State Capability Assessment Project

Draft Questions

### SUPPLY CHAIN INTEGRITY AND SECURITY

**Capability Description:** Strengthen the security and resilience of the supply chain.

**Mission Area:** Protection

**Resources Used to Develop Questions:**
- Target Capabilities List (Food and Agriculture Safety and Defense)
- Comprehensive Preparedness Guide 201
- Emergency Management Standard by EMAP

### PLANNING

1. Does your jurisdiction have plans that address supply chain integrity and security? *Answer: radio button, yes/in progress/no*

2. If your jurisdiction does have plans that address supply chain integrity and security, do they:
   *Answer: multi-answer*
   - a. Address vulnerabilities to the supply chain due to exploitation of the system by those seeking to introduce harmful products or materials and disruptions from intentional attacks, accidents, or natural disasters? [National Strategy]
   - b. Protective strategies to defend against supply chain threats? [National Strategy]
   - c. Identify, assess, and prioritize efforts to manage risk to the supply chain? [National Strategy]
   - d. Describe collaboration between public and private entities? [National Strategy]
   - e. Describe how security processes are integrated into supply chain operations? [National Strategy]
   - f. Describe protection strategies for systemic vulnerability to a supply chain disruption? [National Strategy]
   - g. Address food and agriculture supply safety and security? [CPG]
   - h. Address chemical, biological, radiological, nuclear, and high-yield explosive (CBRNE) threats? [CPG]
   - i. Address emergency response to all food operations for retail, food service, mass feeding, and food processing facilities? [TCL]
   - j. Include procedures and programs for responding to a food safety or agricultural disease incident? [TCL]
k. Address coordination with state and federal agencies regarding food or agriculture emergencies? [TCL]

3. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability as it relates to planning? Answer: dropdown, 1 - 10

ORGANIZATION

4. Have you identified primary and supporting agencies responsible for coordinating supply chain integrity and security efforts? Answer: radio button, yes/in progress/no

5. Do you have a mechanism in place to engage local partners in planning for supply chain integrity and security? Answer: radio button, yes/in progress/no

6. Are mutual aid agreements in place to acquire additional resources to aid in community resilience efforts? Answer: radio button, yes/in progress/no

7. Do you have procedures, protocol, or methods in place for: Answer: multi-answer
   a. Promoting the efficient and secure movement of goods? [National Strategy]
   b. Fostering a resilient supply chain? [National Strategy]
   c. Verifying and detecting goods that are not what they are represented to be, are contaminated, or are prohibited? [National Strategy]
   d. Enhancing security of infrastructure and conveyances in order to protect the supply chain and critical nodes? [National Strategy]
   e. Maximizing the flow of legitimate trade? [National Strategy]
   f. Managing and coordinating the epidemiological and food establishment investigations as well as appropriate food and crop control measures in response to a notification of an existing threat of food contamination or crop disease? [TCL]
   g. Surveillance activities of food products, crops, and facilities? [TCL]
   h. Conducting investigations to determine the source(s) of contamination and identify products, crops, and facilities that could be contaminated during a food or agriculture incident? [TCL]
   i. Implementing or supporting food product recalls? [TCL]
   j. Performing emergency assessments of firms that manufacture, prepare, and hold U.S. Department of Agriculture (USDA) and Food and Drug Administration (FDA) regulated commodities? [TCL]
   k. Guiding emergency operations of retail food, wholesale, and processing operations during disasters? [TCL]
   l. Communicating food safety information to regulated facilities during emergencies?
   m. Coordinating, managing, and disseminating public information regarding food and agricultural safety? [TCL]
   n. Properly conduct a coordinated outbreak investigation of food and agricultural incidents? [TCL]
   o. Properly disposing of contaminated food products or diseased crops? [TCL]
   p. Responder safety and health during food and agricultural incidents? [TCL]
8. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability as it relates to organization? Answer: dropdown, 1 - 10

EQUIPMENT

9. Do you have adequate equipment and supplies to implement supply chain integrity and security actions for your jurisdiction? Answer: radio button, yes/in progress/no
10. If not, which of the following equipment and/or associated resources are you most in need of to support supply chain integrity and security actions? Answer: multi-answer
   a. Planning resources
   b. Qualified personnel
   c. Information management tools
   d. Other (note in gaps)

11. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability as it relates to equipment? Answer: dropdown, 1 - 10

TRAINING

12. Have you identified staff and key partners responsible for implementing supply chain integrity and security actions? Answer: radio button, yes/in progress/no
13. Have identified staff been trained on supply chain integrity and security actions? Answer: radio button, within the past year/within the past 2 years/within the past 5 years/no
14. Do you have a sufficient number of field staff or other designated first responders that are appropriately qualified to assist with food and agriculture emergencies (including surveillance and investigation)? [TCL]
15. Do supply chain integrity and security training events include private sector partners? Answer: radio button, yes/in progress/no/not applicable

16. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability as it relates to training? Answer: dropdown, 1 - 10

EXERCISES

17. Has your emergency management organization conducted a disaster mitigation exercise that incorporates elements of supply chain integrity and security? Answer: radio button, yes/in progress/no
18. If your emergency management organization conducted a disaster mitigation exercise that incorporated elements of supply chain integrity and security, when? Answer: radio button, within the past year/within the past 2 years/within the past 5 years/no
19. Has your emergency management organization conducted an exercise on food and agricultural safety and defense? Answer: radio button, yes/in progress/no
20. Has exercising of the supply chain integrity and security capability led to identification of corrective actions in regard to this capability? Please identify in the gaps section of this question. [Source: EMAP] Answer: radio button, yes/in progress/no

21. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability as it relates to exercises? Answer: dropdown, 1 - 10

GLOBAL

22. Based on your responses to the questions above, how would you rate your overall supply chain integrity and security capability? Answer: dropdown, 1 - 10

23. Based on your responses to the questions above, what priority (high, medium, low) would you assign to the supply chain integrity and security capability for your jurisdiction? Answer: radio button, H, M, L

24. Please identify which hazard/threat would most likely tax your ability to perform the supply chain integrity and security capability? Answer: dropdown, hazards

25. Have you identified any planning barriers or do you have any additional notes or comments regarding the supply chain integrity and security capability? Answer: Text