effort to adapt to the changes. Because the acceptable standards of dental care have become more demanding, it has become apparent that the dental clinics within the institutions are not large enough to provide the required volume of

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dental services which has created some logistical challenges. In addition, DOC's lack of hygienists to provide dental support requires licensed dentists to provide preventative dental care.

## Agency Request Budget

### Staffing

Positions	61
FTE	56.04

### Revenue Source

General Fund	\$15,168,530
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## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts.

### Staffing

Positions	61
FTE	55.75

### Revenue Source

General Fund	\$14,743,392
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## Legislatively Adopted Budget

The Legislatively Adopted Budget includes policy changes that include vacancy savings of (\$396,417), other personal services impacts of (\$1,063,798) and services and supplies impacts of \$754,172. The results of October 2012 and April 2013 inmate population forecasts were (6) positions and (3.87) FTE.

### Staffing

Positions	55
FTE	51.88

# BUDGET NARRATIVE

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## Revenue Source

General Fund                      \$14,037,349

## Behavioral Health Services

Within Health Services, the Behavioral Health Services section provides a range of needed assessment and treatment for inmates who are mentally ill and/or developmentally disabled. Transition planning for the mentally ill and developmentally disabled inmates to assist with continuity of care is provided prior to release. National and Oregon-based research has shown that these services have a major impact on improving inmate functioning during incarceration. (Managing Mental Illness in Prison, 2004, Brazelon Center for Mental Health Law – Building Bridges).

DOC's mentally ill inmate identification and tracking system began in 1996. At that time, 13.6% of the inmate population (1,050 individuals) was identified as having a severe and highest need mental illness. At the end of July 2012, using the same identification criteria, 7,123 inmates (50%) had a diagnosed mental illness, 2,357 inmates (17%) of the inmates were identified with severe mental health needs, and 942 inmates (7%) were identified with the highest mental health needs. This population requires a high level of treatment, supervision, and risk management.

Mental health professionals provide comprehensive mental health assessments to determine diagnosis, acuity, and treatment needs. They then monitor the status of the identified mentally ill inmates, provide case management services, develop risk management, treatment, and behavior management plans, assess for suicide/self-harm, provide crisis management and intervention for all inmates, make specific program and housing referrals as needed, and participate in the development of release plans.

Mental health professionals also participate in multi-disciplinary and other management and treatment team meetings, provide mental health and suicide prevention training, and provide regular consultation with other correctional staff throughout the prison facility. This outreach availability within institutions allows early intervention to minimize the cost of care and the personal deterioration caused by mental illness. Studies of the impact on inmate change from treatment show that Oregon prison mental health programs reduce the frequency and severity of psychiatric symptoms, improve needed life skills, reduce institution disciplinary problems, and enable these inmates to participate in work and education programs. Both the total numbers and percentage of inmates with a significant mental health diagnosis within the total inmate population have increased markedly over the past decade.

# BUDGET NARRATIVE

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## Developmental Disability Services

Inmates with significant intellectual impairments (approximately 2.2% of the population at this time) are identified at intake and monitored throughout their incarceration. These inmates receive specialized training and skill building to help them function in the least restrictive environment, access education and work programs, and successfully transition to the community upon release.

## Agency Request Budget

### Staffing

Positions	123
FTE	121.08

### Revenue Source

General Fund	\$35,466,435
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## Governor's Balanced Budget

The Governor's Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts.

### Staffing

Positions	123
FTE	120.25

### Revenue Source

General Fund	\$34,616,123
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## Legislatively Adopted Budget

The Legislatively Adopted Budget includes policy changes that include vacancy savings of (\$702,368), other personal services impacts of (\$634,498) and supplies and services impacts of (\$1,064,669). Population forecast changes due to October 2013 and April 2013 forecast changes also reduced staffing by (3) positions and (1.25) FTE.

# BUDGET NARRATIVE

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## Staffing

Positions	120
FTE	119.00

## Revenue Source

General Fund	\$32,214,588
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## Central Pharmacy & Medical Stores

DOC operates a centralized licensed and registered pharmacy and medical supply distribution system. This allows it to gain maximum purchasing power to foster efficiency and to manage cost effective delivery of medications and clinical supplies. A supporting component of the larger Health Services section, the pharmacy operates autonomously. Its “neutral” status allows the pharmacy to monitor prescribing practices and to hold DOC Health Services practitioners accountable to DOC’s professionally developed medication formulary. Independent professional operation ensures that DOC’s pharmacy program meets or exceed regulatory and industry standards while at the same time efficiently providing therapeutically necessary medications and supplies. Full control of the medication cycle from purchase to patient dosing allows DOC a high level of decision and cost control.

## Agency Request Budget

### Staffing

Positions	29
FTE	27.85

### Revenue Source

General Fund	\$35,876,534
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## Governor’s Balanced Budget

The Governor’s Balanced Budget includes policy changes that include PERS rate table changes (Essential Packages) and other PERS policy impacts.

## BUDGET NARRATIVE

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### Staffing

Positions	29
FTE	27.27

### Revenue Source

General Fund	\$35,482,948
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### Legislatively Adopted Budget

The Legislatively Adopted Budget includes policy changes that include vacancy savings of (\$163,839), other personal expenses impacts of (\$313,454) and supplies and services impacts of (\$4,173,697) in General Fund. Actions taken in the Legislatively Adopted Budget related to the October 2012 and April 2013 inmate population forecasts resulted in (2) positions and (1.08) FTE.

### Staffing

Positions	27
FTE	26.19

### Revenue Source

General Fund	\$30,831,958
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# BUDGET NARRATIVE

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## Health Services Division

### 010 Non-PICS Psnl Svc / Vacancy Factor

#### Package Description

##### Purpose

This package includes three components: 1) The cost of Personal Services adjustments, such as inflation on non-PICS accounts, i.e., overtime, differentials, unemployment compensation, and mass transit taxes, which are not automatically generated by PICS; 2) An adjustment for the anticipated savings associated with normal employee turnover, commonly referred to as vacancy savings, which is developed using a formula prescribed by the Department of Administrative Services (DAS) Chief Financial Office (CFO) that considers both the savings and costs associated with normal turnover activity; 3) An adjustment to the Public Employee Retirement System (PERS) Pension Obligation Bond assessment, which is also developed by DAS CFO.

##### How Achieved

Non-PICS Accounts – With the exception of Mass Transit, adjustment amounts are computed by multiplying the above referenced accounts in the 2013-15 Base Budget by the standard inflation factor of 2.4%. Added to those amounts is the value of exception request number 291-02 approved by DAS. This exception resulted in an increase of \$11,277 General Fund for security differentials awarded to represented non-security staff that perform specific security functions.

Vacancy Savings – An estimate of the savings associated with vacancies, employee turnover, and hiring delays is included in this package. Vacancy savings are computed using the formula and guidelines prescribed in the Budget and Legislative Concept Instructions and approved in advance by DAS CFO. In the Health Services Division, the vacancy savings budget decreased by (\$13,012) General Fund from the 2011-13 budgeted level.

PERS Pension Obligation Bonds – This package includes an increase of \$242,773 General Fund from the 2011-13 budgeted levels for distribution to DAS for Debt Service on PERS Pension Obligation Bonds.

#### Agency Request Budget

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Source

General Fund                      \$356,916

## Governor's Balanced Budget

The Governor reduced this package by implementing a lower PERS rate which is calculated on all salaries.

## Staffing Impact

None

## Revenue Source

General Fund                      \$356,088

## Legislatively Adopted Budget

No action taken.

## Staffing Impact

None

## Revenue Source

General Fund                      \$356,088

## 2015-17 Fiscal Impact

Actions approved in this package will have varying impacts on future periods. Normal inflation will be integrated into and become part of the Base Budget for 2015-17, as will the funding approved in the non-PICS exceptions. Vacancy savings are re-projected each biennium based on agency experience. The Pension Obligation Bond financing will be an ongoing liability for the agency.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 010 - Non-PICS Psnl Svc / Vacancy Factor

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	356,088	-	-	-	-	-	356,088
<b>Total Revenues</b>	<b>\$356,088</b>	-	-	-	-	-	<b>\$356,088</b>
<b>Personal Services</b>							
Overtime Payments	22,208	-	-	-	-	-	22,208
Shift Differential	18,021	-	-	-	-	-	18,021
All Other Differential	61,530	-	-	-	-	-	61,530
Public Employees' Retire Cont	19,836	-	-	-	-	-	19,836
Pension Obligation Bond	242,773	-	-	-	-	-	242,773
Social Security Taxes	7,783	-	-	-	-	-	7,783
Unemployment Assessments	568	-	-	-	-	-	568
Mass Transit Tax	(7,711)	-	-	-	-	-	(7,711)
Vacancy Savings	(13,012)	-	-	-	-	-	(13,012)
Reconciliation Adjustment	4,092	-	-	-	-	-	4,092
<b>Total Personal Services</b>	<b>\$356,088</b>	-	-	-	-	-	<b>\$356,088</b>
<b>Total Expenditures</b>							
Total Expenditures	356,088	-	-	-	-	-	356,088
<b>Total Expenditures</b>	<b>\$356,088</b>	-	-	-	-	-	<b>\$356,088</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-

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## Health Services Division

### 021 Phase-In

#### Package Description

##### Purpose

This package includes the additional costs associated with 24-month operation of programs or services phased-in during the prior biennium. Package 021 includes the added costs of programs above the 2013-15 Base Budget level, after adjustments are made for start-up costs or other one-time expenditures funded in 2011-13.

##### How Achieved

This package provides the necessary funding (including inflation) for non-PICS Personal Services, Services & Supplies, Capital Outlay, and Special Payments accounts to provide for 24-month operation of positions and services brought on-line during 2011-13. This includes the phased-in personnel and services & supplies associated with the Health Services Division's support of the inmate population growth from the 2011-13 biennium.

The additional funding for positions approved by the 2011-13 Legislature and phased-in during the biennium (after July 1, 2011) is budgeted in the Base Budget as part of the automated budget system process. Only the incremental cost for the above mentioned accounts is included in this package. Inflation for these additional costs is also included in this package at the factors prescribed by DAS CFO.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	\$245,684
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## **Governor's Balanced Budget**

The Governor reduced this package by implementing a lower PERS rate which is calculated on all salaries.

## **Staffing Impact**

None

## **Revenue Source**

General Fund	\$245,433
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## **Legislatively Adopted Budget**

No action taken.

## **Staffing Impact**

None

## **Revenue Source**

General Fund	\$245,433
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## **2015-17 Fiscal Impact**

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 021 - Phase-in

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	245,433	-	-	-	-	-	245,433
<b>Total Revenues</b>	<b>\$245,433</b>	-	-	-	-	-	<b>\$245,433</b>
<b>Personal Services</b>							
Overtime Payments	2,824	-	-	-	-	-	2,824
Shift Differential	6,011	-	-	-	-	-	6,011
All Other Differential	21,979	-	-	-	-	-	21,979
Public Employees' Retire Cont	6,005	-	-	-	-	-	6,005
Social Security Taxes	2,358	-	-	-	-	-	2,358
Unemployment Assessments	769	-	-	-	-	-	769
Mass Transit Tax	1,310	-	-	-	-	-	1,310
Reconciliation Adjustment	1,241	-	-	-	-	-	1,241
<b>Total Personal Services</b>	<b>\$42,497</b>	-	-	-	-	-	<b>\$42,497</b>
<b>Services &amp; Supplies</b>							
Instate Travel	15,967	-	-	-	-	-	15,967
Office Expenses	73,784	-	-	-	-	-	73,784
Data Processing	6,220	-	-	-	-	-	6,220
Medical Services and Supplies	94,640	-	-	-	-	-	94,640
Other Services and Supplies	12,325	-	-	-	-	-	12,325
<b>Total Services &amp; Supplies</b>	<b>\$202,936</b>	-	-	-	-	-	<b>\$202,936</b>

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## Health Services Division

### 022 Phase-out Pgm & One-time Costs

#### Package Description

##### Purpose

This package includes eliminating the budget for programs that were terminated or phased-out during the prior biennium. Extraordinary one-time expenditures are also reduced in this package.

##### How Achieved

PICS automatically removes phased-out positions in the base budget. One-time Health Services expendable property start-up equipment and supplies from prior biennium mandated caseload increases are eliminated here.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund (\$13,673)

#### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

##### Revenue Source

General Fund (\$13,673)

# BUDGET NARRATIVE

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## **Legislatively Adopted Budget**

No action taken.

## **Staffing Impact**

None

## **Revenue Source**

General Fund	(\$13,673)
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## **2015-17 Fiscal Impact**

The actions reflected in this package will not affect the 2015-17 budget since they address the elimination of one-time expenditures.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 022 - Phase-out Pgm & One-time Costs

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(13,673)	-	-	-	-	-	(13,673)
<b>Total Revenues</b>	<b>(\$13,673)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$13,673)</b>
<b>Services &amp; Supplies</b>							
Expendable Prop 250 - 5000	(3,685)	-	-	-	-	-	(3,685)
IT Expendable Property	(9,988)	-	-	-	-	-	(9,988)
<b>Total Services &amp; Supplies</b>	<b>(\$13,673)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$13,673)</b>
<b>Total Expenditures</b>							
Total Expenditures	(13,673)	-	-	-	-	-	(13,673)
<b>Total Expenditures</b>	<b>(\$13,673)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$13,673)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Health Services Division

### 031 Standard Inflation

#### Package Description

##### Purpose

This package includes funding for inflation and adjustments to reflect the Price List of Goods and Services issued by DAS. The prescribed standard inflation factors were used for all accounts in this Division.

##### How Achieved

For 2013-15, inflation factors are 2.4% for standard inflation and 6.0% for Facility Rental and Taxes. Inflation requested in this package is based on the 2013-15 Base Budget. Inflation associated with biennialized phased-in programs, when applicable, is included in package 021. Inflation associated with new institution start-up and operation is included in the essential package for caseload in package 040.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$2,228,241
Other Funds	12,482
Federal Funds	151,594

##### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$2,228,241
Other Funds	12,482
Federal Funds	151,594

## Legislatively Adopted Budget

No action taken.

## Staffing Impact

None

## Revenue Sources

General Fund	\$2,228,241
Other Funds	12,482
Federal Funds	151,594

## 2015-17 Fiscal Impact

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	2,228,241	-	-	-	-	-	2,228,241
Federal Revenues	-	-	151,594	-	-	-	151,594
<b>Total Revenues</b>	<b>\$2,228,241</b>	<b>-</b>	<b>\$151,594</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$2,379,835</b>
<b>Services &amp; Supplies</b>							
Instate Travel	7,565	-	-	-	-	-	7,565
Employee Training	2,439	-	-	-	-	-	2,439
Office Expenses	21,442	-	-	-	-	-	21,442
Data Processing	4,593	-	-	-	-	-	4,593
Employee Recruitment and Develop	1,844	-	-	-	-	-	1,844
Facilities Rental and Taxes	7,617	-	-	-	-	-	7,617
Fuels and Utilities	1,201	-	-	-	-	-	1,201
Facilities Maintenance	2,042	-	-	-	-	-	2,042
Medical Services and Supplies	2,169,464	-	12,482	151,594	-	-	2,333,540
Other Care of Residents and Patients	865	-	-	-	-	-	865
Other Services and Supplies	3,823	-	-	-	-	-	3,823
Expendable Prop 250 - 5000	4,794	-	-	-	-	-	4,794
IT Expendable Property	552	-	-	-	-	-	552
<b>Total Services &amp; Supplies</b>	<b>\$2,228,241</b>	<b>-</b>	<b>\$12,482</b>	<b>\$151,594</b>	<b>-</b>	<b>-</b>	<b>\$2,392,317</b>
<b>Total Expenditures</b>							
Total Expenditures	2,228,241	-	12,482	151,594	-	-	2,392,317
<b>Total Expenditures</b>	<b>\$2,228,241</b>	<b>-</b>	<b>\$12,482</b>	<b>\$151,594</b>	<b>-</b>	<b>-</b>	<b>\$2,392,317</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 031 - Standard Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Ending Balance</b>							
Ending Balance	-	-	139,112	(151,594)	-	-	(12,482)
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>\$139,112</b>	<b>(\$151,594)</b>	<b>-</b>	<b>-</b>	<b>(\$12,482)</b>

# BUDGET NARRATIVE

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## Health Services Division

### 032 Above Standard Inflation

#### Package Description

##### Purpose

This package includes funding for above standard inflation as prescribed by DAS. Approval by DAS CFO is required in order to use this package. Health Services Division is approved to use the additional medical services inflation factor because it relies heavily on skilled medical staff (doctors, dentists, registered nurses), advancements in medical technology and high cost prescription drugs to fulfill its mandate.

##### How Achieved

For 2013-15 the above standard inflation factor for Medical Services and Supplies is 1.6%. This is in addition to the 2.4% included in package 031.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$1,446,307
Other Funds	8,321
Federal Funds	101,063

##### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$1,446,307
Other Funds	8,321
Federal Funds	101,063

## Legislatively Adopted Budget

No action taken.

## Staffing Impact

None

## Revenue Sources

General Fund	\$1,446,307
Other Funds	8,321
Federal Funds	101,063

## 2015-17 Fiscal Impact

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 032 - Above Standard Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	1,446,307	-	-	-	-	-	1,446,307
Federal Revenues	-	-	101,063	-	-	-	101,063
<b>Total Revenues</b>	<b>\$1,446,307</b>	-	<b>\$101,063</b>	-	-	-	<b>\$1,547,370</b>
<b>Services &amp; Supplies</b>							
Medical Services and Supplies	1,446,307	-	8,321	101,063	-	-	1,555,691
<b>Total Services &amp; Supplies</b>	<b>\$1,446,307</b>	-	<b>\$8,321</b>	<b>\$101,063</b>	-	-	<b>\$1,555,691</b>
<b>Total Expenditures</b>							
Total Expenditures	1,446,307	-	8,321	101,063	-	-	1,555,691
<b>Total Expenditures</b>	<b>\$1,446,307</b>	-	<b>\$8,321</b>	<b>\$101,063</b>	-	-	<b>\$1,555,691</b>
<b>Ending Balance</b>							
Ending Balance	-	-	92,742	(101,063)	-	-	(8,321)
<b>Total Ending Balance</b>	-	-	<b>\$92,742</b>	<b>(\$101,063)</b>	-	-	<b>(\$8,321)</b>

# BUDGET NARRATIVE

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## Health Services Division

### 033 Exception Inflation

#### Package Description

##### Purpose

This package includes inflation above-and-beyond the standard and above standard inflation values. Approval of an exception request by DAS, CFO is required in order to use this package.

##### How Achieved

Exception request number 291-01 for extraordinary inflation amounts on Medical Services & Supplies was approved by DAS. The exception rates approved are 4.0% for Medical & Dental Services, 8.7% for Behavioral Health and 25.8% for Pharmacy. These rates are in addition to the 2.4% standard inflation in package 031 and the 1.6% above standard inflation included in package 032.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$8,605,605
Other Fund	20,803

##### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$8,605,605
Other Fund	20,803

## Legislatively Adopted Budget

No action taken.

## Staffing Impact

None

## Revenue Sources

General Fund	\$8,605,605
Other Fund	20,803

## 2015-17 Fiscal Impact

The actions included in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 033 - Exceptional Inflation

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	8,605,605	-	-	-	-	-	8,605,605
<b>Total Revenues</b>	<b>\$8,605,605</b>	-	-	-	-	-	<b>\$8,605,605</b>
<b>Services &amp; Supplies</b>							
Medical Services and Supplies	8,605,605	-	20,803	-	-	-	8,626,408
<b>Total Services &amp; Supplies</b>	<b>\$8,605,605</b>	-	<b>\$20,803</b>	-	-	-	<b>\$8,626,408</b>
<b>Total Expenditures</b>							
Total Expenditures	8,605,605	-	20,803	-	-	-	8,626,408
<b>Total Expenditures</b>	<b>\$8,605,605</b>	-	<b>\$20,803</b>	-	-	-	<b>\$8,626,408</b>
<b>Ending Balance</b>							
Ending Balance	-	-	(20,803)	-	-	-	(20,803)
<b>Total Ending Balance</b>	-	-	<b>(\$20,803)</b>	-	-	-	<b>(\$20,803)</b>

# BUDGET NARRATIVE

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## Health Services Division

### 040 Mandated Caseload

#### Package Description

##### Purpose

Mandated caseload changes included in this package are based on changes to programs that are required by the federal government, the state constitution or court actions. Mandated caseload costs include but are not limited to the cost of the additional staff and operating costs required to operate these programs. The April 2012 Oregon Corrections Population Forecast published by DAS Office of Economic Analysis is the basis for actions presented in this package.

##### How Achieved

In response to the caseload projections included in the April 2012 Population Forecast, DOC completes a Population Management Plan that details the location and number of additional beds to be opened in the institutions. This plan reflects the continued use of temporary and emergency beds within the Department's facilities as needed to manage the growing prison population. Specifically, the plan includes opening temporary and emergency beds during 2013-15 at Warner Creek Correctional Facility, Two Rivers Correctional Institution, Powder River Correctional Facility, Shutter Creek Correctional Institution and opening five new permanent units at Deer Ridge Correctional Institution (DRCI) for male inmates. Additional female inmates will be housed in emergency beds at Coffee Creek Correctional Facility.

The Health Services Division will need to add adequate personnel and supplies in order to meet the medical needs of this larger population at the various locations indicated. This package also includes the one-time costs for startup associated with opening the five new units (including disciplinary segregation and infirmary beds) at DRCI.

#### Agency Request Budget

##### Staffing Impact

Positions	25
FTE	17.91

##### Revenue Source

General Fund	\$5,948,139
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# BUDGET NARRATIVE

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## Governor's Balanced Budget

The Governor adjusted this package for the changes in the population forecast between April 2012 and October 2012. In addition, the Governor reduced this package by implementing a lower PERS rate which is calculated on all salaries.

### Staffing Impact

Positions	25
FTE	13.51

### Revenue Source

General Fund	\$4,515,696
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## Legislatively Adopted Budget

No action taken.

### Staffing Impact

Positions	25
FTE	13.51

### Revenue Source

General Fund	\$4,515,696
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## 2015-17 Fiscal Impact

This package will have an impact on the 2015-17 budget, with beds phasing-in during 2013-15 needing to be funded for a full 24-month period in 2015-17. Base salary and Other Personnel Expenses for new staff will be automatically adjusted by PICS. Additional Services & Supplies and non-PICS Personal Services accounts will be manually adjusted in package 021. The additional operating costs will be offset partially by the elimination of one-time and startup supplies and equipment in package 022.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 040 - Mandated Caseload

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	4,515,696	-	-	-	-	-	4,515,696
<b>Total Revenues</b>	<b>\$4,515,696</b>	-	-	-	-	-	<b>\$4,515,696</b>
<b>Personal Services</b>							
Overtime Payments	3,950	-	-	-	-	-	3,950
Shift Differential	7,724	-	-	-	-	-	7,724
All Other Differential	16,108	-	-	-	-	-	16,108
Public Employees' Retire Cont	5,414	-	-	-	-	-	5,414
Social Security Taxes	2,126	-	-	-	-	-	2,126
Unemployment Assessments	2,852	-	-	-	-	-	2,852
Mass Transit Tax	3,990	-	-	-	-	-	3,990
Reconciliation Adjustment	2,461,451	-	-	-	-	-	2,461,451
<b>Total Personal Services</b>	<b>\$2,503,615</b>	-	-	-	-	-	<b>\$2,503,615</b>
<b>Services &amp; Supplies</b>							
Instate Travel	20,628	-	-	-	-	-	20,628
Office Expenses	95,313	-	-	-	-	-	95,313
Data Processing	8,039	-	-	-	-	-	8,039
Medical Services and Supplies	1,214,883	-	-	-	-	-	1,214,883
Other Services and Supplies	15,929	-	-	-	-	-	15,929
Expendable Prop 250 - 5000	476,186	-	-	-	-	-	476,186
IT Expendable Property	181,103	-	-	-	-	-	181,103
<b>Total Services &amp; Supplies</b>	<b>\$2,012,081</b>	-	-	-	-	-	<b>\$2,012,081</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 040 - Mandated Caseload

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Expenditures</b>							
Total Expenditures	4,515,696	-	-	-	-	-	4,515,696
<b>Total Expenditures</b>	<b>\$4,515,696</b>	-	-	-	-	-	<b>\$4,515,696</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							25
<b>Total Positions</b>	-	-	-	-	-	-	<b>25</b>
<b>Total FTE</b>							
Total FTE							13.51
<b>Total FTE</b>	-	-	-	-	-	-	<b>13.51</b>

# BUDGET NARRATIVE

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## Health Services Division

### 050 Fund Shifts

#### Package Description

##### Purpose

This package requests the transfer of revenues and expenditures between fund types. The package should be net zero in total fund costs.

##### How Achieved

This package reverses the actions taken in the 2011-13 biennium by shifting \$315,362 from Federal Funds back to General Fund. Due to the annual federal grants received from the State Criminal Alien Assistance Program (SCAAP) during 2011-13, the February 2012 Legislative Session increased the Health Services 2011-13 Federal Funds limitation by \$315,362 and reduced the General Fund limitation by the same amount. This was a one-time action that allowed DOC to fully use the SCAAP grants during 2011-13. This package restores the General Fund limitation to its previous level.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Sources

General Fund	\$315,362
Federal Funds	(315,362)

##### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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## Revenue Sources

General Fund	\$315,362
Federal Funds	(315,362)

## Legislatively Adopted Budget

No action taken.

## Staffing Impact

None

## Revenue Sources

General Fund	\$315,362
Federal Funds	(315,362)

## 2015-17 Fiscal Impact

The one-time actions reflected in this package will become a part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 050 - Fundshifts

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	315,362	-	-	-	-	-	315,362
<b>Total Revenues</b>	<b>\$315,362</b>	-	-	-	-	-	<b>\$315,362</b>
<b>Services &amp; Supplies</b>							
Medical Services and Supplies	315,362	-	-	(315,362)	-	-	-
<b>Total Services &amp; Supplies</b>	<b>\$315,362</b>	-	-	<b>(\$315,362)</b>	-	-	-
<b>Total Expenditures</b>							
Total Expenditures	315,362	-	-	(315,362)	-	-	-
<b>Total Expenditures</b>	<b>\$315,362</b>	-	-	<b>(\$315,362)</b>	-	-	-
<b>Ending Balance</b>							
Ending Balance	-	-	-	315,362	-	-	315,362
<b>Total Ending Balance</b>	-	-	-	<b>\$315,362</b>	-	-	<b>\$315,362</b>

# BUDGET NARRATIVE

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## Health Services Division

### 060 Technical Adjustments

#### Package Description

##### Purpose

This package is used for technical budget adjustments such as agency reorganizations and expenditure category budget shifts that do not meet the criteria of the other essential packages.

##### How Achieved

During 2011-13, DOC completed an agency wide reorganization that included eliminating the Transitional Services Division and the Public Services Division while creating a new Offender Management and Rehabilitation Division.

This package includes centralizing the building operating costs within DOC by transferring \$134,562 in Facilities Rent and \$51,230 in Fuels and Utilities budget from the Health Services Division to the Central Administration Division. All of these transfers net to zero for the agency.

#### Agency Request Budget

##### Staffing Impact

None

##### Revenue Source

General Fund (\$185,792)

#### Governor's Balanced Budget

The Governor did not make any changes to this package.

##### Staffing Impact

None

# BUDGET NARRATIVE

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**Revenue Source**

General Fund (\$185,792)

**Legislatively Adopted Budget**

No action taken.

**Staffing Impact**

None

**Revenue Source**

General Fund (\$185,792)

**2015-17 Fiscal Impact**

The actions included in this package will become a part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 060 - Technical Adjustments

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(185,792)	-	-	-	-	-	(185,792)
<b>Total Revenues</b>	<b>(\$185,792)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$185,792)</b>
<b>Services &amp; Supplies</b>							
Facilities Rental and Taxes	(134,562)	-	-	-	-	-	(134,562)
Fuels and Utilities	(51,230)	-	-	-	-	-	(51,230)
<b>Total Services &amp; Supplies</b>	<b>(\$185,792)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$185,792)</b>
<b>Total Expenditures</b>							
Total Expenditures	(185,792)	-	-	-	-	-	(185,792)
<b>Total Expenditures</b>	<b>(\$185,792)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$185,792)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Health Services Division

### 082 May 2012 E-Board

#### Package Description

##### Purpose

The 2011-13 DOC Legislatively Approved Budget reflects the Legislatively Adopted Budget (the budget as of the close of the 2011 Legislative Session), plus additional legislative activity that impacts the budget for the remainder of the biennium (i.e. Emergency Boards). The 2013-15 Base Budget only includes changes from Legislative Sessions, Special Sessions and Emergency Boards through February 2012. As a result, any legislative changes to the 2011-13 budget that occur after February 2012 are not included in the base used to build the 2013-15 budget. Instead, these post-February 2012 changes (like the May 2012 Emergency Board and the September 2012 Emergency Board) are introduced into the 2013-15 budget process as packages.

Package 082 was created to memorialize the changes related to the May 2012 Emergency Board in the 2011-13 Legislatively Approved Budget.

##### How Achieved

DOC was asked to share in a statewide budget reduction as a part of the February 2012 Session of nearly \$7.9M. That reduction was taken across several divisions as a mix of Personal Services and Services & Supplies. In the May 2012 Emergency Board, the agency was directed to make specific position reductions (21 positions, 9.71 FTE) as part of the \$7.9M already taken. In essence, this was a rebalance of the initial reduction.

Package 082 makes the position reductions implemented in the 2011-13 biennium permanent in the 2013-15 biennium and beyond. For the Health Services Division, this equates to (3) positions, (2.29) FTE for a total of (\$436,677) General Fund.

#### Legislatively Adopted Budget

##### Staffing Impact

Positions	(3)
FTE	(2.29)

# BUDGET NARRATIVE

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**Revenue Source**

General Fund (\$436,677)

**2015-17 Fiscal Impact**

The adjustments approved in this package will become part of the Base Budget for 2015-17.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 082 - September 2012 E-Board

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(436,677)	-	-	-	-	-	(436,677)
<b>Total Revenues</b>	<b>(\$436,677)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$436,677)</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	(263,525)	-	-	-	-	-	(263,525)
Empl. Rel. Bd. Assessments	(120)	-	-	-	-	-	(120)
Public Employees' Retire Cont	(51,362)	-	-	-	-	-	(51,362)
Social Security Taxes	(20,159)	-	-	-	-	-	(20,159)
Worker's Comp. Assess. (WCD)	(177)	-	-	-	-	-	(177)
Flexible Benefits	(61,056)	-	-	-	-	-	(61,056)
Reconciliation Adjustment	(40,278)	-	-	-	-	-	(40,278)
<b>Total Personal Services</b>	<b>(\$436,677)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$436,677)</b>
<b>Total Expenditures</b>							
Total Expenditures	(436,677)	-	-	-	-	-	(436,677)
<b>Total Expenditures</b>	<b>(\$436,677)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$436,677)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 082 - September 2012 E-Board

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Total Positions</b>							
Total Positions							(3)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(3)</b>
<b>Total FTE</b>							
Total FTE							(2.29)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(2.29)</b>

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
0109001	MMS	X7000	AA PRINCIPAL EXECUTIVE/MANAGER A	1-	1.00-	24.00-	02	3,590.00	86,160- 54,011-				86,160- 54,011-
0500115	MNNNZ	7514	AA CORRECTIONS PHYSICIAN SPECIALI	1-	.29-	6.84-	02	13,334.00	91,205- 24,852-				91,205- 24,852-
0900558	MMS	X0807	AA OFFICE MANAGER 3	1-	1.00-	24.00-	02	3,590.00	86,160- 54,011-				86,160- 54,011-
TOTAL PICS SALARY									263,525-				263,525-
TOTAL PICS OPE									132,874-				132,874-
TOTAL PICS PERSONAL SERVICES =				3-	2.29-	54.84-			396,399-				396,399-

# BUDGET NARRATIVE

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## Health Services Division

### 092 PERS Taxation Policy

#### Package Description

##### Purpose

This package, added by the Governor, proposes limiting tax relief calculations for PERS retirees that are Oregon residents and eliminating the income tax reimbursement payments for out of state PERS retirees who do not pay Oregon income tax. The 2012 legislative session [HB 2456 (2012)] enacted a similar proposal, but that only applied to new retirees. This package extends the cost savings by including existing retirees who live out of state.

##### How Achieved

The savings associated with this proposal are dependent on legislative action.

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	(\$322,280)
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#### Legislatively Adopted Budget

##### Staffing Impact

None

##### Revenue Source

General Fund	(\$322,280)
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# BUDGET NARRATIVE

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## **2015-17 Fiscal Impact**

Legislative action was taken, so this package will become part of the 2015-17 Base Budget as the new rates are implemented.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 092 - PERS Taxation Policy

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(322,280)	-	-	-	-	-	(322,280)
<b>Total Revenues</b>	<b>(\$322,280)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$322,280)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(322,280)	-	-	-	-	-	(322,280)
<b>Total Personal Services</b>	<b>(\$322,280)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$322,280)</b>
<b>Total Expenditures</b>							
Total Expenditures	(322,280)	-	-	-	-	-	(322,280)
<b>Total Expenditures</b>	<b>(\$322,280)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$322,280)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Health Services Division

### 093 Other PERS Adjustments

#### Package Description

##### Purpose

This package, added by the Governor, proposes capping cost-of-living increases to the first \$24,000 of PERS retiree annual pension income.

##### How Achieved

The savings associated with this proposal are dependent on legislative action.

#### Governor's Balanced Budget

##### Staffing Impact

None

##### Revenue Source

General Fund (\$2,580,159)

#### Legislatively Adopted Budget

##### Staffing Impact

None

##### Revenue Source

General Fund (\$2,580,159)

#### 2015-17 Fiscal Impact

Legislative action was taken, so this package will become part of the 2015-17 Base Budget as the new rates are implemented.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 093 - Other PERS Adjustments

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(2,580,159)	-	-	-	-	-	(2,580,159)
<b>Total Revenues</b>	<b>(\$2,580,159)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$2,580,159)</b>
<b>Personal Services</b>							
PERS Policy Adjustment	(2,580,159)	-	-	-	-	-	(2,580,159)
<b>Total Personal Services</b>	<b>(\$2,580,159)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$2,580,159)</b>
<b>Total Expenditures</b>							
Total Expenditures	(2,580,159)	-	-	-	-	-	(2,580,159)
<b>Total Expenditures</b>	<b>(\$2,580,159)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$2,580,159)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

# BUDGET NARRATIVE

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## Health Services

### 105 Electronic Health Records

#### Package Description

##### Purpose

In 2005, the Health Services Division performed a “gap analysis” to determine the estimated costs associated with implementing an Electronic Health Record (EHR). It is the recommendation of that report that the Department of Corrections (DOC) move forward with implementing an EHR. Short-term implementation related complications and loss of productivity are anticipated. However, DOC will reap long-term benefits moving to an EHR. The benefits include increased quality of care for inmates, increased productivity of staff, strong improvements in the delivery of care, and more accurate and readily available data that will have a very positive impact on both the strategic and operational decision making processes both inside and outside DOC.

This analysis considered various aspects of implementation such as DOC’s ability and willingness to assimilate an EHR, the cost of implementation, and DOC’s ability to support an EHR long-term. The 2013-15 lease cost for EHR is estimated at \$2.4 million with additional one-time start-up equipment needs estimated at \$222,500.

##### How Achieved

- An EHR would provide future cost avoidance, slow the rate of growth in staff needed to provide care, and present DOC with efficiencies while improving medical operations in several key areas. An EHR would:
  - **Provide access to information via the Health Information Exchange (HIE)**

HIE is defined as the mobilization of healthcare information electronically across organizations within a region, community, or hospital system. HIE provides the capability to electronically move clinical information among health care information systems while maintaining the meaning of the information being exchanged. HIE systems facilitate the efforts of physicians and clinicians to meet high standards of care through electronic participation in a patient’s continuity of care with multiple providers. Health care provider benefits include reduced expenses associated with the manual printing, scanning, and faxing of documents, as well as the physical mailing of patient charts and records, and phone communication to verify delivery of traditional communications, referrals, and test results. Access to HIE for inmate medical information would be beneficial at intake, during incarceration, and upon release.
  - **Decrease the number of errors in medical charts**

Charting errors can be costly in many ways. The amount of time spent by staff to address and correct errors was measured in the GAP analysis. Other ancillary issues, not measured, included things like incorrect treatments and inmate lawsuits. These ancillary

# BUDGET NARRATIVE

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issues were de-emphasized in the impact study because they were difficult to measure. However, these ancillary issues serve well as additional information to support the need for an EHR. An EHR will address these issues by instituting safeguards, controls, and a more structured workflow to follow when charting.

- **Improve medication distribution process, saving time and reducing inefficiencies**

Proper administration of medications to the inmate population is a time-consuming process. An EHR will address this problem by providing an up to date and on-line Medical Administration Record (MAR). Automating the process of medication administration, and all the record keeping that goes along with it, will increase the efficiency of administering the medications and reduce the likelihood of errors within the process.

- **Improve efficiency of pharmacy and provider interactions**

Inefficiencies surrounding current processes of interacting with pharmacy were measured. Because chart notes and physician orders are hand-written, prescription orders can be misread or incomplete. Because faxed orders are often difficult to read, there are large inefficiencies tracking down correct information or addressing the effects of an incorrect guess. An EHR will address this issue by allowing providers to order medications on-line and submit them directly to the pharmacy through a process that allows for clear legibility and error checking.

- **Decrease amount of inmate transfers due to medical reasons**

Due to the fact that a patients' chart is currently not available at multiple locations in a timely manner, inmates have been transferred from one institution to another in order for the inmate to receive a consult from a particular provider. Some of these trips can be avoided if the consulting physician had more immediate access to the patient chart. An EHR will address this issue by providing on-line access to multiple locations of an inmate chart.

- **Reduce effort required to store and manage paper-based records**

Physical charts must be stored and managed. This requires purging charts to a manageable size and archiving records. An EHR would address this issue by eliminating the need to purge and archive old records.

- **Improve efficiency of telemedicine efforts**

The current inability for a complete medical record to be in more than one place at one time requires an additional effort to ensure telemedicine providers have accurate and up to date chart information during every telemedicine encounter. An EHR will address this issue by eliminating the need to create and maintain duplicate medical records for telemedicine operations.

- **Increase opportunities for data analysis and outcome measurements**

Data analysis and outcome measurement can be very difficult to attain in the current system of paper-based medical records. With

# BUDGET NARRATIVE

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the implementation of an EHR, these types of activities will become feasible for research and analysis, providing the ability to answer a host of questions regarding outcomes.

## Agency Request Budget

### Staffing Impact

None

### Revenue Source

General Fund	\$2,624,992
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## Governor's Balanced Budget

The Governor did not recommend this package.

### Staffing Impact

None

### Revenue Source

General Fund	\$0
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## Legislatively Adopted Budget

No action taken.

### Staffing Impact

None

### Revenue Source

General Fund	\$0
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## 2015-17 Fiscal Impact

This package was denied and will not have an impact in the 2015-17 biennium.

# BUDGET NARRATIVE

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## Health Services Division

### 810 LFO Analyst Adjustments

#### Package Description

##### Purpose

This package, added by the Legislature, includes several cost-savings and technical adjustments.

##### How Achieved

For the Department of Corrections, this package includes adjustments to the agency's primary appropriation bill (HB 5005). Those adjustments include: technical corrections to the caseload reductions made in the Governor's Balanced Budget (GBB), other technical adjustments, changes to caseload resulting from the April 2013 forecast from the Office of Economic Analysis (OEA), technical corrections to the PERS rates for Police & Fire positions in GBB, approved grant limitation, the impacts of HB 2087, Debt Service adjustments, and the agency's share of unspecified reductions to the Public Safety outcome area.

Specific to the Health Services Division, impacts include: Vacancy savings adjustments of (\$3,561,703), PERS policy adjustments of \$231,572, other personal services adjustments of (629,504) and Supplies and Services adjustments of (\$13,312,601) in General Fund. The Oregon Health Network received Federal Funds of \$315,654 to assist in providing telemedicine services.

#### Legislatively Adopted Budget

Package created by the Legislature.

#### Staffing Impact

Positions	0
FTE	(.43)

#### Revenue Source

General Fund	(\$17,272,236)
Other Funds	\$0
Federal Funds	\$315,654

# BUDGET NARRATIVE

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## **2015-17 Fiscal Impact**

Technical corrections to the PERS rates and unspecified reductions will have no impact on the 2015-17 budget. Grant limitation changes will be phased out as grant activity closes in future biennia. HB2087 changes will impact the 2015-17 budget. Caseload changes are subsequently modified in Pkg 820 End of Session Bill and will be modified again through the April 2014 OEA forecast for the 2015-17 budget.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 810 - LFO Analyst Adjustments**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(17,272,236)	-	-	-	-	-	(17,272,236)
Federal Funds	-	-	-	315,654	-	-	315,654
<b>Total Revenues</b>	<b>(\$17,272,236)</b>	<b>-</b>	<b>-</b>	<b>\$315,654</b>	<b>-</b>	<b>-</b>	<b>(\$16,956,582)</b>
<b>Personal Services</b>							
Class/Unclass Sal. and Per Diem	193,095	-	-	-	-	-	193,095
Shift Differential	(3,655)	-	-	-	-	-	(3,655)
All Other Differential	(2,125)	-	-	-	-	-	(2,125)
Empl. Rel. Bd. Assessments	105	-	-	-	-	-	105
Public Employees' Retire Cont	36,508	-	-	-	-	-	36,508
Social Security Taxes	14,330	-	-	-	-	-	14,330
Unemployment Assessments	(334)	-	-	-	-	-	(334)
Worker's Comp. Assess. (WCD)	153	-	-	-	-	-	153
Mass Transit Tax	1,229	-	-	-	-	-	1,229
Flexible Benefits	80,136	-	-	-	-	-	80,136
Vacancy Savings	(3,561,703)	-	-	-	-	-	(3,561,703)
Reconciliation Adjustment	(948,946)	-	-	-	-	-	(948,946)
PERS Policy Adjustment	231,572	-	-	-	-	-	231,572
<b>Total Personal Services</b>	<b>(\$3,959,635)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$3,959,635)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	(4,686)	-	-	-	-	-	(4,686)
Office Expenses	(30,999)	-	-	-	-	-	(30,999)
Telecommunications	-	-	-	315,654	-	-	315,654

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 810 - LFO Analyst Adjustments

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
Data Processing	(183,601)	-	-	-	-	-	(183,601)
Medical Services and Supplies	(12,386,225)	-	-	-	-	-	(12,386,225)
Other Care of Residents and Patients	(36,915)	-	-	-	-	-	(36,915)
Other Services and Supplies	(125,812)	-	-	-	-	-	(125,812)
Expendable Prop 250 - 5000	(414,806)	-	-	-	-	-	(414,806)
IT Expendable Property	(129,557)	-	-	-	-	-	(129,557)
<b>Total Services &amp; Supplies</b>	<b>(\$13,312,601)</b>	<b>-</b>	<b>-</b>	<b>\$315,654</b>	<b>-</b>	<b>-</b>	<b>(\$12,996,947)</b>
<b>Total Expenditures</b>							
Total Expenditures	(17,272,236)	-	-	315,654	-	-	(16,956,582)
<b>Total Expenditures</b>	<b>(\$17,272,236)</b>	<b>-</b>	<b>-</b>	<b>\$315,654</b>	<b>-</b>	<b>-</b>	<b>(\$16,956,582)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Positions</b>							
Total Positions	-	-	-	-	-	-	-
<b>Total Positions</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total FTE</b>							
Total FTE	-	-	-	-	-	-	(0.43)
<b>Total FTE</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(0.43)</b>

POSITION NUMBER	CLASS	COMP	CLASS NAME	POS CNT	FTE	MOS	STEP	RATE	GF SAL/OPE	OF SAL/OPE	FF SAL/OPE	LF SAL/OPE	AF SAL/OPE
1300486	AAONC0107	AA	ADMINISTRATIVE SPECIALIST	1	.88	21.00	02	3,065.00	64,365 44,267				64,365 44,267
1300487	AAONC0107	AA	ADMINISTRATIVE SPECIALIST	1	.88	21.00	02	3,065.00	64,365 44,267				64,365 44,267
1300488	AAONC0107	AA	ADMINISTRATIVE SPECIALIST	1	.88	21.00	02	3,065.00	64,365 44,267				64,365 44,267
TOTAL PICS SALARY									193,095				193,095
TOTAL PICS OPE									132,801				132,801
TOTAL PICS PERSONAL SERVICES =									---	---	---	---	---
									3	2.64	63.00		325,896

# BUDGET NARRATIVE

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## Health Services Division

### 820 End of Session Bill (HB 5008)

#### Package Description

##### Purpose

This package contains the budget impacts specific to HB 5008, the end of session reconciliation bill that modifies the agency's original appropriation bill (HB 5005).

##### How Achieved

The Department of Corrections' budget is impacted by this package through the following actions: the caseload and operational impacts resulting from the passage of HB 3194, a 5% statewide reduction to specific S&S accounts (excluding AG, DAS, Rent, Fuels/Utilities), a 2% supplemental holdback that may be returned mid-biennium, changes to DAS assessments and charges, and community reinvestment actions directed at bolstering community corrections and county jail funding while limiting the growth of the state prison population over the next 5 years.

Specific to the Health Services Division, impacts include: Undistributed Personal Services and Services and Supplies adjustments as part of the 2% reduction of (\$4,292,089), other personal services (\$1,874,114) and supplies and services (\$8,512,170) adjustments related to 5% reduction and caseload impacts. The caseload impacts also resulted in (22) positions and (10.44) FTE adjustments.

#### Legislatively Adopted Budget

Package created by the Legislature.

#### Staffing Impact

Positions	(22)
FTE	(10.44)

#### Revenue Source

General Fund	(\$14,678,373)
Other Funds	\$0

# BUDGET NARRATIVE

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## **2015-17 Fiscal Impact**

The 5% statewide S&S reduction and community reinvestment will carry forward into the 2015-17 base budget. Caseload and DAS assessment changes will be adjusted by future OEA population forecasts and DAS recalculations, respectively. The 2% supplemental holdback will not impact the 2015-17 budget.

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

**Corrections, Dept of**  
**Pkg: 820 - End of Session Bill (HB 5008)**

**Cross Reference Name: Health Services**  
**Cross Reference Number: 29100-010-00-00-00000**

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Revenues</b>							
General Fund Appropriation	(14,678,373)	-	-	-	-	-	(14,678,373)
<b>Total Revenues</b>	<b>(\$14,678,373)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$14,678,373)</b>
<b>Personal Services</b>							
Overtime Payments	(3,950)	-	-	-	-	-	(3,950)
Shift Differential	(4,071)	-	-	-	-	-	(4,071)
All Other Differential	(13,983)	-	-	-	-	-	(13,983)
Public Employees' Retire Cont	(4,289)	-	-	-	-	-	(4,289)
Social Security Taxes	(1,683)	-	-	-	-	-	(1,683)
Unemployment Assessments	(2,171)	-	-	-	-	-	(2,171)
Mass Transit Tax	(4,060)	-	-	-	-	-	(4,060)
Reconciliation Adjustment	(1,839,907)	-	-	-	-	-	(1,839,907)
Undistributed (P.S.)	(2,369,034)	-	-	-	-	-	(2,369,034)
<b>Total Personal Services</b>	<b>(\$4,243,148)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(\$4,243,148)</b>
<b>Services &amp; Supplies</b>							
Instate Travel	(15,939)	-	-	-	-	-	(15,939)
Office Expenses	(78,303)	-	-	-	-	-	(78,303)
Data Processing	(16,757)	-	-	-	-	-	(16,757)
Employee Recruitment and Develop	(74,840)	-	-	-	-	-	(74,840)
Medical Services and Supplies	(7,967,478)	-	-	-	-	-	(7,967,478)
Other Services and Supplies	(43,952)	-	-	-	-	-	(43,952)
Undistributed (S.S.)	(1,923,055)	-	-	-	-	-	(1,923,055)
Expendable Prop 250 - 5000	(259,698)	-	-	-	-	-	(259,698)

**ESSENTIAL AND POLICY PACKAGE FISCAL IMPACT SUMMARY**

Corrections, Dept of  
Pkg: 820 - End of Session Bill (HB 5008)

Cross Reference Name: Health Services  
Cross Reference Number: 29100-010-00-00-00000

<i>Description</i>	General Fund	Lottery Funds	Other Funds	Federal Funds	Nonlimited Other Funds	Nonlimited Federal Funds	All Funds
<b>Services &amp; Supplies</b>							
IT Expendable Property	(55,203)	-	-	-	-	-	(55,203)
<b>Total Services &amp; Supplies</b>	<b>(\$10,435,225)</b>	-	-	-	-	-	<b>(\$10,435,225)</b>
<b>Total Expenditures</b>							
Total Expenditures	(14,678,373)	-	-	-	-	-	(14,678,373)
<b>Total Expenditures</b>	<b>(\$14,678,373)</b>	-	-	-	-	-	<b>(\$14,678,373)</b>
<b>Ending Balance</b>							
Ending Balance	-	-	-	-	-	-	-
<b>Total Ending Balance</b>	-	-	-	-	-	-	-
<b>Total Positions</b>							
Total Positions							(22)
<b>Total Positions</b>	-	-	-	-	-	-	<b>(22)</b>
<b>Total FTE</b>							
Total FTE							(10.44)
<b>Total FTE</b>	-	-	-	-	-	-	<b>(10.44)</b>

**DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE**

Corrections, Dept of  
2013-15 Biennium

Agency Number: 29100  
Cross Reference Number: 29100-010-00-00-00000

<i>Source</i>	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>Other Funds</b>						
Federal Revenues	-	-	-	-	-	252,657
Charges for Services	60	-	-	19,000	19,000	19,000
Fines and Forfeitures	108,145	136,477	136,477	85,000	85,000	85,000
Sales Income	21,471	15,313	15,313	30,000	30,000	30,000
Other Revenues	395,178	335,433	335,433	450,000	450,000	450,000
<b>Total Other Funds</b>	<b>\$524,854</b>	<b>\$487,223</b>	<b>\$487,223</b>	<b>\$584,000</b>	<b>\$584,000</b>	<b>\$836,657</b>
<b>Federal Funds</b>						
Federal Funds	10,827,748	6,001,048	6,316,410	6,316,410	6,316,410	6,632,064
<b>Total Federal Funds</b>	<b>\$10,827,748</b>	<b>\$6,001,048</b>	<b>\$6,316,410</b>	<b>\$6,316,410</b>	<b>\$6,316,410</b>	<b>\$6,632,064</b>

## DETAIL OF LOTTERY FUNDS, OTHER FUNDS, AND FEDERAL FUNDS REVENUE

Source	Fund	ORBITS Revenue Acct	2009-2011 Actual	2011-13 Legislatively Adopted	2011-13 Estimated	2013-15		
						Agency Request	Governor's Balanced	Legislatively Adopted
Charges for Services	Other	0410	\$60	\$0	\$67,438	\$19,000	\$19,000	\$19,000
Restitution for medical services	Other	0505	108,145	136,477	92,837	85,000	85,000	85,000
Sale of photocopies	Other	0705	21,471	15,313	31,302	30,000	30,000	30,000
Personal medical equipment reimbursement	Other	0975	395,178	335,433	510,372	450,000	450,000	427,676
State Criminal Alien Assistance Program (SCAAP) grant	Federal	0995	10,827,748	6,001,048	6,316,410	6,316,410	6,316,410	6,316,410
Oregon Health Network	Federal	0995	0	0	0	0	0	315,654

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**LIMITED BUDGET (Excluding Packages)**

**PERSONAL SERVICES**

General Fund 96,615,966 107,857,672 110,595,103 125,681,905 125,036,090 125,036,090

**SERVICES & SUPPLIES**

General Fund 79,565,750 89,525,703 92,666,626 92,666,626 92,666,626 92,666,626  
 Other Funds 1,081,224 520,070 520,070 520,070 520,070 520,070  
 Federal Funds 7,181,076 6,001,048 6,316,410 6,316,410 6,316,410 6,316,410  
 All Funds 87,828,050 96,046,821 99,503,106 99,503,106 99,503,106 99,503,106

**CAPITAL OUTLAY**

General Fund 82,856 - - - - -

**TOTAL LIMITED BUDGET (Excluding Packages)**

General Fund 176,264,572 197,383,375 203,261,729 218,348,531 217,702,716 217,702,716  
 Other Funds 1,081,224 520,070 520,070 520,070 520,070 520,070  
 Federal Funds 7,181,076 6,001,048 6,316,410 6,316,410 6,316,410 6,316,410  
 All Funds 184,526,872 203,904,493 210,098,209 225,185,011 224,539,196 224,539,196

**AUTHORIZED POSITIONS**

601 559 559 559 559 559

**AUTHORIZED FTE**

528.14 524.72 524.72 539.28 539.28 539.28

**LIMITED BUDGET (Essential Packages)**

**010 NON-PICS PSNL SVC / VACANCY FACTOR**

**PERSONAL SERVICES**

General Fund - - - 356,916 356,088 356,088

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<b>021 PHASE-IN</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	42,748	42,497	42,497
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	202,936	202,936	202,936
<b>022 PHASE-OUT PGM &amp; ONE-TIME COSTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	(13,673)	(13,673)	(13,673)
<b>031 STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	2,228,241	2,228,241	2,228,241
Other Funds	-	-	-	12,482	12,482	12,482
Federal Funds	-	-	-	151,594	151,594	151,594
All Funds	-	-	-	2,392,317	2,392,317	2,392,317
<b>032 ABOVE STANDARD INFLATION</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	1,446,307	1,446,307	1,446,307
Other Funds	-	-	-	8,321	8,321	8,321
Federal Funds	-	-	-	101,063	101,063	101,063
All Funds	-	-	-	1,555,691	1,555,691	1,555,691
<b>033 EXCEPTIONAL INFLATION</b>						

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	8,605,605	8,605,605	8,605,605
Other Funds	-	-	-	20,803	20,803	20,803
All Funds	-	-	-	8,626,408	8,626,408	8,626,408
<b>040 MANDATED CASELOAD</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	3,317,770	2,503,615	2,503,615
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	2,630,369	2,012,081	2,012,081
<b>AUTHORIZED POSITIONS</b>	-	-	-	25	25	25
<b>AUTHORIZED FTE</b>	-	-	-	17.91	13.51	13.51
<b>050 FUNDSHIFTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	315,362	315,362	315,362
Federal Funds	-	-	-	(315,362)	(315,362)	(315,362)
All Funds	-	-	-	-	-	-
<b>060 TECHNICAL ADJUSTMENTS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	(185,792)	(185,792)	(185,792)
<b>TOTAL LIMITED BUDGET (Essential Packages)</b>						
General Fund	-	-	-	18,946,789	17,513,267	17,513,267

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
Other Funds	-	-	-	41,606	41,606	41,606
Federal Funds	-	-	-	(62,705)	(62,705)	(62,705)
All Funds	-	-	-	18,925,690	17,492,168	17,492,168
<b>AUTHORIZED POSITIONS</b>	-	-	-	25	25	25
<b>AUTHORIZED FTE</b>	-	-	-	17.91	13.51	13.51
<b>LIMITED BUDGET (Current Service Level)</b>						
General Fund	176,264,572	197,383,375	203,261,729	237,295,320	235,215,983	235,215,983
Other Funds	1,081,224	520,070	520,070	561,676	561,676	561,676
Federal Funds	7,181,076	6,001,048	6,316,410	6,253,705	6,253,705	6,253,705
All Funds	184,526,872	203,904,493	210,098,209	244,110,701	242,031,364	242,031,364
<b>AUTHORIZED POSITIONS</b>	601	559	559	584	584	584
<b>AUTHORIZED FTE</b>	528.14	524.72	524.72	557.19	552.79	552.79
<b>LIMITED BUDGET (Policy Packages)</b>						
<b>PRIORITY 0</b>						
<b>082 SEPTEMBER 2012 E-BOARD</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	-	(436,677)
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	-	(3)
<b>AUTHORIZED FTE</b>	-	-	-	-	-	(2.29)
<b>092 PERS TAXATION POLICY</b>						
<b>PERSONAL SERVICES</b>						

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
General Fund	-	-	-	-	(322,280)	(322,280)
<b>093 OTHER PERS ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	(2,580,159)	(2,580,159)
<b>105 ELECTRONIC HEALTH RECORDS</b>						
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	2,624,992	-	-
<b>810 LFO ANALYST ADJUSTMENTS</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	-	(3,959,635)
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	-	-	(13,312,601)
Federal Funds	-	-	-	-	-	315,654
All Funds	-	-	-	-	-	(12,996,947)
<b>AUTHORIZED FTE</b>	-	-	-	-	-	(0.43)
<b>820 END OF SESSION BILL (HB 5008)</b>						
<b>PERSONAL SERVICES</b>						
General Fund	-	-	-	-	-	(4,243,148)
<b>SERVICES &amp; SUPPLIES</b>						
General Fund	-	-	-	-	-	(10,435,225)
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	-	(22)

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Description	2009-11 Actuals	2011-13 Leg Adopted Budget	2011-13 Leg Approved Budget	2013-15 Agency Request Budget	2013-15 Governor's Budget	2013-15 Leg Adopted Budget
<b>AUTHORIZED FTE</b>	-	-	-	-	-	(10.44)
<b>TOTAL LIMITED BUDGET (Policy Packages)</b>						
General Fund	-	-	-	2,624,992	(2,902,439)	(35,289,725)
Federal Funds	-	-	-	-	-	315,654
All Funds	-	-	-	2,624,992	(2,902,439)	(34,974,071)
<b>AUTHORIZED POSITIONS</b>	-	-	-	-	-	(25)
<b>AUTHORIZED FTE</b>	-	-	-	-	-	(13.16)
<b>TOTAL LIMITED BUDGET (Including Packages)</b>						
General Fund	176,264,572	197,383,375	203,261,729	239,920,312	232,313,544	199,926,258
Other Funds	1,081,224	520,070	520,070	561,676	561,676	561,676
Federal Funds	7,181,076	6,001,048	6,316,410	6,253,705	6,253,705	6,569,359
All Funds	184,526,872	203,904,493	210,098,209	246,735,693	239,128,925	207,057,293
<b>AUTHORIZED POSITIONS</b>	601	559	559	584	584	559
<b>AUTHORIZED FTE</b>	528.14	524.72	524.72	557.19	552.79	539.63
<b>OPERATING BUDGET</b>						
General Fund	176,264,572	197,383,375	203,261,729	239,920,312	232,313,544	199,926,258
Other Funds	1,081,224	520,070	520,070	561,676	561,676	561,676
Federal Funds	7,181,076	6,001,048	6,316,410	6,253,705	6,253,705	6,569,359
All Funds	184,526,872	203,904,493	210,098,209	246,735,693	239,128,925	207,057,293
<b>AUTHORIZED POSITIONS</b>	601	559	559	584	584	559
<b>AUTHORIZED FTE</b>	528.14	524.72	524.72	557.19	552.79	539.63

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<b>TOTAL BUDGET</b>						
General Fund	176,264,572	197,383,375	203,261,729	239,920,312	232,313,544	199,926,258
Other Funds	1,081,224	520,070	520,070	561,676	561,676	561,676
Federal Funds	7,181,076	6,001,048	6,316,410	6,253,705	6,253,705	6,569,359
All Funds	184,526,872	203,904,493	210,098,209	246,735,693	239,128,925	207,057,293
<b>AUTHORIZED POSITIONS</b>	601	559	559	584	584	559
<b>AUTHORIZED FTE</b>	528.14	524.72	524.72	557.19	552.79	539.63