



Service Rate Exceptions for Children's 24-Hour Residential Service Settings

A Guide to
Payment Category Service Rate Exceptions
for the
January 1, 2021
Rate Model Implementation

New Rate Model Introduced
for Children in 24-Hour
Residential Service Settings



Effective January 1, 2021, ODDS will be implementing a new rate model for Children's 24-Hour Residential Service settings. The rates reflect payment categories that are assigned based on the child's Service Group as determined by the Oregon Needs Assessment (ONA) tool.

Based on the ONA Assessment, children and adolescents are placed into a service group corresponding to the child's assessed support needs.

Adolescent 12 – 17		Child 4 – 11	
1	Very Low	3	Very Low to Low
2	Low		
3	Moderate	4	Moderate
4	High		
5	Very High	5	High to Very
5(m)		5(m)	High
5(b)		5(b)	

Adolescents (age 12-17) may be assigned to one of five service groups while children (age 4-11) are assigned amongst three categories.

The Service Groups are then assigned a payment category. Payment categories consider both the Service Group and the capacity of the residential home site:

Children's 24-Hour Residential Service Setting Payment Categories

Category 1

- 1-3 Bed Home
- 4-5 Bed Home

Category 2

- 1-3 Bed Home
- 4-5 Bed Home

Category 3

- 1-3 Bed Home
- 4-5 Bed Home

Category 4

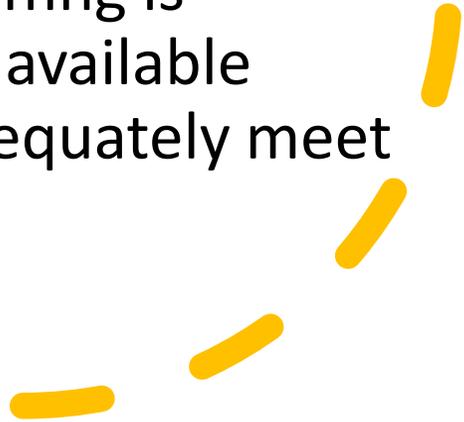
- 1-3 Bed Home
- 4-5 Bed Home

When an exceptional service rate may be appropriate:

An exception may be requested when, due to a child's **individually assessed support needs**, a greater amount of **actively engaged direct support staffing** to provide attendant care than is accounted for in the payment category associated with the child's ONA-determined Service Group is **necessary for basic health and safety**.



The request for additional 1:1 or 2:1 DSP support hours specific to an individual child may be made on the following basis:

- Exceptional behavior support needs;
 - Exceptional medical support needs;
 - The child experiences a situation where actively engaged direct care staffing is needed in an exceptional amount to complete routine ADL/IADL or health-related tasks with or on behalf of the child; and/or
 - The child requires the support of more than one staff simultaneously delivering direct care and, when the need for simultaneous staffing is intermittent, there is no reasonably available resource for staffing flexibility to adequately meet health and safety needs.
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For the request for exceptional funding to address the exceptional support needs of a child, the following criteria apply:

The support need of the child must be:

A specifically identified condition or need for support;

Significant to the extent that without the additional support there is a likely result of serious harm to the child or others or a need for long-term institutionalization such as hospitalization or incarceration;

Expected to last 30 days or longer; and

Unable to be met through available alternative, cost effective services, supports, or natural resources.

What is
Covered in a
24-Hour
Children's
Residential
Setting
Exceptional
Rate Request?

DSP (Direct Support Professionals) staffing hours in an amount necessary beyond resources that are assumed in the payment category service rate assigned to the child.



What may not be requested in an exceptional Children's 24-Hour Residential Setting rate?



no.





ODDS will not grant exceptional rates for 24-hour residential service settings providers for the following:

- Transportation;
 - Home or Vehicle Modifications;
 - Funding for property damage;
 - Funding for equipment, supplies, or furnishing that are the responsibility of the provider or a non-disability specific customary expense incurred as a general part of community living;
 - Funding to replace or supplement room and board or personal funds;
-



ODDS will not grant exceptional rates for 24-hour residential service settings providers for the following:

- Funding for state plan or waiver services not specifically identified as a component of the bundled service rate, such as professional behavior services, direct nursing services, day support activities, and employment services;
 - Funding for services or support needs that may be met by an available alternative resource;
 - Funding for increased staffing for a purpose other than an identified attendant care support necessary to address a significant health or safety risk. Increased funding will not be granted for travel, vacation, or other non-essential activities or excursions;
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ODDS will not grant exceptional rates for 24-hour residential service settings providers for the following:

- Funding to support an individual in a setting that is not Home and Community-Based, including hospitals, nursing care facilities, and school settings;
 - Service funding that is ineligible for Medicaid state plan or waiver match dollars;
 - Temporary or singular events of increased support need lasting less than 30 days in duration; or
 - Funding to address an individual's extended or numerous absences from the home.
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The process for an exceptional service rate request:

Identification that a child's service rate is not adequate to meet the attendant care needs of the child



The child's ISP team evaluates the child's support needs:

- Does the ONA accurately reflect the child's needs?
- What is the specific need for exceptional support?
 - Why is there a need for exceptional support?
- Are there any alternative resources to meet the needs?



Request for Exceptional Rate submitted to ODDS



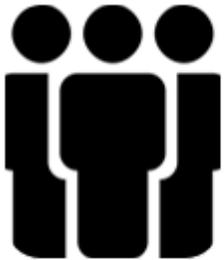
Request is reviewed by the ODDS Residential Exceptional Rate Review Committee



Notification of Exception Rate Request determination issued to child's case managers and service provider

Roles and responsibilities related to the exception request process:

Provider



Make the Request to the ODDS Children's Residential Case Manager;
Provide information, including behavior data tracking and staffing information, necessary for the case manager to complete the exception request

Roles and responsibilities related to the exception request process:

ODDS Children's 24-Hour Residential Case Manager



Coordinate with the child's ISP team to assess the exceptional need for support and consider all resources available to meet the child's needs;

If an exceptional rate request is necessary, the Case Manager will complete and submit the exception request form and required documentation to ODDS

(The case manager will cc: the residential provider on the request submission to ODDS)

Roles and responsibilities related to the exception request process:

ODDS Funding Review Committee



Review the Request;
Make a determination;
Issue written notification to the Case Manager and Provider

Effective Date of
Approved Kids
Residential
Exceptions
Submitted as Part
of the Process of
Transitioning to
the New Rate
Model:

The new exceptional rate, if granted, will be effective upon implementation of the new service rate model for Children's 24-Hour Residential Settings on January 1, 2021.

The Exceptional Rate Request Form

ODDS will be piloting an update to the current DHS 0514DD “Funding Review and Exceptions Request” form.

For the purposes of exceptional service group payment category rate exceptions for Children’s 24-Hour Residential Service settings, the Word format document “Exceptional Payment Category Rate Request- Children’s 24-Hour Residential Service Settings” must be used.



The Exceptional Rate Request Form

The form will be located on the [ODDS Staff Tools](#) page for temporary use specifically for Children's 24-Hour Residential Service setting rate exceptions submitted prior to January 1, 2021 as part of the transition to the new service rates.

Please note: The form for requesting a Children's 24-Hour Residential Rate Exception is not available on ODHS form server.



Submitting the Request for an Exceptional Children's 24-Hour Residential Setting Rate:

The exception request form and required documents shall be submitted to ODDS via secure email at:

ODDS.FundingReview@dhsosha.state.or.us.

Requests for exceptions may be submitted beginning October 15, 2020. Case managers are asked to submit requests for exceptions prior to November 30, 2020 to allow for the ODDS exception review and determination to occur prior to the new rate model implementation on January 1, 2021.



Children's 24-Hour Residential Service Setting Exceptions Timeline:

Begin Submission of Kids'
Residential Exception
Requests

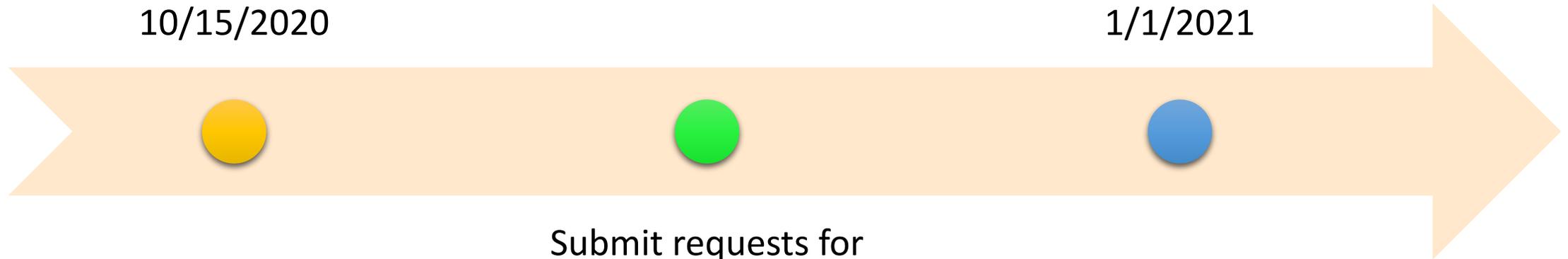
10/15/2020

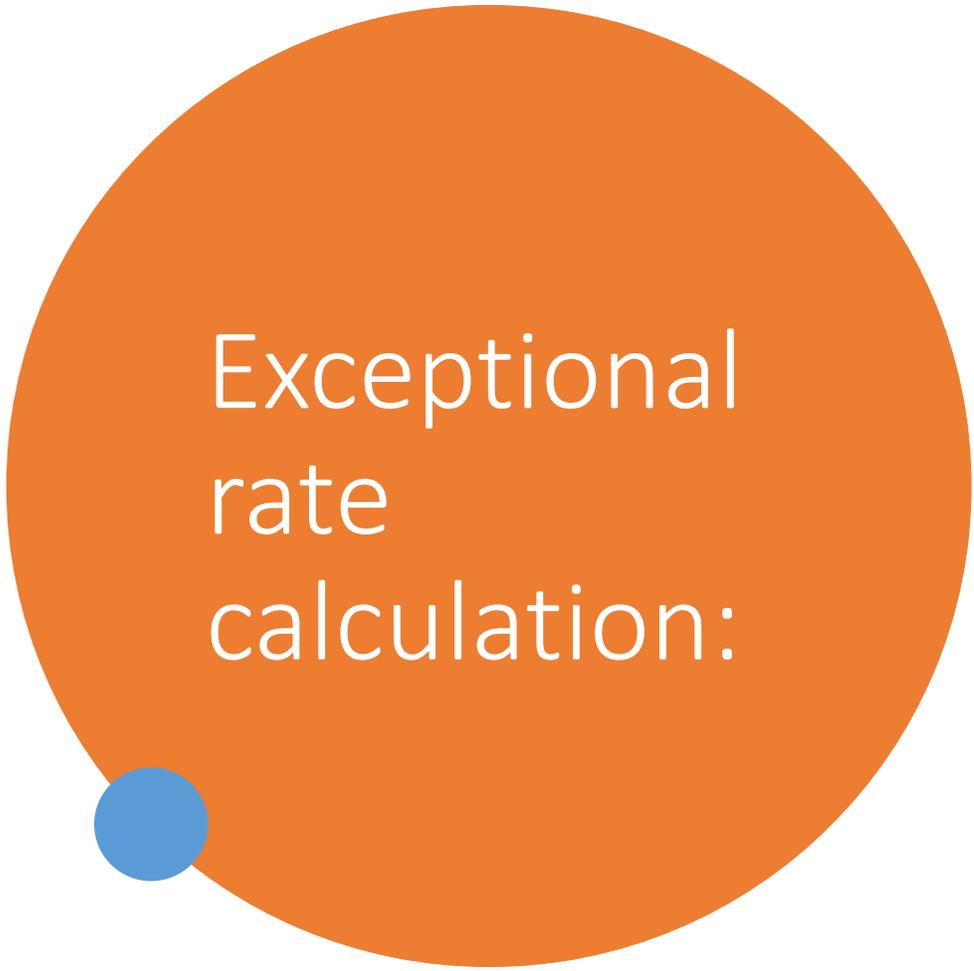
New Kids' Residential
Rates are Implemented

1/1/2021

Submit requests for
exceptions by

11/30/2020





Exceptional rate calculation:

Exceptional rates are based on a child's individual exceptional needs for support.

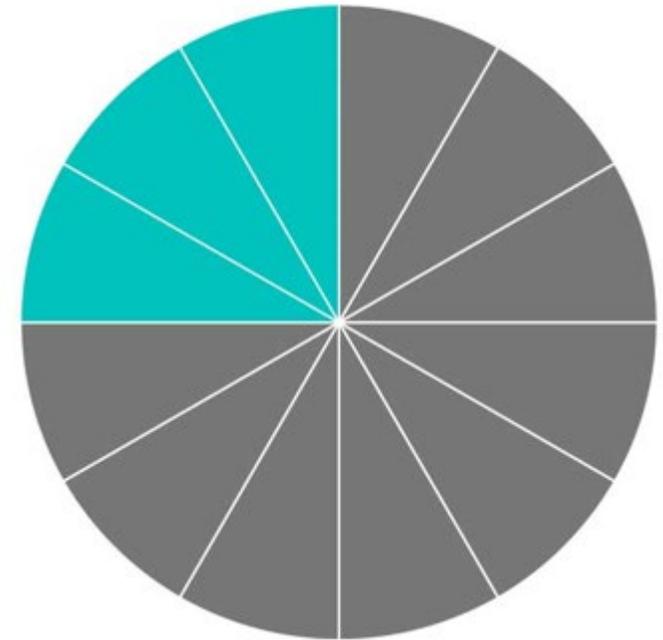
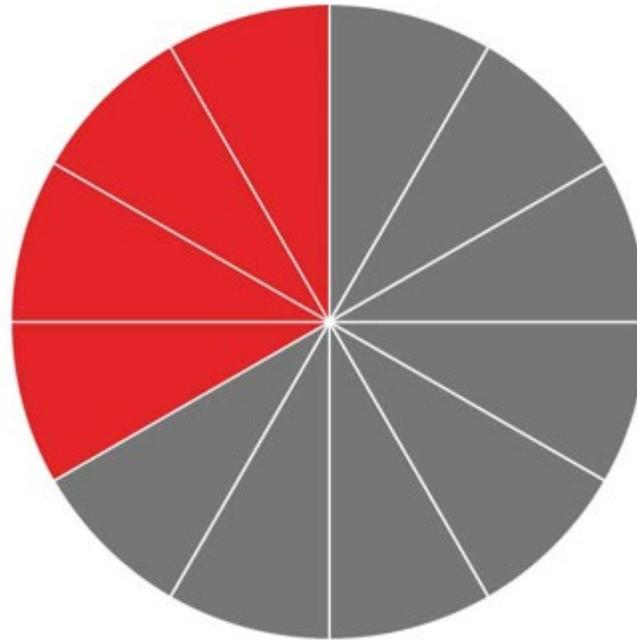
Requests will specifically consider the total amount of actively engaged 1:1 and/or 2:1 DSP hours necessary to address the child's attendant care support needs.

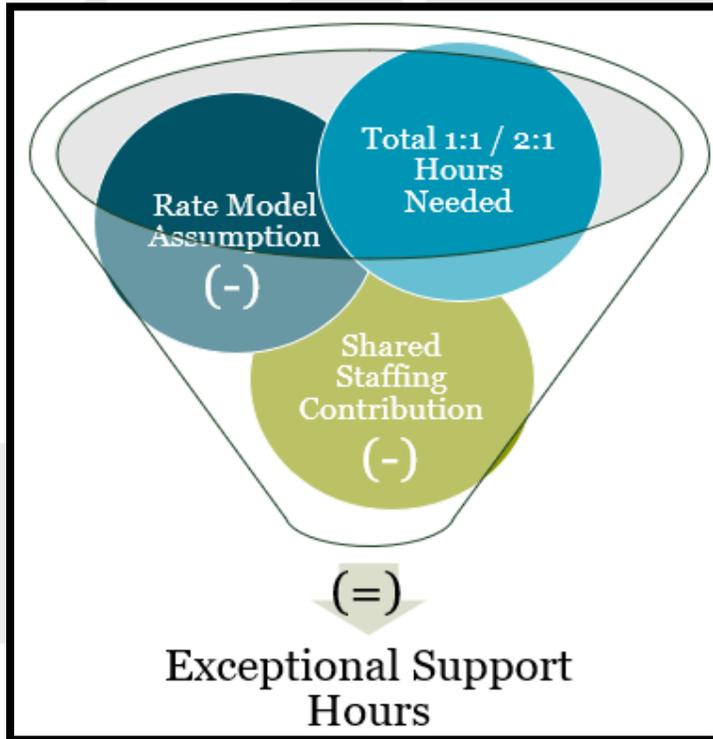
“Actively Engaged” 1:1 or 2:1 hours are:

Times when a DSP is specifically assigned to a child and the child’s need for support is so acute that the staff must be continuously actively physically and/or mentally engaged in providing direct support to the child. The 1:1 or 2:1 may not be assigned any other tasks or responsibilities beyond the active direct caregiving.



The exceptional rate calculator will factor the contribution of shared staffing hours attributed to the child in the rate model assumption. Exceptional rates will calculate the amount of 1:1 and 2:1 DSP hours beyond the shared staffing and 1:1 support hours factored into the rate model assumptions for the child's service group payment category.





The exceptions calculator factors in a shared staffing contribution to determine the amount of additional 1:1 or 2:1 hours in the exceptional service rate



Staffing Patterns

ODDS will request a summary of staffing of the home for information gathering and assessment in making an exceptional rate determination. ODDS will not be granting exceptions based on staffing patterns of a home or operational models adopted by a provider.



Notification, Authorization Period, and Expiration:

ODDS will issue notification of the exceptional rate request determination to the child's ODDS Children's Residential Case Manager and the 24-hour residential service setting provider. The exceptional rate identified in an approved exception request is effective January 1, 2021.

Exceptional rates will be effective until a new ONA assessment is completed by a Certified ONA Assessor, up to a maximum approval period of five years, unless an alternate expiration date is indicated on the notification letter.

Effective Date of Exceptional Rate

Expiration Date of Exceptional Rate

January 1, 2021

Specific
Expiration/Renewal
Date on the Exceptional
Approval Notice

Upon completion of a
new ONA assessment by
a certified ONA assessor

Age Mandated
Assessment at ages: 8,
12, 15, or 18

Change in support needs

Any other reason the
assessment is
completed by a certified
ONA assessor

Exceptional rates must be reviewed by the child's case manager on a minimum annual basis.

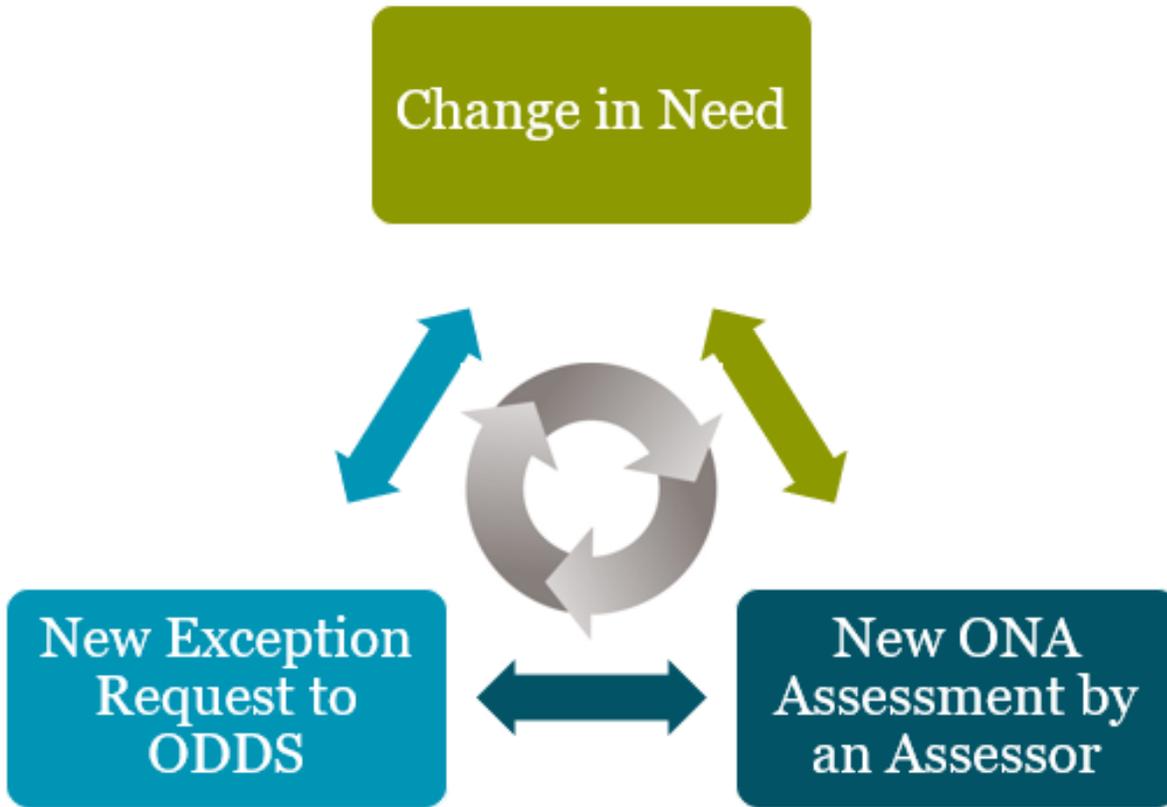
The annual review by the case manager must evaluate if:



The child continues to require the exceptional supports as accounted for in the exceptional service rate



The provider has provided staffing in accordance with the exceptional rate granted by ODDS



Whenever a child experiences a change in support needs, a new ONA assessment must be conducted by a certified ONA assessor- *this includes an increase or decrease in support needs.*

If the child is determined to still require an exceptional rate following the result of a new ONA assessment, a new exceptional rate request must be submitted to ODDS.

If no new request for an exceptional rate is requested following an ONA completed by a certified ONA assessor, the previously granted exceptional rate will expire on the last day of the month following the date the new assessment was completed.

Questions?

Providers

Please contact your ODDS Children's Residential Specialist



ODDS
Children's
Residential
Team

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