#### Comment Index for distinct Comments Received on Draft Proposed Order on Request for Amendment 4 of the Summit Ridge Wind Farm Site Certificate

Table below represents the distinct comments that were received by the Department. These comments were previously e-mailed to the Council in 3 submissions: February 20, 2019; February 22, 2019; and February 27, 2019. They are now combined for your convenience.

#### Please note that ALL comments are provided on the public webpage:

#### https://www.oregon.gov/energy/facilities-safety/facilities/Pages/SRW.aspx

Comment Index				
Date Received	Last Name	First Name	Entity	Notes
1/22/2019	Nauer	Christian	Confederated Tribes of Warms Springs Reservation of Oregon	
1/22/2019	Todd	Judy	Public	
1/29/2019	MacBeth	Carol	Public	
1/30/2019	Atwood	April	Public	*
1/30/2019	Bader	Suzanne	Public	
1/30/2019	Bronsdon	Melinda	Public	
1/30/2019	Dady	Scott	Public	
1/30/2019	Debruler	Chris	Public	*
1/30/2019	Hendricks	Michael	Public	
1/30/2019	Schauer	Diane	Public	*
1/30/2019	Toll	Betsy	Public	
1/30/2019	Wallsmith	Sandy	Public	
2/8/2019	Rising	Jan	Public	
2/11/2019	Moore	Lesley	Public	
2/15/2019	Brems	Richard	Public	*
2/15/2019	Russell	Sally	Public	
2/15/2019	Skeahan	Brian	Community Renewable Energy Association	
2/16/2019	Bedford	Marisa	Public	
2/19/2019	Caswell	Helen	Public	
2/19/2019	Colman-Pinning	John	Public	*
2/19/2019	Farah	Laura	Public; Cascades Raptor Center	*
2/19/2019	Fossum	Linnea	TetraTech; Certificate Holder	
2/19/2019	Gordin	Lawrence	Public	*
2/19/2019	Monico	Diane	Public	

(\*indicates that numerous versions of the comment were submitted)

Comment Index				
Date Received	Last Name	First Name	Entity	Notes
2/19/2019	Paynter	Camilla	Public	*
2/19/2019	Velinty	Jen	Public	
2/20/2019	Fossum	Linnea	TetraTech; Certificate Holder	
2/20/2019	Michalek	David	Public	
2/20/2019	Thies	Dave	Columbia Gorge Audubon Society	
2/21/2019	Cross	Laurie	Public	
2/21/2019	Baker	Nathan	Columbia Gorge, Oregon Wild, Oregon Natural Desert Association, Central Oregon LandWatch, Audubon Society of Portland, East Cascades Audubon Society	Two sets of comments were submitted; two errata sheets were submitted
2/21/2019	Nelson	John	Public	
2/22/2019	Gilbert	Irene	Friends of the Grand Ronde Valley	Written comments submitted at Council meeting; comments include attachments

From:	Christian Nauer <christian.nauer@ctwsbnr.org></christian.nauer@ctwsbnr.org>
Sent:	Tuesday, January 22, 2019 3:31 PM
То:	MAY Luke * ODOE
Cc:	Robert Brunoe
Subject:	Re: Draft Proposed Order issued for the Summit Ridge Wind Farm, Amendment Request
	#4
Attachments:	PastedGraphic-1.pdf

Dear Luke,

Thank you for the opportunity to provide comment on the Draft Proposed Order issued for the Summit Ridge Wind Farm (Amendment Request #4).

**General Comment:** 

As the technical reviewer for NHPA Section 106 and other cultural resource issues for the Confederated Tribes of the Warm Springs Reservation of Oregon (CTWSRO), the CTWSRO Tribal Historic Preservation Office (THPO) has concerns with the potential effects to historic properties or cultural resources within the Project Area of Potential Effects (APE). The Project APE is within the territories and areas of concern for the CTWSRO.

Project-specific Comment(s):

In consideration of the purpose of the Amendment #4 (an extension of the deadlines for beginning or completing construction of the facility) and in light of previous cultural resource compliance efforts (pedestrian survey, Project redesign to avoid archaeological sites, archaeological monitor during construction, etc.), this office has no comments on this Project at this time.

Please keep this office in the loop on this Project (especially including efforts to identify, evaluate, and protect historic properties and cultural resources) and please notify us if any significant changes to the Project scope of work occur. We would appreciate an opportunity to review and comment on the draft of the forthcoming monitoring report. If necessary, this office may be able to recommend trained Tribal monitors for the upcoming construction phase.

Thanks again for your consideration, please contact me if you have any questions.

Christian Nauer, MS

Archaeologist Confederated Tribes of the Warm Springs Reservation of Oregon Branch of Natural Resources

christian.nauer@ctwsbnr.org

Office 541.553.2026 Cell 541.460.8448

Standard Disclaimers:

\*The Confederated Tribes of the Warm Springs Reservation of Oregon have reserved treaty rights in Ceded Lands, as well as Usual and Accustomed and Aboriginal Areas, as set forth through the Treaty with the Middle Tribes of Oregon, June 25, 1855.

\*Please know that review by the Tribal Historic Preservation Office does not constitute Government-to-Government consultation. Please ensure that appropriate Government-to-Government consultation is made with the Confederated Tribes of the Warm Springs Tribal Council.

On Jan 17, 2019, at 10:35 AM, MAY Luke \* ODOE <<u>Luke.May@oregon.gov</u>> wrote:

#### Hi Christian,

I have just added you to the "master contact list" for this project. I apologize for not including you in the previous e-mail recipient list.

#### -Luke

From: MAY Luke \* ODOE
Sent: Thursday, January 17, 2019 10:13 AM
To: 'robert.brunoe@ctwsbnr.org' <robert.brunoe@ctwsbnr.org>; 'roberta.kirk@ctwsbnr.org'
<roberta.kirk@ctwsbnr.org>; 'kathleen.sloan@ctwsbnr.org' <kathleen.sloan@ctwsbnr.org>
Subject: Draft Proposed Order issued for the Summit Ridge Wind Farm, Amendment Request #4

This email serves to inform your Government that on January 10, 2019 the Oregon Department of Energy deemed the certificate holder's Request for Amendment 4 (RFA4) to the Summit Ridge Wind Farm site certificate to be complete. On January 16, 2019 the Department issued its Draft Proposed Order on RFA4.

As background, the Summit Ridge Wind Farm would generate approximately 194 megawatts. The facility is approved for construction in Wasco County, would consist of up to 72 wind turbines, and would be located within a site boundary of approximately 11,000 acres.

The Site Certificate on Amendment 3 mandated that construction begin by August 19, 2018 and be completed by August 19, 2021. The Department received a timely preliminary Request for Amendment 4 (pRFA4) on August 16, 2018, which stays the construction deadline. The pRFA4 requests a 2-year construction deadline extension; the certificate holder requests that it be allowed until August 19, 2020 to begin construction and until August 19, 2023 to complete construction. Please see the link below to the project page on the Oregon Department of Energy website.

#### https://www.oregon.gov/energy/facilities-safety/facilities/Pages/SRW.aspx

Please note that written comments on the amendment request and the Draft Proposed Order must be received by the Oregon Department of Energy **by Monday February 11, 2019 at 5:00 p.m. PDT.** The amendment is being processed under Type B review and therefore does not include a public hearing. Comments must be submitted in writing by mail, email, hand-delivery or fax per below:

Luke May, Siting Analyst Oregon Department of Energy 550 Capitol Street NE, 1<sup>st</sup> Floor Salem, OR 97301 Email: <u>Luke.May@Oregon.gov</u> Fax: 503-373-7806 Thank you,

Luke May Siting Analyst Oregon Department of Energy 550 Capitol St NE, 1<sup>st</sup> Floor Salem, OR 97301 P:(503) 373-7115

Oregon.gov/energy <image001.jpg>

From:	Judy Todd <judy@natureconnectnw.com></judy@natureconnectnw.com>
Sent:	Tuesday, January 22, 2019 4:02 PM
То:	MAY Luke * ODOE
Subject:	Summit Ridge Wind Farm Application and Extension
Attachments:	Summit-Ridge-Map.pdf

Hello Luke May,

I am writing to express my concerns about the State of Oregon giving permission to siting wind turbines along the Deschutes River and nearby Columbia River Gorge to the project known as Summit Ridge Wind Farm. My research shows the owner is, as of a 2017 transfer, Pattern Development, a global corporation dedicated to developing renewable energy and transmission assets. That language is from their website: <u>https://patterndev.com/</u> where it also says they have a long-term commitment to protect the environment. I worry about how they plan to protect the environment they want to impact in Oregon.

From your information at the website: <u>https://www.oregon.gov/energy/facilities-</u> <u>safety/facilities/Facilities%20library/2018-08-17-SRW-pRFA4.pdf</u> it appears the original project's initial deadline was in 2014, five years or more ago. Change of ownership, and at least two requests for extension are a part of the history as I understand this project based on your current online documentation.

What I do not find, in reviewing your documents, including the brightly noted pdf map attached here, is actual, current, scientific ground-work evidence of reviewing the environmental impact of this project now and in the next two years of this project coming online. Based on current and relevant science, global warming and climate change indicators (see IPCC Climate Report 2018, for example) I am aware the environmental changes of the last several years are not necessarily accounted for nor will protections be considered based on changes in the environmental realities of air quality, water quality, habit status and avian flyways now present in the siting area. It is a different environmentally imperiled world and even local region we are in now with more wildfires, species endangerment, ecosystem overuse by humans, warming rivers and decreasing fish runs, and increased air pollutants. This is already of grave concern. How will Summit Ridge Wind Farm affect this? Will it be helpful or harmful?

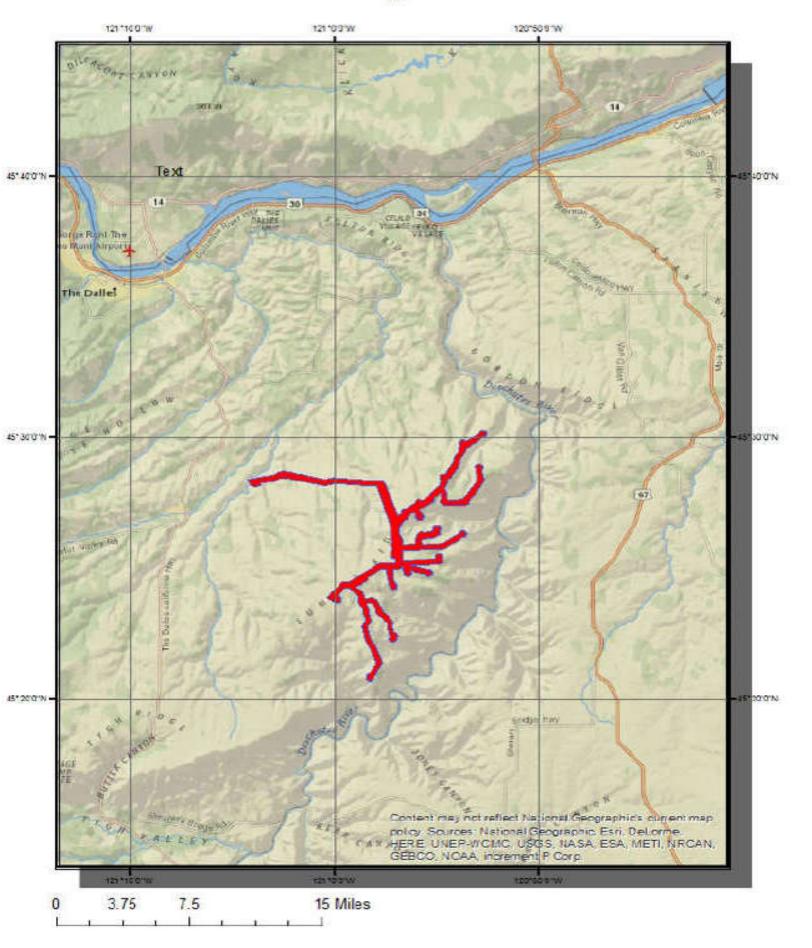
I'd like more assurance that this industrial plan for the greater Wasco County region in my state is actually good for us all, not only for the corporation, Pattern Development. This company has a global footprint in the US, Canada, Mexico, Chile and Japan. What is the environmental standard and the history of that footprint? Where in the review of the request does that enter in? Can you provide additional documentation or information as to whether this is a good project for Oregon now?

Thank you for a response to my query.

Gratefully,

Judy Todd Portland Oregon 503-260-4995 Life-long Oregonian, Member Portland Great Old Broads for Wilderness, Board member Sacred Earth Foundation, Founder NatureConnectNW

# Summit Ridge Wind Farm



2900 NW Melville Drive Bend, Oregon 97703

January 29, 2019

Oregon Energy Facility Siting Council Luke May, Siting Analyst Oregon Department of Energy 550 Capitol St. NE Salem, OR 97301

#### Re: Amendment 4, Summit Ridge Wind Farm

Dear Chair Beyeler and Council Members:

Thank you for the opportunity to comment on the request for another extension on the financially moribund Summit Ridge Wind farm. I respectfully urge you to deny the request for an extension of deadlines for the reasons outlined below.

The applicant has the burden to prove in the present that this project remains good for the community. The distribution of hawks, eagles, and other raptors has changed in the intervening years since the application was submitted. The U.S. Fish and Wildlife Service recommended a large distance, of six miles, between the wind turbines and the nests of golden eagles and bald eagles.



Locating turbines with a map that is wrong because it is out of date, is no different from locating turbines without a map at all. The maps should be updated to reflect changes in distribution of birds. Similarly, technology for protecting birds has changed in the nine years since the study was done, and the technology in the original plan is no longer sufficiently protective.

The mangling and maiming of hawks, bats, and eagles in the blades of turbines can be greatly diminished now. This can be accomplished in a new plan by choosing precise locations

#### 2900 NW Melville Drive Bend, Oregon 97703

based on the latest maps, by changing operations during migration periods, and by using radar to adjust turbine speeds when birds are on the horizon.

I urge the Council to think of one individual bird heading toward one spinning blade near the ground, and how quickly and naturally one would move to save a bird from maiming if one could, to prevent its suffering.

In the same way, I urge you take the action necessary to prevent many birds from being unnecessarily maimed, which is certain to happen, if the maps are not redrawn and new technology required.

The extension should be denied. The applicant has allowed too much time to elapse, and must prove again that everything that can be done, will be done, to ensure this is the best location and size for this project. A new application should be based on the latest maps and incorporate all the technological advances now available to protect the birds.

The applicant does not have a right to move forward, but can only move forward with your approval. The balance that was struck nine years ago is no longer the right balance. Given there is now a way to both accomplish this project and reduce animal suffering, the Council should choose it, and deny the extension.

Thank you for your attention to these views.

Best regards,

/s/ Carol Macbeth

Carol Macbeth Bend, Oregon

## **MCVEIGH-WALKER Chase \* ODOE**

From: Sent: To: Subject: April Atwood <hissrattlesnap@yahoo.com> Wednesday, January 30, 2019 4:44 PM MAY Luke \* ODOE Re: Summit Ridge Wind Farm - Request for Amendment 4

April Atwood 3037 NW 73rd St Seattle, WA 98117

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the site certificate holder (Pattern Development) now seeks yet another extension. Please deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet Pattern admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

Moreover, the raptor survey data for this project is stale and outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform and disclose updated surveys. It is impossible for EFSC and the reviewing public to determine the true, current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds-such as radar technology for curtailing operations during migratory periods-has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

In addition, Pattern Development submitted this request for a third extension under invalid rules. These rules are currently being challenged by nine conservation organizations in the Oregon Supreme Court. The rules are invalid for a number of reasons:

- The rules were not adopted in compliance with the procedural requirements of the Oregon Administrative Procedures Act.

- The rules unlawfully delegate to Oregon Department of Energy staff the authority to decide whether each application will be subject to a public hearing and an opportunity to request a contested case.

- The rules unlawfully alter and constrain the statutorily prescribed judicial review procedures for challenging EFSC decisions.

Pattern should not be allowed to submit an application under these invalid rules.

Even if the new rules were valid, the staff of the Oregon Department of Energy here has reversed its own determination of which review procedures EFSC will use for reviewing Pattern's application. ODOE has now decided that there will no

longer be a public hearing and there will no longer be any opportunity for the public to request a contested case proceeding in this matter. This truncated review severely penalizes interested persons and organizations. For example, it is now impossible for interested parties to participate in a contested case in which they could seek discovery from Pattern regarding the project's impacts, including its impacts to eagles and other raptors. Unfortunately, the new rules do not authorize EFSC to override the ODOE staff's decisions by requiring a public hearing and an opportunity for a contested case. Because ODOE has unfairly limited the scope of the review procedures, EFSC's only option for rejecting ODOE's final determination of the review procedures is to deny the requested extension.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Development desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please don't reward Pattern for its failures to disclose the project's impacts and its delays in proceeding with this project. Please deny the requested third extension.

Sincerely, April Atwood

From:Suzanne Bader <suzbader@gmail.com>Sent:Wednesday, January 30, 2019 8:04 PMTo:MAY Luke \* ODOESubject:Re: Summit Ridge Wind Farm - Request for Amendment 4

Suzanne Bader 5515 SE Knight Street Portland, OR 97206

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. I ask that you deny Pattern Development's request to extend the construction deadline a third time.

Significant time has passed since this project was first approved for construction. Previous extensions have been granted using data from the original application, yet this project is in no way occuring in a static environment.

To fairly and responsibly move forward with this project, unbiased surveys examining the impact on area eagles and raptors must be conducted. Results of these studies and current mitigation technology must be incorporated in new extension requirements.

The validity of the rules under which Pattern Development submitted its current extension request are also being challenged by multiple organizations in the Oregon Supreme Court. The rules do not meet the requirements of the Oregon Administrative Procedures Act and severely curtail the public's ability to participate in the process. ODOE has eliminated the public hearing and the EFSC's ability to request a contested case proceeding. This in effect makes it impossible to discover the true impacts of this project.

This is not good governance for our state. We can and must do better. I ask that you deny Pattern Development's request for a third extension.

Sincerely,

Suzanne Bader

Sincerely, Suzanne Bader

From:	melinda bronsdon <bronson874@aol.com></bronson874@aol.com>
Sent:	Wednesday, January 30, 2019 5:24 PM
То:	MAY Luke * ODOE
Subject:	Re: Summit Ridge Wind Farm - Request for Amendment 4

melinda bronsdon 12229 ne 64th st Kirkland, WA 98033

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the site certificate holder (Pattern Development) now seeks yet another extension. Please deny Pattern's request to extend the deadlines a third time. This project is unnecessary. There is no demand for the power it might generate.

The application in question is outdated and contains information no longer valid.

This project would be a threat to wildlife and the outdoor recreation experience in the Deschutes River gorge. Please deny the application for extension and any further use of said application. Any further action on this matter should start over with new data, new application and new proposals. Please protect our natural heritage for the future.

Sincerely, melinda bronsdon

## **MCVEIGH-WALKER Chase \* ODOE**

From: Sent: To: Subject: Scott Dady <sdady44@yahoo.com> Wednesday, January 30, 2019 6:24 PM MAY Luke \* ODOE Re: Summit Ridge Wind Farm - Request for Amendment 4

Scott Dady 304 Montello Ave Hood River, OR 97031

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

My personal plea as a gorge resident and protector of the outdoors for humans and wildlife is that this proposed project be halted and another extension rejected.

The existing wildlife impact studies are not valid as they were done many years in the past and don't reflect the current situation in the gorge.

The Deschutes River is an amazing beautiful natural area and see that littered with windmills would be a major eyesore for all residents and visitors.

The vast and extensive current range of windmill farms is well to the east of our beautiful Central gorge area and if any new when projects are approved that seems like the appropriate spot.

The eagles nesting at The Dalles dam are a major visitor attraction and also have a very positive economic effect for our local area. Many of us would be devastated to witness the inevitable loss of many of these birds if the wind farm is permitted close to The Dalles.

I as well as some of my co-workers and neighbors are asking you to reconsider any extension, and remand this project to start again from scratch through the approval process.current studies and science are needed to fully understand the potential impact of this project

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the site certificate holder (Pattern Development) now seeks yet another extension. Please deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet Pattern admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

Moreover, the raptor survey data for this project is stale and outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile

buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform and disclose updated surveys. It is impossible for EFSC and the reviewing public to determine the true, current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds-such as radar technology for curtailing operations during migratory periods-has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

In addition, Pattern Development submitted this request for a third extension under invalid rules. These rules are currently being challenged by nine conservation organizations in the Oregon Supreme Court. The rules are invalid for a number of reasons:

- The rules were not adopted in compliance with the procedural requirements of the Oregon Administrative Procedures Act.

- The rules unlawfully delegate to Oregon Department of Energy staff the authority to decide whether each application will be subject to a public hearing and an opportunity to request a contested case.

- The rules unlawfully alter and constrain the statutorily prescribed judicial review procedures for challenging EFSC decisions.

Pattern should not be allowed to submit an application under these invalid rules.

Even if the new rules were valid, the staff of the Oregon Department of Energy here has reversed its own determination of which review procedures EFSC will use for reviewing Pattern's application. ODOE has now decided that there will no longer be a public hearing and there will no longer be any opportunity for the public to request a contested case proceeding in this matter. This truncated review severely penalizes interested persons and organizations. For example, it is now impossible for interested parties to participate in a contested case in which they could seek discovery from Pattern regarding the project's impacts, including its impacts to eagles and other raptors. Unfortunately, the new rules do not authorize EFSC to override the ODOE staff's decisions by requiring a public hearing and an opportunity for a contested case. Because ODOE has unfairly limited the scope of the review procedures, EFSC's only option for rejecting ODOE's final determination of the review procedures is to deny the requested extension.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Development desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please don't reward Pattern for its failures to disclose the project's impacts and its delays in proceeding with this project. Please deny the requested third extension

Sincerely, Scott Dady

From:Chris DeBruler <ososister@aol.com>Sent:Wednesday, January 30, 2019 12:01 PMTo:MAY Luke \* ODOESubject:Re: Summit Ridge Wind Farm - Request for Amendment 4

Chris DeBruler PO BOX 1262 Hood River, OR 97031

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Please deny Pattern's request to extend the deadlines a third time.

The raptor survey data for this project is stale and outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform and disclose updated surveys. It is impossible for EFSC and the reviewing public to determine the true, current impacts of the project on eagles and other raptors.

Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

Pattern Development submitted this third extension under invalid rules. These rules are currently being challenged by nine conservation organizations in the Oregon Supreme Court. The rules are invalid for a number of reasons:

- The rules were not adopted in compliance with the procedural requirements of the Oregon Administrative Procedures Act.

- The rules unlawfully delegate to Oregon Department of Energy staff the authority to decide whether each application will be subject to a public hearing and an opportunity to request a contested case.

- The rules unlawfully alter and constrain the statutorily prescribed judicial review procedures for challenging EFSC decisions.

Pattern should not be allowed to submit an application under these invalid rules.

Even if the new rules were valid, the staff of the Oregon Department of Energy here has reversed its own determination of which review procedures EFSC will use for reviewing Pattern's application. ODOE has now decided that there will no longer be a public hearing and there will no longer be any opportunity for the public to request a contested case proceeding in this matter!!! If Pattern Development desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts.

Please don't reward Pattern for its failures to disclose the project's impacts and its delays in proceeding with this project. Please deny the requested third extension.

Sincerely, Chris DeBruler

From:	Michael Hendricks <mikenewskin@gmail.com></mikenewskin@gmail.com>
Sent:	Wednesday, January 30, 2019 8:44 PM
То:	MAY Luke * ODOE
Subject:	Re: Summit Ridge Wind Farm - Request for Amendment 4

Michael Hendricks PO Box 920 Hood River, OR 97031

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

Short and sweet: The middle word in "National Scenic Area" is scenic, and that means no visible wind turbines. Please don't allow them to pollute our area visually.

Thank you.

Best, Mike Hendricks

Sincerely, Michael Hendricks

## **MCVEIGH-WALKER Chase \* ODOE**

From: Sent: To: Subject: Diane Schauer <schauerbj@comcast.net> Wednesday, January 30, 2019 3:24 PM MAY Luke \* ODOE Re: Summit Ridge Wind Farm - Request for Amendment 4

Diane Schauer 3958 SW 58th Dr Portland, OR 97221-1211

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Although construction deadlines for this project have been extended twice, the site certificate holder, Pattern Development, seeks yet another extension. Please deny Pattern's request to extend the deadlines a third time.

Because of outdated surveys, it is impossible to determine the true, current impacts of the project on eagles and other raptors. A current survey using updated technological methodology should be made.

Pattern Development submitted a request for a third extension under invalid rules.

- The rules are not in compliance with the procedural requirements of the Oregon Administrative Procedures Act.

- The rules unlawfully delegate to ODOE staff the authority to decide whether each application will be subject to a public hearing and an opportunity to request a contested case.

- The rules unlawfully alter and constrain the statutorily prescribed judicial review procedures for challenging EFSC decisions.

Because ODOE has unfairly limited the scope of the review procedures, EFSC's only option for rejecting ODOE's final determination of the review procedures is to deny the requested extension.

Please don't reward Pattern for its failures to disclose the project's impacts and its delays in proceeding with this project. Please deny the requested third extension.

Sincerely, Diane Schauer

From:Betsy Toll <betsy.toll@gmail.com>Sent:Wednesday, January 30, 2019 12:11 PMTo:MAY Luke \* ODOESubject:Re: Summit Ridge Wind Farm - Request for Amendment 4

Betsy Toll 3841 SE 51st Ave. Portland, OR 97206

January 30, 2019

Dear Luke May,

Dear Chair Beyeler and Members of OEFS Council:

I am writing to regarding Amendment #4 to the site certificate for the Summit Ridge Wind Farm. After nearly ten years of dawdling, the site certificate holder (Pattern Development) now seeks another extension.

I urge you to deny this request for several reasons. If Summit Ridge actually had a viable, worthwhile project, why the delay in even beginning construction? Pattern has not been able to find a buyer for the power, or obtain financing, or enter into any construction contracts for the project, even with two previous extensions.

If Summit Ridge wants to build the project after stalling for ten years, they should scrap this old and outdated proposal and resubmit a new application that takes into responsible account the recognized impacts to bald and golden eagles, other wildlife, and scenic views.

The U.S. Fish and Wildlife Service earlier recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now wants to extend their construction deadlines yet again, but hasn't performed and presented updated surveys.

It's pretty clear that Pattern had almost ten years to pull their project together and they failed! What don't they understand about that? If they still think they can come up with viable development of this project, they need to file a new application with new plans, data on impacts, and outlines for their success in meeting requirements and goals.

In other words, it's a new game and if they still want to move ahead with a similar project, they need to start clean with a new application that includes current data on the project's plans and impacts.

Sincerely, Betsy Toll

#### Subject:

FW: Summit Ridge Wind Project

-----Original Message-----From: Sandra Wallsmith [mailto:silverpixels@gmail.com] Sent: Wednesday, January 30, 2019 10:51 AM To: Energyweb Incoming \* ODOE <<u>Energyweb.Incoming@oregon.gov</u>> Subject: Summit Ridge Wind Project

To Whom It May Concern:

I am very concerned about the Summit Ridge Wind Project. I know that it will affect Eagle habitat and also be very intrusive in the Columbia Gorge National Scenic Area. I'm all for renewable energy, but I think we need to examine at what cost to our way of life and to our state's scenic treasures. Thank goodness the eagle is no longer listed as endangered, but that doesn't mean that we shouldn't be concerned about keeping it protected.

Thanks, Sandy Wallsmith

From:	Jan Rising <janrising36@gmail.com></janrising36@gmail.com>
Sent:	Friday, February 08, 2019 3:39 PM
То:	MAY Luke * ODOE
Cc:	Jan Rising
Subject:	Summit Ridge Wind Farm extension request

Oregon Energy Facility Siting Council c/o Luke May, Siting Analyst Oregon Department of Energy 550 Capitol St. NE Salem, OR 97301 luke.may@oregon.gov

# **Re:** Summit Ridge Wind Farm – Request for Amendment 4

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request by Pattern Energy for an Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Pattern Energy is requesting a 3rd extension for construction of this wind farm and I am asking you to deny their request to extend the deadlines a third time.

More than nine years ago Pattern Energy filed the preliminary application. Seven years ago it was approved. Pattern Energy admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions. These issues make me think Summit Wind Farm is not a good project for alternate power generation.

As a birder and long time member of East Cascades Audubon Society, I am especially concerned that the raptor survey data for this project is outdated. The project site was last surveyed for bald and golden eagles eight or nine years ago and for other raptors at least 4 years ago. I understand that the U.S. Fish and Wildlife Service is concerned about this project's impacts on raptors and other wildlife and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern has failed to perform and disclose updated surveys. Without updated surveys, EFSC and the reviewing public are not able to determine the true, current impacts of the project on eagles and other raptors. Technology for mitigating harm to birds—such as radar technology for halting operations during migratory periods—has changed in the nine years since this project was first proposed. Pattern has not disclosed the project's true impacts, nor explored suitable mitigation of these impacts, therefore a third extension is inappropriate and should be denied.

In addition, Pattern Development submitted their 3rd extension request under invalid rules which are being challenged by nine conservation organizations in the Oregon Supreme Court. Pattern should not be allowed to submit an application for extension.

Pattern has failed to explain why they have delayed development of this wind farm. Please deny their requested third extension.

Sincerely, Jan Rising 3331 NE Stonebrook Loop Bend, OR 97701

From:	Lesley Moore <lmooredmd@gmail.com></lmooredmd@gmail.com>
Sent:	Monday, February 11, 2019 10:22 AM
То:	MAY Luke * ODOE
Subject:	Comment on Summit Ridge Wind Farm

#### Dear Sir, I am against the approval of this project.

I am a frequent traveler of the Hwy 97 corridor and have witnessed the proliferation of wind turbines in eastern Oregon over the years. It saddens me that our scenic rural areas are being lost to this eye sore. While I am all for alternative clean energy, I am strongly against the corporate owned mass production model that has developed and is being passed off as "green energy" when in fact it destroys and degrades the natural areas in which it is placed. Green energy can be provided readily in the environment in which it is used, homes and businesses, with solar panels and small scale turbines.

It's terrible enough that this project was approved in the first place. It would be a travesty to allow it to continue now especially when vast wind turbine fields already exist in eastern Oregon.

Sincerely Lesley Moore Oregon resident from 1984-1999 1890 Youd Rd Winton, CA

From:Richard Brems <bremshu@comcast.net>Sent:Friday, February 15, 2019 11:36 AMTo:MAY Luke \* ODOESubject:Re: Summit Ridge Wind Farm - Request for Amendment 4

Richard Brems 20300 NE 16th St Camas, WA 98607

February 15, 2019

Dear Chair Beyeler and Members of the Council,

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the site certificate holder (Pattern Energy) now seeks yet another extension. Please deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet Pattern admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

Moreover, the raptor survey data for this project is stale and outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform and disclose updated surveys. It is impossible for EFSC and the reviewing public to determine the true, current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds-such as radar technology for curtailing operations during migratory periods-has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

In addition, Pattern Energy submitted this request for a third extension under invalid rules. These rules are currently being challenged by nine conservation organizations in the Oregon Supreme Court. The rules are invalid for a number of reasons, including because the rules were not adopted in compliance with the procedural requirements of the Oregon Administrative Procedures Act. Pattern should not be allowed to submit an application under these invalid rules.

Moreover, even if Pattern's application could somehow be retroactively processed under the old rules for proposed amendments to site certificates, those rules required Pattern to submit its application at least six months before the construction start deadline. Here, Pattern waited until three days before the deadline to submit its application and has failed to demonstrate good cause for its delay.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please don't reward Pattern for its failures to disclose the project's impacts and its delays in proceeding with this project. Please deny the requested third extension.

Sincerely, Richard Brems

From:	Sally Russell <sallyrussell@me.com></sallyrussell@me.com>
Sent:	Friday, February 15, 2019 8:42 PM
То:	MAY Luke * ODOE
Subject:	Re: Summit Ridge Wind Farm - Request for Amendment 4

Sally Russell 442 NW State Street Bend, OR 97703

February 15, 2019

Dear Chair Beyeler and Members of the Council,

As someone who has long been focussed on protecting the Columbia River Gorge Scenic qualities and wildlife, I strongly oppose any deadline application extension for the Summit Ridge Wind Farm.

Please deny the requested third extension.

Sincerely, Sally Russell

From:	Brian Skeahan <brian.skeahan@community-renewables.org></brian.skeahan@community-renewables.org>
Sent:	Friday, February 15, 2019 3:24 PM
То:	MAY Luke * ODOE
Subject:	Letter of support for Summit Ridge Amendment
Attachments:	Summit Ridge Amendment Support.pdf

Luke

Please find attached this letter of support for the Pattern Energy / Summit Ridge wind project's request for approval of amendment 4.

If you have any questions please let me know.

Thanks Brian Skeahan



February 16, 2019

Luke May Siting Analyst Oregon Department of Energy 550 Capitol St NE, 1stFloor Salem, OR 97301 Subject: Summit Ridge Windfarm

Dear Mr. May,

The Community Renewable Energy Association (CREA) understands that Pattern Energy has before the Oregon Energy Facilities Siting Council (EFSC) a proposed amendment which requests that the construction deadlines of the proposed Summit Ridge wind project be extended by two years. Specifically the amendment requests that the construction commencement deadline be extended to August 19, 2020 and that the construction completion deadline be extended to August 19, 2023. CREA is pleased to submit these comments supporting the staff recommendation to approve Pattern's requested amendment.

CREA is an ORS 190 intergovernmental association established in 2007. Members include counties, irrigation districts, councils of government, project developers, for-profit businesses and non-profit organizations. CREA supports business and economic opportunities through renewable energy development in a competitive environment. We support use of free enterprise principles to create economically and environmentally responsible electric generation within the State of Oregon. Our headquarters is located in The Dalles.

CREA is aware that EFSC's involvement with this project reaches back to 2011 site certificate, with several amendments since that time. Given CREA's work we are not surprised to see it has taken some time for the project to reach construction nor to see other changes in the project reflected in earlier amendments. This history is commensurate with CREA's knowledge of how the industry has evolved since 2011. As you are undoubtedly aware, after a period of considerable construction activity in the early to mid '00s' the wind industry experienced something of a lull in construction activity. Recently we have seen renewed interest in activity within CREA's membership, including the Montague project in Gilliam, the Golden Hills project in Sherman, and the just announced Wheatridge project in Morrow County. Based on our experience these developments are being driven not just as a function of traditional utility procurement but also in the new emerging direct access market where large electric loads (most commonly data centers) more directly procure the output of renewable energy projects specifically linked to the construction of these loads. While not familiar with Pattern Energy's marketing efforts we are not surprised to see their desire to maintain the viability of this project through the proposed amendment.

CREA notes that Wasco County for the project in their October 17, 2018 letter. CREA appreciates the opportunity to join them in support of Amendment 4 extending the construction dates for the Summit Ridge project.

Sincerely

Brian Skeahan Executive Director

From:	marisa bedford <mcianlaf@gmail.com></mcianlaf@gmail.com>
Sent:	Saturday, February 16, 2019 8:59 AM
То:	MAY Luke * ODOE
Subject:	Summit Ridge Wind Farm extension

Dear Mr May,

Please count me as one strongly opposed to extending Summit Ridge Wind's request for a two year extension of their current certificate. The certificate holder has had (ten?) years to follow through and has failed to do so.

Whether or not we agree with the original certificate given, the factors and data considered at that time have changed over the period of ten years. Summit Ridge Wind must be required to initiate a new request, not coast on what may have been applicable in the past. Wildlife--eagle and raptors particularly--as well as natural and recreational features preserved in the Columbia Gorge and Deschutes areas are at risk. Let's take this opportunity to re-evaluate with intelligent foresight as opposed to regretful hindsight.

Sincerely,

Marisa Bedford White Salmon WA

From:	HELENJCASWELL@everyactioncustom.com on behalf of Helen Caswell <helenjcaswell@everyactioncustom.com></helenjcaswell@everyactioncustom.com>
Sent:	Tuesday, February 19, 2019 3:35 PM
То:	MAY Luke * ODOE
Subject:	Protect Columbia River Gorge Eagles

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

It's so important that we achieve multiples complementary goals when we work with our unique Oregon landscape. I am concerned about the request for Amendment #4 for the site certificate for the Summit Ridge Wind Farm.

I urge EFSC to deny Pattern's request to extend the deadlines a third time, since the repeated delays to the project suggest that it is poorly conceived.

My special concern is for raptors like eagles, and my understanding is that studies conducted years ago are outdated. While the developer wants an extension of deadlines, it has still not conducted updated surveys of these populations.

Let's develop our energy profile while also protecting important raptor species. This project should be halted immediately.

Thank you,

Sincerely, MS. Helen Caswell 4190 12th St SE Salem, OR 97302-1873 HELENJCASWELL@GMAIL.COM

From:	waldenport@everyactioncustom.com on behalf of John Colman-Pinning <waldenport@everyactioncustom.com></waldenport@everyactioncustom.com>
Sent:	Tuesday, February 19, 2019 12:03 PM
То:	MAY Luke * ODOE
Subject:	Protect Columbia River Gorge Eagles

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the developer (Pattern Development) now seeks yet another extension. I urge EFSC to deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was first approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet the developer admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

I am particularly concerned that the raptor survey data for this project is outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform updated surveys. Without that information it is impossible for EFSC and the interested public to determine the current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds—such as radar technology for curtailing operations during migratory periods—has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

In our desire to reduce carbon emissions, let us not diminish the lives of other creatures.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please deny the requested third extension of time to initiate construction.

Sincerely, Mr. John Colman-Pinning 3315 N Bayview Rd Waldport, OR 97394-9608 waldenport@peak.org

From:	laursfars@everyactioncustom.com on behalf of Laura Farah <laursfars@everyactioncustom.com></laursfars@everyactioncustom.com>
Sent:	Tuesday, February 19, 2019 6:59 PM
То:	MAY Luke * ODOE
Subject:	Protect Eagles in the The Gorge

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

My name is Laura Farah, I work at the Cascades Raptor Center in Eugene, Oregon. As someone who cares deeply about predators and works 40+ hours a week training raptors, providing community education about them, and doing raptor rehabilitation, I am highly concerned with the Summit Ridge's request for another deadline extension for construction in the Columbia River Gorge and Deschutes Canyon.

It is irresponsible for Summit Ridge to try and push forward with construction after sitting inactive on their plans for nearly a decade. The data taken from surveying the land and wildlife is now outdated and therefore not valid to approve their construction plans.

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the developer (Pattern Development) now seeks yet another extension. I urge EFSC to deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was first approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet the developer admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

I am particularly concerned that the raptor survey data for this project is outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform updated surveys. Without that information it is impossible for EFSC and the interested public to determine the current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds—such as radar technology for curtailing operations during migratory periods—has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please deny the requested third extension of time to initiate construction.

Sincerely, Ms. Laura Farah 1878 Lawrence St Eugene, OR 97401-3873 laursfars@gmail.com

#### Subject:

Summit Ridge Wind Farm - Comments on the Draft Proposal Order

From: Fossum, Linnea [mailto:Linnea.Fossum@tetratech.com]
Sent: Tuesday, February 19, 2019 4:49 PM
To: MAY Luke \* ODOE <<u>Luke.May@oregon.gov</u>>
Cc: Kevin Wetzel <<u>Kevin.Wetzel@patternenergy.com</u>>; Adam Cernea Clark <<u>Adam.CerneaClark@patternenergy.com</u>>
Subject: Summit Ridge Wind Farm - Comments on the Draft Proposal Order

#### Luke,

Below please find Pattern's comments on the DPO. Please review and let us know if you would like to discuss any of these further.

- 1. Decommissioning cost estimate (p. 53-55). ODOE unilaterally added nearly \$2.1 million to the cost estimate provided by the certificate holder's engineering team, in the form of 21% to reflect project management, performance bond, and admin costs. These items were already embedded in the original cost estimate and should be removed and the cost estimate reduced back to \$9.874 M. from the current \$12.019 M.
- 2. Compliance with ORS 215.274(4)(a)(A) (p. 41-42). The analysis ignores information provided by the certificate holder regarding technical feasibility which discussed more than the project transmission lines' infeasibility to avoid EFU lands. In addition, Council previously has found (Final Order on Amendment #1, Aug 7 2015, p. 32) that the line met the technical and engineering feasibility criterion 'because the location of the wind power generation on EFU land requires the transmission line to also be located on EFU land'. The existing BPA transmission line is a fixed corridor end point for all alternative transmission line routes and no feasible alternative route exists that can connect the Project's facilities to the BPA transmission line without crossing arable land or EFU land due to the extent of arable lands and EFU lands located in the area between the Project and the BPA transmission line. Therefore there is no technically feasible route that does not cross through EFU land. Although the DPO still arrives at the conclusion that the associated transmission line is 'necessary for public service' under ORS 215.274, the language under this subsection is confusing and inconsistent both with the certificate holder's analysis in RFA 4 and with the Council's prior findings on this topic. Please consider revising the last paragraph of this section (first paragraph on p. 42) to make it consistent with Council's prior finding.
- 3. Pre-construction plant and wildlife surveys; Recommended Amended Condition 10.7 (p. 61). The certificate holder does not object to re-surveying the previously surveyed areas given the fire disturbance that occurred in 2018. However, the modified language is confusing, and it is not clear why the Department is recommending a 400-ft buffer be surveyed in addition to surveying the areas that may be disturbed during construction. There is no biological basis for surveying to 400 feet outside of disturbance areas. The original surveys were conducted within 400 feet of planned preliminary facility locations in order to allow flexibility in the final detailed design, but this is not biologically required or standard practice in order to assess impacts at the time of construction. We request that the relevant original sentence of Condition 10.7 be modified as follows:

"...The certificate holder shall hire a qualified professional biologist to conduct a pre-construction plant and wildlife investigation of all areas that would be disturbed during construction that lie outside of the previously surveyed areas. ..."

4. On p. 5, we believe there is a typo in Footnote 3. The reference should be to ORS 537.545, not ORS 537.535.

### Tetra Tech | Complex World, Clear Solutions™ | Environmental Services Divisions 19803 North Creek Parkway | Bothell, WA 98011 | tetratech.com

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From:	lgordin23@everyactioncustom.com on behalf of Lawrence Gordin <lgordin23 @everyactioncustom.com&gt;</lgordin23 
Sent:	Tuesday, February 19, 2019 4:17 PM
То:	MAY Luke * ODOE
Subject:	Protect Columbia River Gorge Eagles

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. Even though the construction deadlines for this project have already been extended twice, the developer (Pattern Development) now seeks yet another extension. I urge EFSC to deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was first approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet the developer admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

I am particularly concerned that the raptor survey data for this project is outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform updated surveys. Without that information it is impossible for EFSC and the interested public to determine the current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds—such as radar technology for curtailing operations during migratory periods—has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please deny the requested third extension of time to initiate construction.

We need intelligent solutions to climate change while not doing further damage to the environment and wildlife. The most crucial problem we face is climate change. Humans in their blissful denial of climate change are giving lemmings a bad name. We're all on a driverless train speeding down an ever steepening mountain heading toward a cliff.

Or, in actuality, we've already plunged off that cliff and are in free fall. We're enjoying the feeling of weightlessness and the wind in our face like a dog with his head out the car window. No worries till we hit the ground.

From:	dmmonico@everyactioncustom.com on behalf of Diane Monico
	<pre><dmmonico@everyactioncustom.com></dmmonico@everyactioncustom.com></pre>
Sent:	Tuesday, February 19, 2019 4:06 PM
То:	MAY Luke * ODOE
Subject:	Protect Columbia River Gorge Eagles

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm.

I am particularly concerned that the raptor survey data for this project is outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Without that survey information it is impossible for EFSC and the interested public to determine the current impacts of the project on eagles and other birds. In addition, technology for mitigating harm to birds—such as radar technology for curtailing operations during migratory periods—has changed substantially in the nine years since this project was first proposed. Because Pattern Energy has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied.

If Pattern Energy desires to move forward with this controversial project, it should file a new application, complete with current data and information on ways it will mitigate its impact on eagles and other birds.

Sincerely, Ms. Diane Monico PO Box 274 Nehalem, OR 97131-0274 dmmonico@yahoo.com

From:	camilla.nightschool@everyactioncustom.com on behalf of Camilla Paynter <camilla.nightschool@everyactioncustom.com></camilla.nightschool@everyactioncustom.com>
Sent:	Tuesday, February 19, 2019 10:06 PM
То:	MAY Luke * ODOE
Subject:	Summmit Ridge Wind Farm

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

I am writing to comment on the request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. While I am very much in favor of energy alternatives, I feel equally strongly that they must make sense in terms of conservation imperatives. Effects of wind turbines on avian life are well documented, yet this project has from the start failed to offer adequate mitigation and now seeks continued approval for the same plans without updated survey data and without any requirement to implement improved technologies. This is outrageous and the request should be denied.

I write this as someone who is concerned primarily with Oregon's wild life and wild places, what makes Oregon Oregon, some might say. I know others, however, with longstanding and deeply felt connections to the Lower Deschutes recreational areas, and I feel confident in saying, on their behalf, that the Lower Deschutes has suffered enough. Approval of this project would add insult to injury and render the Lower Deschutes Wild and Scenic River neither wild nor scenic.

For these reasons, and for those outlined in the form letter (continued below) with which I wholeheartedly agree, I urge you to deny Pattern Development's third extension request.

Respectfully, Camilla Paynter

Even though the construction deadlines for this project have already been extended twice, the developer (Pattern Development) now seeks yet another extension. I urge EFSC to deny Pattern's request to extend the deadlines a third time.

It has been more than nine years since the preliminary application was filed, and more than seven years since the project was first approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet the developer admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

I am particularly concerned that the raptor survey data for this project is outdated. It has been eight to nine years since the project site and vicinity were last surveyed for bald and golden eagles, and three to four years for other raptors. Previously, the U.S. Fish and Wildlife Service expressed serious concerns about this project's impacts and recommended a six-mile buffer between turbine sites and bald and golden eagle nests. Pattern now asks EFSC to extend the construction deadlines yet again, but has failed to perform updated surveys. Without that information it is impossible for EFSC and the interested public to determine the current impacts of the project on eagles and other raptors. In addition, technology for mitigating harm to birds—such as radar technology for curtailing operations during migratory periods—has changed substantially in the nine years since this project was first proposed. Because Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts, a third extension is inappropriate and should be denied. For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please deny the requested third extension of time to initiate construction.

Sincerely, X Camilla Paynter 896 Troy Rd Oakland, OR 97462-9766 camilla.nightschool@gmail.com

From:	jenvel@everyactioncustom.com on behalf of Jen Velinty
	<jenvel@everyactioncustom.com></jenvel@everyactioncustom.com>
Sent:	Tuesday, February 19, 2019 1:37 PM
То:	MAY Luke * ODOE
Subject:	Columbia River Gorge Eagles must be protected

Dear Siting Analyst Luke May,

Dear Chair Beyeler and Members of the Council:

My comment is on the THIRD request for Amendment #4 to the site certificate for the Summit Ridge Wind Farm. \* My concern is the Council does not not know what it would be voting on because:

\*This project is out of date and needs to be closed until new and current data is provided.

The EFSC would be wise to deny Pattern's request to extend the deadlines a third time.

WHY?

\*The preliminary application was filed almost a decade ago and wind energy technology has changed significantly in that time.

\*The developer admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions.

\*Pattern has failed to perform updated surveys.

\*Pattern has neither disclosed the project's true impacts, nor explored suitable mitigation of these impacts.

\*The raptor survey data for this project is outdated.

\*U.S. Fish and Wildlife Service has several concerns about this project's impacts and has recommended a six-mile buffer between turbine sites and bald and golden eagle nests and additional protections during nesting, during the fledge of young raptors and migration periods.

\*A third extension is inappropriate and should be denied.

Current data is crucial for EFSC and the interested public to determine the current impacts of the project on eagles and other raptors.

For these and other reasons, the requested third extension of the construction deadlines should be denied. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please deny the requested third extension of time to initiate construction.

Sincerely, Ms. Jen Velinty SHERWOOD LP Florence, OR 97439 jenvel@oregonfast.net

#### Subject:

Summit Ridge - Input to public comment responses

From: Fossum, Linnea [mailto:Linnea.Fossum@tetratech.com]
Sent: Wednesday, February 20, 2019 10:55 AM
To: MAY Luke \* ODOE <<u>Luke.May@oregon.gov</u>>
Cc: Adam Cernea Clark <<u>Adam.CerneaClark@patternenergy.com</u>>; Kevin Wetzel <<u>Kevin.Wetzel@patternenergy.com</u>>;
Subject: Summit Ridge - Input to public comment responses

Luke, thank you for providing preliminary comments from the public on the Summit Ridge DPO. The comments so far seem to be very similar to each other, with some common themes concerning visual impacts on the Deschutes River and the Columbia River Gorge National Scenic Area; the time elapsed since the original biological surveys, primarily raptor surveys; and whether or not the current OAR 345 Division 27 amendment rules are valid. We will defer to ODOE on the validity of the amendment rules but Pattern provides the following input on the other two topics.

#### Visual Impacts to the Columbia River Gorge National Scenic Area and Deschutes River

*Columbia River Gorge.* In the Final Order on the Application (August 19, 2011), the Council found that "...by its terms the [Columbia River Gorge National Scenic Area] Management Plan does not apply to development outside the NSA. Thus, neither the [Columbia River Gorge National Scenic Area] Act nor the Management Plan provides a basis for limiting development on private land outside the CRGNSA." The Council found that the applicable standard is whether the facility will have a "significant adverse effect on the existing resources", and subsequently concluded that based on the amount of existing development, viewing distances, and limited opportunities to view turbines, that the "Summit Ridge facility would likely result in minimal impacts, if any, to the CRGNSA" (pp. 115-116). The Final Order on Amendment 1 (pp. 82-83) and the Final Order on Amendment 2 (pp. 134-135) made similar findings on the same basis.

There have been no changes to the facility, to the Management Plan or to the Act that would change the basis for Council's prior analysis and conclusion.

Deschutes River. As stated in the Final Order on the Application, the relevant federal land management plans "...do not purport to regulate development on the project site, which is located some distance away from the designated Lower Deschutes Wild and Scenic River. Similarly, although the area is designated as a State Scenic Waterway pursuant to ORS 390.845, the administrative rules adopted by the Oregon Parks and Recreation Department for the management of State Scenic Waterways protect scenic values 'seen from the waters' or 'visible from the river.' Lands beyond the boundaries of 'related adjacent land' (defined as land within a quartermile of the riverbank), whether or not such land is visible from the river, is outside state management jurisdiction."

The Final Order goes on to state that turbines will generally be subordinate to the surrounding landscape and will not dominate the views, and thus impacts cannot be determined to be "significant" (pp. 117-118). The Final Order on Amendment 1 concludes that "the change in turbine sizes results in a minimal increase to visual impacts" to views from certain points along the Deschutes River (p. 83), but that as modified, "the facility is not likely to result in adverse impacts to the scenic resources and values identified in the Lower Deschutes River Canyon Management Plan and Two Rivers Resource Management Plan" (p. 84). The analysis conducted for Amendment 2 concluded that "The same findings made by the Council in the *Final Order on the Application* would continue to apply to the amended facility, including that... the views of the amended facility from the Deschutes River would be visually subordinate to the surrounding landscape and would not dominate the view." (p. 136).

There have been no changes to the facility or to the relevant management plans and governing regulations that would change the basis for Council's prior analysis or conclusion.

#### **Biological Surveys**

The Site Certificate, including modifications proposed in the DPO, contains numerous conditions requiring updated biological surveys and verification or update to avoidance, minimization, and mitigation measures. In particular, Conditions 10.7, 10.13, and 10.14 require additional field surveys prior to construction for threatened and endangered species, raptor nests, plants, and wildlife. The survey reports must be shared with ODOE and ODFW, and reviewed to determine whether any updates to avoidance/minimization/mitigation measures should be adopted. Additionally, Conditions 10.4 and 10.5 require updates to the Habitat Mitigation Plan and Wildlife Management and Mitigation Plan in consultation with ODFW, and these updated plans must be approved by ODOE prior to construction. Pattern agrees that the original surveys need to be refreshed and will comply with the relevant site certificate conditions to ensure that current conditions are taken into account.

#### Linnea Fossum, PE | Senior Project Manager

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From:	edm_austin@everyactioncustom.com on behalf of David Michalek
	<edm_austin@everyactioncustom.com></edm_austin@everyactioncustom.com>
Sent:	Wednesday, February 20, 2019 4:51 AM
То:	MAY Luke * ODOE
Subject:	Protect Columbia River Gorge Eagles

Dear Siting Analyst Luke May,

Chair Beyeler and Members of the Council:

The the wind projects out of the Gorge.

Deny Pattern's request to extend the deadlines a third time. They must get a new EIS.

Sincerely, Mr. David Michalek 25 Eugene St Hood River, OR 97031-2215 edm\_austin@yahoo.com



SUBMITTED VIA E-MAIL

Feb. 21, 2019

Oregon Energy Facility Siting Council c/o Luke May, Siting Analyst Oregon Department of Energy 550 Capitol St. NE Salem, OR 97301 luke.may@oregon.gov

### Re: Summit Ridge Wind Farm – Request for Amendment 4

Dear Chair Beyeler and Members of the Council:

Friends of the Columbia Gorge, Oregon Wild, the Oregon Natural Desert Association, Central Oregon LandWatch, the Audubon Society of Portland, and the East Cascades Audubon Society (collectively, "Commenters") have reviewed the Request for Amendment 4 ("RFA4" or "Request for Amendment") of the Summit Ridge Wind Farm site certificate, submitted by Summit Ridge Wind, LLC ("Pattern Energy" or "Pattern"),<sup>1</sup> and offer the following comments. In addition, we adopt and incorporate all comments made in the attached comment letter of K.

<sup>&</sup>lt;sup>1</sup> The site certificate holder is Summit Ridge Wind, LLC. According to the Request for Amendment, Summit Ridge Wind, LLC is a wholly owned subsidiary of Pattern Renewables 2 LP, which is a subsidiary of Pattern Energy Group 2 LP. RFA4 at § 1.0.

Shawn Smallwood, Ph.D. For the reasons that follow, we urge the Council to deny the Request for Amendment 4.

Commenters are nonprofit public interest organizations, with more than 54,000 collective members and supporters, with a strong interest in responsible energy generation and the proper implementation of state law governing the approval, construction, and modification of large energy facilities in Oregon generally, and the Summit Ridge project specifically. Commenter Friends of the Columbia Gorge ("Friends") is a nonprofit organization with approximately 7,000 members dedicated to protecting and enhancing the resources of the Columbia River Gorge. Commenter Oregon Wild represents approximately 20,000 members and supporters who share Oregon Wild's mission to protect and restore Oregon's wildlands, wildlife, and waters as an enduring legacy. Commenter Oregon Natural Desert Association ("ONDA") is a nonprofit, public interest organization whose mission is to protect, defend, and restore Oregon's high desert for current and future generations. ONDA represents more than 10,000 members and supporters. Commenter Central Oregon LandWatch is a conservation organization with more than 200 members that has advocated for the preservation of natural resources in Central Oregon for more than 30 years. Commenter Audubon Society of Portland is a nonprofit, public interest organization with more than 17,000 members that works to promote the enjoyment, understanding, and protection of the natural world-particularly native birds and wildlife and their habitat. Commenter East Cascades Audubon Society ("ECAS") is a nonprofit organization with approximately 400 members. ECAS is involved in conservation projects throughout Central Oregon and promotes enjoyment of birds, birdwatching, and habitat improvement.

Commenters note that the "sufficient specificity" standard for raising issues at this stage pursuant to ORS 469.370(3) and OAR 345-027-0067(5)(b) is a *notice* standard, not a strict *preservation* standard. As applied here, interested persons such as Commenters are not required to *preserve* issues during the public hearing—as one would do in a judicial action in the event of appeal. Instead, interested parties are merely required to put the applicant, ODOE, and EFSC *on notice* of potential issues for any contested case. The Oregon Court of Appeals has interpreted statutory language nearly identical to that in ORS 469.370(3) and OAR 345-027-0067(5)(b) to merely require "no more than fair notice" to decision makers and to not involve "strict preservation principles." *Boldt v. Clackamas County*, 107 Or App 619, 623–24, 813 P2d 1078 (1991) (interpreting ORS 197.763(1) (1991)); *see also Lett v. Yamhill County*, 32 Or LUBA 98, 106–07 (1996) (interpreting ORS 197.763(1) (1996)).

Thus, there are a number of ways that issues may be raised at this stage. An interested person may raise an issue by "referr[ing] to the subject matter of the criteria that the ordinance establishes." *Boldt*, 107 Or App at 624. Or a person may raise an issue by citing a statutory or regulatory provision or by discussing its operative terms. *Lett*, 32 Or LUBA at 107. But persons are not required to do all of the above for every issue raised; to impose such a requirement would conflict with the applicable notice standard.

To the extent that the record of this public hearing does not already include all of EFSC's and ODOE's files on the original site certificate for this project as well as Amendments 1

through 3,<sup>2</sup> Commenters hereby designate and incorporate all such materials as part of the record of this hearing.

As will be explained below, many of the problems with Pattern's Request for Amendment 4 involve Pattern's failures to submit materials demonstrating compliance with the applicable approval standards and criteria. In the event Pattern submits new materials (e.g., surveys, data, reports, analyses, argument, evidence, and/or other information) in the future, Commenters reserve the right to challenge the adequacy and compliance of such material.

# 1. Because the Request for Amendment was submitted pursuant to invalid rules, it cannot be processed and must be denied.

Because the Request for Amendment was submitted pursuant to invalid rules, it cannot be processed and must be denied. Commenters Friends, Oregon Wild, ONDA, and six other nonprofit public interest organizations are currently challenging these rules in the Oregon Supreme Court, *Friends of the Columbia Gorge v. EFSC*, SC No. S065478. Commenters hereby adopt all legal arguments, facts, and evidence asserted and/or submitted in that case, including the following:

- Pursuant to ORS 183.400(4)(c), the challenged rules are invalid because they were not adopted in compliance with the rulemaking procedures required by ORS 183.335.
  - EFSC and ODOE violated ORS 183.335(2)(d) by failing to provide the public with copies of the proposed rules that clearly showed all proposed changes.
  - EFSC and ODOE violated ORS 183.335(3)(d) by failing to provide the public with a statement identifying how EFSC and ODOE will subsequently determine whether the rules are in fact accomplishing the stated rulemaking objectives.
  - EFSC and ODOE violated ORS 183.335(3)(e)(C) by failing to respond to Petitioners' comments recommending other options for achieving the substantive goals of the rulemaking.

Because the rules invoked by Pattern's Request for Amendment are invalid, the Request for Amendment is likewise invalid and must be denied. *See Homestyle Direct, LLC v. Dep't of Human Serv.*, 245 Or. App. 598, 263 P.3d 1118 (2011) (state agencies may not enforce invalid rules); *Kessler v. Or. Corr. Div.*, 26 Or App 271, 552 P2d 589 (1976) (agency decision reversed and remanded because it applied invalid rules). In addition, because the Request for Amendment is invalid, the August 18, 2018 deadline for Pattern Energy to commence construction has expired. If Pattern desires to move forward on this project after the many years of delay, it will need to file a new application for a new site certificate. Pattern's Request for Amendment can neither be processed nor approved under invalid rules.

Nor can Pattern's Request for Amendment be processed under the rules that were previously in effect, prior to the invalid rules. Pattern expressly and specifically filed its Request for Amendment under the invalid rules, not the prior rules. *See* Final Request for Amendment at

<sup>&</sup>lt;sup>2</sup> The ODOE Staff Report indicates that "[t]he record [of the public hearing] is based on materials submitted in relation to the application for site certificate, and requests for amendments 1 through 3." Staff Report for Feb. 22, 2019 Council Meeting at 3 n.1.

§ 1.1. The Request for Amendment cannot be retroactively processed under the prior rules, which were not even in effect at the time the Request for Amendment was submitted.

Moreover, even if the prior rules could somehow be applied here, Pattern's Request for Amendment violates the prior rules because the request was submitted only three days prior to the construction start deadline, rather than the six months required under the prior rules. *See* OAR 345-027-0030(1) (2017) ("The certificate holder shall submit a request that includes an explanation of the need for an extension and that conforms to the requirements of 345-027-0060 no later than six months before the date of the applicable deadline, or, if the certificate holder demonstrates good cause for the delay in submitting the request, no later than the applicable deadline."). Nor did Pattern even attempt to explain or demonstrate good cause for its delay in submitting its request, as required by the prior rules. *See id*. For these reasons, the Request for Amendment must be denied, even under the prior rules.

## 2. Pattern has failed to adequately explain or demonstrate any need for the requested third round of two-year extensions.

It has been more than nine years since the preliminary application for this project was filed, and more than seven years since the project was approved. If Summit Ridge were a viable, worthwhile project, construction would have been underway years ago. Yet Pattern admits that it has not been able to find a buyer for the power, obtain financing, or enter into any construction contracts for the project, despite the previous two extensions. *See* RFA4 at § 1.2.

Pattern is now asking the Council for a third round of extensions. Specifically, Pattern requests to extend both the construction start deadline and the construction completion deadline for a third time, each by two years. Because Pattern has failed to adequately explain or demonstrate any need for the requested extensions, they should be denied.

Assuming that the Request for Amendment can be processed under the current rules, these rules require Pattern to provide "an explanation of the need for an extension." OAR 345-027-0085(1). Pattern fails to adequately address this requirement; instead, it has merely provided the following single sentence:

Certificate Holder requests Council approval of an extension of site certificate construction deadlines in order to allow the Project to complete development, including obtaining a power purchase agreement, financing, and construction under the requested timeline.

Preliminary Request for Amendment at § 1.3; *see also* Final Request for Amendment at § 1.2 (same).

This single sentence fails to provide sufficient evidence to demonstrate a need for each of the applicable deadlines to be extended by the requested two years. Pattern has failed to provide any details about how far along it may be in "complet[ing] development," nor any explanation of why Pattern has been unable to "obtain[] a power purchase agreement, financing, and

construction under the requested deadline" to date, nor why it might believe that it will be able to complete these tasks in the future if the requested extensions were granted.

In contrast, when the prior certificate holder in 2014 sought what would become the first of two two-year extensions of the construction deadlines, it provided two pages of details documenting all the work it had done in attempt to procure one or more buyers for the power, including naming six specific potential buyers it had negotiated with, attaching a copy of a letter of intent from one of those potential buyers, and discussing the engineering and procurement work it had authorized for the proposed interconnection substation. Request for Amendment 1 at § 1.2 & Ex. A.

For the requested fourth amendment, no such details or information were provided. It is completely unclear whether Pattern has done *any* work in marketing the project, negotiating with potential buyers, or procuring any letters of intent. The status and projected timelines for financing and construction likewise remain a mystery. Nor does Pattern's Request for Amendment 4 discuss the status of the power market in California (where Pattern is based), which was a focal point for the extensions requested as part of Request for Amendment 1.

In addition, Pattern fails to acknowledge that the prior certificate holder stated on February 11, 2016 that "[w]e fully intend to begin construction on Summit Ridge prior to August 19, 2016, as required by Amendment #1." Request for Amendment 2 at § 1.3. Pattern fails to explain why that statement of intent was not honored, nor why the subsequent second extension of the construction start date (to August 19, 2018) was similarly not met.

Pattern also fails to explain or demonstrate why a third round of extensions is needed, given that two prior rounds of extensions were already sought and granted. "When considering whether to grant a request for amendment for a deadline extension ..., the Council shall consider how many extensions it has previously granted." OAR 345-027-0085(5)(c). Here, two rounds of extensions were already granted. Yet, despite those two extensions, by all outward appearances Pattern appears to be even less ready to proceed with the project than the prior site certificate holder was three years ago. Unlike the prior site certificate holder, Pattern has provided no letters of intent from potential purchasers, nor any details of the expected timeline for the project. The Council should not encourage further delays by granting the requested third round of extensions.

Nor does Pattern demonstrate there is a need to extend *both* the construction start deadline and the construction completion deadline. If the construction start deadline were extended to August 19, 2020, and even if Pattern waited until the day before that deadline to start construction, it would still have an entire year to complete construction (until August 19, 2021), even without any extension of the latter date. Pattern neither explains nor demonstrates why it would need a minimum of three years to complete construction, for a project that should have been constructed years ago.

Under the applicable rules, the Council has authority to approve an extension for up to two years. OAR 345-027-0085(5)(d). In other words, the Council could approve an extension, but for a period of less than two years. Pattern's Request for Amendment 4 fails to demonstrate why it needs full two-year extensions of both the construction start deadline and the construction completion deadline, and why extensions of less than two years would not be sufficient.

In summary, Pattern has utterly failed to explain or demonstrate a need for the requested extensions. Accordingly, Request for Amendment 4 should be denied

### **3.** Pattern has failed to demonstrate compliance with the applicable rules and standards for the protection of wildlife, plants, and habitat.

Pattern has failed to demonstrate compliance with the applicable rules and standards for the protection of wildlife, plants, and habitat. For example, Pattern has failed to provide current information about site conditions sufficient to evaluate the project's actual impacts on birds, other wildlife, plants, and habitat. These failures by Pattern are particularly troubling, given the notorious history of this project and its impacts on wildlife and other resources.

In May 2009, a total of 23 active raptor nests and 29 inactive nests were identified within two miles of the project site boundary. Final Application for Site Certificate (Aug. 25, 2010) at § P.6.3 & fig. P-1. In addition, during avian use surveys in 2009, multiple bald and golden eagles were detected in the vicinity of the project. Id. at § P.5. On September 20, 2010, in a letter addressed to EFSC and ODOE (attached as an exhibit to this letter), the U.S. Fish and Wildlife Service ("USFWS") concluded that this Project, "including all turbines, transmission and roads, and associated facilities has the potential to result in injury and mortality of individual eagles and potential loss of nest sites over the life of the Project." In the same letter, the USFWS also recommended that no wind turbines for this Project should be sited any closer than six miles from a golden eagle nest (except for in "non-use locations"), that turbine operations should be shut down during peak migration periods, and that turbine lighting should be minimized to protect eagles. The USFWS also wrote that "[i]n the absence of clear solutions to address golden eagle mortalities at wind energy projects, to enhance populations through conservation measures, or to off-set losses in other ways, our best efforts should be directed at avoidance of mortalities by siting wind turbines well away from the areas where resident and migrating eagles are known to concentrate their activities."

Despite these serious concerns stated by the USFWS in 2010, Pattern has failed in 2018–2019 to update the surveys and analyses conducted several years ago by the prior site certificate holder for wildlife and plants. For this project, the most recent habitat mapping and categorizations were conducted in 2009 (but neither the project site nor the proposed mitigation parcels have ever been field surveyed for habitat), the most recent avian use surveys within the proposed wind turbine area were conducted in 2009,<sup>3</sup> the most recent raptor nest surveys were conducted in 2016, and the most recent surveys for threatened and endangered plants were conducted in 2016. RFA4 at § 5.1.8; Summit Ridge Wind Farm, Final Order on Request for Contested Case, Amendment #2, and Transfer Request (Nov. 4, 2016) at § III.B.9. Pattern fails

<sup>&</sup>lt;sup>3</sup> Both the Request for Amendment 4 and the Draft Proposed Order state that the most recent use surveys were in 2010. To clarify, only the proposed transmission line was surveyed in 2010. The most recent avian use survey within the proposed wind turbine area was in 2009. *See* Summit Ridge Wind Farm Final Order (Aug. 19, 2011) at §§ IV.G.l.a.ii, IV.G.l.a.iii IV.G.l.b.i.

to explain why none of these surveys and other information have been updated for its Request for Amendment 4.

Furthermore, Pattern states in Table 2 of its Request for Amendment 4 that each specific species was either "documented during surveys" or "not documented during surveys," but no details are provided about the applicable date(s) of these surveys, nor the type of survey (*e.g.*, was each species documented during a use survey or a nest survey, or both?). Similarly, in Table 3 of its Request for Amendment, Pattern fails to provide any information about actual, current occurrences of plants listed as threatened or endangered, instead merely rating the "likelihood of occurrence" for each species. This severe lack of details only further exacerbates the paucity of underlying information and data, making it impossible for the Council and the reviewing public to fully understand the project's actual, current impacts.

In addition, in a November 28, 2018 letter, the Oregon Department of Fish and Wildlife ("ODFW") raised concerns about the significant length of time that has passed since this project was first proposed, and the need to reevaluate and update the analyses of the project's impacts on wildlife and habitat, as well as the need to reexamine the potentially available mitigation measures. ("The original site application for this project was received over ten years ago, and recommendations have evolved based on new science as well as ODFW's experience with operational projects. . . With the proposed start of construction still unknown, ODFW is concerned that current proposed mitigation parcels may no longer meet the original intent for mitigation as outlined in the original mitigation plan.") Pattern has failed to update the data, analyses, and proposals in its Request for Amendment to respond to ODFW's concerns.

Pattern admits that it is "currently performing eagle use surveys [that will] inform updates to eagle occurrence in the analysis area." RFA4 at § 5.1.8. But Pattern fails to provide any details for these "current" eagle surveys, fails to explain why it waited until now to update the eagle surveys, and fails to explain why its "current" surveys are limited to eagles and why it has not instead updated all surveys, maps, and analyses for all species and habitat.

Because Pattern has not disclosed the project's true, current impacts; has not evaluated appropriate mitigation of these impacts; and has not demonstrated current compliance with all applicable approval standards and criteria for the protection of wildlife, plants, and habitat, the requested third round of extensions must be denied. The relevant Council rules and provisions of the Wasco County ordinance will be discussed below.

### a. Council Rules

*OAR* 345-021-0010(1): "The applicant shall include in its application for a site certificate information that addresses each provision of this rule identified in the project order."

\* \* \*

(*p*) Exhibit *P*. Information about the fish and wildlife habitat and the fish and wildlife species, other than the species addressed in subsection (*q*) that could be

## affected by the proposed facility, providing evidence to support a finding by the Council as required by OAR 345-022-0060. The applicant shall include:

The language of OAR 345-021-0010(1)(p) expressly requires in pertinent part "[i]nformation about the fish and wildlife habitat and the fish and wildlife species, other than the species addressed in subsection (q)[,] that could be affected by the proposed facility" (emphasis added). In other words, this section requires Pattern to provide information about all fish and wildlife species and habitat that may be affected by the project, except for the species listed by the State of Oregon as threatened or endangered, which are covered separately under OAR 345-021-0010(1)(q). Pattern is violating this requirement by failing to provide current, accurate information about all fish and wildlife species and habitat that could be affected by the proposed facility.

For example, Pattern completely omits from its Request for Amendment 4 *any* information about several special status fish and wildlife species that were actually observed within or near the project site boundary in 2005, 2009, and/or 2010, including the following species:

- Yellow-breasted chat (Icteria virens auricollis)
- White-tailed jackrabbit (*Lepus townsendii*)
- Western small-footed bat (*Myotis ciliolabrum*)
- Yuma myotis (*Myotis yumanensis*) or California myotis (*Myotis californicus*)<sup>4</sup>



Yellow-breasted chat (Icteria virens auricollis)



White-tailed jackrabbit (Lepus townsendii)

///

<sup>&</sup>lt;sup>4</sup> "Either the Yuma myotis, or California myotis, or both, were detected. The calls of these two species are very difficult to distinguish." Summit Ridge Wind Farm, Final Order (Aug. 19, 2011) at 95 n.204.





Western small-footed bat (Myotis ciliolabrum)

Yuma myotis (Myotis yumanensis)

Pattern appears to be under the mistaken assumption that it is only required to provide information about fish and wildlife species in the project vicinity if a particular species is listed by the State of Oregon as endangered, threatened, or sensitive in the Columbia Plateau region. *See* RFA4 at 31 ("Table 2 . . . includes only the ODFW Sensitive Species as required to meet the standard."), 34 ("Table 3 . . . omit[s] the federal status and update[s] the current state status of species."). Pattern's assumptions are incorrect. OAR 345-021-0010(1)(p) requires the applicant to provide information about *all* fish and wildlife species that may be affected by the project, regardless of the species' listing status. Nevertheless, most or all of the omitted species discussed above are in fact listed as federal species of concern and/or as state sensitive species. Pattern is violating OAR 345-021-0010(1)(p) by failing to disclose that these species were previously observed within or near the project site, and by failing to evaluate the project's impacts on these and other species and their habitat.

In fact, Pattern's omissions of these previously observed and disclosed species raises questions about what *other* wildlife species may be present in the project vicinity, but may have been similarly omitted from the Request for Amendment. Two examples of such species, which were previously documented within the project area and acknowledged "to be at the highest risk of collision at the proposed site," are the American kestrel (*Falco sparverius*) and the red-tailed hawk (*Buteo jamaicensis*). Summit Ridge Wind Farm Final Order (Aug. 19, 2011) at 99.

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American kestrel (Falco sparverius)



**Red-tailed hawk** (*Buteo jamaicensis*)

Again, Pattern is required to provide current, accurate information about *all* fish and wildlife species that may be affected by the project, whether or not a particular species is listed by federal or state authorities. This required information is neither optional nor waivable. Pattern is in violation by failing to disclose the required information, and these failures in turn taint other required components of the application. For example, without current, complete information about the presence, distributions, and relative abundance of all fish and wildlife species actually present in the project vicinity, it is difficult or impossible to fully assess the categorization and suitability of habitat at the project site. The presence or absence of specific species is often an

important indicator of the characteristics of wildlife habitat.<sup>5</sup> By failing to provide the required information, Pattern is violating the Council's rules and making it impossible to determine that the Request for Amendment complies with the applicable substantive standards.

(A) A description of biological and botanical surveys performed that support the information in this exhibit, including a discussion of the timing and scope of each survey.

Pattern has failed to conduct any new biological or botanical surveys to support its request for a third round of construction extensions. Instead, it broadly cites species observations made in previous surveys, some of which have not been updated for nearly a decade. Pattern also fails to provide details when each specific species was observed, which type of survey was involved for each species (*e.g.*, use survey or nest survey), which portion of the project a species was observed in (*e.g.*, within the transmission line area or the turbine corridors area), or any other information about the scope of each survey. As a result of these omissions, it is impossible to evaluate the project's current impacts on fish, wildlife, and plant species and habitat. Pattern is in violation of OAR 345-021-0010(1)(p)(A).

(B) Identification of all fish and wildlife habitat in the analysis area, classified by the general fish and wildlife habitat categories as set forth in OAR 635-415-0025... and a description of the characteristics and condition of that habitat in the analysis area, including a table of the areas of permanent disturbance and temporary disturbance (in acres) in each habitat category and subtype.

Pattern has not conducted field surveys assessing habitat for this project, and the most recent "desktop" categorizations and mapping occurred in 2009. Pattern seeks to extend the construction deadlines by another two years, which could mean the project might not be operational until 2023. Without current, accurate surveys, categorizations, and mapping, it is impossible for the Council and the reviewing public to evaluate the project's impacts on fish and wildlife habitat. Pattern is in violation of OAR 345-021-0010(1)(p)(B).

(C) A map showing the locations of the habitat identified in (B).

Again, Pattern has failed to conduct any field surveys of habitat for this project, and there have been no habitat categorizations or mapping since 2009. The habitat maps are deficient, not current, and must be updated. Without current, accurate habitat mapping, it is impossible for the Council and the reviewing public to evaluate the project's current impacts on fish and wildlife habitat. Pattern is in violation of OAR 345-021-0010(1)(p)(C).

<sup>&</sup>lt;sup>5</sup> One example is the Oregon vesper sparrow (*Pooecetes gramineus affinis*). Pattern's amended Draft Habitat Mitigation Plan implies that this species will be affected by the project, and ties this impact to its proposals for habitat mitigation, but there is no disclosure elsewhere in the Request for Amendment as to the presence, abundance, and distribution of this species within the project site. *See* Draft Habitat Mitigation Plan (Jan. 2019) at 3.

(D) Based on consultation with the Oregon Department of Fish and Wildlife (ODFW) and appropriate field study and literature review, identification of all State Sensitive Species that might be present in the analysis area and a discussion of any site-specific issues of concern to ODFW.

Pattern fails to provide any evidence that it consulted with ODFW in 2018 or 2019 regarding the project's current impacts to fish and wildlife and habitat. Nor does Pattern provide in its Request for Amendment 4 a discussion of any site-specific issues of concern to ODFW, as required by the rule.

The record does contain a November 28, 2018 comment letter from ODFW to ODOE, but ODFW's letter merely contains a general discussion of applicable statutes, rules, and policies, plus a statement that the required analyses of habitat impacts and potential mitigation measures might be deferred to a later, unspecified date, presumably after the Request for Amendment might be approved (without any legal analysis of whether such deferrals would be lawful). The existence of this letter does not satisfy the requirements of OAR 345-021-0010(1)(p)(D), which expressly requires Pattern Energy to consult with ODFW about the sensitive species that may be present in the analysis area and to discuss, in its application, any site-specific issues of concern to ODFW. (There is no evidence in the record that Pattern even asked ODFW to provide any site-specific issues of concern.) The required consultation and discussion cannot be deferred to a future date, after EFSC's decision on the application.

Nor has Pattern conducted any habitat field studies, as required by the rule. Pattern notes in the Request for Amendment 4 that much of the project site was burned by wildfires in 2018, and implies that it has conducted updated "desktop analysis" of the habitat areas and burned areas. However, "desktop analysis" is not an adequate substitute for "field study"; the latter is required by the rule.

As for literature review, Pattern indicates that it reviewed the October 2018 version of the ORBIC database and the current Oregon state lists of wildlife and plants, but there is no indication of any other literature review. For the original application for this project, the prior site certificate holder reviewed plant and wildlife studies from seven other wind projects. Summit Ridge Wind Farm Final Order (Aug. 19, 2011) at 93. Since then, numerous other wind projects have been proposed in the area, yet Pattern fails to mention this fact, nor explain whether it has reviewed the surveys from these other wind projects, nor any other recent surveys in the vicinity.

By failing to consult with ODFW, by failing to update the field studies and literature review, and by failing to provide a discussion of ODFW's site-specific issues of concern, Pattern is in violation of OAR 345-021-0010(1)(p)(D).

(E) A baseline survey of the use of habitat in the analysis area by species identified in (D) performed according to a protocol approved by the Department and ODFW.

Pattern ignores this requirement. The avian use surveys for this project have apparently not been updated since 2010. Pattern has failed to conduct any current baseline use surveys.

In addition to the 2010 avian use surveys, other surveys were previously conducted (*e.g.*, the bat inventory in 2009 and the raptor nest surveys in 2015 and 2016), but these surveys are also not current. In addition, Pattern has not demonstrated that these other surveys constitute the "baseline survey[s] of the use of habitat" required by the rule. For example, an inventory that merely lists the bat species detected in the project area tells the Council and the reviewing public nothing about the relative prevalence of each bat species at this site, the location(s) where each species was detected, nor the seasons when each species was detected. Moreover, even if the prior surveys *were* sufficient to supply the required baseline data, they were conducted years ago, so they no longer provide current, accurate baselines. Pattern has failed to meet the requirements of OAR 345-021-0010(1)(p)(E).

(F) A description of the nature, extent and duration of potential adverse impacts on the habitat identified in (B) and species identified in (D) that could result from construction, operation and retirement of the proposed facility.

Pattern largely ignores this requirement, and the information that it does provide is inadequate to demonstrate compliance. For example, Pattern fails to disclose which season(s) each migratory bird and bat species has been documented at the site, and the relative abundance of each species at the site, thus making it impossible to evaluate the extent and duration of potential adverse impacts to each species. Moreover, Pattern's failure to provide adequate, current baseline use surveys and habitat data renders defective its descriptions of the project's potential impacts. Pattern has failed to meet the requirements of OAR 345-021-0010(1)(p)(F).

(G) A description of any measures proposed by the applicant to avoid, reduce, or mitigate the potential adverse impacts described in (F) in accordance with the general fish and wildlife habitat mitigation goals and standards described in OAR 635-415-0025..., and a discussion of how the proposed measures would achieve those goals and requirements.

Pattern fails to comply with this requirement. Instead of describing proposed measures to avoid, reduce, or mitigation potential adverse impacts, and instead of discussing how the proposed measures would achieve the applicable goals and requirements, as expressly required by the rules, Pattern merely notes that the prior habitat delineations, which were prepared nearly a decade ago (in 2009), were previously deemed by the Council to meet the applicable requirements. RFA4 at 30. Because Pattern has failed to update its data and analyses to reflect current conditions and best available science and technologies, there are no assurances that the proposed project continues to comply with the applicable rules and standards.

Furthermore, Commenters object to the Draft Habitat Mitigation Plan (January 2019) as insufficient to demonstrate that the project will avoid, reduce, or mitigate potential adverse impacts to wildlife species and habitat in compliance with the applicable Council rules and the applicable fish and wildlife habitat mitigation goals and standards. Among other problems, the

Draft Habitat Mitigation Plan is not based on actual, current habitat surveys (neither the project area nor the proposed mitigation parcels have been field surveyed for habitat), it fails to include binding provisions requiring Pattern to acquire the legal rights to ensure permanent protection for the proposed Habitat Mitigation Area, it fails to incorporate state standards for protecting habitat in any binding, meaningful way, it fails to prohibit cattle grazing, and it defers consultation with and review by ODFW of the proposed mitigation measures until a future, unspecified date.

In fact, there is no evidence as to whether the current version of the Draft Habitat Mitigation Plan has been reviewed or approved by ODFW.<sup>6</sup> For example, the Draft Habitat Mitigation Plan is dated January 2019—more than a month after ODFW's most recent comments on the project (in November 2018). In those comments, ODFW stated that "ODFW is concerned that current proposed mitigation parcels may no longer meet the original intent for mitigation as outlined in the original mitigation plan." The January 2019 Draft Habitat Mitigation Plan states that "[t]he referenced parcels for mitigation have been discussed with ODFW." Draft Habitat Mitigation Plan at 3. However, Pattern does not state when these discussions occurred. Most likely this is a reference to the discussions of the original mitigation proposal from the original application (circa 2009–2011). In fact, the four proposed mitigation parcels have not changed since that original application, and Pattern admits as much by referring to these parcels as "the previously proposed mitigation sites" and conceding that the parcels may be "determined not to have sufficient quality and quantity of habitat to meet ODFW's mitigation goals for the permanent and temporal habitat impacts from facility construction." Id. There is no indication as to ODFW's current conclusions as to whether the proposed mitigation parcels (and the Draft Habitat Mitigation Plan) are satisfactory to ODFW; to the contrary, ODFW's November 2018 comments imply otherwise.

### (H) A description of the applicant's proposed monitoring plans to evaluate the success of the measures described in (G).

In its amended Draft Habitat Mitigation Plan (2019), Pattern has failed to include specific and binding monitoring requirements, reporting requirements, and success criteria, instead supplying vague and general statements that the "monitoring protocol[s]" and "details of monitoring time frames and success criteria will be designed after the final site is selected." Draft Habitat Mitigation Plan (2019) at 5. Commenters object to the Draft Habitat Mitigation Plan as insufficient to demonstrate compliance with the Council's rules and the applicable fish and wildlife habitat mitigation goals and standards. Moreover, Pattern cannot defer a demonstration of compliance with these rules to a future, unspecified date, after its Request for Amendment 4 is approved. A demonstration of compliance with the applicable law is required *now*. Both the reviewing public and the Council have a right to obtain and review this information prior to a final Council decision.

(q) Exhibit Q. Information about threatened and endangered plant and animal species that may be affected by the proposed facility, providing evidence to

<sup>&</sup>lt;sup>6</sup> It should be noted that the ultimate responsibility for determining compliance with the applicable rules and standards for the protection of wildlife and wildlife habitat lies with the Council. However, review of these issues by ODFW can be relevant, and in some cases instructive, for the Council's ultimate decisions.

support a finding by the Council as required by OAR 345-022-0070. The applicant shall include:

(A) Based on appropriate literature and field study, identification of all threatened or endangered species listed under ORS 496.172(2) and ORS 564.105(2) that may be affected by the proposed facility.

As with the counterpart standard for sensitive species required by OAR 345-021-0010(1)(p)(D), Pattern fails to provide sufficient evidence to demonstrate that it has updated the prior literature reviews and field studies for this proposed project to identify all threatened or endangered species that may be affected by the project. Instead, Pattern merely lists the four plant species and one bird species that were previously determined to possibly occur within the project area. RFA4 at Table 3. There is no evidence that Pattern has conducted any current field surveys of the project site, nor any evidence that Pattern has reviewed any other surveys in the vicinity, or by other nearby wind projects. By failing to update the literature reviews and field studies, Pattern is in violation of OAR 345-021-0010(1)(q)(A).

> (B) For each species identified under (A), a description of the nature, extent, locations and timing of its occurrence in the analysis area and how the facility might adversely affect it.

When Pattern's predecessor sought the second round of construction extensions for this project, it conducted new bird nest surveys, as well as new surveys for endangered and threatened plants, in order to ensure that no such species were located within the project area. Pattern's predecessor conducted these surveys in both 2015 and 2016. Final Order on Request for Contested Case, Amendment #2, and Transfer Request (Nov. 4, 2016) at § III.B.9.

In contrast, now that Pattern owns the project, it has apparently failed to update any of these surveys, nor complete any other new surveys for wildlife or plants (except for the undisclosed surveys for bald eagles that Pattern alleges it is currently conducting). Because Pattern has failed to update these surveys—yet is seeking extensions that could further delay construction and operation of the proposed facility by several more years—Pattern has failed to demonstrate compliance with OAR 345-021-0010(1)(q)(B). Nor does Pattern describe "the nature, extent, locations, and timing" of the occurrence of each species in the analysis area, nor "how the facility might adversely affect" the species if it is present, as required by the rule.

(*C*) For each species identified under (*A*), a description of measures proposed by the applicant, if any, to avoid or reduce adverse impact.

(D) For each plant species identified under (A), a description of how the proposed facility, including any mitigation measures, complies with the protection and conservation program, if any, that the Oregon Department of Agriculture has adopted under ORS 564.105(3).

(E) For each plant species identified under paragraph (A), if the Oregon Department of Agriculture has not adopted a protection and conservation

program under ORS 564.105(3), a description of significant potential impacts of the proposed facility on the continued existence of the species and on the critical habitat of such species and evidence that the proposed facility, including any mitigation measures, is not likely to cause a significant reduction in the likelihood of survival or recovery of the species.

(F) For each animal species identified under (A), a description of significant potential impacts of the proposed facility on the continued existence of such species and on the critical habitat of such species and evidence that the proposed facility, including any mitigation measures, is not likely to cause a significant reduction in the likelihood of survival or recovery of the species.

Because Pattern has not updated its wildlife and plant surveys to demonstrate compliance with OAR 345-021-0010(1)(q)(B), it has also failed to demonstrate compliance with OAR 345-021-0010(1)(q)(C), (D), (E), and (F).

OAR 345-022-0060 Fish and Wildlife Habitat

To issue a site certificate, the Council must find that the design, construction and operation of the facility, taking into account mitigation, are consistent with:

(1) The general fish and wildlife habitat mitigation goals and standards of OAR 635-415-0025(1) through (6) in effect as of February 24, 2017....

As discussed above, Pattern has failed to complete current habitat surveys, mapping, and categorizations; literature reviews; and field surveys for wildlife and plants. In addition, Pattern has substantially weakened its proposed mitigation measures and has failed to take into account the latest science and technologies for avoidance and mitigation of impacts. Pattern has also failed to demonstrate consultation with and approval by ODFW, under current standards and conditions, of the project's impacts and proposed mitigation measures. As a result of these failures, it is impossible for the Council to conclude that the design, construction, and operation of the facility, taking into account mitigation, are consistent with the applicable fish and wildlife habitat mitigation goals and standards. The requested third round of construction extensions should be denied.

OAR 345-022-0070 Threatened and Endangered Species

To issue a site certificate, the Council, after consultation with appropriate state agencies, must find that:

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(1) For plant species that the Oregon Department of Agriculture has listed as threatened or endangered under ORS 564.105(2), the design, construction and operation of the proposed facility, taking into account mitigation:

(a) Are consistent with the protection and conservation program, if any, that the Oregon Department of Agriculture has adopted under ORS 564.105(3); or

(b) If the Oregon Department of Agriculture has not adopted a protection and conservation program, are not likely to cause a significant reduction in the likelihood of survival or recovery of the species; and

(2) For wildlife species that the Oregon Fish and Wildlife Commission has listed as threatened or endangered under ORS 496.172(2), the design, construction and operation of the proposed facility, taking into account mitigation, are not likely to cause a significant reduction in the likelihood of survival or recovery of the species.

As discussed above, Pattern has failed to complete current habitat surveys, mapping, and categorizations; literature reviews; and field surveys for wildlife and plants In addition, Pattern has substantially weakened its proposed mitigation measures and has failed to take into account the latest science and technologies for avoidance and mitigation of impacts. Pattern has also failed to demonstrate consultation with and approval by ODFW, under current standards and conditions, of the project's impacts and proposed mitigation measures. As a result of these failures, it is impossible for the Council to conclude that the design, construction, and operation of the facility, taking into account mitigation, are consistent with OAR 345-022-0070. The requested third round of construction extensions should be denied.

### b. Wasco County Rules

Pursuant to ORS 469.310, 469.503(4), and 469.504, as well as OAR 345-022-0030, Wasco County's land use rules apply to the Council's review of this project. This includes Wasco County's standards for commercial energy facilities, found at Wasco County Land Use and Development Ordinance ("LUDO") Chapter 19, as well as the conditional use review standards, found at Wasco County LUDO Chapter 5.

As will be explained below, Pattern has failed to demonstrate compliance with the natural resource/wildlife protection provisions of these rules, found at LUDO section 19.030, as well as the conditional use review standards at LUDO 5.020 and 5.030.

Section 19.030 Commercial Power Generating Facilities Review Processes & Approval Standards

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- C. <u>General Standards</u> The following standards apply to energy facilities as outlined in Section A above, in addition to meeting the Conditional Use Standards listed in Chapter 5:
  - 5. <u>Natural Resource/Wildlife Protection</u> Taking into account mitigation, siting, design, construction and operation the energy facility will not cause significant adverse impact to important or significant natural resources identified in the Wasco County Comprehensive Plan, Wasco County Land Use and Development Ordinance or by any jurisdictional wildlife agency resource management plan adopted and in effect on the date the application is submitted. As appropriate, the permit holder agrees to implement monitoring and mitigation actions that Wasco County determines appropriate after consultation with the Oregon Department of Fish and Wildlife, or other jurisdictional wildlife or natural resource agency. Measures to reduce significant impacts may include, but are not limited to the following:

This section requires protection of all "important or significant natural resources identified in the Wasco County Comprehensive Plan, Wasco County Land Use and Development Ordinance[,] or by any jurisdictional wildlife agency resource management plan adopted and in effect on the date the application is submitted." The reference to "any jurisdictional wildlife agency resource management plan" includes all federal wildlife plans, such as the following plans:

- Final Eagle Incidental Take and Eagle Nest Take Regulations (USFWS, 2016)
- <u>Record of Decision for the Final Programmatic Environmental Impact Statement</u> <u>for the Eagle Rule Revision</u> (USFWS, 2016)
- <u>Programmatic Environmental Impact Statement for the Eagle Rule Revision</u> (USFWS, 2016)
- <u>Bald and Golden Eagles: Population demographics and estimation of sustainable</u> take in the United States, 2016 update (USFWS, 2016)
- <u>Final Rule, Revised List of Migratory Birds</u> (USFWS, 2013)<sup>7</sup>
- Memorandum of Understanding Between the U.S. Department of Energy and U.S. Fish & Wildlife Service Regarding Implementation of Executive Order 13186, "Responsibilities of Federal Agencies to Protect Migratory Birds" (USFWS & USDOE, 2013)
- <u>Birds of Conservation Concern 2008</u> (USFWS, 2008)
- National Bald Eagle Management Guidelines (USFWS, 2007)

Thus, pursuant to Wasco County LUDO § 19.030.C.5, Pattern is required to demonstrate that the project will not cause significant adverse impact to bald eagles, golden eagles, federally designated migratory birds, and federal birds of conservation concern. Pattern has failed to identify all such bird species in its application, and to the contrary, has removed federal birds of

<sup>&</sup>lt;sup>7</sup> The U.S. Fish and Wildlife Service is currently proposing to revise its list of migratory birds. However, Pattern's Request for Amendment 4 is subject to the 2013 version of the list, which was "in effect on the date [Pattern's] application [was] submitted." Wasco County LUDO § 19.030.C.5.

conservation concern that were previously identified in prior applications by Pattern's predecessor. Pattern is also failing to demonstrate that it will follow the recommendations of the U.S. Fish and Wildlife Service for the protection of bald eagles and golden eagles.

Because Pattern has failed to identify, survey for, and demonstrate the protection of bald eagles, golden eagles, federally designated migratory birds, and federal birds of conservation concern, Pattern is in violation of Wasco County LUDO § 19.030.C.5, and its Request for Amendment 4 must be denied.

- a. Providing information pertaining to the energy facility's potential impacts and measures to avoid impacts on:
  - (1) Wildlife (all potential species of reasonable concern);
  - (2) Wildlife Habitat;
  - (3) Endangered Plants; and
  - (4) Wetlands & Other Water Resources.

This provision in pertinent part requires Pattern to demonstrate the protection of wildlife ("all potential species of reasonable concern"<sup>8</sup>), wildlife habitat, and endangered plants. Yet, in the same ways as explained above with respect to the Council's rules, Pattern has failed to provide sufficient information to demonstrate that these natural resources will be protected. For example, Pattern is failing to identify the presence and distribution of all potentially affected wildlife and plant species. Pattern is also failing to demonstrate that it will follow the recommendations of the U.S. Fish and Wildlife Service for the protection of bald eagles and golden eagles. Pattern is in violation of Wasco County LUDO § 19.030.C.5.a.

b. Conducting biologically appropriate baseline surveys in the areas affected by the proposed energy facility to determine natural resources present and patterns of habitat use.

As discussed above with respect to the Council's rules, Pattern has failed to conduct any updated baseline surveys for wildlife and plant species and habitat. Because current baseline surveys have not been made available, it is impossible "to determine natural resources present." Nor has Pattern shown the "patterns of habitat use," as required by this rule. The requirement to supply these baseline surveys is mandatory, and cannot be waived or deferred. *See* Wasco County LUDO § 19.030.A.2 (allowing for "tentative approval" and deferral of "the wildlife plan and all its required baseline studies" until "final approval," but stating that "[f]or facilities sited through EFSC, this section does not apply."). Pattern is in violation of Wasco County LUDO § 19.030.C.5.b.

c. Selecting locations to reduce the likelihood of significant adverse impacts on natural resources based on expert analysis of baseline data.

<sup>&</sup>lt;sup>8</sup> "All potential species of reasonable concern" includes bald eagles, golden eagles, federally designated migratory birds, and federal birds of conservation concern. *See also* Wasco County LUDO § 19.030.C.5.

Because there are no current baseline surveys or data, it is impossible for expert analysis to occur, and likewise impossible to "[s]elect locations to reduce the likelihood of significant adverse impacts on natural resources." Because Pattern has failed to provide this required information, the Council and the reviewing public do not know where wildlife and plant species and habitat are currently located in the project vicinity, and thus which locations should be focused on for reducing or avoiding impacts. Pattern is in violation of Wasco County LUDO § 19.030.C.5.c.

h. Avoiding construction activities near raptor nesting locations during sensitive breeding periods and using appropriate no construction buffers around known nest sites.

Because Pattern has failed to update the prior raptor nest surveys, Pattern has failed to demonstrate compliance with this requirement. Pattern must update and disclose the raptor nest surveys, so that the Council and the reviewing public will be able to review that information and evaluate compliance. Pattern is in violation of Wasco County LUDO § 19.030.C.5.h.

### Section 5.020 Authorization to Grant or Deny Conditional Uses, and Standards and Criteria Used

Conditional uses listed in this Ordinance shall be permitted, enlarged or otherwise altered or denied upon authorization by Administrative Action in accordance with the procedures set forth in Chapter 2 of this Ordinance. In judging whether or not a conditional use proposal shall be approved or denied, the Administrative Authority shall weigh the proposal's appropriateness and desirability or the public convenience or necessity to be served against any adverse conditions that would result from authorizing the particular development at the location proposed, and to approve such use, shall find that the following criteria are either met, can be met by observance of conditions, or are not applicable.

- A. The proposal is consistent with the goals and objectives of the Comprehensive Plan and implementing Ordinances of the County.
- \* \* \*
- F. The proposed use will not significantly reduce or impair sensitive wildlife habitat, riparian vegetation along streambanks and will not subject areas to excessive soil erosion.

Because Pattern has failed to complete current wildlife and habitat surveys, categorizations, and mapping, it is impossible to evaluate current compliance with section 5.020 of the Wasco County LUDO. Pattern has failed to demonstrate that the proposal is consistent with the Wasco County land use rules, that the proposal will not significantly reduce or impair sensitive wildlife habitat or riparian vegetation along streambanks, and that the proposal will not subject areas to excessive soil erosion. The need to update the baseline data and maps is

especially important given the recent wildfires in the project area. Because Pattern is in violation of Wasco County LUDO section 5.020, the Request for Amendment 4 should be denied.

Section 5.030 Conditions

Such reasonable conditions as are necessary to ensure the compatibility of a conditional use to surrounding permitted uses as are necessary to fulfill the general and specific purposes of this Ordinance may be imposed in approving an application, pursuant to Section 2.110(D). Such conditions may include, but are not limited to, the following:

- A. Limiting the manner in which the use is conducted including restricting the time an activity may take place and restraints to minimize such environmental effects as noise, vibration, air pollution, glare, and odor.
- B. Establishing a special yard or other open space or lot area or dimension.
- C. Limiting the height, size, or location of a building or other structure.
- D. Designating the size, number, location, and nature of vehicle access points.
- *E.* Increasing the amount of street dedication, roadway width or improvements within the street right of way.
- *F.* Limiting or otherwise designating the number, size, location, height and lighting of signs.
- G. Limiting the location and intensity of outdoor lighting and requiring its shielding.
- *H. Requiring diking, screening, landscaping or another facility to protect adjacent or nearby property and designating standards for its installation and maintenance.*
- *I.* Designating the size, height, location and materials for a fence.
- J. Protecting and preserving existing trees, vegetation, water resources, wildlife habitat or other significant natural, historic, or cultural resources.
- *K.* Other conditions to permit the development of the County in conformity with the intent and purpose of the conditional classification of uses.

As discussed above, Pattern has failed to update its plant, wildlife, and habitat surveys, and has also failed to disclose and evaluate the best available current science and technologies for avoiding and reducing impacts. Pattern has failed to do so despite the likely changes in conditions at the site caused by the 2018 wildfires. Without this required information, it will be impossible for the Council to craft and adopt appropriate conditions of approval to "minimize . . . environmental effects," "protect[] and preserv[e] existing trees, vegetation, water resources,

[and] wildlife habitat," and otherwise ensure compliance with section 5.030 of the Wasco County LUDO. Accordingly, the Request for Amendment 4 should be denied.

## 4. The Council must be provided with, and must consider, *all* written comments received on the record of the hearing before taking any action.

The Council's rules state in pertinent part that "the Council . . . shall consider *all* comments received on the record of the hearing" (emphasis added). OAR 345-027-0067(7); *see also* OAR 345-027-0071(1) (referencing the Council's duty to "consider[] *all* comments received on the record of the public hearing under [OAR] 345-027-0067") (emphasis added). The Council will not be able to fulfill its mandatory duty to "consider all comments" until it receives copies of *all* written comments received on the record of the hearing.

It appears that the Council has not yet been furnished with copies of all written comments received on the record of the hearing. On February 20, 2019, the Department posted to the EFSC website a <u>statement</u> that 892 comments had been received, along with a draft index of 323 of those comments and a statement that some of the comments are "identical" or "contain similar content." Finally, copies of approximately 28 of the 892 comments received as of February 20, 2019 were posted along with the Department's statement and index.

Thus, it appears that, as of February 20, 2019, only about 3.1% of the 892 comments received at that point had been shared with the Council. In particular, the Department has apparently not provided the Council with copies of purportedly "identical" comments. Nor has the Department posted or provided the Council with any of the names, addresses, or any other identifying information for the persons or entities who submitted these purportedly identical comments.

In order to "consider all comments," as required by OAR 345-027-0067(7) and 345-027-0071(1), the Council must be provided with copies of "all" written comments, even if the text of multiple comments from different persons or entities are purportedly identical. Until it is provided with copies of all comments, the Council will have no knowledge of who made the comments, where the commenters reside, whether each comment is submitted on behalf of multiple people (*e.g.*, a husband and wife), or whether each comment is submitted on behalf of any entities. The identities, locations, and any affiliations of the commenters is vital information that must be provided to the Council for its consideration. For example, a Council member may personally know one or more of the commenters, or know of a commenter, and thus may have knowledge of that person's credibility (or lack of credibility).

The Staff Report fails to state any reason—let alone any compelling reason—why these comments have apparently been withheld from the Council to date. All comments must be provided to the Council, both because it is required by the Council's own rules, and also because each commenter has rights under the federal and state constitutions to due process and to petition the government for a redress of their grievances.

The Department must provide the Council with copies of all written comments received. In addition, the agencies should explain the anticipated process and timeline by which the Department will do so, and by which Council will conclude its consideration of all comments pursuant to OAR 345-027-0067(7) and 345-027-0071(1). Not until the Council has "considered all comments" will the procedures and timeline for the Department to issue a Proposed Order begin. OAR 345-027-0071(1).

Finally, the agenda for the February 22, 2019 Council meeting shows an "Action Item" for Council action on this Request for Amendment immediately following the public hearing, and the Staff Report states that "[t]he Department recommends Council direct staff to issue the Proposed Order." It is unclear why this is listed as an action item. In contrast, prior matters in similar postures, such as the Wheatridge Wind Energy Facility (heard by the Council on October 26, 2018) and the Golden Hills Wind Project (heard by the Council on August 24, 2018), were listed on the meeting agendas as information items, rather than action items. The Department and the Council have failed to explain why they apparently intend to process the Summit Ridge matter differently.

Moreover, a vote by the Council directing the Department to issue the Proposed Order is unnecessary and potentially inconsistent with OAR 345-027-0071(1), which governs the process for the issuance of the Proposed Order and which requires the Department to issue it within a specific timeline.

Finally, if the Council *were* to take action directing the Department to issue the Proposed Order before the Council considers "all comments" submitted by the public, such an action would be in violation of OAR 345-027-0067(7) and 345-027-0071(1). The Council should not act prematurely, and should not prejudge, nor imply any prejudgment of, this matter. Even assuming for the sake of argument that it would be appropriate for the Council to direct the Department to issue the Proposed Order, it would need to wait to do so until after the Council completes its consideration of "all comments." OAR 345-027-0067(7), 345-027-0071(1).

#### 5. Conclusion

For these and other reasons, the Council should deny Request for Amendment 4, including the requested third round of extensions of the construction deadlines. If Pattern Energy desires to move forward with this controversial project, it must file a new application, complete with current data and information on the project's impacts. Please don't reward Pattern for its delays in proceeding with this project and its failures to disclose and address the project's current impacts. Please deny the Request for Amendment 4.

Sincerely,

Nathan Baker Senior Staff Attorney Friends of the Columbia Gorge

Doug Heiken

Doug Heiken Conservation and Restoration Coordinator Oregon Wild

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Dan Morse **Conservation Director** Oregon Natural Desert Association

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**Bob Sallinger** Director of Conservation Audubon Society of Portland

Rory Isbell Istdl

Staff Attorney Central Oregon LandWatch

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Tom Lawler President East Cascades Audubon Society

# **EXHIBIT A**

U.S. Fish & Wildlife Service Letter to Oregon Dept. of Energy (Sept. 20, 2010)



### United States Department of the Interior



FISH AND WILDLIFE SERVICE Bend Field Office 20310 Empire Ave, Ste A-100 Bend, Oregon 97701 Phone: (541) 383-7146 FAX: (541) 383-76381

Reply To: 6320.0010(10) File Name: 2010 EFSC ASC Summit Ridge Cmts 09202010 TS Number: 10-1494 TAILS: 13420-2009-FA-0217

September 20, 2010

Sue Oliver Energy Facility Siting Officer Oregon Department of Energy 245 Main Street, Suite C Hermiston, OR. 97838

Subject: Request for Comments on the Application for Site Certificate for the proposed Summit Ridge Wind project, Wasco County, Oregon

Dear Ms. Oliver:

The Fish and Wildlife Service (Service) has reviewed the August 24, 2010, Application for a Site Certificate (ASC) for the proposed Summit Ridge Wind Project (Project) to be located in Wasco County, Oregon. The proposed Project will include up to 87 wind turbines (2.0 to 3.0 MW each) with a total nominal generating capacity of approximately 200 MW of electricity. The Project will include about 19 miles of new access roads, turbine foundations, underground and overhead electrical collection systems, meteorological towers, and an operations and maintenance building. The Project will also include a communications system, a substation, and interconnection facilities to tie into the transmission line, located to the west of the project. The transmission feeder line will be an overhead 230 kV (kilovolt) line and will be approximately eight miles long.

Much of the project site is agricultural land used for dry land winter wheat production. The proposed facility would be built on land one to four miles west of the Deschutes River Canyon extending from approximately river mile 7 on the north end of the project boundary to river mile 31 on the south end. The Service supports the use of disturbed habitats for the placement of wind energy generation. However, we remain concerned regarding short and long-term Project impacts to migratory birds including bald and golden eagles, and bats.

The Service supports renewable energy and the economic benefits that wind energy generation brings to local communities. We also recognize wind power development has the potential to impact wildlife and habitat resources. The Service provided comments on the Notice of Intent to Apply for an Energy Facility Site Certificate (NOI) for the Project in a letter dated July 13, 2009, and Preliminary ASC in a letter dated November 18, 2009. We appreciate the opportunity to



provide additional comments, and we look forward to working with you and LotusWorks on this important project.

Our previous comment letters focused on: (1) the potential for project specific mortality to birds and bats, including cumulative impacts of wind energy projects within the Columbia River corridor; and (2) measures to avoid or minimize Project impacts and adequate mitigation to offset unavoidable project impacts to biological resources. The Service subsequently received information in an email on June 24, 2010, from LotusWorks documenting the presence of golden eagles, large stick nests, and bald eagles in the project vicinity. Our comments below will focus on project impacts to bald and golden eagles and other migratory birds. We refer you to our previous two letters referenced above regarding other issues of concern.

### Migratory Bird Treaty Act and Bald and Golden Eagle Protection Act

The Migratory Bird Treaty Act (MBTA) prohibits the taking, killing, possession, and transportation, (among other actions) of migratory birds, their eggs, and nests except when specifically permitted by regulations. While the MBTA has no provision for allowing unauthorized take, the Service realizes that some birds may be killed during specific wind project operations even if all known reasonable, effective measures to protect birds are implemented. The Service's Office of Law Enforcement (OLE) carries out its mission to protect migratory birds through investigations and enforcement as well as by fostering relationships with individuals, companies, and industries that have taken effective steps to avoid take of migratory birds. It is not possible to absolve individuals, companies, or agencies from liability even if they implement bird mortality avoidance or other similar protective measures. However, the OLE focuses its resources on investigating and prosecuting individuals and companies that take migratory birds without identifying and implementing all reasonable, prudent and effective measures to avoid that take.

Additionally, the Bald and Golden Eagle Protection Act (BGEPA) prohibits the taking of golden and bald eagles except when specifically authorized by the Department of the Interior (16 U.S.C. 668-668d). The Service has new regulations (Federal Register 74:46836-46879; 11 September 2009) (USFWS 2009) that may eventually allow a wind project to receive a permit to take golden or bald eagles under the BGEPA (50 CFR 22.26), for programmatic actions that are consistent with the goal of stable or increasing eagle breeding populations. Therefore, we encourage LotusWorks to work closely with the Service to identify available protective measures and develop an Avian and Bat Protection Plan (ABPP) and implement those measures prior to and during Project construction and operation.

The Service's goal for golden and bald eagles is stable or increasing breeding populations. Data from long-term studies of golden eagle migration, population models, and surveys sponsored by the Service indicate cause to be concerned about population trends for golden eagle (Millsap and Allen 2006, Good et al. 2007, Farmer et al. 2008, Smith et al. 2008, USFWS 2009). The Service was sufficiently concerned regarding the status of golden eagles that we determined, until further data shows golden eagle populations can withstand additional take, we will only consider BGEPA permit issuance of new golden eagle take for safety emergencies and for projects that

result in net benefits to golden eagles. Bald eagle permit issuance criteria would limit permits to only 5% of the Maximum Sustainable Yield.

### **Project Impacts and Service Recommendation**

Golden eagles and other bird species are known to collide with wind turbines and transmission lines. Studies for the Project document the presence of golden eagles (12 detections) and three inactive large stick nests that were likely golden eagle nests, with a fourth nest that may have been built by golden eagles. These nests were located within 1,000 to 10,000 feet from Project wind turbines (Northwest Wildlife Consultants, Inc. 2010). Additionally, adult bald eagles were observed (4 detections) on or in proximity to the Project. The Service is concerned regarding the potential for injury or mortality from a turbine strike, transmission line collision, or other Project-related disturbance to bald and golden eagles. The Project studies and reports provide only a limited eagle impact analysis.

With the expected growth of the wind industry in the western United States, the Service anticipates that the number of golden eagles killed annually will multiply. The Service is concerned that the population trend of golden eagle will drop even more rapidly as a result of collisions with wind turbines, resulting in greater conflicts between renewable energy industry and agencies. Ultimately, fewer golden eagles will exist unless we find solutions to either greatly reduce golden eagle mortalities at wind projects, reduce other sources of mortality to offset losses of golden eagles from wind farms, or enhance golden cagle populations with habitat or other reforms.

In the absence of clear solutions to address golden eagle mortalities at wind energy projects, to enhance populations through conservation measures, or to off-set losses in other ways, our best efforts should be directed at avoidance of mortalities by siting wind turbines well away from areas where resident and migrating eagles are known to concentrate their activities. The Service believes the Project, including all turbines, transmission and roads, and associated facilities has the potential to result in injury and mortality of individual golden eagles and potential loss of nest sites over the life of the Project.

The Service recommends that LotusWorks prepare an Avian and Bat Protection Plan consistent with the Service "white paper" titled *Consideration for Avian and Bat Protection Plans* (FWS 2010) that addresses bald and golden eagles, other migratory bird species of concern, and bats. We recommend that the Oregon Department of Energy defer the approval of the Project site certificate until an Avian and Bat Protection Plan is completed, and available for review. We further recommend the following measures be incorporated into any site certificate approval:

To reduce the likelihood of golden eagle take and to minimize Project impacts, we recommend the following measures be included in the development of the Project:

1. Minimize the potential for resident golden eagle collisions by locating individual Project wind turbines a sufficient distance from golden eagle nest sites. Based on the best information available to us, a radius of a minimum of six miles from a golden eagle nest to the nearest turbine will likely avoid take of adult golden eagles associated with that nest. Any wind turbines proposed closer than six miles to golden eagle nests should not

be constructed until specific golden eagle studies have been implemented that define areas where no golden eagle use occurs (see studies in #2, below). These golden eaglespecific data should then be integrated into a protective turbine location "micrositing" design where turbines within six miles of a golden eagle nest are only sited in areas determined to be golden eagle non-use locations;

- 2. Conduct site specific studies to help define areas of use and non-use by golden eagles including:
  - Complete nest surveys within six miles of the Project location;
  - Conduct observation-post studies to observe the behavior of the adults (if present) without disturbing nesting behavior. These studies collect information on territory occupancy, productivity, fledging success, foraging and winter habitat and other information per the Interim Golden Eagle Inventory and Monitoring Protocols (Pagel et al. 2010); and
  - Satellite telemetry of nesting golden eagles within six miles of Project location.
- 3. Develop a Project construction plan that fully integrates avoidance of golden eagle disturbance during construction activities by implementing concurrent protective timing windows and distance buffers during sensitive nesting and fledging activities.
  - Distance and timing: Construction and maintenance activities between January 1 and July 15 should not be conducted within 1 mile of an active golden eagle nest (or ½ mile if not line-of-sight), unless site specific surveys indicate otherwise.

The Service has regulations in place that allow us to issue 'Programmatic Permits' to project applicants whose developments have the potential to incidentally 'take' golden eagles over extended periods of time. The Service is not currently issuing those permits, but is developing conditions that will likely be components of them. Permit conditions will likely include, appropriate Advanced Conservation Practices - measures that represent the best available techniques to reduce take to a level where additional take is unavoidable: and permit conditions will also likely include mitigation measures to offset whatever birds are taken so that the effect of the Project on eagles will be consistent with the Service's goal of stable or increasing breeding populations. It is possible that a programmatic permit issued by the Service when it becomes available, would include as permit conditions many of the recommendations for monitoring, adaptive management and conservation actions described below:

- 1. Develop and implement a golden eagle monitoring plan (including monitoring of Projectrelated golden eagle mortality, golden eagle territory occupancy, nest success, and productivity) over the life of the Project to ensure all golden eagles injured or killed by wind turbines or other impacts to golden eagles are immediately identified and reported.
- 2. Develop and implement an adaptive management plan to address new information that is obtained during operation of the Project, including all turbines, transmission, and roads, and connected wind projects that effectively address any identified problems.

- Utilize turbine feathering and cut-in speeds of 5 m/sec to 6 m/sec at times of low wind speed to reduce bird (and bat) fatalities;
- Lock rotors during daytime and at night during peak migration periods and peak presence of migrating birds and bats;
- Specific commitment to integrate turbine operation curtailment (seasonally or permanently) into Project management to minimize impacts to bald and golden eagles;
- Specific commitment to remove turbines if they are found to cause repeated mortalities of golden or bald eagles;
- Experimental procedures (e.g. blade painting for higher visibility);
- Minimize lighting associated with the Project including:
  - a) FAA visibility lighting of wind turbines should employ only strobed, strobe-like, or blinking incandescent lights, preferably with all lights illuminating simultaneously; and
  - b) Keep lighting at both operation and maintenance facilities and substations located within ½ mile of the turbines to a minimum level by using motion or infrared light sensors and switches to keep lights off when not
    - required, shield operation lights downward, and do not use high intensity, steady burning, bright lights; and
- Commitment to implement future technology when available.

Additionally, specific conservation actions should be collaboratively developed with the Service to meet the conservation goal of stable or increasing breeding populations of golden and bald eagles. The Service cannot permit take of golden eagles; however were we able to, we would look for the types of measures identified below to potentially offset such take in a manner that is consistent with the goal of stable or increasing breeding populations of golden eagles. The local-area eagle population of concern in this case is the area encompassed by a circle 140 miles from the Project boundary, by definition (USFWS 2009). This is the area within which we would expect evaluations of the effects of this Project on eagles would take place. The following should guide any collaborative development of proposed conservation measures:

- Ensure no net loss or an increase in golden eagles in the local-area population via:
  - Land acquisitions or easement purchases;
  - Nest site protection;
  - Habitat enhancement via:
    - Restoration projects (e.g. juniper removal in shrub-steppe systems that will enhance prey base);
    - Grassland restoration efforts with native grasslands;
    - Cheatgrass control programs;
    - Nest platforms;
    - Nest enhancements;
  - Reduce electrocution mortality via partnering with utilities to implement Avian Power Line Interaction Committee standard (APLIC 2006) retrofits of problem distribution lines;
  - Reduce losses to lead poisoning via:
    - Education program on lead poisoning;

- Raptor rehabilitation centers;
- Contribute to regional or population-wide monitoring and research on golden eagles and wind turbines to better inform management across the West.

#### Conclusion

The Service appreciates the opportunity to comment on the ASC for the Summit Ridge Wind Project. We support well-designed wind projects that are carefully sited on habitats that will result in less impacts to Service trust resources. We recommend that the Oregon Department of Energy defer the approval of the Project site certificate until an Avian and Bat Protection Plan is completed, and available for review. We further recommend the measures outlined in this letter be incorporated into any site certificate approval. The Service is available to continue to work with LotusWorks in the review, development, mitigation, and monitoring of the Project.

If you have any questions regarding the Service's comments or desire to meet with us to discuss these issues further, please contact Jerry Cordova or me at (541) 383-7146.

Sincerely,

Nancy Dilbert

Nancy Gilbert Field Supervisor

cc:

Steve Cherry, Oregon Department of Fish and Wildlife, Heppner, Oregon Chris Carey, Oregon Department of Fish and Wildlife, Bend, Oregon Mike Green, US Fish and Wildlife Service, Migratory Birds, Portland, Oregon Doug Young, US Fish and Wildlife Service, Oregon Fish and Wildlife Office, Portland, Oregon Robert Romero, US Fish and Wildlife Service, R1 Law Enforcement, Oregon

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# ERRATA SHEET

The following corrections apply to the comments of Shawn Smallwood, PhD (21 February 2019):

- 1. On page 32, Table 2, the table heading "Predicted fatalities/MW/year" is corrected to read "Predicted fatalities/year."
- 2. On page 33, Table 3, the table heading "Predicted fatalities/MW/year" is corrected to read "Predicted fatalities/year."

Shawn Smallwood, PhD 3108 Finch Street Davis, CA 95616

Oregon Energy Facility Siting Council c/o Luke May, Siting Analyst Oregon Department of Energy 550 Capitol St. NE Salem, OR 97301 <u>luke.may@oregon.gov</u>

21 February 2019

# Re: Summit Ridge Wind Farm – Request for Amendment 4

Dear Chair Beyeler and Members of the Council,

On behalf of Friends of the Columbia Gorge, Oregon Wild, the Oregon Natural Desert Association, Central Oregon LandWatch, the Audubon Society of Portland, and East Cascades Audubon Society, I write to comment on the Request for Amendment 4 for the Summit Ridge Wind Farm, which requests a postponement of construction start and end dates for the project and which proposes an amended Habitat Mitigation Plan (January 2019). I primarily wish to comment on (1) the suitability of the habitat assessment underlying the amended Habitat Mitigation Plan, and (2) the need to update baseline surveys, project impact predictions, mitigation measures, and postconstruction monitoring protocols. Updated surveys and analyses are needed in part because over the near-decade that has passed since the primary baseline study (Northwest Wildlife Consultants 2010), science has made vast improvements in field survey methods and in our understanding of wind turbine collision factors, displacement effects, and cumulative impacts related to wind projects. Methodology has vastly improved in preconstruction studies needed to predict project-scale and wind turbine-scale impacts, to measure post-construction impacts, and to assess whether and to what degree specific mitigation measures can be tested for efficacy.

My qualifications for preparing these comments as expert comments are the following. I earned a Ph.D. degree in Ecology from the University of California at Davis in 1990. My research has been on animal density and distribution, habitat selection, habitat restoration, interactions between wildlife and human infrastructure and activities, conservation of rare and endangered species, and on the ecology of invading species. I have performed research and monitoring on renewable energy projects for 20 years, and I have authored many peer-reviewed reports, papers, and book chapters on fatality monitoring, fatality rate estimation, mitigation, micro-siting, and other issues related to biological impacts of wind energy generation. I served for five years on the Alameda County Scientific Review Committee (SRC) that was charged with overseeing the fatality monitoring and mitigation measures in the Altamont Pass Wind Resource Area (APWRA), and I prepared many comment letters on proposed renewable energy projects. I collaborate with colleagues worldwide on the underlying science and policy issues related to renewable energy impacts on wildlife.

Most of my wind energy work has been in the APWRA, which is where much of the research funding has been directed to understanding factors related to wind turbine collisions and to finding solutions. The APWRA is the longest-monitored wind resource area in the world for collision fatalities and relative abundance and behaviors of affected species, and the wind resource area with by far the largest number of documented golden eagle fatalities. There is no other place where more could have been learned about how and why eagles collide with wind turbines and what can be done to mitigate the impacts. In the APWRA I have performed research on behavior, relative abundance (use rates), fatality rates, fatality detection trials, nocturnal activities of bats, owls and other wildlife, and research on spatial patterns of raptor prev species. I am participating with a GPS/GSM telemetry study of golden eagles within and beyond the APWRA. I have manipulated livestock grazing as a mitigation measure, and I have participated with mitigation involving power pole retrofits, hazardous turbine removals, winter shutdowns of wind turbines, and repowering of wind projects based on careful siting. I have also opportunistically documented wildlife responses to wildfires in the APWRA. I have personally discovered too many golden eagle fatalities and one bald eagle fatality in the APWRA, including mortally wounded eagles that were later euthanized. I personally witnessed hundreds of near misses that golden eagles and other raptor species have experienced at wind turbines, transmission lines and electric distribution lines in the APWRA. I have been involved with renewable energy impacts on all fronts – study design, fieldwork on fatalities and use and behavior and ecological relationships, study administration, hypothesis-testing, report writing, presentations at meetings, formulation of mitigation, micro-siting, study review, policy review and decisionmaking, and public outreach.

I provided expert comments on a project proposed and later built by Babcock & Brown, out of which Pattern Energy emerged as a company soon after. I later contracted with Pattern Energy to assist with the preparation of an Environmental Impact Report of the same project I commented on as an expert. I also developed collision hazard models and provided micro-siting recommendations to minimize raptor impacts at a proposed Pattern Energy project, and I assisted with analysis of fatality monitoring data from one of Pattern Energy's projects. Lastly, as a member of the Alameda County SRC, I oversaw monitoring and mitigation at two Pattern Energy projects in the APWRA. My CV is attached.

### HABITAT MITIGATION PLAN

The applicant is required by Oregon Administrative Rule (OAR) 345-021-0010(1)(p)(B) to provide an "[i]dentification of all fish and wildlife habitat in the analysis area, classified by the general fish and wildlife habitat categories as set forth in OAR 635-415-0025... and a description of the characteristics and condition of that habitat in the analysis area, including a table of the areas of permanent disturbance and temporary disturbance (in acres) in each habitat category and subtype." In addition, the applicant is required by OAR 345-021-0010(1)(p)(C) to provide "[a] map showing the locations of the habitat," is required by OAR 345-021-0010(1)(p)(D) to identify "all

State Sensitive Species that might be present in the analysis area," and is required by OAR 345-021-0010(1)(p)(E) to provide "[a] baseline survey of the use of habitat in the analysis area" by State Sensitive Species. Finally, the applicant is required by OAR 345-021-0010(1)(p)(F) to describe "the nature, extent and duration of potential adverse impacts on the habitat identified in (B) and species identified in (D) that could result from construction, operation and retirement of the proposed facility," and is required by OAR 345-021-0010(1)(p)(G) to provide "a description of any measures proposed by the applicant to avoid, reduce, or mitigate the potential adverse impacts described in (F) in accordance with the general fish and wildlife habitat mitigation goals and standards described in OAR 635-415-0025..., and a discussion of how the proposed measures would achieve those goals and requirements."

For its part, the Wasco County Land Use and Development Ordinance (LUDO) at LUDO § 19.030.C.5 requires the Council to "tak[e] into account mitigation, siting, design, construction, and operation [of] the energy facility" in order to ultimately ensure that the facility "will not cause significant adverse impact to important or significant natural resources," and authorizes the Council to require "monitoring and mitigation actions that [the Council] determines appropriate."

Several key premises of the amended Habitat Mitigation Plan are incorrect (see Attachment D of Proposed Order: Draft Habitat Mitigation Plan for the Summit Ridge Wind Project (As Amended), January 2019). The Habitat Mitigation Plan states that Northwest Wildlife Consultants (2010) had mapped habitat, performed a habitat quality assessment, conducted avian use surveys, and inventoried bat species, among other tasks. As I will explain further below, Northwest Wildlife Consultants did not map habitat as defined under OAR 635-415-0005. They did not assess habitat quality because they measured no variables representative of population performance indicative of habitat quality. The use surveys were not designed nor intended for supporting habitat mapping or assessing habitat quality, and were insufficient for the intended purpose, which was for assessing wind turbine collision risk. The bat surveys were grossly insufficient for supporting an "inventory," and because they were performed at ground-level, they never could have informed of collision risk for bats that fly at the heights of wind turbine rotors.

Conclusions in the Habitat Mitigation Plan, and responses to Energy Facility Siting Council (EFSC) standards in the Request for Amendment, rely upon inappropriate studies and unsuitable study methods in the context of a wind energy project. Use surveys originated in early wind energy projects to meet a specific need for predicting wind turbine collision risk (Smallwood 2017b), and were based on the largely unsubstantiated assumption that collision rates correlate positively with relative abundance of flying birds or bats (de Lucas et al. 2008, Ferrer et al. 2012, Hull et al. 2013, Hein et al. 2013, Smallwood 2017a,b). One reason for poor prediction performance has been variation in baseline study methods and poor execution of both use surveys and fatality monitoring (Smallwood 2017a,b, Smallwood et al. 2018). Another reason has been that flight behaviors relate much more strongly to collision risk than does relative abundance (Smallwood et al. 2017b). But whatever the reasons for poor prediction performance, use surveys were not intended for assessing habitat in wind projects.

Use surveys could be adapted to support habitat assessments for some species, though not for habitat quality, by adjusting use rates for large biases (discussed later). So long as data measured from use surveys meet or exceed the spatial resolution of environmental variables measured as potential habitat elements, use survey data could contribute to habitat analysis. Unfortunately, Northwest Wildlife Consultants (2010) measured use rates within plots of 800-m survey radius. These plots each encompassed 201 hectares (unrealistically assuming flat terrain) and included multiple mapped vegetation cover types to which the use survey observations, given the way they were measured, could not be linked. If one of the five within-plot golden eagle observations were in Plot X and the survey radius of Plot X encompassed old field, dryland wheat, pond, riparian, exotic annual grassland, and rabbitbrush, with which of these six cover types should we associate the eagle? Unless the use surveys are tailored for habitat analysis, such surveys are not useful for habitat analysis. In fact, the summary of use survey methods in Northwest Wildlife Consultants (2010) did not include steps for habitat assessment, but instead focused on recording flight attributes at the location where a bird was first seen or where it approached closest to the observer or where it crossed a ridge structure.

Habitat quality is measured by population performance metrics, none of which were measured in Northwest Wildlife Consultants (2010) for any species. Population performance metrics can include productivity, abundance, stability, persistence, and other terms that none of them alone can comprehensively represent habitat quality. Habitat quality is a controversial term in wildlife ecology; it is more conceptual than measurable. Anyhow, it was not measured in any form in Northwest Wildlife Consultants (2010).

The specific metrics quantified from avian use surveys were mean use (mean number of birds seen per 20 min survey), percent composition, and frequency of occurrence among 20-min survey sessions (Northwest Wildlife Consultants 2010:10). None of these metrics were related to mapped habitat in any way, so they contributed nothing to habitat assessment. Apparently independent of the avian use metrics, "habitat" was characterized as a map of vegetation cover types – a map for which no on-site, species-specific data had contributed. The habitat map was delineated from aerial imagery, marking boundaries where Northwest Wildlife Consultants saw clear demarcations in land cover, followed by a bit of ground-truthing. No avian use surveys or acoustic bat surveys, nor any other surveys, had anything to do with the formulation of this "habitat" map.

# **Habitat Assessment**

A potential project impacts analysis is needed that scientifically compares habitat conditions for each species pre- and post-construction, and that considers any interaction effects from extensive wildfires that altered vegetation cover on the project area in 2018. Habitat is defined by the species, and is more than just a desktop analyst's decision on delineating cover types viewable on aerial imagery. Habitat is a product of perceptions of an organism's environment – where opportunities might be found and dangers avoided or minimized. Thus, an important aspect of habitat analysis in the context of a wind project is any perceived threat posed by wind turbines and maintenance traffic that might result in displacement (Leddy et al. 1999, Whitfield and Madders 2006, Pearce-Higgins et al. 2009, Garvin et al. 2011, Langston 2013). Displacement is habitat loss. The mapping of vegetation cover types is irrelevant to an assessment of displacement caused by a species' instinctual avoidance of the wind turbines, wind project infrastructure, or maintenance traffic. Likewise, avian use surveys and bat acoustic surveys are irrelevant to this type of assessment if they collect data that are too crude for comparisons before and after wind project construction.

As examples of displacement effects, white-tailed eagle breeding success declined near a Norwegian wind project because breeding territories within 500 m of wind turbines were vacated (Dahl et al. 2012). Tasmanian wedge-tailed eagles (*Aquila audax fleayi*) and white-bellied sea-eagles (*Haliaeetus leucogaster*) flew through wind projects along flight paths that maximized their distances from wind turbines (Hull and Muir (2013). Telemetered golden eagles were found to increase flight heights while passing over wind projects (Johnston et al. 2014). Nesting birds in grasslands were reduced within 80 m of wind turbines (Leddy et al. 1999). None of these examples relied on vegetation cover maps, but rather measured displacement effects as distances from wind turbines. Measuring and mitigating habitat impacts in a wind project context requires measurements of animals relative to planned and constructed wind turbine locations, which is yet to be accomplished at Summit Ridge.

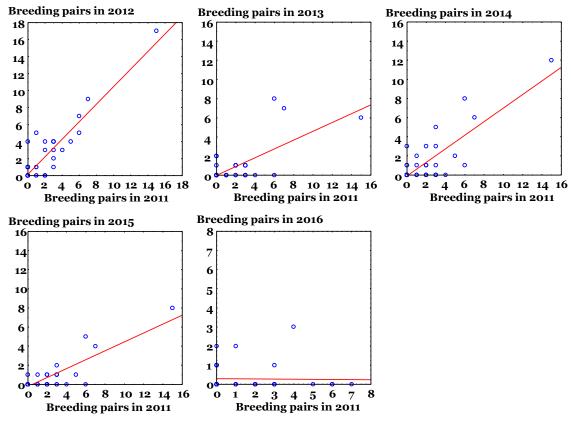
On the issue of whether the project will be consistent with the general fish and wildlife habitat mitigation goals and standards in OAR 635-415-0025, Tetra Tech (2018:29) offers a 2009 habitat analysis supporting the conclusion that the project meets the standards despite changes to the environment caused by extensive wildfires in 2018 (Tetra Tech 2018:30). Tetra Tech explains that Northwest Wildlife Consultants previously constructed a map of available vegetation categories and then assigned wildlife species to those categories. A desktop analysis followed, concluding that the wildfire degraded habitat quality, but that the wind project would not reduce habitat quality. Tetra Tech's conclusions, however, are based on scientifically incorrect characterizations of habitat, an outdated and insufficient analysis from nearly a decade ago that is not likely to reflect current conditions, an unsubstantiated assumption that burned vegetation negatively affects all wildlife, and absence of any consideration of an interaction effect between vegetation changes and the proposed wind project.

OAR 635-415-0005 defines habitat as "*the physical and biological conditions within the geographic range of occurrence of a species, extending over time, that affect the welfare of the species or any sub-population or members of the species.*" This definition is consistent with the scientific definition of the term, which generally is that portion of the environment used by a particular species (Hall et al. 1997, Morrison et al. 1998). Habitat is typically characterized for a species following use-and-availability

studies, in which the occurrences of a species are compared to the availability of measured environmental elements, such as soil types, terrain features, vegetation cover types, seasons and times of day. From these comparisons, scientists infer species' habitat affinities (Smallwood 2002), or the assignment of particular portions of the environment where a particular species is typically found in numbers equal to or exceeding the number that would be expected of a random or uniform distribution across a broader space or time period. Under Implementation of Department Habitat Mitigation Recommendations (OAR 635-415-0005), 6 Habitat Categories are described along a continuum of habitat affinity, of which Habitat Category 1 would represent strongest affinity and Habitat Category 6 weakest affinity. But any categorization under these rules is not as simple as a continuum of use versus availability, as a species' use of a portion of the environment could be measured as lower than proportional while still meeting a critically important function. OAR 635-415-0025 appropriately allows for both a quantified use-and-availability approach and a categorization based on expert knowledge in assigning portions of the environment to its 6 Habitat Categories. The critical point here is that the species informs the investigators of its habitat affinities through its expressions of behavior, spatial-temporal distribution, and performance, rather than the investigators' lumping of species into conveniently available, catch-all, vegetation cover types as part of a "desktop analysis."

The Summit Ridge habitat map was not based on use-and-availability analysis, nor did it characterize habitat for any particular species. The approach used by Northwest Wildlife Consultants in 2010 was inconsistent with both the scientific definition of habitat and Oregon's definition in OAR 635-415-0005. Even more inconsistent, however, is the current claim that habitat was degraded as a result of the 2018 wildfires (Tetra Tech 2018:30). Wildlife species vary in their responses to changes in the environment, so vegetation cover changes caused by a wildfire will displace some species while attracting others. I have seen and quantified such variation in response to fires when I performed a 13-year study of wildlife responses to mechanical alteration of the environment as well as the use of controlled burns (Smallwood and Morrison 2013; Smallwood and Morrison paper in prep.).

Early successional vegetation following a fire can increase the numbers and availability of some small mammal species to aerial predators. For example, vegetation-removal treatments in one of my study areas resulted in a 7.4-fold increase in ground squirrel burrow systems and a 4-fold increase in burrowing owl nest sites relative to control sites, and the spatial distributions of both squirrels and burrowing owls shifted following the treatments (Smallwood and Morrison in prep.). In an effort to reduce wind turbine collision fatalities of raptors in the Altamont Pass, I led a study in which we switched grazing regimes from cattle to sheep and we varied the density of animal units to elicit quantifiable responses of raptors to changes in ground cover (Smallwood et al. 2009). We documented substantial responses. Since that study I have continued to document spatial and numerical shifts of small mammals, raptors and other birds to continuing managed variation in sheep grazing intensity (Smallwood unpublished data). In fact, even without a major change in vegetation cover, wildlife typically shift activity areas every generation or so, as reported for >130 animal species worldwide (Taylor and Taylor 1979) and as found in my own research (Smallwood 2016, Smallwood and Morrison 2018, Smallwood unpublished data). In the Altamont Pass, for example, I began monitoring burrowing owls among 46 large sampling plots in 2011 (Smallwood et al. 2013), and have since found that I cannot predict the burrowing owl distribution several years following any given year (Figure 1; data from 2017 and 2018 further confirm the loss of predictability, but are not shown in this figure). Whereas I obtained a strong correlation between the number of breeding pairs per sampling plot in 2012 relative to the number of breeding pairs in those same plots in 2011, my correlation visibly declines with each succeeding year until the 2016 distribution bears no resemblance to the 2011 distribution, as indicated by the regression slope of 0 in Figure 1. Hypothesized causes for this shifting mosaic pattern of abundance include (1) escape from parasite loads, (2) escape from predator loads, (3) accumulating around more abundant food supplies while allowing food replenishment at vacated sites, (4) natural accumulation of dispersing young while adults in the natal area senesce, or (5) some combination of these causes. Whatever the cause, it is mistaken to regard wildlife distributions as static or habitat as spatially fixed.



*Figure 1.* Breeding pairs of burrowing owls among 46 plots in the APWRA from 2012 through 2016 as functions of breeding pairs in 2011 (Smallwood, unpublished data).

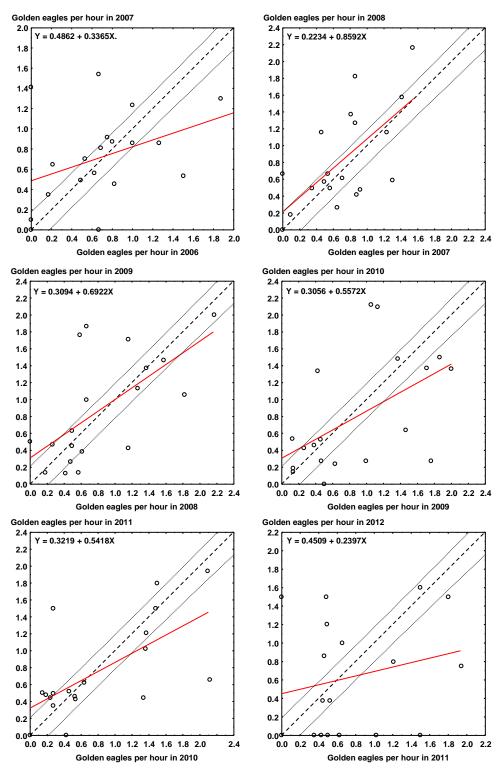
Another way to answer the question of whether one or two years of preconstruction use surveys can generate representative use rates many years into the future is to test the degree to which one year of use rates can predict use rates in subsequent years. A follow-up question would be how predictive do the preconstruction use rates need to be for predicting a wind project's impacts? In lieu of a scientific deliberation on this follow-up question, let's say the answer is 10% prediction accuracy. With this answer serving as my standard, I should expect to see most of the use rates calculated from 84 APWRA survey stations in any given year falling within the 90% prediction interval that represents use rates of some previous year.

I tried the comparison using golden eagle use survey data from multiple years in the APWRA. One year's use rates predicted subsequent use rates with decreasing accuracy as the number of years separating the use rates increased (Table 1). With one year difference between use rates, the linear regression slope differed significantly from o in 4 of 6 years, but r<sup>2</sup> averaged only 0.34 among the 4 years with slopes >0 and root-mean square error (RMSE) averaged 0.48 and the proportion of use rates included within the 90% prediction intervals averaged only 45% of the 19 stations (Table 1, Figure 2). With two years difference between use rates, the linear regression slope differed significantly from 0 in 2 of 5 comparisons, but r<sup>2</sup> averaged only 0.46 between the 2 years with slopes >0 and RMSE averaged 0.45 and the proportion of use rates included within the 90% prediction intervals averaged only 42% of the 19 stations (Table 1, Figure 3). With three years difference between use rates, the linear regression slope differed significantly from 0 in 1 of 4 comparisons, but  $r^2$  was only 0.19 for the comparison with slope >0 and RMSE was 0.45 and the proportion of use rates included within the 90% prediction intervals was only 32% of the 19 stations (Table 1, Figure 4). With four years difference between use rates, the linear regression slope differed significantly from 0 in 1 of 3 comparisons, but  $r^2$  was only 0.19 for this comparison with slope >0 and RMSE was 0.46 and the proportion of use rates included within the 90% prediction intervals was only 26% of the 19 stations (Table 1, Figure 5). With five years difference between use rates, the linear regression slope differed significantly from o in neither comparison (Table 1, Figure 5). Even among comparisons for which regression slopes differed significantly from 0, the relationship in golden eagle use rates between years was weak. The relationship further weakened with the number of years between use rates. As was clear for burrowing owls (Figure 1), golden eagle use rates measured over one year in one place cannot be relied upon to predict golden eagle use 3 years hence.

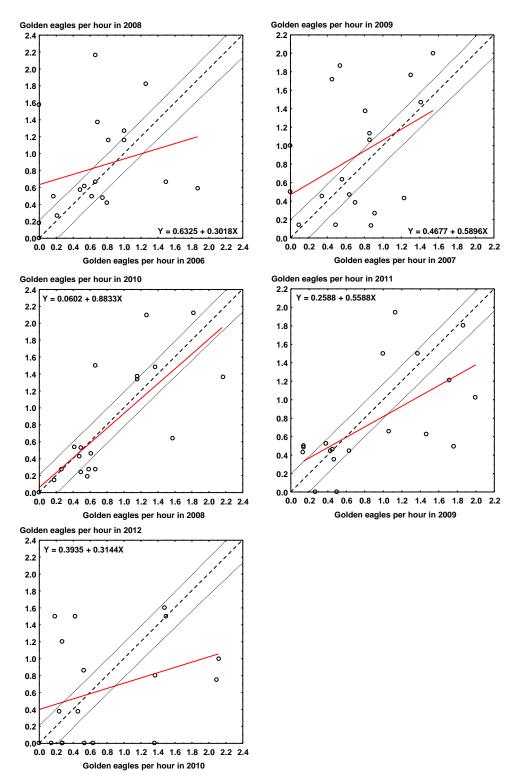
It is also noteworthy that Figures 1 through 5 reveal false-0 use rates, as indicated by data points on the Y-axis. This revelation can be found wherever use rates were 0 for burrowing owls or golden eagles, but later found to be >0. Had the use survey effort stopped after the year with a 0 later found to be >0 at any given site, the result would have been a false determination of absence. False-0 outcomes are important because they cannot be adjusted and can lead to adverse surprises after the project is constructed. The only way to avoid the effects of false-0's is to survey long enough to minimize the likelihood of recording false-0's.

**Table 1.** Summary of one year's golden eagle use rates (eagles per hour) regressed on a previous year's use rates among 19 wind projects across the Altamont Pass Wind Resource Area, including a hypothetical result for which use rates in the subsequent year (2010) differed by 10% from use rates in 2009 (orange highlight).

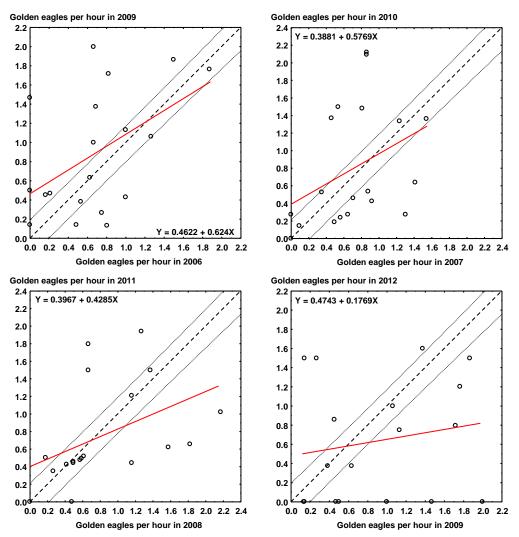
Pre- year	Post- year	Slope, b	P < 0.05	<b>r</b> <sup>2</sup>	RMSE	Proportion within 90% prediction interval
2009	2010	0.99	yes	0.97	0.11	0.95
2006	2007	0.34	no	0.09	0.48	0.42
2007	2008	0.86	yes	0.40	0.35	0.47
2008	2009	0.69	yes	0.36	0.47	0.42
2009	2010	0.56	yes	0.23	0.56	0.37
2010	2011	0.54	yes	0.38	0.53	0.53
2011	2012	0.24	no	0.00	0.59	0.21
2006	2008	0.30	no	0.01	0.50	0.42
2007	2009	0.59	no	0.12	0.42	0.26
2008	2010	0.88	yes	0.56	0.39	0.47
2009	2011	0.56	yes	0.36	0.51	0.37
2010	2012	0.31	no	0.06	0.67	0.32
2006	2009	0.62	yes	0.20	0.45	0.32
2007	2010	0.58	no	0.09	0.43	0.26
2008	2011	0.43	no	0.15	0.54	0.53
2009	2012	0.18	no	0.00	0.66	0.21
2006	2010	0.65	yes	0.19	0.46	0.26
2007	2011	-0.01	no	0.00	0.46	0.32
2008	2012	0.10	no	0.00	0.61	0.16
2006	2011	0.42	no	0.09	0.48	0.26
2007	2012	0.15	no	0.00	0.45	0.21



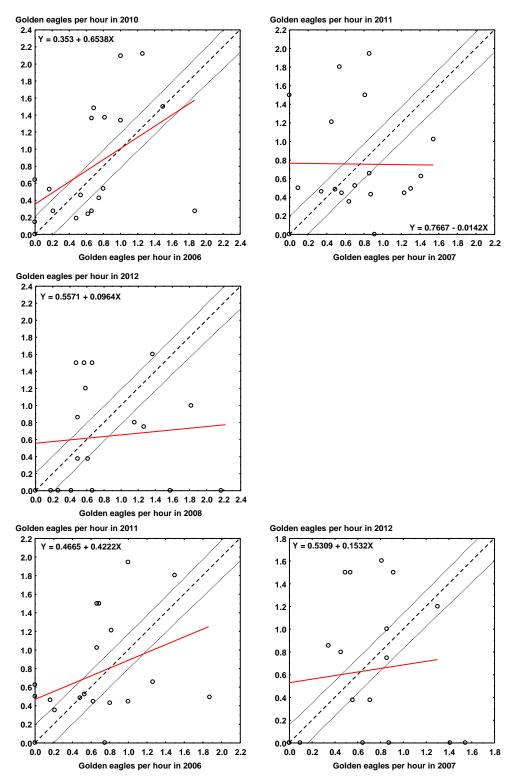
**Figure 2.** Golden eagle use rates at 19 APWRA wind projects regressed (red line) on use rates measured the year before and compared to slope of equivalency (dashed line) and 90% prediction interval calculated from hypothetical 2010 use rates differing 10% from 2009 use rates.



**Figure 3.** Golden eagle use rates at 19 APWRA wind projects regressed (red line) on use rates measured two years before and compared to slope of equivalency (dashed line) and 90% prediction interval calculated from hypothetical 2010 use rates differing 10% from 2009 use rates.



**Figure 4.** Golden eagle use rates at 19 APWRA wind projects regressed (red line) on use rates measured three years before and compared to slope of equivalency (dashed line) and 90% prediction interval calculated from hypothetical 2010 use rates differing 10% from 2009 use rates.



**Figure 5.** Golden eagle use rates at 19 APWRA wind projects regressed (red line) on use rates measured four years (top 3 graphs) and five years (bottom 2 graphs) before and compared to slope of equivalency (dashed line) and 90% prediction interval calculated from hypothetical 2010 use rates differing 10% from 2009 use rates.

Whereas the use surveys performed at Summit Ridge in 2005-2010 can inform the types of environment where a particular species is more likely to be found, assuming the 'use' survey data were mapped and the mapped data subjected to appropriate use-and-availability analysis, I would not agree that raptors in 2023 will occur where they were seen in 2005-2010. A particular species might occur in the same type of environmental setting, but not necessarily in the same places.

# UPDATING PROJECT IMPACT PREDICTIONS AND MITIGATION

The applicant is required by OAR 345-021-0010(1)(p) to provide "*information about* . . . *the fish and wildlife species* . . . *that could be affected by the proposed facility*" and is required by OAR 345-021-0010(1)(p)(A) to describe the "*biological and botanical surveys performed* . . . , *including a discussion of the timing and scope of each survey*." In addition, the Wasco County ordinance at LUDO § 19.030.C.5 requires the applicant to "[c]onduct biologically appropriate baseline surveys in the areas affected by the proposed energy facility to determine natural resources present and patterns of habitat use," and to provide "*information pertaining to the energy facility's potential impacts and measures to avoid impacts on* . . . *all potential species of reasonable concern,*" including species identified "by any jurisdictional wildlife agency resource management plan adopted and in effect on the date the application is submitted" (which would include bald eagles, golden eagles, federally designated migratory birds, and federal birds of conservation concern). Two such wildlife Service's Eagle Take Rule and the USA Eagle Conservation Plan Guidance (US Fish and Wildlife Service 2013).

In the 9 years since Northwest Wildlife Consultants (2010), I have studied baseline studies to assess their contributions to predicting and understanding wind project impacts (Smallwood 2017b, Smallwood and Neher 2017, Smallwood unpublished data). Baseline studies are intended to predict project impacts and formulate mitigation, and to set the stage for measuring project impacts. Baseline studies can predict project impacts by pursuing several objectives: (A) Identifying species present at a site, including species known to be vulnerable to wind turbine collision or displacement; (B) Ouantifying abundance of species on site as a next-level assessment of collision risk; (C) Locating breeding sites to assess collision risk; (D) Quantifying behaviors on site, such as flight patterns and inferred objectives, e.g., foraging, mating, staging, stopping-over, migrating; (E) Characterizing spatial distributions of relative abundance and flight patterns to inform micro-siting for minimizing project impacts; and, (F) identifying opportunities for measuring project impacts and mitigation efficacy (Sinclair and DeGeorge 2016). However, baseline studies often suffer major shortfalls toward each of these objectives. Species presence can be difficult to confirm, requiring special survey methods and large time commitments; otherwise, determinations of species' absence are inappropriate and too often followed by documented fatalities at the operational wind project. Whether species are vulnerable to wind turbine collision depends on the likelihood of fatality monitoring methods detecting the species as fatalities in earlier monitoring efforts at other projects (Smallwood 2017). Whether species are vulnerable

to displacement has rarely been measured. If behavior data are collected as part of use surveys, the investigators rarely analyze the behavior data to assess collision risk or to inform micro-siting decisions. This latter shortfall is most critical because it goes to the only mitigation measure demonstrated to have minimized or reduced avian collision impacts (Smallwood 2008, 2009; Brown et al. 2016, Smallwood et al. 2017). As for identifying opportunities to measure impacts and mitigation efficacy, baselines studies rarely if ever address the experimental design constraints on testing mitigation efficacy or the statistical constraints associated with fatality estimation. They also never discuss the biological ramifications of fatality estimates in terms of demographic consequences or cumulative impacts. The Summit Ridge baseline study suffered these shortfalls and needs to be updated now that we know better.

# **Species Inventory**

The US Fish and Wildlife Service (2012) recommends implementing survey methods that are appropriate for detecting the species.

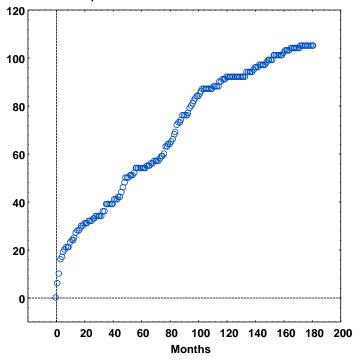
#### <u>Birds</u>

Use surveys of the type performed at Summit Ridge were designed for detecting large birds, specifically large raptors. Additional survey methods are needed for an *inventory* of birds, including point counts for songbirds, focused surveys for burrowing owls, nocturnal surveys for owls, and call-back surveys for cryptic species. These various survey methods to meet the detection challenges of different species is what the US Fish and Wildlife Service (2012) meant by implementing survey methods appropriate to the species.

In my experience, bird species detected by use surveys at a project site increase in a typical pattern with additional survey efforts, so that the number of species yet to be detected can be predicted by a model fit to the cumulative counts of species already detected (Figure 6). It takes a great deal of survey effort to approach an asymptote in the number of species occurring at a site, and even in the case of the APWRA (Figure 6) there are bird species being killed by wind turbines that were never detected during use surveys. At Summit Ridge, because the data were not made available, I have no way of graphing bird detections against survey effort to assess whether the number of species detected was close to the number likely occurring at Summit Ridge. It is noteworthy, however, that Northwest Wildlife Consultants (2010) detected at least 55 species of birds in only 168 hours of survey over portions of two years. The 168 hours of survey at Summit Ridge equals about 7.5 months of survey effort in the APWRA, on average, so the survey effort at Summit Ridge detected nearly 3 times the number of bird species as detected in the APWRA for the same effort level, suggesting that additional survey effort would eventually tally a very large number of bird species. Given that the APWRA is notorious for avian collision fatalities, the larger number of species detected from a much smaller effort at Summit Ridge might portend large impacts. But there is more to collision risk than a simple species inventory.

**Figure 6.** Cumulative bird species detected during use surveys at 84 stations approached an asymptote of about 110 species after 180 months of effort in the Altamont Pass Wind Resource Area, California.

Cumulative bird species



Not inventoried by the use surveys at Summit Ridge were the owls, goatsuckers, nocturnal migrants, or small or cryptic birds unlikely to be detected in use surveys. Due to the relatively small survey effort and the use of only one survey method, the baseline study includes more false-zero detections than it does bird species detections, and is therefore short of any sort of inventory. This is a pervasive problem among baseline studies performed across North American (Beston et al. 2015), and needs to be rectified at Summit Ridge.

#### **Bats**

The 2009 bat surveys (which are erroneously referred to by Tetra Tech (2018) as an "inventory" of bats) in the project area were severely limited by survey methodology, totaling 16 detector-nights over 4 nights at 6 stations. Not only were the bat surveys brief, they were constrained to bats that typically forage near the ground. In my experience using acoustic detectors for 4.5 months per year over 3 years, bat species composition differed between ground level and 80 m above ground, where the rotor hubs of modern wind turbines occur. Brown et al. (2016) detected 4 species of bats exclusively at ground level, whereas another 3 species were found at both heights. In other words, placing acoustic detectors near the ground can give the investigator a biased view of foraging or migrating bat species composition within a proposed project area. The surveys need to be repeated by placing acoustic detectors at heights within the planned rotor plane, but also using thermal-imaging cameras to count bats using the airspaces over various topographic settings, and by spanning a larger portion of the year.

After having studied bat activity in the APWRA for 7 years, including 940 hours on a FLIR T620 thermal imaging camera fitted with an 88.9 mm telephoto lens (Smallwood 2016, 2017), 3 years monitoring bat species via ground and wind turbine-based acoustic detectors (Brown et al. 2016), and many years of bat fatality monitoring, I recommend an update to the 2009 bat surveys. Understanding bat ecology is challenging due to bats' nocturnal activity, travel patterns, and cryptic roosting. This past year – my 7th year of monitoring bat migration through the APWRA – there was for the first time in my experience no migration (Smallwood unpublished data). One implication of this year's lack of migration through my APWRA study area is that an entire migration event can be missed by monitoring a site during a single migration season one year. If I had relied solely on 2018 thermal-imaging surveys over the migration season, I would have erroneously concluded that bats do not migrate through my study area. Such an error would have been profound. Similarly, Northwest Wildlife Consultants (2010) could easily have missed entire species of bat using the project area during the brief period of their 2009 surveys.

If, at the Vasco Winds Energy Project (Brown et al. 2016), we had relied on only one of three years of post-construction acoustic monitoring, and had we interpreted no detections as evidence of absence, as Tetra Tech (2018) and Northwest Wildlife Consultants (2010) did by characterizing the 2009 survey as an inventory, then we would have erroneously concluded that big brown bats (*Eptesicus fuscus*) were absent. But we detected this species in other years. Furthermore, preconstruction surveys detected 3 species of bat (Myotis evotis, Myotis thysanodes, Lasiurus noctivagans) that we never detected post-construction (Normandeau Associates Inc. 2011). Acoustic detectors have a range of about 30 m at best, or about 36% of the rotor-swept area of a modern wind turbine, not accounting for any obstructions. Detectors mounted 1.5 m above ground are limited in range to about 18% of the rotor-swept area of a modern wind turbine due to nearness to ground. An investigator relying on such detectors to characterize a bat community has to hope that members of all species of bats in the area will fly low to the ground and within a 30-m vertical ark of one of the detectors. Not only do members of each species have to visit these low-lying detector zones to be detected, but they have to echolocate or vocalize while doing so. Some species do not echolocate or vocalize as often as others, meaning that some species are more likely to be missed in acoustic detector surveys (Sinclair and DeGeorge 2016). Acoustic detection of bats has brought highly valuable data to investigators, but its limitations must be recognized. I would need a very large acoustic detector survey effort involving placements at multiple heights above ground before concluding the bat community had been inventoried.

A biased view of bat species inventory, as well as collision risk, can also emerge from focusing surveys into one season of the year, as did Northwest Wildlife Consultants (2010). It is widely known that bat foraging activity peaks either in summer or fall, depending on location. The largest peak activity period in the APWRA has been during the last week of September and first week of October, which is later than most locations in North America that we know of. But by monitoring year-round, I also documented an activity peak in April, and I continued to see large bats (hoary bats) through spring and summer. Hoary bats also have been found dead at APWRA wind turbines from spring through fall. During preconstruction acoustic detector surveys at Vasco Winds, Normandeau (2011) documented bats year-round, as well as shifting compositions of bat species detected each season. Rather than restricting bat surveys to a narrow portion of a year based on an assumed peak in activity, surveys should be performed year-round to discover unique activity patterns at the project site.

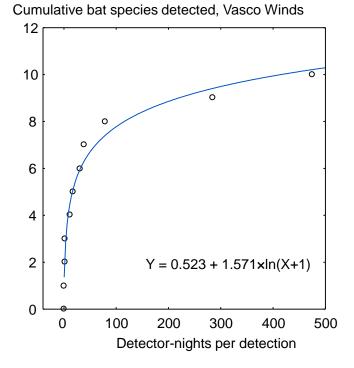
I recommend an expanded survey effort for bats, especially if the objective is to determine an inventory of bat species. Between the Normandeau (2011) and Brown et al. (2016) studies at Vasco Winds, it took 475 detector-nights per detection of western long-eared myotis and 285 detector-nights per detection of fringed myotis. Even for silver-haired bat, we needed 5 times the number of detector-nights (79) than the 16 used at Summit Ridge to detect this species. Sixteen detector-nights is highly unlikely to yield an inventory, especially at ground level.

Based on the average detector-nights per detection of species (Figure 7), had Brown et al. (2016) relied solely on 16 detector-nights, we would have failed to detect 83% of the species that we actually detected. According to the model that best fits the data in Figure 7, we should have detected 12 species of bats after the 1,425 detector-nights used in the studies, so we likely missed two species. Assuming this model would be applicable to Summit Ridge, 16 detector-nights should have detected 2 or 3 species of the 15 or so potentially present, but Northwest Wildlife Consultants detected 7 species, or more than predicted. A possible explanation for the more-than-predicted detections was Northwest Wildlife Consultants' (2010) selection of study locations thought more likely to be used by bats, whereas the studies at my project site location were selected based on met tower availability or wind turbine sites. Nevertheless, Northwest Wildlife Consultants did not truly inventory bat species at Summit Ridge, nor did they identify bat species or quantify their activity levels within the airspaces likely to be swept by wind turbines. A survey effort is needed in the airspaces that will be affected by wind turbines.

Related to the objective of identifying species present at a site, there is the objective of identifying species known to be vulnerable to wind turbine collision or displacement. Northwest Wildlife Consultants (2010) addressed this objective by listing average fatality rates of bat species having been recorded as fatalities at Pacific Northwest wind projects. The problem with this is that we now know how unlikely it was for all bat fatalities to have been found and recorded, especially fatalities of smaller-bodied species of bats. Based on a detection trial integrated into a 7-day fatality search interval out to 105 m from 2.3-MW wind turbines mounted on 80-m towers, carcass detection rates would be 1.6% for small-footed myotis (Figure 8, predictive model from Brown et al. 2016). Given the longer search interval used at Pacific Northwest wind projects cited by Northwest Wildlife Consultants (2010), detection rates would have been even lower, likely requiring hundreds of bat fatalities before a single one was found. Until skilled scent-detection dogs are widely used in bat fatality searches at wind projects and the results shared with the public, it will remain unknown which of the bat species might be

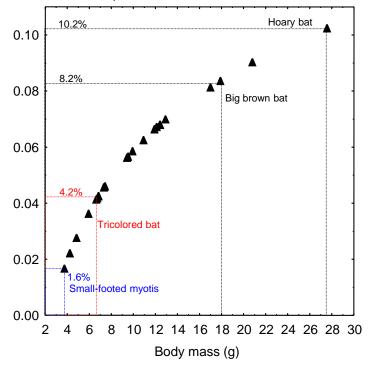
more vulnerable to wind turbine collision (see the findings of Mathews et al. 2013 and Smallwood et al 2018 – Attachment 1).

**Figure 7**. Cumulative bat species detected in acoustic surveys increased with detector-nights per detection, based on 1,425 detectornights at Vasco Winds Energy Project, 2010-11 (Normandeau 2011) and 2012-14 (Brown et al. 2016).



**Figure 8**. A model was fit to carcass detection rates, D, regressed on body masses of nearly 200 frozen-fresh bats of multiple species that were thawed and placed over three years in fatality search areas around monitored wind turbines in the Altamont Pass Wind Resource Area. The model was then projected to North American bat species based on body mass typical of each species.

Predicted proportion of bat carcasses found, *D* in 7 day search interval at Vasco Winds Energy Project, extended to bat species across North America



# **Relative Abundance**

Wasco County LUDO § 19.030.C.5.b requires the applicant to conduct "biologically appropriate baseline surveys in the areas affected by the proposed energy facility to determine natural resources present and patterns of habitat use" and LUDO § 19.030.C.5.c requires the applicant to select turbine "locations to reduce the likelihood of significant adverse impacts on natural resources based on expert analysis of baseline data."

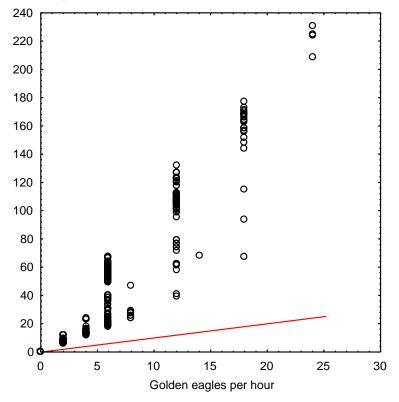
The USA Eagle Conservation Plan Guidance (US Fish and Wildlife Service 2013) recommends 40 hours of relative abundance or use surveys per station covering at least 30% of the project's footprint. To be consistent with this recommendation, the 10 usesurvey stations at Summit Ridge would have needed 400 hours of surveys instead of the 168 hours completed. Based on what has been learned in the APWRA, I contend that more hours are needed than the 400 recommended by US Fish and Wildlife Service (2013). Also based on what has been learned in the APWRA, I contend that more years of surveys are needed than the 2 years recommended by US Fish and Wildlife Service (2013). Species composition and species' activity areas shift inter-annually to a degree that cannot be captured by only 2 years.

As earlier illustrated in Figures 1 through 5, use rates shift spatially and inter-annually, so use rates estimated in one year cannot be expected to represent use rates 14 years hence. As an example of how things change, bald eagles – a species protected under the federal Bald and Golden Eagle Protection Act and the Migratory Bird Treaty Act – were not expected by the Alameda County Scientific Review Committee to be at risk of wind turbine collision in the Altamont Pass because use surveys rarely reported bald eagles in the Altamont Pass through 2011. Since 2011, however, bald eagles have been seen in increasing numbers. Last week I saw 3 bald eagles in 2 days in the Altamont Pass. A few years ago I found one dead under a wind turbine. Tetra Tech (2018:36) expects that Summit Ridge will not affect bald eagles, but I suggest that appropriate surveys might lead to an altered expectation. (Tetra Tech also claims in their Table 2 that bald eagles eat carrion in the upland environment, but in my experience they also catch and consume live prey just as golden eagles do.)

The use rates I compared in Figure 2 had been adjusted because we learned since 2010 that use rates are functions of maximum survey radius and they vary according to the proportion of the sky that is visible from any given observation station (Figure 9). As field biologists are asked to survey to greater distances, they miss increasingly larger proportions of the available birds, more so for small-bodied bird species than large-bodied species. As illustrated in Figure 9, these functions are biases if they are not quantified and accounted for in the use-rate metric (Smallwood and Neher 2017, Smallwood 2018). In Figure 9, the highest golden eagle use rates adjusted for occluding terrain were 11 times higher than without the adjustment. Without accounting for these biases, use-rate comparisons are potentially misleading, both between projects and within a project area when using the data to carefully micro-site the turbine layout with the intention of minimizing collision impacts.

**Figure 9.** Golden eagles seen flying per visible km<sup>3</sup> per hour increased faster than proportionately with increasing golden eagles seen flying per hour among the APWRA's 84 observation stations monitored 2005-2011. The red line depicts theoretical equivalency between the use rates had there been no effect of visual obstruction.

Golden eagles per visible km<sup>3</sup> per hour



Two of the three metrics measured by Northwest Wildlife Consultants (2010) were almost entirely functions of the distance bias discussed above. Smaller birds are seen less often than larger birds for the simple reason that many individuals of smallerbodied species are either not seen or are seen but not identified to species due to being located too far from the observer. Percent composition is deeply confounded by this distance bias, and therefore does not represent the species true contribution to the total number of birds on a 201-hectare survey plot. According to Table 6 of the Baseline Study, common rayens were 21 times more abundant than sayannah sparrows and 6.6 times more abundant that American goldfinches. I question these results because I know from experience that common ravens are easy to see (and hear) out to 800 m from the observer, whereas savannah sparrows and American goldfinches, unless accompanied by calls, are much more difficult to detect and identify. Assuming the American goldfinches and savannah sparrows were seen within 50 m of the observer, which is a generous assumption, the relative abundance estimated for these species would need to be extrapolated to the additional 200.175 ha of the survey plot to account for those members of each species too far away to be seen or identified, resulting in relative abundance estimates about 250 times greater than reported. The third metric, percent frequency, suffers the same confounding from plot size as do the other metrics, and is therefore just as misleading without any adjustment for the distance effect.

Use surveys to 800-m boundaries are fraught with species identification errors. McClure et al. (2018) compared human detections of eagles to automated detections out to 1,000 m and found through photo documentation that the biologists misidentified 32% of large birds as eagles. Human misidentification of large birds as eagles increased 26% for every 100 m added distance from the observer, and the median distance of bird detections was <400 m (McClure et al. 2018). Additional errors at long distances include estimation of the bird's height above ground (Stanek 2013) and determining whether the bird is within or outside the maximum search radius. Using maximum survey radii >400 m hurts any micro- or macro-siting model development more than it helps due to the large error rates.

Not only was the maximum survey radius too far for the stated objectives of the use surveys, but the survey stations were too few to encompass many of the then-planned wind turbine sites in the surveyed airspace. If the analysts are not going to use behavior patterns to highlight locations of likely collision impacts, then passage rates through the airspace of planned or potential wind turbine locations could inform of collision risk and help guide micro-siting (Smallwood 2017). Of the then-planned 86 turbine sites, however, only 28 (32%) were within surveyed airspace, only 13 (15%) were within 400 m of the observers, and only 7 (8%) were within 200 m of the observers. Thus, only 8% of the project could be assessed for collision risk to birds the size of American kestrels and only 15% of it could be assessed reliably for birds to the size of eagles. Most of the relative abundance quantified by Northwest Wildlife Consultants (2010) was at locations other than where wind turbines would be constructed.

Also missing from reports of use surveys in the Baseline Study were any of the types of statistics that accompany fatality rate estimates, such as standard error and confidence ranges. In fact, there was no handling of error at all, as if measured use rates represented true use rates. Because there were no error terms accompanying the estimates of mean use rates, there was no carrying of error terms through the calculation of use rates. Without error terms, birds with high use rates were compared to birds with low use rates without acknowledging that as use rates diminished, uncertainty in the accuracy of their use rates likely increased. This is a strange omission because estimated use rates likely carry larger error terms than do fatality estimates. Northwest Wildlife Consultants (2010) compared fatality rates and use rates as if error did not exist for either metric.

Nor was the reader of the Baseline Study informed of potential biases caused by imbalance in start times per observation station, although the reader was informed of imbalance in seasonal representation. No ceiling was specified for inclusion of birds in use rate estimates, as if birds flying 900 m above ground were included along with birds flying 60 m above ground. And as pointed out earlier, there was no adjustment for variation in how much airspace was visible from one station to the next, and no adjustment for the proportion of birds unseen due to the effect of the long survey distance used.

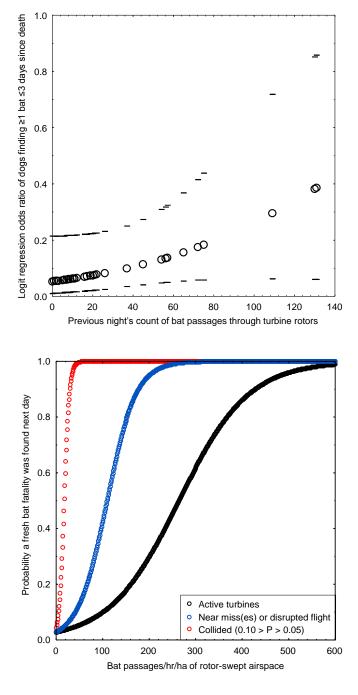
#### **Bats**

The Habitat Mitigation Plan has yet to be informed by measured relative abundance of bats, but it should be. The surveys performed in 2009 appeared to be intended for identifying which species of bat occur at the project site, but even for that purpose the survey effort was insufficient (as noted earlier). But a question long in need of an answer is whether relative abundance of bats can predict post-construction fatality rates of bats. A follow-up question has been whether pre-construction activity patterns of bats can be used to guide micro-siting to minimize bat collision impacts.

Hein et al. (2012) tested for a correlation between post-construction fatality rates and pre-construction use rates based on acoustic detectors placed in proposed wind projects, but found no significant correlation. Hein et al.'s (2012) disappointing result might have been influenced by the same type of inter-annual variation in relative abundance as I have noted for birds, or it could have been caused by inadequate research methods; it remains unknown why a significant correlation was not found. My colleagues and I decided to try testing for a correlation by quantifying passage rates through turbine rotors using a thermal-imaging camera and relating these passage rates to the results of fatality searches performed much closer to the time of the passage rate surveys, and we used dogs as the fatality searchers.

We found that bat fatality finds *did* correlate with the previous night's relative abundance (Figure 10), but our model predictions of fresh bat fatality finds were more responsive to higher rates of near misses with wind turbine blades and even more so with observed collisions (Figure 11). But whereas we established that observed risky situations do indeed translate into fatality finds, we still do not know whether we can predict fatality rates at candidate turbine locations based on preconstruction use surveys. A possible reason for potentially being unable to predict fatality rates of bats at the turbine scale is because bats are attracted to wind turbines (Smallwood 2016). I have seen many bats go out of their way to visit wind turbines and often fly through the operating rotors repeatedly. Interestingly, when the turbines are turned off or not operating, bats largely lose interest in them and passage rates decline (Smallwood unpublished data). When turbines are shut down, fatalities of bats ceased (Smallwood and Bell, In prep.). Even if not for predicting impacts at a specific project such as Summit Ridge, the magnitudes of bat impacts are so great that preconstruction bat surveys are warranted for research value. In the meantime, fatality rates at other wind projects likely serve as the best predictors of bat impacts at Summit Ridge, so long as the data from other projects were collected using dogs or search intervals of <10 days.

**Figure 10.** Odds ratio (95% CI) of finding at least 1 bat dead  $\leq$ 3 days logit-regressed on the number of previous-night bat passes through rotors of the same wind turbines searched by dogs for fatalities at Golden Hills and Buena Vista Wind Energy projects, 20 September through 26 October, 2017. Data were from Smallwood et al. (In prep.).



**Figure 11.** Logit-regression model predictions of the odds of dogs finding fresh bat fatalities the morning after thermal-imaging survey-counts of bats passing through active turbine rotors (black), bats nearly colliding or experiencing disrupted flights due to pressure waves of passing blades or wake turbulence (blue), and bats seen colliding with a blade (red) in California's Altamont Pass Wind Resource Area, 15 September through 15 November 2017. Data were from Smallwood et al. (In prep.).

### **Behavior Data for Micro-siting**

Micro-siting to minimize collision impacts has obviously not been attempted for this project, yet such information should have been provided in the application materials pursuant to OAR 345-021-0010(1)(p)(G) and Wasco County LUDO §§ 19.030.C.5.c and 19.030.C.5.h. To assist with micro-siting to minimize collision impacts, the US Fish and Wildlife Service (2003) had prepared guidelines that identified terrain features and environmental settings to avoid. Here, the application materials provide no indication

that micro-siting to minimize collision impacts took place. The crude map of 86 turbine locations in the Baseline Study (Northwest Wildlife Consultants 2010) shows regular spacing between turbines along Summit Ridge, as did the partial map attached to a 21 April 2016 email from Arthur Smith to Peter Ostrowski (in Attachment B: Reviewing Agency Comments on Preliminary Request for Amendment 4). In my experience, regular spacing results in some wind turbines installed on ridge saddles, breaks in slope, and other terrain settings that happen to coincide with regular spacing. Wind turbines on these terrain features will result in disproportionate collision rates at those turbine sites – collision rates that could be avoided.

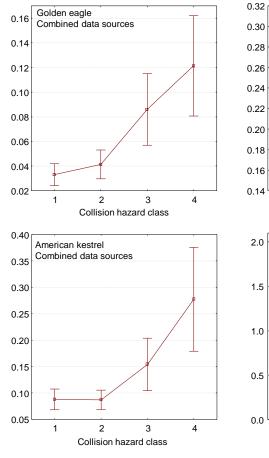
No matter the layout iteration, I have seen no evidence of an effort to avoid landscape features long known to associate with more collision fatalities, including features identified in the original federal guidelines for minimizing wind energy impacts on wildlife (US Fish and Wildlife Service 2003). The map of use survey stations in Northwest Wildlife Consultants (2010) was overlaid on a turbine layout, suggesting that the layout at the time had been decided before any use surveys were performed. Using Google Earth to examine some of the planned sites in the Arthur Smith email of 21 April 2016, it appears to me that some wind turbines are planned for ridge saddles, ravines, and relatively low-lying portions of ridge structures. This is unfortunate because we know that careful micro-siting is the most effective mitigation measure available for minimizing wind project impacts to raptors (Attachments 2 and 3).

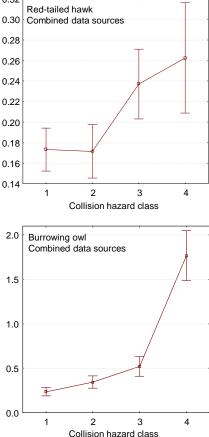
A change to the layout was proposed in 2014, increasing tower height to 91 m and turbine size to 2.7 MW, and reducing the number of turbines from 87 to 75 or 72. Rick Gerhardt of Northwest Wildlife Consultants was asked to assess changes to wildlife risk resulting from the change in turbines, which he did by email to Steven Ostrowski and Eric Desmarais on 8 August 2014. Gerhardt replied that it was intuitive that the taller tower and larger rotor would kill more birds and bats, but that the increased collision risk posed at the individual turbine level would be more than offset by decreased collisions with fewer turbines and reduced impacts on habitat. In my experience, intuition has not served biologists well when it comes to impact predictions at wind projects, but the important point here is that no consideration was given to where the larger turbines could be sited to minimize collision risk. With fewer wind turbines needed to meet the project's capacity goal comes the opportunity of more space to carefully micro-site the turbines to avoid terrain features known to associate with more collisions and areas where behavior data suggest are disproportionately trafficked by birds and bats (Smallwood 2017c). By not suggesting micro-siting of the larger turbines to minimize collision risk, Gerhardt's 2014 reply email indicates again that this approach was not part of the baseline study for the project. Because micro-siting to minimize collision impacts was not an objective of Northwest Wildlife Consultant's (2010) baseline study, the data needed for micro-siting were not collected.

Behavior data are needed to inform micro-siting to minimize collision fatalities. Northwest Wildlife Consultants (2010) reportedly recorded behavior data, but only once per flight path. Behaviors need to be mapped at frequent intervals along a flight path (Smallwood 2017b, Smallwood et al. 2017). This type of data can be used to develop collision hazard models useful for micro-siting, or they can be used as empirical support for expert micro-siting decision-making. Ample evidence suggests that this general approach can minimize avian collision mortality (Brown et al. 2016, Smallwood et al. 2017; Attachments 2 and 3; Figures 12 and 13). Figure 12 shows how map-based collision hazard models performed in the APWRA, where Hazard Class 1 included wind turbines on landscape settings predicted safest and Hazard Class 4 included wind turbines on landscape settings predicted most dangerous. Class 1 tended to compose 63% of the landscape, Class 2 about 20%, Class 3 about 12% and Class 4 about 5%. The wind turbines in Hazard Class 4, composing 5% of the landscape, caused fatality rates 4 times higher than wind turbines in Hazard Class 1 for golden eagles, 1.5 times higher for red-tailed hawks, 3.4 times higher for American kestrels, and >6 times higher for burrowing owls. Work still needs to be done to improve collision hazard models for redtailed hawk, although expert opinion tends to work well for this species. I should also note that the model for golden eagles also made use of telemetry data and landscape attributes associated with wind turbine fatality finds. The burrowing owl model made use of fatality finds as well as landscape attributes associated with breeding sites.

Figure 12. Mean and 95% CI fatality rates of golden eagle, red-tailed hawk, American kestrel, and burrowing owl in response to modelpredicted collision hazard classes 1 through 4, where 1 was least hazard, and 4 greatest hazard in the Altamont Pass Wind Resource Area.







*Figure 13. Golden eagle fatalities per* turbine relative to (top) SRC style hazard ratings binned 4 = 3 to 5, 6 = 6 and 6.5, 7 = 7 and 7.5, 8 = 8 and 8.5, and 9 = 9 and 9.5; (middle) Grading within 40 m of the turbine *leaving cut slopes or berms of 0 = none, 1 = 1-*3 m, and 2 = >3 m; (bottom) Combined indicator of SRC-style hazard rating, grading impact and whether low on declining ridge or within saddle or valley structure. The combined indicator was the sum of the binned SRC rating divided by 9, the binned grading impact weighted by half, 1 for sites low on ridge and 1 for sites within saddle or valley structures, and this sum was binned as 1 = 0 to 1; 2 = 1 to 2; 3 = 2 to 2.8, and 4 = >2.8. I note that I applied SRC-style hazard ratings to 6 turbine addresses postconstruction because these turbines had been relocated far from original sites during the planning process. These data were from the first year of fatality monitoring at 48 wind turbines in the Golden Hills project.



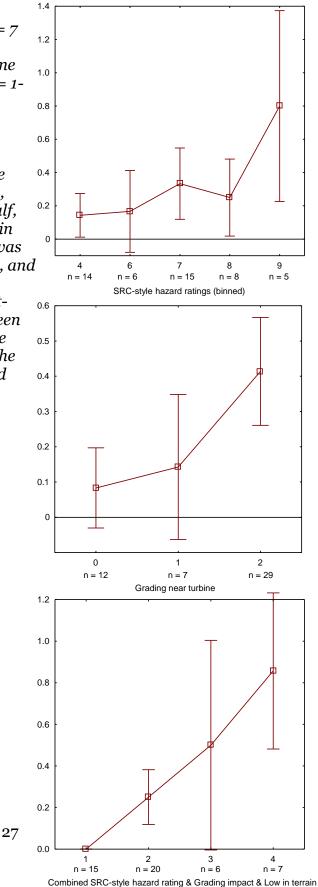
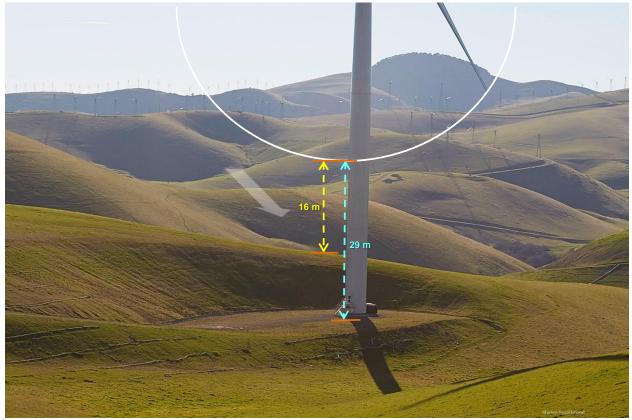




Figure 13 shows how golden eagle fatality rates varied among 48 modern wind turbines rated for landscape contributions to collision threat based on expert opinion (SRC-style ratings), levels of grading for the turbine pad and access roads, and a combination of expert opinion about the landscape setting and degree of grading. An emerging issue is the contribution of grading for turbine pad and access roads to subsequent wind turbine collision risk. Pads cut deeply into slopes can leave berms in the prevailing upwind aspect of turbine rotors, effectively reducing the space between the low-reach of the blades and the height above ground a bird needs to clear above the berm (Figure 14). This grading can also increase turbulence that birds or bats will experience as they fly from air above matrix slope conditions to air above a graded pad (Attachment 3). Wind speeds over such pads can drop radically, and wind directions can change. In addition to micro-siting to minimize collision risk, micro-siting to minimize grading should be an objective.



**Figure 14.** This view is toward the prevailing wind (see broad white arrow), so the prevailing upwind slope, the crest of which is only 30 m from the wind turbine, forces any bird or bat passing over it into a vertical gap of only 16 m between the hill crest and the low reach of the blade. The wind turbine's dimension of 29 m height above ground of the low reach of the rotor has lost its meaning due to the effects of grading of a pocket into the slope. I have seen this type of grading across the western USA.

The application and Habitat Mitigation Plan need to be revised to incorporate the most effective mitigation measures, including wind turbine micro-siting, based on current

data, to minimize collision hazards to birds and bats. To this end, appropriate studies need to be performed.

#### **Nest Locations**

The applicant is required by OAR 345-021-0010(1)(p), and (1)(p)(A) through (1)(p)(H) to survey the current locations of nests for raptors and sensitive birds in order to ensure the protection of these species and their habitat. In addition, the applicant is required by Wasco County LUDO § 19.030.C.5.h to reduce impacts by "[*a*]voiding construction activities near raptor nesting locations during sensitive breeding periods and using appropriate no construction buffers around known nest sites." In addition, nest locations can often inform about "patterns of habitat use" and potential project siting "locations to reduce the likelihood of significant adverse impacts," which are required by Wasco County LUDO §§ 19.030.C.5.b and 19.030.C.5.c, respectively.

Northwest Wildlife Consultants (2010) compared raptor nest density at Summit Ridge to raptor nest densities and raptor fatality rates measured at other Pacific Northwest wind projects where both of these metrics had been measured. Lumping species together to measure nest density and fatality rate introduced multiple problems that were ignored by Northwest Wildlife Consultants. Raptor species vary in nest density and in fatality rates. By lumping species for their comparison, Northwest Wildlife Consultants washed out any species-specific relationships between nest density and fatality rates, and they made no effort to manage the error terms associated with each species. If I were to repeat the assessment approach of Northwest Wildlife Consultants (2010) in the Altamont Pass, I would have to combine, among other species, the 2 or 3 golden eagle nests with the 25 to 50 or so red-tailed hawk nests and the 537 (90% CI: 320-753) burrowing owl nests when measuring raptor nest density. My raptor nest density would obviously be dominated by burrowing owl nests, and so would my raptor fatality estimate. Lumping species for this type of assessment is not a good idea.

When investigators appropriately examine relationships of nest location with wind turbine fatalities, they consistently document harmful outcomes (Hunt e al. 1998, Pearce-Higgins et al. 2009, Dahl et al. 2012, Loesch et al. 2012). In my own studies I found that burrowing owl nest location turned out to be a key predictor variable in my burrowing owl collision hazard model in the Altamont Pass. A common thread among these studies is their simultaneous measurement of nesting locations and wind turbine impacts. This simultaneity is important because birds are not as tenacious about nesting in the same place from year to year as some people might believe. Just as shown in my Figure 1, the locations of entire burrowing owl nesting colonies shift interannually, and in studies where I have focused on individual nest sites, I found that these also shift use inter-annually (Smallwood and Morrison 2018). Doug Bell and I have been tracking the nest locations of a pair of prairie falcons, which change locations from one year to the next in the APWRA, one year nesting in a rock cave in Vasco Caves Regional Preserve, the next year nesting in a derelict Howden turbine nacelle, then returning to a different rock cave in Vasco Caves, and then nesting in a derelict Micon turbine nacelle on the east side of the Altamont Pass. Nesting sites are not static.

The nest surveys at Summit Ridge support my point that nest distributions are dynamic. Species composition of on-site nesters changed considerable between 2009 and 2016 (Northwest Wildlife Consultants 2010, 2016). Northwest Wildlife Consultants found 5 species of raptor in 2009 but only 1 species of raptor in 2016. They found twice as many red-tailed hawk nests in 2009 as they did in 2016. They reported a map of red-tailed hawk nests in 2009 that looked nothing like the map of nests in 2016; the spatial distribution had changed completely, except perhaps for underlying environmental conditions at the nest sites.

Planning a wind project layout to buffer nest sites previously mapped in one particular year – say in 2009 or 2016 – will do little to minimize impacts when nest sites of those same birds or some future generation of birds nest at different sites. A more effective approach is to map breeding sites over several years and then model nest site selection for use in micro-siting. This was how we developed an effective collision hazard model for burrowing owls in the Altamont Pass. Knowing the types of places where a particular species tends to nest is more useful than relying on a 2009 or 2016 map of nest sites. An appropriate nest site survey is needed at Summit Ridge, and it needs to be interpreted appropriately.

## **PREDICTING IMPACTS**

The applicant is required by OAR 345-021-0010(1)(p) to provide "information about . . . the fish and wildlife species . . . that could be affected by the proposed facility" and is required by OAR 345-021-0010(1)(p)(F) to provide "[a] description of the nature, extent and duration of potential adverse impacts on the [identified habitat] and [identified sensitive species] that could result from construction, operation and retirement of the proposed facility." In addition, the Wasco County ordinance at LUDO § 19.030.C.5 requires the applicant to provide "information pertaining to the energy facility's potential impacts and measures to avoid impacts on . . . all potential species of reasonable concern," and this information must "[t]ak[e] into account mitigation, siting, design, construction, and operation [of] the energy facility."

As a first step, the proposed mitigation measures need predictions of collision fatalities per species of bird and bat. Appropriate mitigation cannot possibly be planned effectively without first knowing the potential impacts. Imagine trying to plan mitigation for impacts of a natural disaster on personal property without knowing the value of the personal property or the potential magnitude of damage caused by the disaster. The Habitat Mitigation Plan, as written, poses the same problem for birds and bats at Summit Ridge because it remains unknown how many of each species of bird and bat would be made vulnerable to wind turbine collisions, and it remains unknown how many might be killed by wind turbine collisions, collisions with transmission lines, or other elements of the project. Potential impacts are not entirely unknown, however, because impacts have been measured at other wind projects, providing a starting point for defining a range of possible outcomes. The Baseline Study did not predict collision fatality impacts, other than to conclude that impacts would be low for every taxonomic group addressed. Northwest Wildlife Consultants (2010) compared reported fatality rates from other Pacific Northwest wind projects, but did not project the mean fatality rates from those projects to Summit Ridge - an easy and obvious step. Or was it? Although Northwest Wildlife Consultants laid out wind project attributes from which the fatality rates were drawn – such as wind turbine size, tower height, blade length and so on - they did not lay out the fatality monitoring attributes that bear on variation in estimated fatality rates. These fatality monitoring attributes can influence fatality estimates more than the true number of fatalities, and include monitoring duration search interval, inter-transect spacing, maximum search radius, carcasses used in detection trials, detection trial duration, the types and condition of trial carcasses used, and whether humans or skilled detection dogs were used as searchers (more on these attributes later, when I comment on postconstruction monitoring, but also see Smallwood 2007). So to answer my earlier question, no, extrapolating reported fatality rates from Pacific Northwest wind projects to Summit Ridge is not a simple and obvious step. Reported estimates should be compared at face-value.

I took on the challenge of estimating new fatality rates from every wind project where monitoring results were publicly reported through 2012. The challenge was to obtain comparable fatality rates, using the same suite of assumptions and analytical methods for all of them. There was no way that I could remove all of the unique influences of individual studies, especially those related to carcass detection trials, but my estimates were much more comparable than the originals (Smallwood 2013). Based on wind projects where the average fatality search interval was <10 days, predicted bat fatalities at Summit Ridge is 7,620 with an upper-bound prediction of >11,000 bat fatalities (Table 2). The two sensitive species of bat in the project area for which fatality estimates existed somewhere in North America by 2012 were hoary bat and silver-haired bat, predicted to be killed by the Summit Ridge project at the rates of 527 hoary bats and 342 silver-haired bats per year. If these rates continued through 30 years of operations, the project will have killed about 15,810 hoary bats and 10,254 silver-haired bats.

Applying the 2012 national averages (Smallwood 2013) to the Summit Ridge capacity and assuming no micro-siting to minimize collision impacts, the averages predict at least 2.7 eagles per year, or at least 81 eagles after 30 years of operations. For burrowing owls the averages predict 15.4 fatalities per year, or 462 after 30 years. For all raptors the averages predict 119 per year or 3,579 after 30 years. For all birds as a group, the averages predict 1,454 fatalities per year, or 43,611 after 30 years. Relative to the biology of each taxonomic group, none of these predicted numbers are small, and all should be carefully considered. Any project beginning operations in 2023 should not rely on baseline use surveys conducted in 2009.

**Table 2.** Predicted fatality rates of Oregon's and the nation's sensitive species at Summit Ridge, based on estimated mean and 90% CI fatalities/MW/year in 2012 (Smallwood 2013), and assuming 2.7-MW turbines would be installed without any careful micro-siting. I represents search interval.

	Predic	Predicted fatalities/MW/year					
Таха	Mean	90% LB	90% UB				
Bats, <i>I</i> < 10 days	7,620.5	4,179.6	11,061.4				
All raptors	119.3	15.4	216.5				
All birds	1,453.7	24.9	2,401.2				
Long-billed curlew	0.2	0.0	0.4				
Bald eagle	0.2	0.0	0.0				
Golden eagle	2.5	0.7	4.3				
Ferruginous hawk	0.4	0.0	0.9				
Swainson's hawk	1.0	0.0	1.7				
Burrowing owl	15.4	0.9	25.2				
Common nighthawk	4.0	0.0	6.0				
Lewis's woodpecker	0.2	0.0	0.4				
Grasshopper sparrow	0.4	0.0	1.0				
Brewer's sparrow	1.5	0.0	2.9				
Hoary bat	527.2	90.3	641.1				
Silver-haired bat	341.8	41.1	330.2				

## **Cumulative Impacts**

In order to successfully analyze and prevent adverse impacts to wildlife species, cumulative impacts must be assessed. For this project, however, the most recent avian use surveys were performed in 2009. I must point out that circumstances have changed substantially since then. One changed circumstance includes USA wind energy's 2.75-fold increase in installed capacity from 35,128 MW in 2009 to 96,488 MW by 2018 (https://www.awea.org/wind-101/basics-of-wind-energy/wind-facts-at-a-glance, last accessed 14 February 2019). This increase in installed capacity has translated into an increase in cumulative effects to bats and birds, including to threatened and endangered species. Regressing installed capacity through the present time) results in a model that predicts 131,351 MW by the requested end of project construction in 2023 ( $r^2 = 1$ , P < 0.0001). My 2012 nationwide fatality estimates (Smallwood 2013, Smallwood and Neher 2017) applied to the 2009 installed capacity and also projected to the 2023 installed capacity yields very different cumulative impacts, averaging about 3.74 times greater in 2023 than they did in 2009 (Table 3).

	Predicted fatalities/MW/year							
	2009			2023				
Taxa	Mean	90% LB	90% UB	Mean	90% LB	90% UB		
All bats, I<10 days	1,377,018	755,252	1,998,783	5,148,959	2,824,047	7,473,872		
All raptors	21,562	2,786	39,119	80,623	10,416	146,272		
All birds	262,677	4,503	433,894	982,203	16,839	1,622,421		
Long-billed curlew	35	0	70	131	0	263		
Bald eagle	32	0	0	118	0	0		
Golden eagle	457	119	773	1,708	447	2,890		
Ferruginous hawk	70	0	162	263	0	604		
Swainson's hawk	179	0	316	670	0	1,182		
Burrowing owl	2,779	155	4,549	10,390	578	17,010		
Common nighthawk	717	0	1,092	2,680	0	4,085		
Lewis's woodpecker	28	0	70	105	0	263		
Grasshopper sparrow	77	0	190	289	0	709		
Brewer's sparrow	270	0	520	1,011	0	1,944		
Hoary bat	95,260	16,317	115,838	356,198	61,013	433,143		
Silver-haired bat	61,762	7,423	59,675	230,941	27,754	223,139		

**Table 3.** Predicted fatality rates nationwide based on estimated mean and 90% CI fatalities/MW/year in 2012 (Smallwood 2013).

The small bird predictions in Tables 2 and 3 are probably biased low due to the preponderance of fatality search intervals being longer than what was appropriate for small birds, resulting in too many false-zero estimates (see Smallwood 2018). The bat predictions are also likely biased low for having used human searchers instead of dogs. In a recent study my colleagues and I found 73 bats at wind turbines using dogs where concurrent searches by humans found 1 bat over the same search areas, during the same time period, and at nearly the same search intervals (Attachment 1). This stunning result calls into question most of the bat fatality estimates in the USA, and indicates the very large predictions in Table 2 are too low. Even assuming the predictions are accurate, an annual toll of more than 5 million bats would qualify wind energy as by far the greatest mortality source for bats, begging the question of whether ongoing impacts are sustainable. These are the changed circumstances facing Summit Ridge, given the proposed several years of delay in project startup. The analysis performed in 2010 (based on 2009 data) is obsolete and cannot apply to the situation in 2023.

Much has changed since 2010, including our understanding of the magnitudes of impacts and whether certain mitigation measures are effective. For example, the US Fish and Wildlife Service (2013) projected a 35% reduction in national eagle numbers due to wind energy impacts. Eagles are protected by the federal Bald and Golden Eagle Protection Act and the Migratory Bird Treaty Act, as well as the Wasco County Ordinance at LUDO § 19.030.C.5. The US Fish and Wildlife Service's acknowledgement of a highly significant cumulative impact qualifies as an important changed

circumstance in which any wind project starting several years hence needs to be considered.

In another example of changed circumstances, when I was a member of the Alameda County Scientific Review Committee (SRC) overseeing fatality monitoring and mitigation strategies in the Altamont Pass Wind Resource Area from 2006 through 2011, the 5 of us on the SRC concurred that bat fatalities were not an issue in the Altamont Pass, because our human searchers monitoring at >40-day intervals were turning up about 1 bat fatality per year. After leaving the SRC in 2011, I initiated fatality monitoring in 2012 with much shorter search intervals at two wind projects in the Altamont Pass, and searchers started finding many more bats per year. When we initiated monitoring using skilled scent-detection dogs as fatality searchers in 2017, discoveries of bat fatalities escalated to the example I cited above -73 bat fatality discoveries using dogs, versus 1 bat fatality using humans. A startup of Summit Ridge four years hence should not rely on what was known about bat and bird fatalities in 2010.

Related to the above example of how outdated understanding of the issues translate into poorly-founded policy, the Alameda County Programmatic Environmental Impact Report established a threshold bat fatality rate of 1.3 bats/MW/year, exceedance of which would result in mitigation to reduce or offset impacts. This fatality rate had been estimated by dividing the number of bats found during the first year of monitoring at the repowered Vasco Winds Energy Project by national averages for searcher detection and carcass persistence rates of bats placed in detection trials at wind projects across the USA and Canada. I used these national averages (reported in Smallwood 2013) in the first-year monitoring report for Vasco Winds because I lacked results based on sufficient sample sizes from my new integrated detection trials (Smallwood et al. 2018). The new integrated trials avoided the large sources of error and bias that were suspected and increasingly demonstrated in conventional detection trials (Smallwood 2007, Smallwood et al. 2010, 2013, 2018). County of Alameda relied on that first-year result from Vasco Winds as its mitigation threshold going forward, even though I warned the County that my new integrated detection trials were beginning to show that the fatality estimate based on national averages for searcher detection and carcass persistence was biased low. County of Alameda established a threshold that is now grossly exceeded by every wind project repowered in the Altamont Pass. The repowered Golden Hills project is killing bats at 5 times the County's threshold level. Perhaps the outdated information helped justify the County's decision to permit repowering projects in the Altamont Pass, but it did not prevent the impacts we are seeing borne out by the projects today, and it set in place an untenable mitigation threshold. Our understanding of the magnitude of the collision problems and what to do about them has been changing quickly; analysis from 2010 (based on 2009 data) is grossly outdated.

## IMPACT AVOIDANCE, REDUCTION, AND MITIGATION

The applicant is required by OAR 345-021-0010(1)(p)(G) to provide "*a description of any measures proposed by the applicant to avoid, reduce, or mitigate the potential* 

adverse impacts described [pursuant to OAR 345-021-0010(1)(p)(F)] in accordance with the general fish and wildlife habitat mitigation goals and standards described in OAR 635-415-0025..., and a discussion of how the proposed measures would achieve those goals and requirements." In addition, the Council is required to evaluate the "design, construction and operation of the facility, taking into account mitigation" in determining compliance with OAR 345-022-0060(1) and OAR 345-022-0070(2).

For its part, the Wasco County ordinance at LUDO § 19.030.C.5 requires the Council to "tak[e] into account mitigation, siting, design, construction, and operation [of] the energy facility" in order to ultimately ensure that the facility "will not cause significant adverse impact to important or significant natural resources," and authorizes the Council to require "monitoring and mitigation actions that [the Council] determines appropriate." The applicant is also required by LUDO § 19.030.C.5.a to provide "information pertaining to the energy facility's potential impacts and measures to avoid impacts" and is required by LUDO § 19.030.C.5.c to "[s]elect locations to reduce the likelihood of significant adverse impacts on natural resources based on expert analysis of baseline data."

The application materials do not update the impact avoidance, reduction, and mitigation proposals to incorporate current baseline data, current science, and current technologies, including the latest micro-siting strategies. Very few projects through 2010 carefully micro-sited wind turbines to minimize collision fatalities. At that time, 'use' survey data, which were actually visual-scan point counts, were never suited for micro-siting without first mapping the positions of birds over the landscape, and then developing and later projecting predictive models of bird flights relative to the terrain (Smallwood 2018). Most use survey efforts were too coarse-grained in spatial resolution to inform how a wind turbine layout might affect birds. An early attempt to micro-site turbines was a project built by Babcock and Brown, from which Pattern Energy emerged as a company. I contracted with Babcock and Brown and later Pattern Energy to first advise on the turbine layout of the Buena Vista repowering project in the Altamont Pass and then to assess performance.

For micro-siting Buena Vista, I relied on behavior data I had been collecting in the Altamont Pass, rather than 'use' data, and I also relied on what I had already learned about fatality patterns relative to wind turbine locations on the landscape. I recommended that wind turbines not be installed on ridge saddles or breaks in slope, and I recommended that two wind turbines on either side of a deep ravine be mounted on towers that were 10 m taller than the rest. These recommendations were documented in the project's Environmental Impact Report (Lamphier-Gregory et al. 2005). The California Attorney General intervened when it concluded that the constructed project differed from the layout that was promised in the EIR by placing some turbines on towers that were too short and by installing some turbines on ridge saddles and breaks in slope. A settlement was negotiated for compensatory mitigation and new threshold fatality rates that would determine whether the company would be permitted to develop another repowered wind project in the Altamont Pass. Subsequently, following post-construction monitoring, I learned that of the golden eagles and red-tailed hawks that I am aware were killed by the project, all but one redtailed hawk were killed by wind turbines I had predicted would be more hazardous. Most of these fatalities were at wind turbines on ridge saddles and breaks in slope, and 2 of the golden eagle fatalities were at one of the turbines straddling the deep ravine that concerned me during the planning stage. Micro-siting based on behavior patterns and fatality patterns proved effective at Buena Vista – the proof coming from our ability to measure outcomes at a project that had not fully adhered to the micro-siting strategy.

Following that effort, I developed collision hazard models based on more intensive behavior survey data and more fatality data, and I used these models to recommend the layout of the repowered Vasco Winds Energy Project in 2011. I felt that the company listened well to my recommendations at Vasco Winds. After three years of postconstruction monitoring, I had the opportunity to compare fatality rates in a beforeafter, control-impact (BACI) experimental design. The results included fatality reductions from the earlier wind project on the site by 75% to 82% for golden eagle, 34% to 47% for red-tailed hawk, 48% to 57% for American kestrels and 45% to 59% for burrowing owls (Brown et al. 2016). Annual fatality rates were reduced between 56% and 65% for all raptors combined, and 64% to 66% for all birds combined.

Since the Vasco Winds effort, I collected bird behavior data from 2011 through the present, as well as fatality data and golden eagle telemetry data (with Doug Bell as the lead investigator of the telemetry study). I also learned from earlier micro-siting surprises and mistakes, each one improving our understanding of collision factors and how to address them.

Our basis for micro-siting to minimize collision impacts is not the same in 2019 as it was in 2005 (Buena Vista) or 2010 (Vasco Winds). Instead, it is vastly improved. Any project planned to begin operations in 2023 should not rely on 'use' data collected in 2009, especially without appropriately analyzing those data to predict collision hazards posed by the turbine layout.

Although landscape-based collision hazard models have yet to be developed for bats, we have sufficient information to begin the process. We have sufficient fatality data, and we have 940 hours of behavior data around wind turbines using a thermal-imaging camera fit with a telephoto lens. Research on bat behaviors around wind turbines have added greatly to our understanding of the problem (Kunz et al. 2007, Horn et al. 2008, Cryan et al. 2014), as has the use of skilled detection dogs to find bats around wind turbines (Smallwood et al. unpublished data in preparation).

A micro-siting strategy to minimize bird and bat collision risk is needed at Summit Ridge (and this strategy must be part of the application materials, to be reviewed by the Council as part of its decision on the pending construction extensions). Micro-siting in this case would be additional to the micro-siting for engineering requirements discussed in the proposed order. It would be additional to the certificate holder's obligation "to satisfy pre-construction survey requirements for fish and wildlife habitat (Condition 10.7) and potential historic, cultural and archeological resources (Condition 11.3) in areas within the micrositing corridor..." The micro-siting strategy to which I refer is the shifting of wind turbine locations to avoid terrain or environmental conditions (e.g., copses of trees or ponds) that are heavily trafficked by flying birds and bats and that will contribute to disproportionately greater numbers of collision fatalities. This micrositing strategy has been used at other wind projects. No other mitigation method has proven effective for minimizing or reducing bird collision impacts at wind projects.

For bats, the most effective strategy – the only effective strategy so far – has been operational curtailment. Operational curtailment typically involves a small increase in wind turbine cut-in speed, although it can also be guided by an algorithm that considers season of the year, time of night, and wind speed, among other variables. Generally, studies have documented about a 50% reduction in bat fatalities for a fractional percentage loss of wind generation.

A substantial fund needs to be committed for donation to wildlife rehabilitation facilities. Fatality searchers and wind project neighbors too often encounter injured birds and bats, which are often delivered to wildlife hospitals for treatment. Release rates from rehabilitation facilities tend to be low, partly due to the nature of wind turbine collision injuries and partly due to insufficient funds for maintaining facilities and keeping staff. Any new wind project should donate funds to cover the impacts of injured animals.

### Preconstruction surveys

The proposed order's Condition 10.7 requires preconstruction surveys for wildlife within the micro-siting corridor. Elsewhere these types of surveys are referred to as "takeavoidance surveys" and are intended to find and relocate special-status species of animals or plants in jeopardy of being crushed by the heavy machinery of project construction. In her 19 February 2019 email to Luke May, Linnea Fossum of Tetra Tech confirms her understanding of the required survey is that they are indeed preconstruction, take-avoidance surveys. These surveys compose a sensible last-minute effort to salvage the readily salvageable biological resources on a project site, but they are no substitute for detection surveys. Preconstruction surveys are more effective when informed by detection surveys, which are surveys of sufficient rigor that absence determinations can be justified if no members of the target species are found. Species experts have prepared detection survey protocols or guidelines for most special-status species. Using these protocols typically requires more time and effort than is available for preconstruction surveys, but they are helpful to preconstruction surveys by prioritizing where preconstruction surveys can be most productive and by informing appropriate compensatory mitigation.

I recommend revising Condition 10.7 so that it clearly states the purpose and objectives of preconstruction surveys. I also recommend requiring the completion of detection surveys prior to preconstruction surveys, and that these detection surveys extend to the 400-foot boundaries as specified in Condition 10.7. The baseline study included few if

any true detection surveys, and by construction startup too much time will have passed since the baseline study. Preconstruction surveys should be informed by detection surveys.

## **POST-CONSTRUCTION MONITORING**

The applicant is required by OAR 345-021-0010(p)(H) to provide "[*a*] description of the applicant's proposed monitoring plans to evaluate the success of the measures [for avoiding, reducing, or mitigating wildlife impacts] described" under OAR 345-021-0010(p)(G)," and is required by OAR 345-021-0010(q)(G) to provide a "proposed monitoring program . . . for impacts to threatened and endangered species." Similarly, the Wasco County ordinance at LUDO § 19.030.C.5.k requires the applicant to provide "a plan for post-construction monitoring of the facility site using appropriate survey protocols to measure the impact of the project on identified natural resources in the area" (the citation in the Wasco ordinance, this provision was probably intended to be placed at LUDO § 19.030.C.5.k).

Perhaps the strongest contribution that use surveys can bring to wind project impact assessments is in their repetition before and after construction. Use surveys are suited for measuring relative abundance of readily visible diurnal birds, so performing them before and after a wind project is built and operational can inform of changes in relative abundance. Whether any such changes can be attributed to the wind project however, requires the control of variation in an experimental design (Sinclair and DeGeorge 2016). The Habitat Mitigation Plan ought to be revised by informing it with additional use surveys prior to construction, and by informing of impacts by formulating a postconstruction use-survey effort. Both the before and after survey efforts should be designed with experimental design tenets in mind.

Northwest Wildlife Consultants (2010) proposed methods for fatality monitoring – methods which surely should not be implemented in 2019, nor in 2023. Since 2010, we have learned a great deal about sources of uncertainty, biases and methodological efficacy related to fatality monitoring used to estimate fatality rates. The Habitat Mitigation Plan needs to be revised accordingly. Below are specific recommendations for improving post-construction fatality monitoring at Summit Ridge:

- Fatality searches need to be performed using skilled detection dogs (see Attachment 1).
- The proposed fatality search interval is too long, at 23 days. It has been well established that search intervals longer than 10 days are too long.
- The proposed monitoring duration is too brief at one year per turbine. A single year of fatality monitoring cannot possibly inform of inter-annual variation in fatality rates. Three years should be the minimum.

- The maximum search radius is too short, at a distance equal to the height above ground of the blade tip in the 12:00 position. Smallwood et al. (2018) established that this distance would be insufficient. The maximum search radius needs to be 160 m.
- The carcass persistence trials will bias the adjustment factor due to use of two body-size categories and placement of carcasses outside the fatality search plots where scavengers will not be searching for them. Integrated carcass detection trials are what is needed going forward. See Smallwood et al. (2018) for specific details.
- The searcher efficiency trials will be biased by placing carcasses in search areas just prior to fatality searches. Fatality searchers need to be tested on bird and bat carcasses that have weathered the environment at the same times and duration as carcasses of wind turbine fatalities. Integrated detection trials are what is needed.
- A searcher's pacing in meters per minute should not be specified in a fatality monitoring protocol. The searchers need to use whatever pace suits the situation, and however much time suits the conditions within the maximum search radius of the turbine.
- The protocol for handling incidental finds also needs to be updated to include all incidental fatality detections in the fatality estimate.
- Injured animals should be counted as fatalities, and if a particular animal cannot be associated with a specific turbine due to mobility of the injured animal, then it should be assigned to the project.
- The proposed use of mean days to carcass removal needs to be replaced with the proportion of carcasses found in integrated detection trials. Mean days to carcass removal is known to bias fatality estimates lower the longer the trial lasts (Smallwood et al. 2013, 2018).
- It should be specified that clearing searches will not be performed, and all found carcasses should be left in the field as found in order to avoid altering scavenger dynamics. The results of fatality monitoring should represent conditions typical of when there will be no fatality monitoring.
- There should be a specified threshold searcher detection rate, below which searchers (dogs or humans) need to be replaced.
- During the year preceding construction, fatality monitoring should commence to quantify background mortality.

- Northwest Wildlife Consultants (2010) proposes fatality rates serving as thresholds of concern, above which mitigation would be implemented. These thresholds need to be species-specific and agreed-upon with state and federal regulators; consultants should not be in the business of deciding which level of mortality is of concern. As is, the thresholds assigned to broad vegetation cover types are vague and unenforceable, as species can be reassigned to some other cover type to prevent threshold exceedance of any particular cover type.
- Data reporting needs to be to public, and not just to ODOE. A critical attribute of the scientific method is transparency, so all results need to be made available to the public.

In addition to the fatality monitoring measures, post-construction monitoring of relative abundance and behaviors is needed. The way to quantify habitat impacts via displacement is to compare use rates and behavior rates before and after wind turbine construction. The same needs to be done for bats using either acoustic detectors or thermal-imaging cameras, or both. These post-construction monitoring methods need to be tied to preconstruction monitoring methods using experimental design tenets, ensuring that objectives can be met (Sinclair and DeGeorge 2016). Also, additional mitigation options need to be identified prior to construction, and these measures need to be tied to threshold outcomes of post-construction monitoring. Such measures might include wind turbine removals or increased operational curtailment, or provision of additional compensatory funds or habitat protections.

## SUMMARY OF COMMENTS

Pattern Energy's request for extensions of the construction deadlines for the Summit Ridge Wind Farm was submitted with an amended Habitat Mitigation Plan (January 2019) and Pattern's responses to EFSC standards. I commented on (1) the suitability of the habitat assessment underlying the amended Habitat Mitigation Plan, and (2) the need to update baseline surveys, project impact predictions, mitigation measures, and post-construction monitoring protocols. I found that the habitat assessment was based on a conveniently invented characterization of wildlife habitat that does not find its origin in wildlife ecology and that is inconsistent with the definition of habitat in OAR 635-415-0005. Habitat analysis is needed for each species, separately, and in the context of a wind project it needs to include displacement effects of wind turbines.

The most recent avian use surveys and bat detection surveys at the proposed Summit Ridge site were performed in 2009. In the decade since those surveys, much has been learned about the strengths and weaknesses of methods used in the baseline study (Northwest Wildlife Consultants 2010) and in the proposed post-construction fatality monitoring plan. To be consistent with the applicable law and management guidance, the baseline study needs to be updated. Use surveys are needed at the level of effort recommended by the US Fish and Wildlife Service. Behavior surveys are needed for micro-siting to minimize collision impacts. Bat surveys are needed at many more stations across the project area and at heights above ground that are relevant to wind turbine impacts. All measured variables and metrics need to be reported to scientific standards, including error terms and assessments of potential biases. Impact predictions are needed that are based on careful interpretation of impacts measured at other wind projects, and this interpretation needs to account for the biases and sources of uncertainty that have been quantified since 2010. Of critical importance is the need for a cumulative effects analysis, given the >3-fold increase in USA installed wind energy capacity since this project was initially proposed and the fatality impacts that have accompanied this increase.

I recommended wind turbine micro-siting to minimize collision impacts of birds and bats because, incredibly, no such effort has yet occurred at the Summit Ridge site. Careful micro-siting has been found to substantially reduce raptor fatality rates at repowered wind projects in the APWRA, so we know it works. Besides careful micrositing to minimize collision impacts of both birds and bats, operational curtailment needs to be formulated as a mitigation measure, and funds need to be committed for wildlife rehabilitation facilities to care for injured wildlife. I also recommended a suite of improvements to the proposed post-construction fatality monitoring, most importantly the use of skilled detection dogs as fatality searchers, a much shorter search interval than proposed, and longer duration.

Thank you for your attention,

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Shawn Smallwood, Ph.D.

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## Kenneth Shawn Smallwood Curriculum Vitae

3108 Finch Street Davis, CA 95616 Phone (530) 756-4598 Cell (530) 601-6857 puma@dcn.org Born May 3, 1963 in Sacramento, California. Married, father of two.

## **Ecologist**

#### Expertise

- Finding solutions to controversial problems related to wildlife interactions with human industry, infrastructure, and activities;
- Wildlife monitoring and field study using GPS, thermal imaging, behavior surveys;
- Using systems analysis and experimental design principles to identify meaningful ecological patterns that inform management decisions.

## Education

Ph.D. Ecology, University of California, Davis. September 1990.M.S. Ecology, University of California, Davis. June 1987.B.S. Anthropology, University of California, Davis. June 1985.Corcoran High School, Corcoran, California. June 1981.

#### Experience

- 480 professional publications, including:
- 83 peer reviewed publications
- 24 in non-reviewed proceedings
- 371 reports, declarations, posters and book reviews
- 8 in mass media outlets
- 87 public presentations of research results
- Editing for scientific journals: Guest Editor, *Wildlife Society Bulletin*, 2012-2013, of invited papers representing international views on the impacts of wind energy on wildlife and how to mitigate the impacts. Associate Editor, *Journal of Wildlife Management*, March 2004 to 30 June 2007. Editorial Board Member, *Environmental Management*, 10/1999 to 8/2004. Associate Editor, *Biological Conservation*, 9/1994 to 9/1995.
- Member, Alameda County Scientific Review Committee (SRC), August 2006 to April 2011. The five-member committee investigated causes of bird and bat collisions in the Altamont Pass Wind Resource Area, and recommended mitigation and monitoring measures. The SRC

reviewed the science underlying the Alameda County Avian Protection Program, and advised the County on how to reduce wildlife fatalities.

- Consulting Ecologist, 2004-2007, California Energy Commission (CEC). Provided consulting services as needed to the CEC on renewable energy impacts, monitoring and research, and produced several reports. Also collaborated with Lawrence-Livermore National Lab on research to understand and reduce wind turbine impacts on wildlife.
- Consulting Ecologist, 1999-2013, U.S. Navy. Performed endangered species surveys, hazardous waste site monitoring, and habitat restoration for the endangered San Joaquin kangaroo rat, California tiger salamander, California red-legged frog, California clapper rail, western burrowing owl, salt marsh harvest mouse, and other species at Naval Air Station Lemoore; Naval Weapons Station, Seal Beach, Detachment Concord; Naval Security Group Activity, Skaggs Island; National Radio Transmitter Facility, Dixon; and, Naval Outlying Landing Field Imperial Beach.
- Part-time Lecturer, 1998-2005, California State University, Sacramento. Instructed Mammalogy, Behavioral Ecology, and Ornithology Lab, Contemporary Environmental Issues, Natural Resources Conservation.
- Senior Ecologist, 1999-2005, BioResource Consultants. Designed and implemented research and monitoring studies related to avian fatalities at wind turbines, avian electrocutions on electric distribution poles across California, and avian fatalities at transmission lines.
- Chairman, Conservation Affairs Committee, The Wildlife Society--Western Section, 1999-2001. Prepared position statements and led efforts directed toward conservation issues, including travel to Washington, D.C. to lobby Congress for more wildlife conservation funding.
- Systems Ecologist, 1995-2000, Institute for Sustainable Development. Headed ISD's program on integrated resources management. Developed indicators of ecological integrity for large areas, using remotely sensed data, local community involvement and GIS.
- Associate, 1997-1998, Department of Agronomy and Range Science, University of California, Davis. Worked with Shu Geng and Mingua Zhang on several studies related to wildlife interactions with agriculture and patterns of fertilizer and pesticide residues in groundwater across a large landscape.
- Lead Scientist, 1996-1999, National Endangered Species Network. Informed academic scientists and environmental activists about emerging issues regarding the Endangered Species Act and other environmental laws. Testified at public hearings on endangered species issues.
- Ecologist, 1997-1998, Western Foundation of Vertebrate Zoology. Conducted field research to determine the impact of past mercury mining on the status of California red-legged frogs in Santa Clara County, California.
- Senior Systems Ecologist, 1994-1995, EIP Associates, Sacramento, California. Provided consulting services in environmental planning, and quantitative assessment of land units for their

conservation and restoration opportunities basedon ecological resource requirements of 29 special-status species. Developed ecological indicators for prioritizing areas within Yolo County to receive mitigation funds for habitat easements and restoration.

- Post-Graduate Researcher, 1990-1994, Department of Agronomy and Range Science, *U.C. Davis*. Under Dr. Shu Geng's mentorship, studied landscape and management effects on temporal and spatial patterns of abundance among pocket gophers and species of Falconiformes and Carnivora in the Sacramento Valley. Managed and analyzed a data base of energy use in California agriculture. Assisted with landscape (GIS) study of groundwater contamination across Tulare County, California.
- Work experience in graduate school: Co-taught Conservation Biology with Dr. Christine Schonewald, 1991 & 1993, UC Davis Graduate Group in Ecology; Reader for Dr. Richard Coss's course on Psychobiology in 1990, UC Davis Department of Psychology; Research Assistant to Dr. Walter E. Howard, 1988-1990, UC Davis Department of Wildlife and Fisheries Biology, testing durable baits for pocket gopher management in forest clearcuts; Research Assistant to Dr. Terrell P. Salmon, 1987-1988, UC Wildlife Extension, Department of Wildlife and Fisheries Biology, developing empirical models of mammal and bird invasions in North America, and a rating system for priority research and control of exotic species based on economic, environmental and human health hazards in California. Student Assistant to Dr. E. Lee Fitzhugh, 1985-1987, UC Cooperative Extension, Department of Wildlife and Fisheries Biology, developing and implementing statewide mountain lion track count for long-term monitoring.
- Fulbright Research Fellow, Indonesia, 1988. Tested use of new sampling methods for numerical monitoring of Sumatran tiger and six other species of endemic felids, and evaluated methods used by other researchers.

## **Projects**

<u>Repowering wind energy projects</u> through careful siting of new wind turbines using map-based collision hazard models to minimize impacts to volant wildlife. Funded by wind companies (principally NextEra Renewable Energy, Inc.), California Energy Commission and East Bay Regional Park District, I have collaborated with a GIS analyst and managed a crew of five field biologists performing golden eagle behavior surveys and nocturnal surveys on bats and owls. The goal is to quantify flight patterns for development of predictive models to more carefully site new wind turbines in repowering projects. Focused behavior surveys began May 2012 and continue. Collision hazard models have been prepared for seven wind projects, three of which were built. Planning for additional repowering projects is underway.

<u>Test avian safety of new mixer-ejector wind turbine (MEWT)</u>. Designed and implemented a beforeafter, control-impact experimental design to test the avian safety of a new, shrouded wind turbine developed by Ogin Inc. (formerly known as FloDesign Wind Turbine Corporation). Supported by a \$718,000 grant from the California Energy Commission's Public Interest Energy Research program and a 20% match share contribution from Ogin, I managed a crew of seven field biologists who performed periodic fatality searches and behavior surveys, carcass detection trials, nocturnal behavior surveys using a thermal camera, and spatial analyses with the collaboration of a GIS

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analyst. Field work began 1 April 2012 and ended 30 March 2015 without Ogin installing its MEWTs, but we still achieved multiple important scientific advances.

<u>Reduce avian mortality due to wind turbines at Altamont Pass</u>. Studied wildlife impacts caused by 5,400 wind turbines at the world's most notorious wind resource area. Studied how impacts are perceived by monitoring and how they are affected by terrain, wind patterns, food resources, range management practices, wind turbine operations, seasonal patterns, population cycles, infrastructure management such as electric distribution, animal behavior and social interactions.

<u>Reduce avian mortality on electric distribution poles</u>. Directed research toward reducing bird electrocutions on electric distribution poles, 2000-2007. Oversaw 5 founds of fatality searches at 10,000 poles from Orange County to Glenn County, California, and produced two large reports.

<u>Cook et al. v. Rockwell International et al., No. 90-K-181 (D. Colorado)</u>. Provided expert testimony on the role of burrowing animals in affecting the fate of buried and surface-deposited radioactive and hazardous chemical wastes at the Rocky Flats Plant, Colorado. Provided expert reports based on four site visits and an extensive document review of burrowing animals. Conducted transect surveys for evidence of burrowing animals and other wildlife on and around waste facilities. Discovered substantial intrusion of waste structures by burrowing animals. I testified in federal court in November 2005, and my clients were subsequently awarded a \$553,000,000 judgment by a jury. After appeals the award was increased to two billion dollars.

<u>Hanford Nuclear Reservation Litigation</u>. Provided expert testimony on the role of burrowing animals in affecting the fate of buried radioactive wastes at the Hanford Nuclear Reservation, Washington. Provided three expert reports based on three site visits and extensive document review. Predicted and verified a certain population density of pocket gophers on buried waste structures, as well as incidence of radionuclide contamination in body tissue. Conducted transect surveys for evidence of burrowing animals and other wildlife on and around waste facilities. Discovered substantial intrusion of waste structures by burrowing animals.

<u>Expert testimony and declarations</u> on proposed residential and commercial developments, gas-fired power plants, wind, solar and geothermal projects, water transfers and water transfer delivery systems, endangered species recovery plans, Habitat Conservation Plans and Natural Communities Conservation Programs. Testified before multiple government agencies, Tribunals, Boards of Supervisors and City Councils, and participated with press conferences and depositions. Prepared expert witness reports and court declarations, which are summarized under Reports (below).

<u>Protocol-level surveys for special-status species</u>. Used California Department of Fish and Wildlife and US Fish and Wildlife Service protocols to search for California red-legged frog, California tiger salamander, arroyo southwestern toad, blunt-nosed leopard lizard, western pond turtle, giant kangaroo rat, San Joaquin kangaroo rat, San Joaquin kit fox, western burrowing owl, Swainson's hawk, Valley elderberry longhorn beetle and other special-status species.

<u>Conservation of San Joaquin kangaroo rat.</u> Performed research to identify factors responsible for the decline of this endangered species at Lemoore Naval Air Station, 2000-2013, and implemented habitat enhancements designed to reverse the trend and expand the population.

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<u>Impact of West Nile Virus on yellow-billed magpies</u>. Funded by Sacramento-Yolo Mosquito and Vector Control District, 2005-2008, compared survey results pre- and post-West Nile Virus epidemic for multiple bird species in the Sacramento Valley, particularly on yellow-billed magpie and American crow due to susceptibility to WNV.

<u>Workshops on HCPs</u>. Assisted Dr. Michael Morrison with organizing and conducting a 2-day workshop on Habitat Conservation Plans, sponsored by Southern California Edison, and another 1-day workshop sponsored by PG&E. These Workshops were attended by academics, attorneys, and consultants with HCP experience. We guest-edited a Proceedings published in Environmental Management.

<u>Mapping of biological resources along Highways 101, 46 and 41</u>. Used GPS and GIS to delineate vegetation complexes and locations of special-status species along 26 miles of highway in San Luis Obispo County, 14 miles of highway and roadway in Monterey County, and in a large area north of Fresno, including within reclaimed gravel mining pits.

<u>GPS mapping and monitoring at restoration sites and at Caltrans mitigation sites</u>. Monitored the success of elderberry shrubs at one location, the success of willows at another location, and the response of wildlife to the succession of vegetation at both sites. Also used GPS to monitor the response of fossorial animals to yellow star-thistle eradication and natural grassland restoration efforts at Bear Valley in Colusa County and at the decommissioned Mather Air Force Base in Sacramento County.

<u>Mercury effects on Red-legged Frog</u>. Assisted Dr. Michael Morrison and US Fish and Wildlife Service in assessing the possible impacts of historical mercury mining on the federally listed California red-legged frog in Santa Clara County. Also measured habitat variables in streams.

<u>Opposition to proposed No Surprises rule</u>. Wrote a white paper and summary letter explaining scientific grounds for opposing the incidental take permit (ITP) rules providing ITP applicants and holders with general assurances they will be free of compliance with the Endangered Species Act once they adhere to the terms of a "properly functioning HCP." Submitted 188 signatures of scientists and environmental professionals concerned about No Surprises rule US Fish and Wildlife Service, National Marine Fisheries Service, all US Senators.

<u>Natomas Basin Habitat Conservation Plan alternative</u>. Designed narrow channel marsh to increase the likelihood of survival and recovery in the wild of giant garter snake, Swainson's hawk and Valley Elderberry Longhorn Beetle. The design included replication and interspersion of treatments for experimental testing of critical habitat elements. I provided a report to Northern Territories, Inc.

Assessments of agricultural production system and environmental technology transfer to China. Twice visited China and interviewed scientists, industrialists, agriculturalists, and the Directors of the Chinese Environmental Protection Agency and the Department of Agriculture to assess the need and possible pathways for environmental clean-up technologies and trade opportunities between the US and China.

<u>Yolo County Habitat Conservation Plan</u>. Conducted landscape ecology study of Yolo County to spatially prioritize allocation of mitigation efforts to improve ecosystem functionality within the

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County from the perspective of 29 special-status species of wildlife and plants. Used a hierarchically structured indicators approach to apply principles of landscape and ecosystem ecology, conservation biology, and local values in rating land units. Derived GIS maps to help guide the conservation area design, and then developed implementation strategies.

<u>Mountain lion track count</u>. Developed and conducted a carnivore monitoring program throughout California since 1985. Species counted include mountain lion, bobcat, black bear, coyote, red and gray fox, raccoon, striped skunk, badger, and black-tailed deer. Vegetation and land use are also monitored. Track survey transect was established on dusty, dirt roads within randomly selected quadrats.

<u>Sumatran tiger and other felids</u>. Upon award of Fulbright Research Fellowship, I designed and initiated track counts for seven species of wild cats in Sumatra, including Sumatran tiger, fishing cat, and golden cat. Spent four months on Sumatra and Java in 1988, and learned Bahasa Indonesia, the official Indonesian language.

<u>Wildlife in agriculture</u>. Beginning as post-graduate research, I studied pocket gophers and other wildlife in 40 alfalfa fields throughout the Sacramento Valley, and I surveyed for wildlife along a 200 mile road transect since 1989 with a hiatus of 1996-2004. The data are analyzed using GIS and methods from landscape ecology, and the results published and presented orally to farming groups in California and elsewhere. I also conducted the first study of wildlife in cover crops used on vineyards and orchards.

<u>Agricultural energy use and Tulare County groundwater study</u>. Developed and analyzed a data base of energy use in California agriculture, and collaborated on a landscape (GIS) study of groundwater contamination across Tulare County, California.

<u>Pocket gopher damage in forest clear-cuts</u>. Developed gopher sampling methods and tested various poison baits and baiting regimes in the largest-ever field study of pocket gopher management in forest plantations, involving 68 research plots in 55 clear-cuts among 6 National Forests in northern California.

<u>Risk assessment of exotic species in North America</u>. Developed empirical models of mammal and bird species invasions in North America, as well as a rating system for assigning priority research and control to exotic species in California, based on economic, environmental, and human health hazards.

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- Smallwood, K. S. and M. L. Morrison. 2018. Nest-site selection in a high-density colony of burrowing owls. Journal of Raptor Research 52:454-470.
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- Smallwood, K. S. 2017. The challenges of addressing wildlife impacts when repowering wind energy projects. Pages 175-187 in Köppel, J., Editor, Wind Energy and Wildlife Impacts: Proceedings from the CWW2015 Conference. Springer. Cham, Switzerland.
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- Smallwood, K. S. 2013. Comparing bird and bat fatality-rate estimates among North American wind-energy projects. Wildlife Society Bulletin 37:19-33. + Online Supplemental Material.
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- Smallwood, K. S., and M. L. Morrison. 2002. Fresno kangaroo rat (*Dipodomys nitratoides*) Conservation Research at Resources Management Area 5, Lemoore Naval Air Station. Progress report to U.S. Department of the Navy, Lemoore, California. 29 pp. + 19 figures.
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- Smallwood, K. S. and D. Mangey. 2001. Comments on the Newhall Ranch November 2000 Administrative Draft EIR. Prepared for Ventura County Counsel regarding the Newhall Ranch Specific Plan EIR. 68 pp.
- Magney, D. and K. S. Smallwood. 2000. Newhall Ranch Notice of Preparation Submittal. Prepared for Ventura County Counsel regarding our recommended scope of work for the Newhall Ranch Specific Plan EIR. 17 pp.
- Smallwood, K. S. 2000. Comments on the Preliminary Staff Assessment of the Contra Costa Power Plant Unit 8 Project. Submitted to California Energy Commission on November 30 on behalf of Californians for Renewable Energy (CaRE). 4 pp.
- Smallwood, K. S. 2000. Comments on the California Energy Commission's Final Staff Assessment of the MEC. Submitted to California Energy Commission on October 29 on behalf of Californians for Renewable Energy (CaRE). 8 pp.
- Smallwood, K. S. 2000. Comments on the Biological Resources Mitigation Implementation and Monitoring Plan (BRMIMP). Submitted to California Energy Commission on October 29 on behalf of Californians for Renewable Energy (CaRE). 9 pp.
- Smallwood, K. S. 2000. Comments on the Preliminary Staff Assessment of the Metcalf Energy Center. Submitted to California Energy Commission on behalf of Californians for Renewable Energy (CaRE). 11 pp.
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- Smallwood, K.S. 1997. Science missing in the "no surprises" policy. Commissioned by National Endangered Species Network and Spirit of the Sage Council, Pasadena, California.
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- Smallwood, K.S. and S. Geng. 1995. Analysis of the 1987 California Farm Cost Survey and recommendations for future survey. Program on Workable Energy Regulation, University-wide Energy Research Group, University of California.
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- Smallwood, K.S. and W. A. Erickson (written under supervision of W.E. Howard, R.E. Marsh, and R.J. Laacke). 1990. Environmental exposure and fate of multi-kill strychnine gopher baits. Final Report to USDA Forest Service –NAPIAP, Cooperative Agreement PSW-89-0010CA.
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# **Comments on Environmental Documents**

I was retained or commissioned to comment on environmental planning and review documents, including:

- The Villages of Lakeview EIR (2017; 28 pp);
- Notes on Proposed Study Options for Trail Impacts on Northern Spotted Owl (2017; 4 pp);
- San Gorgonio Crossings EIR (2017; 22 pp);
- Replies to responses on Jupiter Project IS and MND (2017; 12 pp);
- MacArthur Transit Village Project Modified 2016 CEQA Analysis (2017; 12 pp);
- Central SoMa Plan DEIR (2017; 14 pp);
- Colony Commerce Center Specific Plan DEIR (2016; 16 pp);
- Fairway Trails Improvements MND (2016; 13 pp);
- Review of Avian-Solar Science Plan (2016; 28 pp);
- Replies to responses on Initial Study for Pyramid Asphalt (2016; 5 pp);
- Initial Study for Pyramid Asphalt (2016; 4 pp);
- Agua Mansa Distribution Warehouse Project Initial Study (2016; 14 pp);
- Santa Anita Warehouse IS and MND (2016; 12 pp);
- CapRock Distribution Center III DEIR (2016: 12 pp);
- Orange Show Logistics Center Initial Study and MND (2016; 9 pp);
- City of Palmdale Oasis Medical Village Project IS and MND (2016; 7 pp);
- Comments on proposed rule for incidental eagle take (2016, 49 pp);
- Grapevine Specific and Community Plan FEIR (2016; 25 pp);
- Grapevine Specific and Community Plan DEIR (2016; 15 pp);
- Clinton County Zoning Ordinance for Wind Turbine siting (2016);
- Hallmark at Shenandoah Warehouse Project Initial Study (2016; 6 pp);
- Tri-City Industrial Complex Initial Study (2016; 5 pp);
- Hidden Canyon Industrial Park Plot Plan 16-PP-02 (2016; 12 pp);
- Kimball Business Park DEIR (2016; 10 pp);
- Jupiter Project IS and MND (2016; 9 pp);
- Revised Draft Giant Garter Snake Recovery Plan of 2015 (2016, 18 pp);
- Palo Verde Mesa Solar Project Draft Environmental Impact Report (2016; 27 pp);

- Reply Witness Statement on Fairview Wind Project, Ontario, Canada (2016; 14 pp);
- Fairview Wind Project, Ontario, Canada (2016; 41 pp);
- Supplementary Reply Witness Statement Amherst Island Wind Farm, Ontario (2015, 38 pp);
- Witness Statement on Amherst Island Wind Farm, Ontario (2015, 31 pp);
- Second Reply Witness Statement on White Pines Wind Farm, Ontario (2015, 6 pp);
- Reply Witness Statement on White Pines Wind Farm, Ontario (2015, 10 pp);
- Witness Statement on White Pines Wind Farm, Ontario (2015, 9 pp);
- Proposed Section 24 Specific Plan Agua Caliente Band of Cahuilla Indians DEIS (2015, 9 pp);
- Replies to comments 24 Specific Plan Agua Caliente Band of Cahuilla Indians FEIS (2015, 6 pp);
- Willow Springs Solar Photovoltaic Project DEIR (2015; 28 pp);
- Sierra Lakes Commerce Center Project DEIR (2015, 9 pp);
- Columbia Business Center MND (2015; 8 pp);
- West Valley Logistics Center Specific Plan DEIR (2015, 10 pp);
- World Logistic Center Specific Plan FEIR (2015, 12 pp);
- Bay Delta Conservation Plan EIR/EIS (2014, 21 pp);
- Addison Wind Energy Project DEIR (2014, 32 pp);
- Response to Comments on the Addison Wind Energy Project DEIR (2014, 15 pp);
- Addison and Rising Tree Wind Energy Project FEIR (2014, 12 pp);
- Alta East Wind Energy Project FEIS (2013, 23 pp);
- Blythe Solar Power Project Staff Assessment, California Energy Commission (2013, 16 pp);
- Clearwater and Yakima Solar Projects DEIR (2013, 9 pp);
- Cuyama Solar Project DEIR (2014, 19 pp);
- Draft Desert Renewable Energy Conservation Plan (DRECP) EIR/EIS (2015, 49 pp);
- Kingbird Solar Photovoltaic Project EIR (2013, 19 pp);
- Lucerne Valley Solar Project Initial Study & Mitigated Negative Declaration (2013, 12 pp);
- Palen Solar Electric Generating System Final Staff Assessment of California Energy Commission, (2014, 20 pp);
- Rebuttal testimony on Palen Solar Energy Generating System (2014, 9 pp);
- Rising Tree Wind Energy Project DEIR (2014, 32 pp);
- Response to Comments on the Rising Tree Wind Energy Project DEIR (2014, 15 pp);
- Soitec Solar Development Project Draft PEIR (2014, 18 pp);
- Comment on the Biological Opinion (08ESMF-00-2012-F-0387) of Oakland Zoo expansion on Alameda whipsnake and California red-legged frog (2014; 3 pp);
- West Antelope Solar Energy Project Initial Study and Negative Declaration (2013, 18 pp);
- Willow Springs Solar Photovoltaic Project DEIR (2015, 28 pp);
- Alameda Creek Bridge Replacement Project DEIR (2015, 10 pp);
- Declaration on Tule Wind project FEIR/FEIS (2013; 24 pp);
- Sunlight Partners LANDPRO Solar Project Mitigated Negative Declaration (2013; 11 pp);
- Declaration in opposition to BLM fracking (2013; 5 pp);
- Rosamond Solar Project Addendum EIR (2013; 13 pp);
- Pioneer Green Solar Project EIR (2013; 13 pp);
- Reply to Staff Responses to Comments on Soccer Center Solar Project Mitigated Negative

Declaration (2013; 6 pp);

- Soccer Center Solar Project Mitigated Negative Declaration (2013; 10 pp);
- Plainview Solar Works Mitigated Negative Declaration (2013; 10 pp);
- Reply to the County Staff's Responses on comments to Imperial Valley Solar Company 2 Project (2013; 10 pp);
- Imperial Valley Solar Company 2 Project (2013; 13 pp);
- FRV Orion Solar Project DEIR (PP12232) (2013; 9 pp);
- Casa Diablo IV Geothermal Development Project (3013; 6 pp);
- Reply to Staff Responses to Comments on Casa Diablo IV Geothermal Development Project (2013; 8 pp);
- FEIS prepared for Alta East Wind Project (2013; 23 pp);
- Metropolitan Air Park DEIR, City of San Diego (2013; );
- Davidon Homes Tentative Subdivision Map and Rezoning Project DEIR (2013; 9 pp);
- Analysis of Biological Assessment of Oakland Zoo Expansion Impacts on Alameda Whipsnake (2013; 10 pp);
- Declaration on Campo Verde Solar project FEIR (2013; 11pp);
- Neg Dec comments on Davis Sewer Trunk Rehabilitation (2013; 8 pp);
- Declaration on North Steens Transmission Line FEIS (2012; 62 pp);
- City of Lancaster Revised Initial Study for Conditional Use Permits 12-08 and 12-09, Summer Solar and Springtime Solar Projects (2012; 8 pp);
- J&J Ranch, 24 Adobe Lane Environmental Review (2012; 14 pp);
- Reply to the County Staff's Responses on comments to Hudson Ranch Power II Geothermal Project and the Simbol Calipatria Plant II (2012; 8 pp);
- Hudson Ranch Power II Geothermal Project and the Simbol Calipatria Plant II (2012; 9 pp);
- Desert Harvest Solar Project EIS (2012; 15 pp);
- Solar Gen 2 Array Project DEIR (2012; 16 pp);
- Ocotillo Sol Project EIS (2012; 4 pp);
- Beacon Photovoltaic Project DEIR (2012; 5 pp);
- Declaration on Initial Study and Proposed Negative Declaration for the Butte Water District 2012 Water Transfer Program (2012; 11 pp);
- Mount Signal and Calexico Solar Farm Projects DEIR (2011; 16 pp);
- City of Elk Grove Sphere of Influence EIR (2011; 28 pp);
- Comment on Sutter Landing Park Solar Photovoltaic Project MND (2011; 9 pp);
- Statement of Shawn Smallwood, Ph.D. Regarding Proposed Rabik/Gudath Project, 22611 Coleman Valley Road, Bodega Bay (CPN 10-0002) (2011; 4 pp);
- Declaration of K. Shawn Smallwood on Biological Impacts of the Ivanpah Solar Electric Generating System (ISEGS) (2011; 9 pp);
- Comments on Draft Eagle Conservation Plan Guidance (2011; 13 pp);
- Comments on Draft EIR/EA for Niles Canyon Safety Improvement Project (2011; 16 pp);
- Declaration of K. Shawn Smallwood, Ph.D., on Biological Impacts of the Route 84 Safety Improvement Project (2011; 7 pp);
- Rebuttal Testimony of Witness #22, K. Shawn Smallwood, Ph.D, on Behalf of Intervenors Friends of The Columbia Gorge & Save Our Scenic Area (2010; 6 pp);
- Prefiled Direct Testimony of Witness #22, K. Shawn Smallwood, Ph.D, on Behalf of

Intervenors Friends of the Columbia Gorge & Save Our Scenic Area. Comments on Whistling Ridge Wind Energy Power Project DEIS, Skamania County, Washington (2010; 41 pp);

- Evaluation of Klickitat County's Decisions on the Windy Flats West Wind Energy Project (2010; 17 pp);
- St. John's Church Project Draft Environmental Impact Report (2010; 14 pp.);
- Initial Study/Mitigated Negative Declaration for Results Radio Zone File #2009-001 (2010; 20 pp);
- Rio del Oro Specific Plan Project Final Environmental Impact Report (2010;12 pp);
- Answers to Questions on 33% RPS Implementation Analysis Preliminary Results Report (2009: 9 pp);
- SEPA Determination of Non-significance regarding zoning adjustments for Skamania County, Washington. Second Declaration to Friends of the Columbia Gorge, Inc. and Save Our Scenic Area (Dec 2008; 17 pp);
- Comments on Draft 1A Summary Report to CAISO (2008; 10 pp);
- County of Placer's Categorical Exemption of Hilton Manor Project (2009; 9 pp);
- Protest of CARE to Amendment to the Power Purchase and Sale Agreement for Procurement of Eligible Renewable Energy Resources Between Hatchet Ridge Wind LLC and PG&E (2009; 3 pp);
- Tehachapi Renewable Transmission Project EIR/EIS (2009; 142 pp);
- Delta Shores Project EIR, south Sacramento (2009; 11 pp + addendum 2 pp);
- Declaration of Shawn Smallwood in Support of Care's Petition to Modify D.07-09-040 (2008; 3 pp);
- The Public Utility Commission's Implementation Analysis December 16 Workshop for the Governor's Executive Order S-14-08 to implement a 33% Renewable Portfolio Standard by 2020 (2008; 9 pp);
- The Public Utility Commission's Implementation Analysis Draft Work Plan for the Governor's Executive Order S-14-08 to implement a 33% Renewable Portfolio Standard by 2020 (2008; 11 pp);
- Draft 1A Summary Report to California Independent System Operator for Planning Reserve Margins (PRM) Study (2008; 7 pp.);
- SEPA Determination of Non-significance regarding zoning adjustments for Skamania County, Washington. Declaration to Friends of the Columbia Gorge, Inc. and Save Our Scenic Area (Sep 2008; 16 pp);
- California Energy Commission's Preliminary Staff Assessment of the Colusa Generating Station (2007; 24 pp);
- Rio del Oro Specific Plan Project Recirculated Draft Environmental Impact Report (2008: 66 pp);
- Replies to Response to Comments Re: Regional University Specific Plan Environmental Impact Report (2008; 20 pp);
- Regional University Specific Plan Environmental Impact Report (2008: 33 pp.);
- Clark Precast, LLC's "Sugarland" project, Negative Declaration (2008: 15 pp.);
- Cape Wind Project Draft Environmental Impact Statement (2008; 157 pp.);
- Yuba Highlands Specific Plan (or Area Plan) Environmental Impact Report (2006; 37 pp.);
- Replies to responses to comments on Mitigated Negative Declaration of the proposed

Mining Permit (MIN 04-01) and Modification of Use Permit 96-02 at North Table Mountain (2006; 5 pp);

- Mitigated Negative Declaration of the proposed Mining Permit (MIN 04-01) and Modification of Use Permit 96-02 at North Table Mountain (2006; 15 pp);
- Windy Point Wind Farm Environmental Review and EIS (2006; 14 pp and 36 Powerpoint slides in reply to responses to comments);
- Shiloh I Wind Power Project EIR (2005; 18 pp);
- Buena Vista Wind Energy Project Notice of Preparation of EIR (2004; 15 pp);
- Negative Declaration of the proposed Callahan Estates Subdivision (2004; 11 pp);
- Negative Declaration of the proposed Winters Highlands Subdivision (2004; 9 pp);
- Negative Declaration of the proposed Winters Highlands Subdivision (2004; 13 pp);
- Negative Declaration of the proposed Creekside Highlands Project, Tract 7270 (2004; 21 pp);
- On the petition California Fish and Game Commission to list the Burrowing Owl as threatened or endangered (2003; 10 pp);
- Conditional Use Permit renewals from Alameda County for wind turbine operations in the Altamont Pass Wind Resource Area (2003; 41 pp);
- UC Davis Long Range Development Plan of 2003, particularly with regard to the Neighborhood Master Plan (2003; 23 pp);
- Anderson Marketplace Draft Environmental Impact Report (2003: 18 pp + 3 plates of photos);
- Negative Declaration of the proposed expansion of Temple B'nai Tikyah (2003: 6 pp);
- Antonio Mountain Ranch Specific Plan Public Draft EIR (2002: 23 pp);
- Response to testimony of experts at the East Altamont Energy Center evidentiary hearing on biological resources (2002: 9 pp);
- Revised Draft Environmental Impact Report, The Promenade (2002: 7 pp);
- Recirculated Initial Study for Calpine's proposed Pajaro Valley Energy Center (2002: 3 pp);
- UC Merced -- Declaration of Dr. Shawn Smallwood in support of petitioner's application for temporary restraining order and preliminary injunction (2002: 5 pp);
- Replies to response to comments in Final Environmental Impact Report, Atwood Ranch Unit III Subdivision (2003: 22 pp);
- Draft Environmental Impact Report, Atwood Ranch Unit III Subdivision (2002: 19 pp + 8 photos on 4 plates);
- California Energy Commission Staff Report on GWF Tracy Peaker Project (2002: 17 pp + 3 photos; follow-up report of 3 pp);
- Initial Study and Negative Declaration, Silver Bend Apartments, Placer County (2002: 13 pp);
- UC Merced Long-range Development Plan DEIR and UC Merced Community Plan DEIR (2001: 26 pp);
- Initial Study, Colusa County Power Plant (2001: 6 pp);
- Comments on Proposed Dog Park at Catlin Park, Folsom, California (2001: 5 pp + 4 photos);
- Pacific Lumber Co. (Headwaters) Habitat Conservation Plan and Environmental Impact Report (1998: 28 pp);
- Final Environmental Impact Report/Statement for Issuance of Take authorization for listed

species within the MSCP planning area in San Diego County, California (Fed. Reg. 62 (60): 14938, San Diego Multi-Species Conservation Program) (1997: 10 pp);

- Permit (PRT-823773) Amendment for the Natomas Basin Habitat Conservation Plan, Sacramento, CA (Fed. Reg. 63 (101): 29020-29021) (1998);
- Draft Recovery Plan for the Giant Garter Snake (*Thamnophis gigas*). (Fed. Reg. 64(176): 49497-49498) (1999: 8 pp);
- Review of the Draft Recovery Plan for the Arroyo Southwestern Toad (*Bufo microscaphus californicus*) (1998);
- Ballona West Bluffs Project Environmental Impact Report (1999: oral presentation);
- California Board of Forestry's proposed amended Forest Practices Rules (1999);
- Negative Declaration for the Sunset Skyranch Airport Use Permit (1999);
- Calpine and Bechtel Corporations' Biological Resources Implementation and Monitoring Program (BRMIMP) for the Metcalf Energy Center (2000: 10 pp);
- California Energy Commission's Final Staff Assessment of the proposed Metcalf Energy Center (2000);
- US Fish and Wildlife Service Section 7 consultation with the California Energy Commission regarding Calpine and Bechtel Corporations' Metcalf Energy Center (2000: 4 pp);
- California Energy Commission's Preliminary Staff Assessment of the proposed Metcalf Energy Center (2000: 11 pp);
- Site-specific management plans for the Natomas Basin Conservancy's mitigation lands, prepared by Wildlands, Inc. (2000: 7 pp);
- Affidavit of K. Shawn Smallwood in Spirit of the Sage Council, et al. (Plaintiffs) vs. Bruce Babbitt, Secretary, U.S. Department of the Interior, et al. (Defendants), Injuries caused by the No Surprises policy and final rule which codifies that policy (1999: 9 pp).

# **Comments on other Environmental Review Documents:**

- Proposed Regulation for California Fish and Game Code Section 3503.5 (2015: 12 pp);
- Statement of Overriding Considerations related to extending Altamont Winds, Inc.'s Conditional Use Permit PLN2014-00028 (2015; 8 pp);
- Draft Program Level EIR for Covell Village (2005; 19 pp);
- Bureau of Land Management Wind Energy Programmatic EIS Scoping document (2003: 7 pp.);
- NEPA Environmental Analysis for Biosafety Level 4 National Biocontainment Laboratory (NBL) at UC Davis (2003: 7 pp);
- Notice of Preparation of UC Merced Community and Area Plan EIR, on behalf of The Wildlife Society—Western Section (2001: 8 pp.);
- Preliminary Draft Yolo County Habitat Conservation Plan (2001; 2 letters totaling 35 pp.);
- Merced County General Plan Revision, notice of Negative Declaration (2001: 2 pp.);
- Notice of Preparation of Campus Parkway EIR/EIS (2001: 7 pp.);
- Draft Recovery Plan for the bighorn sheep in the Peninsular Range (*Ovis candensis*) (2000);
- Draft Recovery Plan for the California Red-legged Frog (*Rana aurora draytonii*), on behalf of The Wildlife Society—Western Section (2000: 10 pp.);
- Sierra Nevada Forest Plan Amendment Draft Environmental Impact Statement, on behalf of The Wildlife Society—Western Section (2000: 7 pp.);

- State Water Project Supplemental Water Purchase Program, Draft Program EIR (1997);
- Davis General Plan Update EIR (2000);
- Turn of the Century EIR (1999: 10 pp);
- Proposed termination of Critical Habitat Designation under the Endangered Species Act (Fed. Reg. 64(113): 31871-31874) (1999);
- NOA Draft Addendum to the Final Handbook for Habitat Conservation Planning and Incidental Take Permitting Process, termed the HCP 5-Point Policy Plan (Fed. Reg. 64(45): 11485 - 11490) (1999; 2 pp + attachments);
- Covell Center Project EIR and EIR Supplement (1997).

**Position Statements** I prepared the following position statements for the Western Section of The Wildlife Society, and one for nearly 200 scientists:

- Recommended that the California Department of Fish and Game prioritize the extermination of the introduced southern water snake in northern California. The Wildlife Society--Western Section (2001);
- Recommended that The Wildlife Society—Western Section appoint or recommend members of the independent scientific review panel for the UC Merced environmental review process (2001);
- Opposed the siting of the University of California's 10th campus on a sensitive vernal pool/grassland complex east of Merced. The Wildlife Society--Western Section (2000);
- Opposed the legalization of ferret ownership in California. The Wildlife Society--Western Section (2000);
- Opposed the Proposed "No Surprises," "Safe Harbor," and "Candidate Conservation Agreement" rules, including permit-shield protection provisions (Fed. Reg. Vol. 62, No. 103, pp. 29091-29098 and No. 113, pp. 32189-32194). This statement was signed by 188 scientists and went to the responsible federal agencies, as well as to the U.S. Senate and House of Representatives.

# **Posters at Professional Meetings**

Leyvas, E. and K. S. Smallwood. 2015. Rehabilitating injured animals to offset and rectify wind project impacts. Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 9-12 March 2015.

Smallwood, K. S., J. Mount, S. Standish, E. Leyvas, D. Bell, E. Walther, B. Karas. 2015. Integrated detection trials to improve the accuracy of fatality rate estimates at wind projects. Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 9-12 March 2015.

Smallwood, K. S. and C. G. Thelander. 2005. Lessons learned from five years of avian mortality research in the Altamont Pass WRA. AWEA conference, Denver, May 2005.

Neher, L., L. Wilder, J. Woo, L. Spiegel, D. Yen-Nakafugi, and K.S. Smallwood. 2005. Bird's eye view on California wind. AWEA conference, Denver, May 2005.

Smallwood, K. S., C. G. Thelander and L. Spiegel. 2003. Toward a predictive model of avian

fatalities in the Altamont Pass Wind Resource Area. Windpower 2003 Conference and Convention, Austin, Texas.

Smallwood, K.S. and Eva Butler. 2002. Pocket Gopher Response to Yellow Star-thistle Eradication as part of Grassland Restoration at Decommissioned Mather Air Force Base, Sacramento County, California. White Mountain Research Station Open House, Barcroft Station.

Smallwood, K.S. and Michael L. Morrison. 2002. Fresno kangaroo rat (*Dipodomys nitratoides*) Conservation Research at Resources Management Area 5, Lemoore Naval Air Station. White Mountain Research Station Open House, Barcroft Station.

Smallwood, K.S. and E.L. Fitzhugh. 1989. Differentiating mountain lion and dog tracks. Third Mountain Lion Workshop, Prescott, AZ.

Smith, T. R. and K. S. Smallwood. 2000. Effects of study area size, location, season, and allometry on reported *Sorex* shrew densities. Annual Meeting of the Western Section of The Wildlife Society.

# **Presentations at Professional Meetings and Seminars**

Repowering the Altamont Pass. Altamont Symposium, The Wildlife Society – Western Section, 5 February 2017.

Developing methods to reduce bird mortality in the Altamont Pass Wind Resource Area, 1999-2007. Altamont Symposium, The Wildlife Society – Western Section, 5 February 2017.

Conservation and recovery of burrowing owls in Santa Clara Valley. Santa Clara Valley Habitat Agency, Newark, California, 3 February 2017.

Mitigation of Raptor Fatalities in the Altamont Pass Wind Resource Area. Raptor Research Foundation Meeting, Sacramento, California, 6 November 2015.

From burrows to behavior: Research and management for burrowing owls in a diverse landscape. California Burrowing Owl Consortium meeting, 24 October 2015, San Jose, California.

The Challenges of repowering. Keynote presentation at Conference on Wind Energy and Wildlife Impacts, Berlin, Germany, 10 March 2015.

Research Highlights Altamont Pass 2011-2015. Scientific Review Committee, Oakland, California, 8 July 2015.

Siting wind turbines to minimize raptor collisions: Altamont Pass Wind Resource Area. US Fish and Wildlife Service Golden Eagle Working Group, Sacramento, California, 8 January 2015.

Evaluation of nest boxes as a burrowing owl conservation strategy. Sacramento Chapter of the Western Section, The Wildlife Society. Sacramento, California, 26 August 2013.

Predicting collision hazard zones to guide repowering of the Altamont Pass. Conference on wind

power and environmental impacts. Stockholm, Sweden, 5-7 February 2013.

Impacts of Wind Turbines on Wildlife. California Council for Wildlife Rehabilitators, Yosemite, California, 12 November 2012.

Impacts of Wind Turbines on Birds and Bats. Madrone Audubon Society, Santa Rosa, California, 20 February 2012.

Comparing Wind Turbine Impacts across North America. California Energy Commission Staff Workshop: Reducing the Impacts of Energy Infrastructure on Wildlife, 20 July 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. California Energy Commission Staff Workshop: Reducing the Impacts of Energy Infrastructure on Wildlife, 20 July 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. Alameda County Scientific Review Committee meeting, 17 February 2011

Comparing Wind Turbine Impacts across North America. Conference on Wind energy and Wildlife impacts, Trondheim, Norway, 3 May 2011.

Update on Wildlife Impacts in the Altamont Pass Wind Resource Area. Raptor Symposium, The Wildlife Society—Western Section, Riverside, California, February 2011.

Siting Repowered Wind Turbines to Minimize Raptor Collisions. Raptor Symposium, The Wildlife Society - Western Section, Riverside, California, February 2011.

Wildlife mortality caused by wind turbine collisions. Ecological Society of America, Pittsburgh, Pennsylvania, 6 August 2010.

Map-based repowering and reorganization of a wind farm to minimize burrowing owl fatalities. California burrowing Owl Consortium Meeting, Livermore, California, 6 February 2010.

Environmental barriers to wind power. Getting Real About Renewables: Economic and Environmental Barriers to Biofuels and Wind Energy. A symposium sponsored by the Environmental & Energy Law & Policy Journal, University of Houston Law Center, Houston, 23 February 2007.

Lessons learned about bird collisions with wind turbines in the Altamont Pass and other US wind farms. Meeting with Japan Ministry of the Environment and Japan Ministry of the Economy, Wild Bird Society of Japan, and other NGOs Tokyo, Japan, 9 November 2006.

Lessons learned about bird collisions with wind turbines in the Altamont Pass and other US wind farms. Symposium on bird collisions with wind turbines. Wild Bird Society of Japan, Tokyo, Japan, 4 November 2006.

Responses of Fresno kangaroo rats to habitat improvements in an adaptive management framework. California Society for Ecological Restoration (SERCAL) 13<sup>th</sup> Annual Conference, UC Santa

Barbara, 27 October 2006.

Fatality associations as the basis for predictive models of fatalities in the Altamont Pass Wind Resource Area. EEI/APLIC/PIER Workshop, 2006 Biologist Task Force and Avian Interaction with Electric Facilities Meeting, Pleasanton, California, 28 April 2006.

Burrowing owl burrows and wind turbine collisions in the Altamont Pass Wind Resource Area. The Wildlife Society - Western Section Annual Meeting, Sacramento, California, February 8, 2006.

Mitigation at wind farms. Workshop: Understanding and resolving bird and bat impacts. American Wind Energy Association and Audubon Society. Los Angeles, CA. January 10 and 11, 2006.

Incorporating data from the California Wildlife Habitat Relationships (CWHR) system into an impact assessment tool for birds near wind farms. Shawn Smallwood, Kevin Hunting, Marcus Yee, Linda Spiegel, Monica Parisi. Workshop: Understanding and resolving bird and bat impacts. American Wind Energy Association and Audubon Society. Los Angeles, CA. January 10 and 11, 2006.

Toward indicating threats to birds by California's new wind farms. California Energy Commission, Sacramento, May 26, 2005.

Avian collisions in the Altamont Pass. California Energy Commission, Sacramento, May 26, 2005.

Ecological solutions for avian collisions with wind turbines in the Altamont Pass Wind Resource Area. EPRI Environmental Sector Council, Monterey, California, February 17, 2005.

Ecological solutions for avian collisions with wind turbines in the Altamont Pass Wind Resource Area. The Wildlife Society—Western Section Annual Meeting, Sacramento, California, January 19, 2005.

Associations between avian fatalities and attributes of electric distribution poles in California. The Wildlife Society - Western Section Annual Meeting, Sacramento, California, January 19, 2005.

Minimizing avian mortality in the Altamont Pass Wind Resources Area. UC Davis Wind Energy Collaborative Forum, Palm Springs, California, December 14, 2004.

Selecting electric distribution poles for priority retrofitting to reduce raptor mortality. Raptor Research Foundation Meeting, Bakersfield, California, November 10, 2004.

Responses of Fresno kangaroo rats to habitat improvements in an adaptive management framework. Annual Meeting of the Society for Ecological Restoration, South Lake Tahoe, California, October 16, 2004.

Lessons learned from five years of avian mortality research at the Altamont Pass Wind Resources Area in California. The Wildlife Society Annual Meeting, Calgary, Canada, September 2004.

The ecology and impacts of power generation at Altamont Pass. Sacramento Petroleum Association,

Sacramento, California, August 18, 2004.

Burrowing owl mortality in the Altamont Pass Wind Resource Area. California Burrowing Owl Consortium meeting, Hayward, California, February 7, 2004.

Burrowing owl mortality in the Altamont Pass Wind Resource Area. California Burrowing Owl Symposium, Sacramento, November 2, 2003.

Raptor Mortality at the Altamont Pass Wind Resource Area. National Wind Coordinating Committee, Washington, D.C., November 17, 2003.

Raptor Behavior at the Altamont Pass Wind Resource Area. Annual Meeting of the Raptor Research Foundation, Anchorage, Alaska, September, 2003.

Raptor Mortality at the Altamont Pass Wind Resource Area. Annual Meeting of the Raptor Research Foundation, Anchorage, Alaska, September, 2003.

California mountain lions. Ecological & Environmental Issues Seminar, Department of Biology, California State University, Sacramento, November, 2000.

Intra- and inter-turbine string comparison of fatalities to animal burrow densities at Altamont Pass. National Wind Coordinating Committee, Carmel, California, May, 2000.

Using a Geographic Positioning System (GPS) to map wildlife and habitat. Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

Suggested standards for science applied to conservation issues. Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

The indicators framework applied to ecological restoration in Yolo County, California. Society for Ecological Restoration, September 25, 1999.

Ecological restoration in the context of animal social units and their habitat areas. Society for Ecological Restoration, September 24, 1999.

Relating Indicators of Ecological Health and Integrity to Assess Risks to Sustainable Agriculture and Native Biota. International Conference on Ecosystem Health, August 16, 1999.

A crosswalk from the Endangered Species Act to the HCP Handbook and real HCPs. Southern California Edison, Co. and California Energy Commission, March 4-5, 1999.

Mountain lion track counts in California: Implications for Management. Ecological & Environmental Issues Seminar, Department of Biological Sciences, California State University, Sacramento, November 4, 1998.

"No Surprises" -- Lack of science in the HCP process. California Native Plant Society Annual Conservation Conference, The Presidio, San Francisco, September 7, 1997.

In Your Interest. A half hour weekly show aired on Channel 10 Television, Sacramento. In this episode, I served on a panel of experts discussing problems with the implementation of the Endangered Species Act. Aired August 31, 1997.

Spatial scaling of pocket gopher (*Geomyidae*) density. Southwestern Association of Naturalists 44th Meeting, Fayetteville, Arkansas, April 10, 1997.

Estimating prairie dog and pocket gopher burrow volume. Southwestern Association of Naturalists 44th Meeting, Fayetteville, Arkansas, April 10, 1997.

Ten years of mountain lion track survey. Fifth Mountain Lion Workshop, San Diego, February 27, 1996.

Study and interpretive design effects on mountain lion density estimates. Fifth Mountain Lion Workshop, San Diego, February 27, 1996.

Small animal control. Session moderator and speaker at the California Farm Conference, Sacramento, California, Feb. 28, 1995.

Small animal control. Ecological Farming Conference, Asylomar, California, Jan. 28, 1995.

Habitat associations of the Swainson's Hawk in the Sacramento Valley's agricultural landscape. 1994 Raptor Research Foundation Meeting, Flagstaff, Arizona.

Alfalfa as wildlife habitat. Seed Industry Conference, Woodland, California, May 4, 1994.

Habitats and vertebrate pests: impacts and management. Managing Farmland to Bring Back Game Birds and Wildlife to the Central Valley. Yolo County Resource Conservation District, U.C. Davis, February 19, 1994.

Management of gophers and alfalfa as wildlife habitat. Orland Alfalfa Production Meeting and Sacramento Valley Alfalfa Production Meeting, February 1 and 2, 1994.

Patterns of wildlife movement in a farming landscape. Wildlife and Fisheries Biology Seminar Series: Recent Advances in Wildlife, Fish, and Conservation Biology, U.C. Davis, Dec. 6, 1993.

Alfalfa as wildlife habitat. California Alfalfa Symposium, Fresno, California, Dec. 9, 1993.

Management of pocket gophers in Sacramento Valley alfalfa. California Alfalfa Symposium, Fresno, California, Dec. 8, 1993.

Association analysis of raptors in a farming landscape. Plenary speaker at Raptor Research Foundation Meeting, Charlotte, North Carolina, Nov. 6, 1993.

Landscape strategies for biological control and IPM. Plenary speaker, International Conference on Integrated Resource Management and Sustainable Agriculture, Beijing, China, Sept. 11, 1993.

Landscape Ecology Study of Pocket Gophers in Alfalfa. Alfalfa Field Day, U.C. Davis, July 1993.

Patterns of wildlife movement in a farming landscape. Spatial Data Analysis Colloquium, U.C. Davis, August 6, 1993.

Sound stewardship of wildlife. Veterinary Medicine Seminar: Ethics of Animal Use, U.C. Davis. May 1993.

Landscape ecology study of pocket gophers in alfalfa. Five County Grower's Meeting, Tracy, California. February 1993.

Turbulence and the community organizers: The role of invading species in ordering a turbulent system, and the factors for invasion success. Ecology Graduate Student Association Colloquium, U.C. Davis. May 1990.

Evaluation of exotic vertebrate pests. Fourteenth Vertebrate Pest Conference, Sacramento, California. March 1990.

Analytical methods for predicting success of mammal introductions to North America. The Western Section of the Wildlife Society, Hilo, Hawaii. February 1988.

A state-wide mountain lion track survey. Sacramento County Dept Parks and Recreation. April 1986.

The mountain lion in California. Davis Chapter of the Audubon Society. October 1985.

Ecology Graduate Student Seminars, U.C. Davis, 1985-1990: Social behavior of the mountain lion; Mountain lion control; Political status of the mountain lion in California.

# **Other forms of Participation at Professional Meetings**

- Scientific Committee, Conference on Wind energy and Wildlife impacts, Berlin, Germany, March 2015.
- Scientific Committee, Conference on Wind energy and Wildlife impacts, Stockholm, Sweden, February 2013.
- Workshop co-presenter at Birds & Wind Energy Specialist Group (BAWESG) Information sharing week, Bird specialist studies for proposed wind energy facilities in South Africa, Endangered Wildlife Trust, Darling, South Africa, 3-7 October 2011.
- Scientific Committee, Conference on Wind energy and Wildlife impacts, Trondheim, Norway, 2-5 May 2011.
- Chair of Animal Damage Management Session, The Wildlife Society, Annual Meeting, Reno, Nevada, September 26, 2001.

- Chair of Technical Session: Human communities and ecosystem health: Comparing perspectives and making connection. Managing for Ecosystem Health, International Congress on Ecosystem Health, Sacramento, CA August 15-20, 1999.
- Student Awards Committee, Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.
- Student Mentor, Annual Meeting of the Western Section of The Wildlife Society, Riverside, CA, January, 2000.

### **Printed Mass Media**

- Smallwood, K.S., D. Mooney, and M. McGuinness. 2003. We must stop the UCD biolab now. Op-Ed to the Davis Enterprise.
- Smallwood, K.S. 2002. Spring Lake threatens Davis. Op-Ed to the Davis Enterprise.
- Smallwood, K.S. Summer, 2001. Mitigation of habitation. The Flatlander, Davis, California.
- Entrikan, R.K. and K.S. Smallwood. 2000. Measure O: Flawed law would lock in new taxes. Op-Ed to the Davis Enterprise.
- Smallwood, K.S. 2000. Davis delegation lobbies Congress for Wildlife conservation. Op-Ed to the Davis Enterprise.
- Smallwood, K.S. 1998. Davis Visions. The Flatlander, Davis, California.
- Smallwood, K.S. 1997. Last grab for Yolo's land and water. The Flatlander, Davis, California.

Smallwood, K.S. 1997. The Yolo County HCP. Op-Ed to the Davis Enterprise.

# **Radio/Television**

PBS News Hour,

- FOX News, Energy in America: Dead Birds Unintended Consequence of Wind Power Development, August 2011.
- KXJZ Capital Public Radio -- Insight (Host Jeffrey Callison). Mountain lion attacks (with guest Professor Richard Coss). 23 April 2009;
- KXJZ Capital Public Radio -- Insight (Host Jeffrey Callison). Wind farm Rio Vista Renewable Power. 4 September 2008;
- KQED QUEST Episode #111. Bird collisions with wind turbines. 2007;

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. December 27, 2001;

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. May 3, 2001;

KDVS Speaking in Tongues (host Ron Glick), Yolo County HCP: 1 hour. February 8, 2001;

- KDVS Speaking in Tongues (host Ron Glick & Shawn Smallwood), California Energy Crisis: 1 hour. Jan. 25, 2001;
- KDVS Speaking in Tongues (host Ron Glick), Headwaters Forest HCP: 1 hour. 1998;

Davis Cable Channel (host Gerald Heffernon), Burrowing owls in Davis: half hour. June, 2000;

- Davis Cable Channel (hosted by Davis League of Women Voters), Measure O debate: 1 hour. October, 2000;
- KXTV 10, In Your Interest, The Endangered Species Act: half hour. 1997.

Journal	Journal	
American Naturalist	Journal of Animal Ecology	
Journal of Wildlife Management	Western North American Naturalist	
Auk	Journal of Raptor Research	
Biological Conservation	National Renewable Energy Lab reports	
Canadian Journal of Zoology	Oikos	
Ecosystem Health	The Prairie Naturalist	
Environmental Conservation	Restoration Ecology	
Environmental Management	Southwestern Naturalist	
Functional Ecology	The Wildlife SocietyWestern Section Trans.	
Journal of Zoology (London)	Proc. Int. Congress on Managing for Ecosystem Health	
Journal of Applied Ecology	Transactions in GIS	
Ecology	Tropical Ecology	
Wildlife Society Bulletin	Peer J	
Biological Control	The Condor	

**Reviews of Journal Papers** (Scientific journals for whom I've provided peer review)

### Committees

- Scientific Review Committee, Alameda County, Altamont Pass Wind Resource Area
- Ph.D. Thesis Committee, Steve Anderson, University of California, Davis
- MS Thesis Committee, Marcus Yee, California State University, Sacramento

### **Other Professional Activities or Products**

- Testified in Federal Court in Denver during 2005 over the fate of radio-nuclides in the soil at Rocky Flats Plant after exposure to burrowing animals. My clients won a judgment of \$553,000,000. I have also testified in many other cases of litigation under CEQA, NEPA, the Warren-Alquist Act, and other environmental laws. My clients won most of the cases for which I testified.
- Testified before Environmental Review Tribunals in Ontario, Canada regarding proposed White Pines, Amherst Island, and Fairview Wind Energy projects.
- Testified in Skamania County Hearing in 2009 on the potential impacts of zoning the County for development of wind farms and hazardous waste facilities.

Testified in deposition in 2007 in the case of O'Dell et al. vs. FPL Energy in Houston, Texas.

Testified in Klickitat County Hearing in 2006 on the potential impacts of the Windy Point Wind Farm.

#### **Memberships in Professional Societies**

The Wildlife Society Raptor Research Foundation

#### **Honors and Awards**

Fulbright Research Fellowship to Indonesia, 1987
J.G. Boswell Full Academic Scholarship, 1981 college of choice
Certificate of Appreciation, The Wildlife Society—Western Section, 2000, 2001
Northern California Athletic Association Most Valuable Cross Country Runner, 1984
American Legion Award, Corcoran High School, 1981, and John Muir Junior High, 1977
CIF Section Champion, Cross Country in 1978
CIF Section Champion, Track & Field 2 mile run in 1981
National Junior Record, 20 kilometer run, 1982
National Age Group Record, 1500 meter run, 1978

### **Community Activities**

District 64 Little League Umpire, 2003-2007 Dixon Little League Umpire, 2006-07 Davis Little League Chief Umpire and Board member, 2004-2005 Davis Little League Safety Officer, 2004-2005 Davis Little League Certified Umpire, 2002-2004 Davis Little League Scorekeeper, 2002 Davis Visioning Group member Petitioner for Writ of Mandate under the California Environmental Quality Act against City of Woodland decision to approve the Spring Lake Specific Plan, 2002 Served on campaign committees for City Council candidates

#### **Representative Clients/Funders**

Law Offices of Stephan C. Volker Blum Collins, LLP Eric K. Gillespie Professional Corporation Law Offices of Berger & Montague Lozeau | Drury LLP Law Offices of Roy Haber Law Offices of Edward MacDonald Law Office of John Gabrielli Law Office of Bill Kopper Law Office of Donald B. Mooney Law Office of Veneruso & Moncharsh Law Office of Steven Thompson Law Office of Brian Gaffney California Wildlife Federation **Defenders** of Wildlife Sierra Club National Endangered Species Network Spirit of the Sage Council The Humane Society Hagens Berman LLP **Environmental Protection Information Center** Goldberg, Kamin & Garvin, Attorneys at Law Californians for Renewable Energy (CARE) Seatuck Environmental Association Friends of the Columbia Gorge, Inc. Save Our Scenic Area Alliance to Protect Nantucket Sound Friends of the Swainson's Hawk Alameda Creek Alliance Center for Biological Diversity California Native Plant Society **Endangered Wildlife Trust** and BirdLife South Africa AquAlliance Oregon Natural Desert Association Save Our Sound G3 Energy and Pattern Energy **Emerald Farms** Pacific Gas & Electric Co. Southern California Edison Co. Georgia-Pacific Timber Co. Northern Territories Inc. David Magney Environmental Consulting Wildlife History Foundation NextEra Energy Resources, LLC Ogin, Inc.

**EDF** Renewables National Renewable Energy Lab Altamont Winds LLC Salka Energy Comstocks Business (magazine) **BioResource Consultants** Tierra Data Black and Veatch Terry Preston, Wildlife Ecology Research Center EcoStat, Inc. US Navy US Department of Agriculture **US Forest Service** US Fish & Wildlife Service US Department of Justice California Energy Commission California Office of the Attorney General California Department of Fish & Wildlife California Department of Transportation California Department of Forestry California Department of Food & Agriculture Ventura County Counsel County of Yolo Tahoe Regional Planning Agency Sustainable Agriculture Research & Education Program Sacramento-Yolo Mosquito and Vector Control District East Bay Regional Park District County of Alameda Don & LaNelle Silverstien Seventh Day Adventist Church Escuela de la Raza Unida Susan Pelican and Howard Beeman Residents Against Inconsistent Development, Inc. **Bob Sarvey** Mike Boyd Hillcroft Neighborhood Fund Joint Labor Management Committee, Retail Food Industry Lisa Rocca Kevin Jackson Dawn Stover and Jay Letto Nancy Havassy Catherine Portman (for Brenda Cedarblade) Ventus Environmental Solutions, Inc. Panorama Environmental. Inc. Adams Broadwell Professional Corporation

# **Representative special-status species experience**

Common name	Species name	Description
Field experience		
California red-legged frog	Rana aurora draytonii	Protocol searches; Many detections
Foothill yellow-legged frog	Rana boylii	Presence surveys; Many detections
Western spadefoot	Spea hammondii	Presence surveys; Few detections
California tiger salamander	Ambystoma californiense	Protocol searches; Many detections
Coast range newt	Taricha torosa torosa	Searches and multiple detections
Blunt-nosed leopard lizard	Gambelia sila	Detected in San Luis Obispo County
California horned lizard	Phrynosoma coronatum frontale	Searches; Many detections
Western pond turtle	Clemmys marmorata	Searches; Many detections
San Joaquin kit fox	Vulpes macrotis mutica	Protocol searches; detections
Sumatran tiger	Panthera tigris	Track surveys in Sumatra
Mountain lion	Puma concolor californicus	Research and publications
Point Arena mountain beaver	Aplodontia rufa nigra	Remote camera operation
Giant kangaroo rat	Dipodomys ingens	Detected in Cholame Valley
San Joaquin kangaroo rat	Dipodomys nitratoides	Monitoring & habitat restoration
Monterey dusky-footed woodrat	Neotoma fuscipes luciana	Non-target captures and mapping of dens
Salt marsh harvest mouse	Reithrodontomys raviventris	Habitat assessment, monitoring
Salinas harvest mouse	Reithrodontomys megalotus	Captures; habitat assessment
	distichlus	
Bats		Thermal imaging surveys
California clapper rail	Rallus longirostris	Surveys and detections
Golden eagle	Aquila chrysaetos	Numerical & behavioral surveys
Swainson's hawk	Buteo swainsoni	Numerical & behavioral surveys
Northern harrier	Circus cyaeneus	Numerical & behavioral surveys
White-tailed kite	Elanus leucurus	Numerical & behavioral surveys
Loggerhead shrike	Lanius ludovicianus	Large area surveys
Least Bell's vireo	Vireo bellii pusillus	Detected in Monterey County
Willow flycatcher	Empidonax traillii extimus	Research at Sierra Nevada breeding sites
Burrowing owl	Athene cunicularia hypugia	Numerical & behavioral surveys
Valley elderberry longhorn	Desmocerus californicus	Monitored success of relocation and habitat
beetle	dimorphus	restoration
Analytical		
Arroyo southwestern toad	Bufo microscaphus californicus	Research and report.
Giant garter snake	Thamnophis gigas	Research and publication
Northern goshawk	Accipiter gentilis	Research and publication
Northern spotted owl	Strix occidentalis	Research and reports
Alameda whipsnake	Masticophis lateralis euryxanthus	Expert testimony

# **ATTACHMENT 1**

# Skilled Dog Detections of Bat and Small Bird Carcasses in Wind Turbine Fatality Monitoring

K. Shawn Smallwood, Doug Bell, Skye Standish

16 February 2018



Jack searches for fatalities in the Altamont Pass, 2017

It is imperative that scientists learn whether preconstruction surveys can generate data and metrics, such as passage rates and accurate fatality rates, that can predict wind turbine impacts on bats and small birds and that can help minimize impacts via micro-siting. Recent research in the Altamont Pass Wind Resource Area (APWRA) revealed high fatality rates of bats (Brown et al. 2016) and small birds (Smallwood 2016a), but these estimated rates carried large uncertainty due to very low carcass detection rates using human searchers. That the mean fatality rate estimates might be realistic was supported by hundreds of hours of nocturnal surveys in the APWRA using a FLIR T620 thermal camera with an 88 mm lens (Smallwood 2016a,b). The nocturnal surveys accumulated hundreds of documented near misses of bats and small birds and at least 8 collisions of bats with wind turbine blades or with the atmospheric pressure waves and wake turbulence created by the blade sweeps. Bats were often seen to tumble through the air and sometimes disappeared around the blade sweeps. Bats were also seen to target wind turbines, often pass through operating wind turbine rotors multiple times each, and to chase blades as they swept through their rotations. Other investigators also noticed these patterns (Kunz et al. 2007, Horn et al. 2008, Cryan et al. 2014). Also, large bats (likely hoary bats, Lasiurus cinereus) behaved differently than smaller bats (mostly Mexican free-tailed bats, Tadarida brasiliensis), and certain behaviors appeared to associate with the frequencies of near misses.

As higher-than-expected bat fatality rates emerge from fatality monitoring at repowered wind turbines, the question arises whether macro- and micro-siting of wind turbines might help to minimize impacts to bats or small birds. Micro-siting has been shown to reduce raptor fatalities at a repowered wind project (Brown et al. 2016), and offers considerable promise for minimizing specific avian impacts at proposed new wind projects (Smallwood et al. 2017). Still unknown is whether use of acoustic detectors in preconstruction surveys or post-construction monitoring can accurately predict bat collision risks. Also still unknown is whether monitoring bat activity using acoustic detectors can generate collision risk metrics that accurately predict impacts at a spatial resolution fine enough for micro-siting of wind turbines. Fatality rates need to be compared to bat passage rates recorded over overlapping time periods to determine whether there is a relationship.

Hein et al. (2013) failed to obtain a significant positive correlation between bat fatality rates and passage rates through turbine rotors among multiple wind projects in Canada and the USA. Even though there has been considerable interest in this relationship since that time, scientific support for it has not advanced beyond speculation. One constraint in acoustic detectors is their range, which is typically 30 m, or <1/3 of the rotor diameter of a modern wind turbine. In addition, if a detector is directional or if the nacelle blocks incoming bat calls from a portion of the rotor, the detector's effective coverage of the rotor may be much smaller. Using a thermal camera, one of us (KSS) has recorded bats passing through all parts of the rotor, disproportionately at the edge of the rotor plane where nacelle-mounted acoustic detectors would fail to detect bat passages. Therefore, acoustic detectors might not serve as the technology best suited for obtaining bat passage rates to be compared to fatality rates.

Another possible explanation for Hein et al.'s lack of correlation could be that an attraction of bats to wind turbines might prevent discernment of any meaningful pattern between passage rates and fatality rates. If bats are strongly attracted to wind turbines, as some have posited (Kunz et al. 2007, Horn et al. 2008, Cryan et al. 2014, Smallwood 2016b), then it might be that preconstruction surveys simply cannot predict fatality rates because once new wind turbines are installed bats will shift their preconstruction flight paths to visit the new turbines. Nevertheless, in certain landscapes bat passage rates might provide useful patterns for guiding micro-siting. Furthermore, rather than passage rates being predictive of fatalities, what might be more predictive are certain behavior rates – e.g., hovering, interacting with other bats, making foraging runs, chasing blades, passing through the rotor parallel rather than perpendicular to the rotor plane, approaching portions of the rotor emitting disproportionately greater heat – and one or more of these behavior rates might very well relate to landscape settings.

Yet another possible explanation for Hein et al.'s lack of correlation could be high uncertainty in fatality rate estimates. Fatality rate estimates have been vulnerable to large biases and error caused by unrealistic field trials and weak fatality monitoring methods (Smallwood 2007, Smallwood et al. 2010, 2013). Accuracy in fatality rate estimates would increase by detecting more of the fatalities, and in more effectively addressing biases such as use of inadequate maximum search radii. Matthews et al. (2013) argued that use of skilled dogs would increase carcass detection rates, a method that we employed here. Other approaches would be to decrease the time interval between searches and to increase the maximum search radius around wind turbines.

The scientific basis for deciding on a maximum search radius has been scarce. Hull and Muir (2010) proposed a method based on ballistics, and Smallwood (2013) proposed a method based on modeling the pattern of carcass deposition within previously searched areas. Huso et al. (2014, 2017) also proposed modeling the pattern of carcass deposition, but the proposed metric consisted of the density of carcasses (carcasses/m<sup>2</sup>) as opposed to Smallwood's (2013) cumulative number of carcasses with increasing distance from the turbine. Huso et al. (2014, 2017) further proposed that monitoring can be more efficient by concentrating efforts near the turbine tower where carcass densities were higher at one cited project site. Given the types of detection trials we deployed in this study, and our use of dogs to improve carcass detection, we had the opportunity to more closely examine searcher detection and carcass distributions around wind turbines.

It needs to be known whether preconstruction surveys can generate useful passage rate metrics of bats and small birds, and whether post-construction fatality rates of bats and small birds can be estimated with sufficient accuracy to discover meaningful patterns with passage rates. The primary objectives of this study were to test the efficacy of using skilled dogs relative to human searchers to find available fatalities and to relate fatality finds to patterns of bat and small bird activity at wind turbines during the night preceding fatality searches. We aimed to more closely compare wind turbine fatalities to passage rates or behavior rates, near-misses, or angles of entry to the rotor plane observed the night before each fatality search. We needed this close comparison because fatality monitoring is a contest between investigators and scavengers over who might find the fatalities first. The longer the time interval between searches, the more likely scavengers will remove evidence of fatalities from the search area before investigators can detect them. A key element to this close comparison is the use of carcass detection trials, in which we place bat and small bird carcasses into the search areas without the searchers being aware of placement details such as location, species or number of carcasses. However, this report is interim to a final report that will make use of the nocturnal survey data to measure bat passage rates with fatality rates. The objectives of this interim report are to (1) test whether carcass detection rates are higher using dogs than humans as fatality searchers, (2) examine patterns of carcass deposition around wind turbines in an effort to assess the suitability of earlier and ongoing maximum search radii, (3) test for an effect on fatalities caused by a serendipitous, project-wide wind turbine shutdown in the midst of the bat migration season.

### **METHODS**

To achieve our ultimate goal of comparing bat passage rates to fatality rates, we sought to maximize our detection of bat fatalities by conducting fieldwork through the peak period of bat activity and documented fatalities in the APWRA. This period includes the last week in September and the first week of October, which also happens to generally coincide with a peak in small bird flights through the APWRA at night. We surveyed for bats and small birds 4 September through 15 November 2018. Nocturnal surveys lasted 3 hours per night, and fatality surveys were performed at the same turbines the following morning, 5 days per week. Nocturnal surveys included at least 1 round of 5-10 minute scans per turbine per hour. Each night nocturnal surveys covered 2 to 5 wind turbines, which were searched for fatalities the following morning.

Nocturnal surveys were performed between dusk and 3 hours after dusk, which is the time period corresponding with most bat activity. We recorded temperature, wind direction, and wind speed each hour using a Kestrel wind meter. Using the thermal camera we also recorded temperatures of ground cover and the vents at the rear of wind turbine nacelles. In between timed passage rate surveys, we surveyed for individual bats and birds, which upon detection were tracked by panning the thermal camera to keep pace with the bat or bird to determine whether it targeted one or more wind turbines. Each timed scan was also video-recorded so that observations could be verified and any missed bat or bird passages recorded upon later viewing of the video.

Our fatality searches were performed by our dog team consisting of Collette Yee, a trained dog handler, who worked with one of two trained dogs at a time, Captain and Jack, and was accompanied by Skye Standish. Captain and Jack were trained by Conservation Canines with the Center of Conservation Biology, University of Washington. Our dog team searched 5 days per week, 15 September through 15 November. Searches were performed in the morning, when conditions were optimal for searching with dogs. Each dog was given turns at searching, then rested as the other dog took a turn. Search areas extended to 75 m from 31 1-MW Mitsubishi wind turbines in the Buena Vista Wind Energy project and to 105 m from 32 1.79-MW wind turbines in the Golden Hills Wind Energy project. Daily searches covered 2 to 3 turbines at Golden Hills or 3 to 5 turbines at Buena Vista. Dogs were led by leash along transects oriented perpendicular to the wind and separated by 10 m over most of the search area. The exception was within a 90° arc between 210° and 300° from the turbine, which corresponds with prevailing upwind directions in the APWRA. Within this 90° arc we allowed dogs off leash for a more cursory search, because in our experience few bat and small bird fatalities are found upwind of wind turbines (Smallwood 2016a, Brown et al. 2016). Within the intensive search areas we navigated transects using GPS and a Locus Map application on a phone along with visible flagging as needed. We tracked dogs using a Keychain Finder Transystem 860e GPS data logger. Standish mapped and photographed fatality finds using a Trimble GeoExplorer 6000 GPS unit, and identified carcasses to species. Found carcasses were left in place for possible repeat discovery.

At Golden Hills our dog team searched for fatalities at 32 wind turbines that were also searched by the onsite fatality monitor (H.T. Harvey and Associates 2017) at 28-day intervals. On 19 September the fatality monitor switched from using dogs to using human searchers at the 32 turbines we searched. Human searchers and the dog team were blind to each other's fatality finds, but the dog team informed the human searchers of our trial carcass placements (described below). Also, the human searchers removed found fatalities, except for our trial carcasses. Over the same time period the dog team performed 55 searches at the same 32 turbines where the human searchers performed 69 searches. We later compared the fatality finds between the dog team and human searchers.

Within the intensively searched areas downwind of wind turbines at Golden Hills and Buena Vista, Smallwood deposited fresh carcasses of bats and small birds the day prior to each fatality search (Table 1). Placements were to randomized locations within the fatality search areas. Smallwood weighed trial carcasses prior to placements, and he clipped the tips of flight feathers of birds and removed one foot from bats. These carcasses served as fatality detection trials that

are typically used to adjust fatality finds to fatality rates (Brown et al. 2016, Smallwood 2017, Smallwood et al. unpublished). The fatality searchers were blind to the trials, and reported them in the same manner as turbine-caused fatalities, except that searchers also reported whether bird carcasses had clipped flight feathers or bat carcasses lacked one foot. Smallwood followed-up on trial carcass placements with status-checks. Carcasses were left in the field indefinitely at Buena Vista. At Golden Hills, we were required to remove bat carcasses following the dog team's search, and we removed bird carcasses after obtaining persistence rates via carcass status checks.

We implemented two additional types of detection trial to test whether time since death and time in the field might affect detection rates. At Buena Vista, Smallwood placed fresh frozen bird carcasses on randomized days up to two weeks prior to the next fatality search to test whether carcasses persisting in the field longer than a day were detected at the same rates as those placed one day prior to the search. Because we were required to remove bat trial carcasses from Golden Hills after our first search attempt, we relocated persisting carcasses to Buena Vista to test whether carcasses thawed an extra 1 to 4 days prior to placement affected detection rates (Table 1).

Using only the fresh carcasses and carcass status checks using both the trial administrator and our dog team searches, we estimated daily mean carcass persistence rates,  $R_c$ , defined as the mean proportion of carcasses remaining following the average time interval (days) between searches:

$$R_c = \frac{\sum_{i=1}^{I} R_i}{I} ,$$

where  $R_i$  was the predicted proportion of carcasses remaining at the *i*th day into the trial, based on nonlinear regression used to fit a predictive model to the data, and *I* was the number of days into the trial which corresponded with the average interval between the fatality searches. The number of found fatalities would be divided by  $R_c$  to derive a fatality estimate adjusted for the proportion of fatalities not found due to scavenger removal.

### **Patterns of Found Fatalities around Wind Turbines**

Fatality rates are less comparable between wind projects unless one accounts for variation in combinations of tower heights and maximum fatality search radius (Smallwood 2009, 2013, Hull and Muir 2010, Kitano and Shiraki 2013, Loss et al. 2013). These combinations partly determine the proportion of fatalities that are found, because some proportion of birds and bats end up outside the search area and are never discovered. The adjustment factor, *d*, represents the proportion of carcasses likely to be found within the maximum search radius around wind turbines of given tower heights. To obtain *d* in fatality rate equation 1, Smallwood (2013) reviewed tables and appendices in available reports to obtain distances of fatalities from wind turbines. Fatality finds were summed within 1-m intervals of distance from the turbines for each group of tower heights and each group of maximum search radii, and least-squares regression analysis was used to fit logistic functions to the cumulative sum fatalities with increasing distance from the turbine. The regressions were restricted to the distance of the maximum search

radius plus 5 m to account for the area likely searched as the searcher reached the search boundary. In all cases, a logistic function was fit to the data, iteratively changing the upper bound value of the dependent variable in the model until the minimum root mean square error (RMSE) was obtained:

$$Y = \frac{1}{\left(\frac{1}{u} + a \times b^{X}\right)},$$

where *u* was the upper bound value of the cumulative proportion of found fatalities, Y, X was meters from wind turbine where nearest fatality remains were located, and *a* and *b* were fitted coefficients.

The regression models were used to predict cumulative sum fatalities as functions of distance from the turbine, which were then extended to distances beyond the maximum search radii that were reported at wind-energy projects (Smallwood 2013). These model predictions were extended to greater distances to identify asymptotic values, which were then divided into predicted values at each 1-m interval to represent the predicted value as a proportion of the asymptotic value. The result was a predicted cumulative proportion of fatalities relative to the predicted maximum (1.0) that would have been found had the searches extended well beyond the search boundary. New models were developed from data collected during our study.

#### Impact of Turbine Shutdown on Volant Wildlife

Before our study began, we learned that the Buena Vista project was scheduled for shutdown 2 October through 10 November to repair a circuit. This shutdown provided an opportunity to test whether wind project curtailment reduces bat and bird fatalities. To compare impacts of a project-wide turbine shutdown, we measured the change in fatality finds per search before and after the Buena Vista shutdown. The Golden Hills turbines served as the control group, because they continued to operate before and after the Buena Vista shutdown. We took the ratio of postshutdown fatality rates to pre-shutdown fatality rates in the control group and multiplied it by the pre-shutdown fatality rate at Buena Vista to obtain an expected value. We took the difference between the expected value and the average fatality rate after the Buena Vista shutdown and divided this difference by the expected value to calculate the change in fatalities due to the shutdown:

$$E[I_A] = (C_B - C_A) \times I_B,$$
  
$$IMPACT = \frac{(E[I_A] - I_A)}{E[I_A]} \times 100\%,$$

where  $C_B$  and  $C_A$  were fatalities/search at the control site (Golden Hills) before and after the Buena Vista shutdown,  $I_B$  and  $I_A$  were fatalities/search at the impact site (Buena Vista) before and after the shutdown,  $E[I_A]$  was the expected post-shutdown fatalities/search at Buena Vista, and IMPACT was the effect of the shutdown on fatalities/search, which could also be translated to the number of fatalities by multiplying IMPACT and the number of post-shutdown searches.

# RESULTS

### **Fatality Searches**

We performed 151 fatality searches at 63 wind turbines from 4 September through 15 November 2017, 20 searches using only a human searcher through 13 September, and 131 searches using dogs thereafter. Skye Standish searched 20 turbines once each from 4 through 13 September 2017. Captain and Jack – our trained dogs – searched 15 turbines once each and another 48 turbines twice to four times per turbine, averaging 25 day intervals between searches (range 2 to 53 day intervals). At Golden Hills, our dog team searched 32 turbines that were being searched every 28 days by human searchers after 19 September. Of these turbines, our dog team searched 12 turbines once, 17 turbines twice, and 3 turbines three times for a project total of 55 turbine searches. At Buena Vista, our dog team searched 3 turbines once, 15 turbines twice, 9 turbines three times, and 4 turbines four times for a project total of 76 turbine searches.

Buena Vista experienced a planned project-wide shutdown beginning 06:00 hours on 2nd October. This shutdown extended through the remainder of the study period. Our dog team performed 28 turbine searches (26 turbines) at Buena Vista on or before the shutdown date, and 48 turbine searches (31 turbines) afterwards. They searched 14 turbines at Golden Hills prior to the Buena Vista shutdown, and performed 41 turbine searches (31 turbines) afterwards. Results from these searches were examined in a before-after, control-impact experimental design to test the degree to which operating turbines contribute to fatalities found at wind turbines.

Between both projects, we found carcasses of 9 bats and 43 birds that we believe had died prior to the start of our study. Also between both projects, our human searches performed in early September detected 2 bats that had died after the start of our study and 10 birds that died prior to the study. The human-found bats included a western red bat and hoary bat at Buena Vista. The human-found birds included 1 turkey vulture, 1 golden eagle, 2 red-tailed hawks, 1 American kestrel, 2 burrowing owls, 1 mourning dove, 1 horned lark, and 1 unidentified large bird. The human-found searches will not factor into the remainder of our results. Species found by our dog team are listed in Table 2.

We found 8 of the 21 birds reported to have been found and removed by human searchers at Golden Hills, meaning that either we found 8 birds prior the human searchers' removal of them or we detected residual evidence after the removals, i.e., incomplete removals. We found 3 of the 7 red-tailed hawks found by human searchers, 2 of which were found on the same day by our dog team and the monitor's human searcher. We found 2 of their 3 burrowing owls, the one mallard they found, and 1 of 2 horned larks. We did not find the 1 Mexican free-tailed bat found by human searchers, or the 1 golden eagle, 1 ferruginous hawk, and other birds. Except for large birds, the human searchers' practice of removing found carcasses probably had little impact on our study results.

During the period of our fatality searches using dogs, we found 24 bats and 26 birds at Buena Vista and 71 bats and 63 birds at Golden Hills (Table 2). Whereas our dog team failed to detect the one bat found by human searchers, likely because it had been removed by the human searchers after discovery, the human searchers found none of the 71 bats that our dogs found and

which we left in the field to be potentially found by human searchers (some of these bats would have been removed by scavengers between our detections of them and the next human search).

# **Detection Trials**

Of 278 trial placements, 214 were available to be found by dogs during at least one search. Most of the remainder had been removed by scavengers prior to the first search following placement, and a few were mistakenly placed outside the search areas.

Of the trial carcasses placed for the first time and shortly before the next fatality search, and hence confirmed available to searchers, our dogs detected 96% of bats and 90% of birds between both projects. Our dogs found 100% of 41 bats placed at Golden Hills and 93% of 54 bats placed at Buena Vista. They found 84% of 56 birds placed at Golden Hills and 91% of 32 birds placed at Buena Vista. For comparison, at Golden Hills the dog search team of H.T. Harvey (2017) found 77% of 35 placed bats and 53% of 26 placed small birds that were confirmed available to be found the previous fall, 2016.

We also quantified detection rates of all searcher exposures to carcasses, whether just placed or those persisting through multiple searches and subjected to trial testing each time. Of these, our dogs found 95% of 132 bat trials and 91% of 101 bird trials between both projects. Our dogs found 100% of 44 bat trials at Golden Hills and 92% of 88 bat trials at Buena Vista. They found 88% of 57 bird trials at Golden Hills and 95% of 44 bird trials at Buena Vista.

Because we were required to remove bats soon after trial completion at Golden Hills, we relocated these bat carcasses to Buena Vista as special trials to test dogs on older carcasses (Table 1). All of these bats had persisted 1 to 4 days of trial placements at Golden Hills prior to relocation. Our dogs detected 87.5% of 24 relocated bats confirmed to be available for detection.

We also placed 36 bird carcasses on randomized days at Buena Vista to vary the days since placement by up to two weeks (Table 1). Our dogs detected 36% of these carcasses, but they found 100% of 13 that had persisted through the next fatality search. The 64% that were undetected had already been removed by scavengers.

For our dog team, mean distance to carcass occlusion did not differ significantly between trial carcasses that were detected versus missed for bats, birds, and bats and birds pooled together (t-tests, P > 0.05). Nor did mean  $log_{10}$  body mass differ significantly between trial carcasses that were detected versus those missed for bats, birds, and bats and birds pooled together (t-tests, P > 0.05). That body mass was not a factor was especially interesting for bats, of which the smallest was a dried out carcass of 1 g, and many of which consisted of immature bats that had fallen out of a nest box. Among birds, the dogs had no problem finding hummingbirds and many chicks of various songbird species. The mean number of fatality (and trial) finds on a particular day did not differ significantly between trial carcasses that were detected versus missed for bats, birds, and bats and birds pooled together (t-tests, P > 0.05).

Of the 7 bats that were missed by dogs, 3 had been relocated from Golden Hills to Buena Vista (they had been found at Golden Hills, but relocated to test dogs on bats having been in the field

>1 day). Missed relocated bats included 2 adult little brown bats and one adult Mexican freetailed bat that had persisted at Golden Hills 2-4 days prior to relocation. Three bats were missed on the same day – 31 October 2017. One missed bat was on a gravel pad, 1 on a gravel road, 1 on restored grassland, and 4 on established grassland. Only one of the missed bats was partially occluded by vegetation. Two of the missed bats were near the edge of the maximum search radius.

Our dogs missed 8 birds ranging in size from a 3.7 g Bewick's wren to an 87.6 g Eurasian collared-dove. Three birds were missed on the same day -13 November 2017, and 2 more were missed on the same day -23 October 2017. Two of the missed birds were on the non-gravel portions of turbine pads, 3 were on reclaimed grassland, and 3 were in established grassland. Three were partially occluded by vegetation, and 4 were on very steep slopes. Two of the missed birds were at the edge of the maximum search radius.

Of the 15 missed bat and bird trial carcasses, 4 bats and 6 birds were missed on 8 search days when the dog team was either accompanied by Heath Smith (4 carcasses missed during 3 days) or photographed by Shawn Smallwood (6 carcasses missed during 5 days). That is, 67% of the misses occurred on 18% of the search days when the dog team might have been distracted. The misses occurred on days of distraction nearly  $4\times$  other than expected. Another bat trial carcass was missed during the first day the dog team searched. Twelve of the 15 trial carcass misses occurred among only 3 groups of turbines typically searched on a single day. Golden Hills turbines 4, 5 and 6, searched on the same day, included 5 missed trial carcasses. Buena Vista turbines C11 and C12, which were searched with C13 as a group, included 4 missed carcasses. Buena Vista turbines A14, A15, and A16, which were searched with A13 as a group, included 3 missed carcasses. Thus, 80% of missed trial carcasses occurred at 3 of 21 (14%) turbine search groups, or nearly  $6\times$  other than expected at these turbine groups. Common features of these turbine search groups were steep slopes and highest elevation peaks in the local area.

#### Searcher Detection and Distance from the Turbine

Searcher detection of trial carcasses was higher for dogs than for humans, more so for bat carcasses than bird carcasses (Figure 1 and below). Our dog searcher detection rates, *S*, did not change significantly with increasing distance from the turbine, whereas human searcher detection rates tended to decline with increasing distance (P<0.10):

Bat carcasses placed for dogs (Statistics unnecessary):	S = 1.000 - 0.0000X
Bat carcasses placed for humans ( $r^2 = 0.16$ , SE = 0.08, P>0.05):	S = 0.174 - 0.0015X
Bird carcasses placed for dogs ( $r^2 = 0.04$ , SE = 0.16, P>0.05):	S = 0.970 - 0.0020X
Bird carcasses placed for humans ( $r^2 = 0.21$ , SE = 0.15, P>0.05):	S = 0.612 - 0.0031X

#### **Carcass Persistence**

Within 10 days of placements, 75% of bats and 67% of small birds disappeared from placements sites (Figure 2). One month since placement, persistence rates were about 5% for bats and 11% for small birds (Figure 2). Broken down by body mass, smaller and larger bats persisted at nearly the same rates until two weeks elapsed, after which the smaller bats persisted longer

(Figure 3). Examined by carcass freshness at time of placement, the freshest carcasses might have persisted longer through about two weeks, after which persistence did not differ by freshness at placement time (Figure 3). Daily mean carcass persistence rates were similar between bats and small birds (Figure 4):

Bats  $R_i = 1.01855 \times 0.89976^i$ ,  $r^2 = 0.98$ , RMSE = 0.11 Birds  $R_i = 1 - 3.07322 \times (1 - exp(-0.09959 \times log(i + 1)))$ ,  $r^2 = 0.99$ , RMSE = 0.04

Daily mean search interval, *I*, at Buena Vista and Golden Hills was 22 and 27 days, respectively, so the fatality adjustment for carcass persistence would be 0.40 and 0.35 for bats and 0.39 and 0.35 for small birds. These adjustments translate to fatality estimates of 60 bats and 67 small birds at 31 wind turbines at Buena Vista, and 203 bats and 180 small birds at 32 wind turbines at Golden Hills during the time of our study (these estimates serve only as examples of fatality adjustments and are not intended for comparison to other wind projects).

Our carcass persistence rates generally compared well to those estimated at Vasco Winds (Brown et al. 2016) and previously at Golden Hills (H.T. Harvey and Associates 2017), although there were some notable differences (Table 3). Bat carcass persistence rates were very low at Vasco Winds in 2013, and the H.T. Harvey and Associates' (2017) estimates for both bats and small birds were higher than ours at 28 days.

#### **Patterns of Found Fatalities around Wind Turbines**

Here we begin with a human searcher basis for comparison. In the Vasco Winds monitoring effort of 2012-2015, human searchers revealed that fatalities/ha decreased rapidly with distance from the turbine (Figure 5, left graph), and in the same manner as reported by Huso et al. (2014, 2017). However, they also found that, examined another way, the number of small and large birds were represented in relatively constant numbers among increasing 10-m distance intervals from the tower base to the maximum search radius (Figure 5, right graph). The number of bats found by humans declined significantly with increasing distance from the turbine, but not as rapidly as when expressed in a density metric (Figure 5). The density plot exaggerates the concentration of carcasses near the turbine because the area is smaller; and not necessarily because there are more carcasses. The Huso et al. assumption of higher carcass density near the turbine can bias fatality estimates if searcher efficiency varies with distance from the turbine or if more fatalities actually deposit farther from the turbine.

The best-fit logistic model of cumulative fatality finds regressed on 10-m distance increments (Figure 6) was the following (Bats:  $r^2 = 0.96$ , RMSE = 90.76; Small birds:  $r^2 = 0.99$ , RMSE = 42.77; Large birds:  $r^2 = 0.97$ , RMSE = 75.27):

$$Y_{Bats} = \frac{1}{\frac{1}{45.39} + 0.29 \times (0.937^{X})},$$
  
$$Y_{Small \ birds} = \frac{1}{\frac{1}{\frac{1}{84.58} + 0.15 \times (0.957^{X})}}$$

$$Y_{Large \ birds} = \frac{1}{\frac{1}{60.43} + 0.12 \times (0.966^X)},$$

where X represented 10-m distance increments from the wind turbine's tower base. To come within 1 fatality of each asymptote,  $\mu$ , maximum search radii would need to be 99, 159 and 173 m for bats, small birds, and large birds, respectively.

Over the time period for which we were provided data at Golden Hills, the human searcher results can provide for only weak examinations of spatial patterns of carcass deposition around wind turbines. Only a single bat was found, negating any spatial comparison, and only 21 birds. The single bat was found only 10 m from a turbine tower base, so the cumulative fatality count through 110 m was 1 for every 10-m increment. The best-fit logistic model of cumulative bird fatality finds regressed on 10-m distance increments (Figure 7) was the following ( $r^2 = 0.98$ , RMSE = 11.15):

$$Y_{Birds} = \frac{1}{\frac{1}{21.90} + 0.607 \times (0.953^X)}.$$

To come within 1 fatality of the asymptote,  $\mu$ , the maximum search radius would need to be 119 m for birds, according to the findings of human searchers at Golden Hills. This maximum search radius was predicted to be shorter at Golden Hills than it was for both small and large birds at Vasco Winds.

Based on our dog searches at Golden Hills, the best-fit logistic model of cumulative fatality finds regressed on 10-m distance increments (Figure 7) was the following (Bats:  $r^2 = 0.98$ , RMSE = 109.14; Small birds:  $r^2 = 0.99$ , RMSE = 24.77; Large birds:  $r^2 = 0.98$ , RMSE = 6.02; All birds:  $r^2 = 0.99$ , RMSE = 29.71):

$$Y_{Bats} = \frac{1}{\frac{1}{78.86} + 0.16 \times (0.962^{X})},$$
  

$$Y_{Small \, birds} = \frac{1}{\frac{1}{52.15} + 0.58 \times (0.954^{X})},$$
  

$$Y_{large \, birds} = \frac{1}{\frac{1}{17.83} + 9.18 \times (0.942^{X})},$$
  

$$Y_{Birds} = \frac{1}{\frac{1}{73.889} + 0.48 \times (0.956^{X})},$$

Site	Taxa	Model coefficients			$r^2$	RMSE	Model-predicted asymptote of cumulative fatalities	
		a	b	с			Distance from Proportion within	
							turbine (m)	max search radius
GH	Bats	78.86	0.16	0.962	0.98	109.14	177	0.86
GH	Small birds	52.15	0.58	0.954	0.99	24.77	156	0.86
GH	Large birds	17.93	9.18	0.942	0.98	6.02	120	0.79
GH	All birds	73.89	0.48	0.956	0.99	29.71	173	0.80
BV	Bats	25.96	1.22	0.915	0.99	5.16	76	0.96
BV	Small birds	21.63	3.36	0.936	1.00	0.61	110	0.74
BV	Large birds	7.91	18.74	0.917	0.98	1.12	80	0.89
BV	All birds	28.79	3.13	0.929	1.00	2.55	108	0.80

where X represented 10-m distance increments from the wind turbine's tower base. To come within 1 fatality of each asymptote,  $\mu$ , maximum search radii would need to be 177, 156, 120 and 173 m for bats, small birds, large birds, and all birds, respectively.

Using dogs, the number of bats that were found increased with increasing distance from the turbine at both Buena Vista and Golden Hills (upper graphs, Figure 8), but these increases were proportional to the search areas within radial bands at increasingly greater distances from the turbine (lower graphs, Figure 8). At Buena Vista, the number of birds found by dogs spiked between 40 and 50 m from the turbines, whereas the number of birds/ha decreased greatly with distance from the turbine at both projects (Figure 8).

#### Impact of Turbine Shutdown on Volant Wildlife

Our expected values, E[I<sub>A</sub>], were 0.1958, 0.1220, 0.0061, and 0.1166 fatalities/search for bats, small birds, large birds, and all birds, respectively. Our observed fatalities/search at Buena Vista following the shutdown were 0, 0.0833, 0, and 0.0833 for bats, small birds, large birds, and all birds, respectively. The IMPACTs of the shutdown were 100% fatality reductions for bats and large birds, and a 32% reduction for small birds. The fatalities at Buena Vista had the turbines continued operating. That 22 bats had been found at Buena Vista prior to the shutdown, and that 37 (58%) of 64 bats had been found at Golden Hills prior to the Buena Vista shutdown, indicates that the bat migration was winding down by the time the Buena Vista project was shut down. Nevertheless, the effect of the shutdown was substantial for bats. For large birds, the effect was ambiguous because the number of predicted large bird fatalities in the shutdown period was <1.

# DISCUSSION

Despite the trial administrator's (Smallwood's) deliberate use of immature bats and birds, a preponderance of small-bodied species, and old carcasses along with the fresh ones, and despite the administrator's placement of some carcasses beyond the dog search radius, our dogs still found 100% of bat trial carcasses at Golden Hills and the vast majority of all other bird and bat carcass placements between the two wind projects. Adding to the findings of Mathews et al. (2013), our study further verifies the very large differences between human and dog searchers in

bat and small bird carcass detection rates. Compared to the 71 bat fatalities our dog team found at Golden Hills, human searchers found 1 bat among the same turbines searched and the same time period. Making this 71-fold difference even greater was the fact that the human searchers performed 69 turbine searches to our 55. Additionally, human searchers found 11 small birds whereas our dogs found 47 (4 were found by both teams), a greater than four-fold difference in small bird detection. A smaller, though substantial, difference in detection rates was associated with large birds, of which human searchers found 10 and our dogs found 16 (4 were found by both teams).

The bat carcass detection rate derived from human searches was 0.014 (1 human-found bat divided by 72 found by both human searchers and our dog team), whereas our rate derived from dog searches and detection trials was 1.000 (or 100%). For comparison, the human searches at Vasco Winds achieved a bat carcass detection rate of 0.052 for 134 bat trial carcasses that were integrated into routine fatality monitoring at turbines searched weekly (Brown et al. 2016). More precisely, among 82 bat trial carcasses known to be available to human searchers at Vasco Winds, the detection rate increased to 0.085. Among 42 bat trial carcasses placed in one-day trials at Vasco Winds, and therefore more comparable to the trials we performed at Golden Hills, the detection rate using humans was 0.143. Even the Vasco Winds rate based on a more comparable method was much lower than the rate we achieved at Golden Hills using dogs. Mathews et al. (2013) had found bat trial carcass detection rates of 0.73 using dogs and 0.20 using humans.

Consistent with Brown et al.'s (2016) conclusion that bat detection rates at Vasco Winds were too low for determining whether some wind turbines kill disproportionate numbers of bats, the basis for the same conclusion is even stronger at Golden Hills among the 32 turbines that were searched using humans without dogs. Human searchers cannot find enough of the available bats to test hypotheses related to spatial distributions of bat fatalities deposited around each wind turbine, let alone among wind turbines across a project. Only trained dogs and dog handlers can find enough of the available bats and small birds to test for patterns that can lead to more efficient fatality monitoring. Only dog searchers can inform whether activity patterns seen before construction can predict post-construction impacts. And only dogs can find enough of the available bats to develop micro-siting strategies and test operational curtailment strategies.

One of the implications of our study results is that fatality rates are being underestimated because too often investigators and permitting agencies have assumed that disproportionate numbers of fatalities fall straight down or near the wind turbine. This common assumption has justified maximum search radii that fall far short of the area needed to adequately detect available carcasses of birds and bats. Even at the recent wind projects in the APWRA, the search radius of 105 m appears to be too short. Rather than finding fewer bats with increasing distance from the turbine, as Huso et al. (2014, 2017) posited, we found the opposite. We found the number of bats to increase with distance from the turbine, consistent with Smallwood's (2016, and unpublished data) eyewitness observations of wind turbine casualties sometimes drifting far downwind of the turbine. Compounding this search radius bias, we also found that human searchers at Vasco Winds tended to find decreasing proportions of available bat and small bird carcass trials with increasing distance from the turbine. Not only did we learn that bat fatalities

are deposited in greater numbers farther from the wind turbine, but humans are able to find fewer of the available fatalities farther from the turbine.

Additional research is needed to determine just how far searches need to extend from turbines to potentially detect all of the available fatalities. Alternatively, additional research is needed to determine the proportion of fatalities that are not being detected due to insufficient search radius. Just as argued in Smallwood (2013), the fitting of logistic functions to cumulative numbers of fatalities with increasing distance is an interim measure to the more exact approach of searching farther. Fitting a model to fatalities collected within a maximum search radius will yield different patterns and different distances associated with asymptotic cumulative fatality finds depending on the search effort, including duration of monitoring and the maximum search radius used. What is needed is a research effort that uses dogs to continue searching outward from turbines until no more fatalities are found.

Other than the search radius bias, using trained dogs for fatality monitoring requires only one substantial adjustment to fatality estimates, and that would be for carcass persistence. Many of the placed bats and small birds are gone from placement sites within one week of placement. If carcass placement schedules are integrated into the fatality monitoring schedule (Smallwood 2017), then the average daily availability of carcasses will reduce the size of the adjustments from what might be implied in Figure 4. Such integrated detection trials would also provide for the small adjustments needed for dog searcher detection. Regardless, body mass would no longer be required for deriving fatality adjustments (Smallwood 2017).

Searching with dogs revealed a substantial error associated with carcass removals. Discounting two red-tailed hawks found by both the dog team and human searchers on the same search days, our dog team found 32% of the bird carcasses reported to have been removed by the human search team at Golden Hills. Similarly, our dog team revealed that our trial administrator (KSS), even knowing exactly where he placed carcasses, nevertheless falsely determined removals of 8.9% (11 of 123) of bird trial carcasses and 2.9% (3 of 105) of bat trial carcasses. This type of error is difficult to avoid because carcass remains often spread over large areas and some of the remains will be small and hidden in vegetation. Finding feathers and bones a month or two after the carcass was reported to have been removed can result in double-counting a fatality if it was falsely assumed to have been removed. Acknowledging the potential error associated with incomplete removals and false removal determinations, Brown et al. (2016) and Smallwood (2017) left carcasses where found and relied on fatality photos and on tracking when and where remains were found to prevent double counting.

We concur with Mathews et al. (2013) that fatality monitoring at wind turbines should be performed using trained dogs and dog handlers, and we further concur that dogs should be carefully selected for the task. Unlike humans, skilled dogs find almost all of the available carcasses. Some of our findings suggest that a skilled dog team might find even more of the available carcasses if the dog team is left undisturbed by colleagues. The much more accurate fatality estimates generated from dog searches can lead to more cost-effective monitoring and to insight about causal factors of collisions as well as reasonable solutions. Monitoring and mitigation solutions can be arrived at much more rapidly with the vastly superior data that trained dogs and their handlers can collect at wind turbine projects.

Finally, our test of whether operational curtailment can reduce bat fatalities was convincing, and compelling. We found that where wind turbines are shut down during a bat migration, bat fatalities cease. For bats to collide with wind turbines, the rotors of the turbines must spin. Because the migration season is relatively brief, a seasonal curtailment strategy would drastically reduce bat fatalities while not giving up a large proportion of the annual energy generation. However, operational curtailment appears to be less effective at reducing fatalities of small birds, consistent with the findings of Smallwood (2016a).

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Captain celebrates after finding a fatality in the Altamont Pass, 2017

# **ATTACHMENT 2**

## Comparison of Wind Turbine Collision Hazard Model Performance Prepared for Repowering Projects in the Altamont Pass Wind Resources Area

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7 January 2017 (Updated 5 April 2018)



Photo by Shawn Smallwood

A repowered 2.3 MW Siemens wind turbine neighboring 1 MW Mitsubishi turbines in the background and 120 KW Bonus "old-generation" turbines in the foreground.

**Update:** This report was updated to include a revised burrowing owl collision hazard model, developed for a repowering project that is in progress. The new model relies on relationships between fatality rates at old-generation wind turbines and terrain. The new model performed much better than did any of the previous models. Everything else in this update is unchanged from the 7 January 2017 report. Because the first year report of fatality monitoring at Golden Hills became available in February 2018, an addendum to this report was prepared to address golden eagle model performance at Golden Hills.

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Wind turbines were installed in the Altamont Pass Wind Resource Area (APWRA) in Alameda and Contra Costa Counties, California, beginning in the early 1980s. These original wind turbines, herein referred to as "old-generation wind turbines," ranged in rated capacity from 40 KW to 400 KW, some of which were installed on vertical axis towers and the rest were installed on horizontal axes mounted on towers ranging from 14 m to 43 m high. These old-generation wind turbines were usually arranged in rows, which were not sited with avian or bat collision risk in mind. By the mid to late 1980s the issue of raptor collisions emerged, and with subsequent monitoring efforts collision mortality was recognized as problems for raptors, other birds and even bats (Orloff and Flannery 1992, Smallwood and Thelander 2004, ICF International 2016). Orloff and Flannery (1992, 1996) and Smallwood and Thelander (2004, 2005) examined patterns of fatalities in efforts to identify candidate causal factors and to recommend mitigation solutions. Both studies recognized topography as important, as wind turbines located on particular terrain features were associated disproportionately with fatalities. Such terrain features included ridge saddles, breaks in slope, steep slopes, and valley features such as canyons and ravines. Given the deterioration of many old-generation wind turbines by the time the Smallwood and Thelander (2004) study was completed, Smallwood and Thelander recommended repowering of the wind projects as soon as possible.

Smallwood and Thelander's (2004) strongest recommendation was to begin repowering by replacing the old-generation wind turbines with modern turbines that are sited to minimize bird collisions. This recommendation was reiterated in Smallwood (2006), Smallwood and Neher (2005, 2009), Smallwood and Thelander (2005, 2008), Lamphier-Gregory et al. (2005), Smallwood and Karas (2009), and Smallwood et al. (2009a, c). The Alameda County Scientific Review Committee also recommended careful repowering as the highest priority measure for reducing raptor fatalities in the APWRA. Following the Smallwood and Thelander (2004, 2005) study, additional studies were performed and reports and papers written in support of developing collision hazard models to help guide repowering (Smallwood 2017a; Smallwood et al. 2009b,c; Smallwood et al. 2010, Smallwood et al. 2017). Some challenges and opportunities related to measuring the effects of repowering on birds and bats were summarized in Smallwood (2017b). Beginning in 2009 Smallwood and Neher began developing map-based collision hazard models, the first of which were prepared as demonstration studies focused on burrowing owl (Smallwood and Neher 2009, Smallwood et al. 2009a). Tres Vaqueros was the first repowering project for which we prepared map-based collision hazard models to reduce raptor fatalities (Smallwood and Neher 2010a, 2011). New models followed for the Vasco Winds repowering project (Smallwood and Neher 2010b), the Golden Hills project (Smallwood and Neher 2015a), the Patterson Pass project (Smallwood and Neher 2015b), Golden Hills North (Smallwood and Neher 2015c), Sand Hill (Smallwood and Neher 2016a), and Summit Winds (Smallwood and Neher 2016b).

Map-based collision hazard models of each successive repowering project benefitted from lessons learned from past efforts on repowering projects, but mostly from the Vasco Winds repowering project. For example, we learned from the Vasco Winds project that terrain needs to be weighted for collision hazard in anticipation of changes to terrain caused by grading for the new turbine pads and access roads. Another lesson learned was that most golden eagle fatalities are caused by wind turbines located on ridge structures that are generally oriented east-west. The models also benefitted from a transition from reliance on use rates to behavior rates and from the accumulation of additional use and behavior data collected over longer time periods and larger areas in the APWRA. As the sample sizes of use and behavior data increased, additional predictor variables became available, such as rates of ridge crossings and wind turbine interaction events, i.e., near-misses. Newer collision hazard models also benefitted from the emergence of golden eagle telemetry data, and more expansive and more carefully interpreted fatality rates from both old and new wind turbines across the entire APWRA. The burrowing owl models benefitted from the expansion from the Vasco Caves Regional Preserve study of 2006-2007 used in the earliest models to the APWRA-wide burrowing owl density and distribution study begun in 2011 and used for later models. Furthermore, the latest model was prepared for the entire APWRA instead of tailored for individual project locations as had been done earlier. In summary, collision hazard models likely improved through time due to expanded and improved data used to inform the models, expanded and improved terrain measures used to develop the models, lessons learned from previous projects, and finally the shift from tailoring models for project sites to developing models APWRA-wide. However, these improvements were assumed rather than measured.

The primary objective of this study was to assess the predictive performance (defined explicitly in Methods section) of collision hazard models developed through the succession of repowering projects in the APWRA. Another objective was to assess whether the latest version of collision hazard models is the top-performer in terms of predicting collision risk based on spatial locations of wind turbines. A third objective was to assess whether and to what degree any of our collision hazard models developed for particular species might serve as umbrella predictors of collision hazard to all raptors as a group or to all birds as a group. A fourth objective was to further explore the data to determine why model predictions might have turned out to be lower than expected.

The most effective way to assess model performance is to monitor for fatalities at projects that were micro-sited according to model predictions. Vasco Winds was micro-sited according to one version of the collision hazard models, and because fatality monitoring was ongoing throughout the AWPRA while fatality monitoring was performed for three years following construction, we could assess the performance of the models by comparing project-level fatalities at Vasco Winds to fatalities elsewhere in a before-after, control-impact (BACI) experimental design (Brown et al. 2016). However, a BACI design is no longer feasible for comparing the performance of collision hazard models used to micro-site other repowering projects in the APWRA because APWRA-wide fatality monitoring was discontinued in fall 2014. The only comparisons possible going forward would be before-and-after repowering. Such comparisons are prone to confounding effects from unmeasured factors and would not be possible until post-construction fatality monitoring is completed at repowering projects. Fatality monitoring at Golden Hills began in fall 2016, so results there are becoming available one annual fatality monitoring report at a time. For the sake of minimizing collision risk as part of the micro-siting of new or ongoing repowering projects, we assessed post-construction fatality monitoring results at Golden Hills in an addendum report. In this report, we needed to assess progress of the collision hazard models based on fatality rates at old-generation wind turbines.

This study compared estimates of fatality rates among >4,100 APWRA wind turbines that were monitored for at least one year, 1998-2015, across four hazard classes that were predicted by

each version of the models we developed. Collision hazard classes ranged 1 through 4, representing lowest (1) to highest (4) collision risk. Among all 6 versions of the models, Hazard Class 1 typically covered about 63% of the APWRA landscape, Hazard Class 2 covered about 20%, Hazard Class 3 covered about 12%, and Hazard Class 4 covered about 5%. For each of the collision hazard models we identified which of the monitored wind turbines belonged to each of these Hazard Classes. We judged a model to perform well if the estimated mean fatality rate increased substantially from Class 1 to Class 4, or alternatively from Classes 1 and 2 to Classes 3 and 4. Another indicator of superior performance was whether mean fatality rates increased continuously from one Hazard Class to the next in succession. Another indicator included precision (confidence interval) of mean fatality rate estimates, but this indicator was complex and is discussed in more detail later. Our approach assumed that collision hazard is influenced more by spatial location than by wind turbine size or type. This assumption may not be entirely true, but there is little evidence available to either refute or verify it, and it is an assumption that also applied to all of the micro-siting implemented to date in the APWRA.

## **METHODS**

Each version of the collision hazard models required detailed technical explanations resulting in relatively large reports. Because the primary objective of this study is simply to compare the performance of collision hazard models prepared for repowering projects in the APWRA, we report a methodological overview as well as methods that pertain directly to the objectives of this report. Detailed explanations of methods used to develop collision hazard models can be found in the original reports, cited earlier.

Comparing collision hazard models among repowering projects is complicated by variation in data sources (Table 1) and in wind project locations. Models were developed from those portions of the APWRA where data were collected. For example, the earliest models were based on data collected from Vasco Caves Regional Preserve, including the entirety of Vasco Caves for burrowing owl data and the surveyed airspace around 15 observation stations for volant raptor use data. Later models were based on data collected APWRA-wide, including from 46 sampling plots for burrowing owl data and the surveyed airspace around many observation stations for volant raptor use and behavior data. Later models were also based on fatality data collected from wind turbines monitored for at least one year, but the terrain represented by monitored turbines shifted through time as more turbines were included in monitoring. These models, which varied in data sources, were then extended to various project locations. The project locations varied in terrain conditions, with some projects on large hills and deep valleys and others on shallower terrain. We had tailored the models to fit the terrain of each project, leaving a comparison of model performance less than straightforward. For example, some models for specific focal species might apply to ranges of elevation that are missing from other project areas. Adding to the difficulty of comparing model performance is the fact that most of the projects involved have yet to be repowered and monitored for fatalities. Yet to be constructed are Tres Vaqueros, Patterson Pass, Golden Hills North, Sand Hill and Summit Winds. Golden Hills was constructed, but fatality monitoring began in fall 2016, and fatality rate estimates were unavailable until February 2018 (an addendum report has been prepared to address the golden eagle fatalities). The only constructed and monitored repowering project for which collision hazard models were prepared includes the Vasco Winds project.

For each focal species we typically built a collision hazard model combined from models that were built from specific types of data. For a particular species, we might have constructed a model based on behavior patterns, another based on GPS/GSM telemetry positions, and another based on fatality rates. Each of these data sources introduced unique sources of error and bias even though we attempted to reduce error and the effects of bias to the degrees feasible. For example, use rates are prone to error and bias in detection rates of flying birds due to variation in airspace that is visible from the observation station. We therefore adjusted use rates by calculating visible volumes of airspace surveyed at each station and dividing the number of birds detected per survey hour by the visible volume of airspace. In another example, fatality rates vary due to variation in searcher detection rates of carcasses, carcass persistence time, and proportion of carcasses found within the maximum fatality search radius, which also varied in the APWRA. Fatality rates also vary due to variation in the denominators in the fatality ratio metric, including wind turbine capacity in MW and the duration of monitoring in years. We therefore adjusted fatality rates for all these sources of variation. In yet another example, GPS/GSM telemetry positions of golden eagles are referenced to the Geoid, so we mounted telemetry units to Smallwood's truck while driving around the APWRA as a basis for adjusting eagle heights above ground relative to a digital elevation model of the APWRA. Telemetry positions were also processed to identify those within certain height domains above ground and those attributed to perching versus flying. All of these adjustments, and others, are detailed in the reports we prepared on collision hazard models. Adjustments specific to use rates are also detailed in Smallwood (2017a). Adjustments specific to fatality rates are also detailed in Smallwood (2007, 2013).

We integrated the data-specific models to derive composite models in the hope that the collision hazard models would be more robust. The latest iteration of the golden eagle model was a composite of a model developed from flight behaviors, a model developed from GPS/GSM telemetry, and a model developed from fatality rates at monitored wind turbines. The composite collision hazard models that could be compared for golden eagle, red-tailed hawk, American kestrel and burrowing owl include those in Table 1. For burrowing owl, we did not develop a composite model early on, but we developed one later as we acquired data on fatality rates as well as burrow locations.

To assess model performance among species and projects, we compared fatality rate estimates to collision hazard predictions made from the composite models. We did not compare the models developed for Patterson Pass because we were unable to secure permission from the owner of the project, EDF (emails and phone calls were not answered). However, the models developed for Patterson Pass were similar to those developed for Golden Hills North. For the latest version of collision hazard models – those prepared for Summit Winds, we also compared model performance prepared from specific data sources. For all of these comparisons we extended model predictions across the entirety of the APWRA while maintaining the original model structures including collision hazard scores bounding lowest to highest collision hazard classes 1 through 4. All of the models predicted only four collision hazard classes resulting in about 63% of the APWRA in the lowest collision hazard class of 2, about 12% of the APWRA in the second highest collision hazard class of 3, and about 5% of the APWRA in the highest collision hazard class of 4.

Model	Wind project	MW	Year	Data sources <sup>1</sup> linked to terrain measurements			
version				Golden eagle, red-tailed hawk, American kestrel	Burrowing owl		
1	Tres Vaqueros	28.800	2010	Use in Vasco Caves 2005-2007	Burrow locations in Vasco Caves 2005-2007		
1	Vasco Winds	78.100	2010	Use in Vasco Caves 2005-2007	Burrow locations in Vasco Caves 2005-2007		
2	Golden Hills	87.400	2015	Use from Vasco Caves 2005-2007, Buena Vista	No model was developed		
				2008-2009, and Alameda County monitor 2005-2011;			
				Behavior in APWRA 2012-2014; Fatality rates in			
				APRWRA 1998-2010 (golden eagles only)			
3	Patterson Pass	21.960	2015	Behavior in APWRA 2012-2014; Fatality rates in	No model was developed		
				APWRA 1998-2010 (golden eagles only)			
4	Golden Hills	39.250	2015	Behavior in APWRA 2012-2014; Fatality rates in	Burrow locations in 46 APWRA plots 2011-		
	North			APWRA 1998-2010 (except for American kestrels)	2012; Fatality rates in APWRA 1998-2010		
5	Sand Hill	24.146	2016	GSM/GPS telemetry (golden eagles only) 2012-2015;	Burrow locations in 46 APWRA plots 2011-		
				Behavior in APWRA 2012-2015; Fatality rates in	2015; Fatality rates in APWRA 1998-2010		
				APWRA 1998-2010 (except for American kestrels)			
6	Summit Winds	54.000	2016	GSM/GPS telemetry (golden eagles only) 2012-2015;	Burrow locations in 46 APWRA plots 2011-		
				Behavior in APWRA 2012-2015; Fatality rates in	2015; Fatality rates in APWRA 1998-2015		
				APWRA 1998-2015			

**Table 1.** Summary of data sources used to develop collision hazard models for repowering projects in the Altamont Pass Wind<br/>Resources Area, California.

<sup>1</sup> For consistency and comparability, all fatality rates were adjusted consistency by Smallwood , regardless of the source.

Diagnostics indicating superior model performance include (1) increasing mean fatality rates with each higher collision hazard class from 1 through 4, (2) large magnitude increases in mean fatality rates at hazard classes 3 and 4 compared to classes 1 and 2, and (3) smaller 90% confidence intervals (CI). The third diagnostic is less reliable, however, because the majority of monitored wind turbines will not have caused a fatality during the period of monitoring, especially among wind turbines monitored over relatively short periods (monitoring duration varied greatly among APWRA turbines, ranging 1 to 10 years). Fatality rates at wind turbines where fatalities were found will have been adjusted for the portion of fatalities not found among the turbines monitored, meaning the found fatalities are adjusted for the failure to find fatalities that actually happened at other wind turbines. Adjustments for searcher detection error and carcass persistence can result in one burrowing owl fatality found at a turbine being adjusted to more burrowing owl fatalities attributed to that turbine, ranging 7 to 17 burrowing owl fatalities depending on the fatality search interval used in monitoring. Building from this example, what this means is that up to 16 burrowing owl fatalities that occurred at up to 16 other wind turbines were attributed to the single turbine where the one fatality was found while the other turbines were attributed with false zero fatality finds. This loading of unfound burrowing owl fatalities onto the one turbine where a fatality was found artificially inflates the confidence ranges. Therefore, diagnostics (1) and (2) are most reliable, and were weighted accordingly:

Sequential increase of mean fatalities/year in hazard class (S)	High score $= 3$
Y = Mean fatalities/year in hazard class as multiple of mean in low	ver hazard class
$Y \le 0.9$	-1
0.9 < Y < 1.1	0
$Y \ge 1.1$	1

Magnitude of increase between Classes	( <b>M</b> )	High score $= 8$
Y = Mean fatalities/year in hazard class 3 c	or 4 as multiple	of mean in class 1
$Y \le 0.9$		-1
0.9 < Y < 1.1		0
$1.1 \le Y \le 2$		1
$2 \leq Y < 3$		2
$3 \le Y < 4$		3
$Y \ge 4$		4

## **Precision of mean fatalities/year in hazard class (P)** High score = 8

Y = 90% CI (1.645 × SE) in hazard class as multiple of overall mean fatalities/year

$Y \leq 1$	2
$1 < Y \leq 2$	1
$2 < Y \leq 3$	0
$3 < Y \leq 4$	-1
Y > 4	-2
	$\left(\left(\frac{S}{3} \times 2\right) + \left(\frac{M}{8} \times 2\right) + \left(\frac{P}{8}\right)\right)$
	Model performance = $\frac{1}{5}$

We also plotted mean fatality rate estimates by collision hazard class for all raptors as a group and all birds as a group, and where the collision hazard classes were originally predicted for golden eagle, red-tailed hawk, American kestrel, or burrowing owl. The only models used were combined models for each species. One purpose of this comparison was to determine whether and to what degree species-specific collision hazard models could serve as umbrella models for raptors or all birds. Another was to determine whether any versions of the models can serve as superior umbrellas for predicting raptor or all bird collision hazard.

Each version of the combined collision hazard models were mapped over the same area to illustrate spatial differences. The area selected roughly covered the project area proposed for Golden Hills North. However, we intentionally omitted proposed wind turbine locations related to Golden Hills North because at the outset of this study we agreed not to map proposed turbine locations due to ongoing micro-siting.

# RESULTS

The most recent collision hazard models developed for Summit Winds performed best among all monitored wind turbines across the APWRA (Table 2, Figures 1-4). Our indicator of model performance was highest in model version 6 for golden eagle, red-tailed hawk and American kestrel, and in version 7 for burrowing owl (Table 2). Hazard class 4 was highest among all the golden eagle models, but the overall response (magnitude of increase in fatality rates) was best in model version 6 prepared for Summit Winds (Figure 1). Hazard class 4 was also highest among all the red-tailed hawk models, but the overall response was best in model version 6 prepared for Summit Winds (Figure 2). Compared to estimated golden eagle fatality rates at wind turbines in hazard class 1 of model version 6, the estimated fatality rates in hazard class 3 averaged  $2.6 \times$  higher and the estimated fatality rates in hazard class 4 averaged  $3.67 \times$  higher. Whereas golden eagle fatality rates in model version 2 increased continuously from one hazard class to the next in succession, compared to estimated golden eagle fatality rates in hazard class 1 of model version 2 increased continuously from one hazard class 1 of model version 2 increased continuously from one hazard class 1 of model version 2 increased continuously from one hazard class 1 of model version 2, the estimated fatality rates in hazard class 3 averaged only  $1.9 \times$  higher and the estimated fatality rates in hazard class 4 averaged only  $2.3 \times$  higher.

For red-tailed hawk, compared to estimated fatality rates at wind turbines in hazard class 1 of model version 6, the estimated fatality rates in hazard class 3 averaged only  $1.4 \times$  higher and the estimated fatality rates in hazard class 4 averaged only  $1.5 \times$  higher (Figure 2). Whereas the magnitude of the fatality rate change between hazard classes 1 and 4 was similar between model version 6 and versions 1 and 2, mean fatality rates did not change much if at all between hazard classes 1 and 3 for any of the models except version 6. Overall, model version 6 performed better at predicting golden eagle fatality rates than red-tailed hawk fatality rates (Table 2).

Until model version 6, the collision hazard models performed miserably for American kestrel (Table 2, Figure 3). Our breakthrough performance with version 6 of the American kestrel model was due to our increased focus on terrain lower on the slopes and farther from ridge crests, which includes wind turbine locations where about 75% of American kestrel fatalities have been found. Model version 6 was the only version for which American kestrel fatality rates increased with successively higher collision hazard class. Compared to estimated American kestrel fatality rates at wind turbines in hazard class 1 of model version 6, the estimated fatality

rates in hazard class 3 averaged  $1.75 \times$  higher and the estimated fatality rates in hazard class 4 averaged  $3.16 \times$  higher.

Burrowing owl collision hazard models trended in the right direction, but version 7 performed best (Figure 4). Compared to estimated burrowing owl fatality rates at wind turbines in hazard class 1 of model version 1, the estimated fatality rates in hazard class 3 averaged  $3.5 \times$  higher and the estimated fatality rates in hazard class 4 averaged  $6.9 \times$  higher. Compared to estimated burrowing owl fatality rates at wind turbines in hazard class 1 of model version 6, the estimated fatality rates in hazard class 3 averaged  $2.5 \times$  higher and the estimated fatality rates in hazard class 4 averaged  $2.3 \times$  higher. Compared to estimated burrowing owl fatality rates at wind turbines in hazard class 1 of model version 7, the estimated fatality rates in hazard class 3 averaged  $2.2 \times$  higher and the estimated fatality rates in hazard class 3 averaged  $2.2 \times$  higher and the estimated fatality rates in hazard class 3 averaged  $2.2 \times$  higher and the estimated fatality rates in hazard class 3 averaged  $2.2 \times$  higher and the estimated fatality rates in hazard class 4 averaged  $2.5 \times$  higher.

**Table 2.** Performance (see indicators of performance, Methods section) of combined collision hazard models developed for each species and each repowering project in the Altamont Pass Wind Resource Area.

Model		Model performance				
version	Wind project	Golden eagle	<b>Red-tailed hawk</b>	American kestrel	<b>Burrowing owl</b>	
1	Vasco Winds	0.233	0.392	0.208	0.775	
2	Golden Hills	0.575	0.308	-0.025		
4	Golden Hills North	0.442	0.467	-0.333	0.333	
5	Sand Hill	0.342	0.467	-0.050	0.333	
6	Summit Winds	0.725	0.492	0.567	0.333	
7	In progress	0.725	0.492	0.567	0.900	

Version 6 of the golden eagle collision hazard models appeared to perform best when combined from all data sources, but also performed well when based on any of the three data sources (Figure 5). Version 6 of the red-tailed hawk collision hazard models appeared to perform best when based on the fatality data (Figure 6). Version 6 of the American kestrel collision hazard models also appeared to perform best when based on the fatality data (Figure 7). Version 7 of the burrowing owl collision hazard models appeared to perform best when based on terrain attributes 3 (Figure 8).

The collision hazard models are depicted in map-form for each species and each model version in Figures 9 through 26, including a model for golden eagle collision risk based solely on GPS/GSM telemetry positions as a data source. These models were all extended to an area overlapping the Golden Hills North project. As a reminder, some of the hazard classes in some maps will appear out of balance from the distribution of hazard classes where the models were tailored for specific projects, because the landscape of Golden Hills North differs from the landscapes where models were originally developed, except for model versions 4 (the Golden Hills North model) and 6 (developed APWRA-wide).

Using the latest collision hazard models developed, version 6, we tested how well each speciesspecific combined model could serve as an umbrella model for all raptors as a group and all birds as a group (Figure 27). The collision hazard model developed for burrowing owl was the only model that performed well at predicting the collision hazard of all raptors as a group and all birds as a group. Compared to estimated all-raptor fatality rates at wind turbines in hazard class 1 of burrowing owl model version 6, the estimated all-raptor fatality rates in hazard class 3 averaged  $1.36 \times$  higher and the estimated all-raptor fatality rates in hazard class 4 averaged  $1.75 \times$  higher. Compared to estimated all-bird fatality rates at wind turbines in hazard class 1 of burrowing owl model version 6, the estimated all-bird fatality rates in hazard class 3 averaged  $1.4 \times$  higher and the estimated all-bird fatality rates in hazard class 3 averaged  $1.4 \times$  higher and the estimated all-bird fatality rates in hazard class 4 averaged  $1.78 \times$  higher. The golden eagle collision hazard model predicted increasing hazard classes with decreasing fatality rates of all birds (top right graph of Figure 27). This relationship demonstrates the trade-off of prioritizing wind turbine siting to maximize golden eagle protection; optimizing siting for eagles increases the likelihood of killing more birds of other species.

# CONCLUSIONS

Our collision hazard models improved throughout the repowering process from the first version of the models to the last, and model version 6 was superior to other collision hazard models at predicting fatality rates at previously monitored wind turbines. For version 6 of the burrowing owl model, however, some wind turbines with high fatality rates were located outside areas predicted as hazard class 4. These misclassifications associated with a few specific types of terrain that could be readily accommodated with a change or two to the conditional statement linking terrain with high fatality rates to terrain with burrowing owl burrows. With this small change collision hazard model version 6 would also serve as the superior model for burrowing owls.

The latest version of the collision hazard models performed best for golden eagle, red-tailed hawk and American kestrel. The American kestrel model improved a great deal between versions 5 and 6 due to a shift in emphasis from ridge crests to lower on the slopes. In fact, model version 6 is the only version that accurately predicts collision hazard of American kestrel. The golden eagle model also improved a great deal between versions 5 and 6, probably for the same reason that the American kestrel model improved and also because the fatality rate data were improved and we added a new explanatory terrain measurement expressing ridge features located lower than nearby larger ridge features.

Whereas we are pleased with the improved model performance for 3 of the 4 focal species, we are confident that model performance can be improved further. The models of the other species can be improved by adding the latest telemetry and behavior data and by quantifying one or more terrain features that we hypothesize would relate to collision hazard. These additional terrain features would include ridge slope (slope of ridgeline from ridge crest to valley bottom), and polygons representing breaks in slope (locations along a ridgeline where slope suddenly changes). More could also be done with ridge orientation by specifying whether the ridge structure is declining and to which direction it is declining.

Our assessment of species-specific models for use as umbrella models for predicting collision hazards of all raptors and all birds highlights the tradeoffs often made when micro-siting turbines to minimize collision risk for one species. Prioritizing fatality minimization of golden eagles can result in micro-sited turbines putting many other species of bird at greater collision risk. The

burrowing owl model was the only model showing utility as an umbrella predictor of all bird and all raptor fatalities, so prioritizing both the golden eagle and burrowing owl collision hazard models would cover both eagles and most other species of birds.

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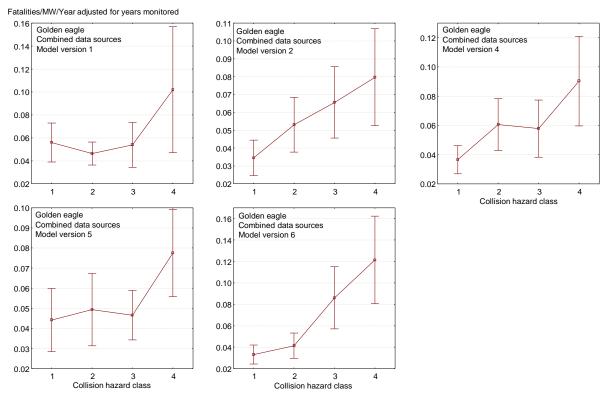


Figure 1. Responses of mean (90% CI) fatalities/MW/year to collision hazard class for golden eagle by collision hazard model versions 1 (Tres Vaqueros project), 2 (Golden Hills project), 4 (Golden Hills North project, 5 (Sand Hill project), and 6 (Summit Winds project).

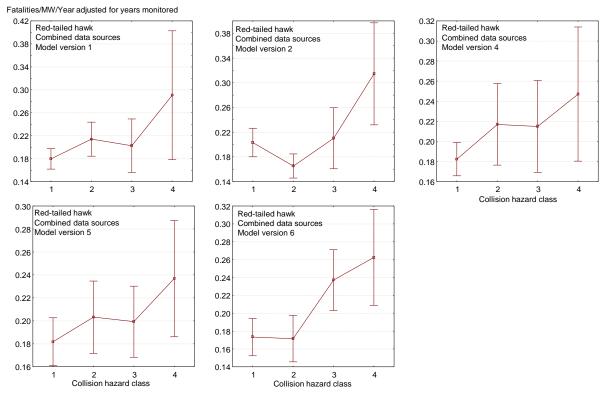


Figure 2. Responses of mean (90% CI) fatalities/MW/year to collision hazard class for red-tailed hawk by collision hazard model versions 1 (Tres Vaqueros project), 2 (Golden Hills project), 4 (Golden Hills North project, 5 (Sand Hill project), and 6 (Summit Winds project).

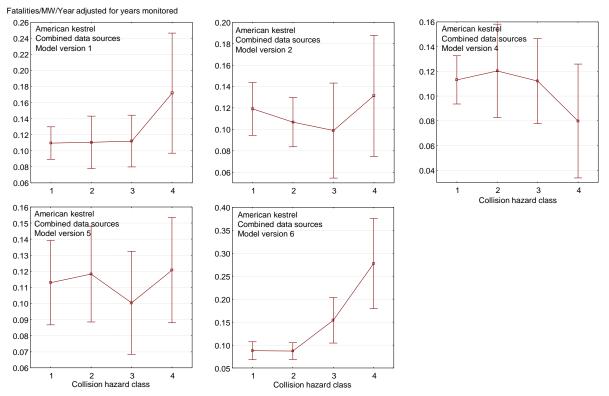


Figure 3. Responses of mean (90% CI) fatalities/MW/year to collision hazard class for American kestrel by collision hazard model versions 1 (Tres Vaqueros project), 2 (Golden Hills project), 4 (Golden Hills North project, 5 (Sand Hill project), and 6 (Summit Winds project).

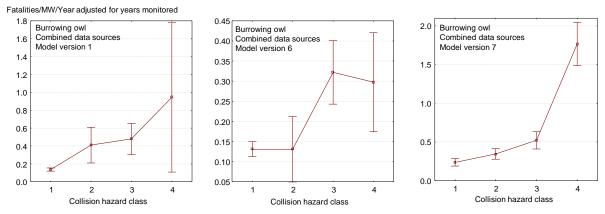


Figure 4. Responses of mean (90% CI) fatalities/MW/year to collision hazard class for burrowing owl by collision hazard model versions 1 (Tres Vaqueros project), 6 (Summit Winds project), and 7 (unnamed project in progress).

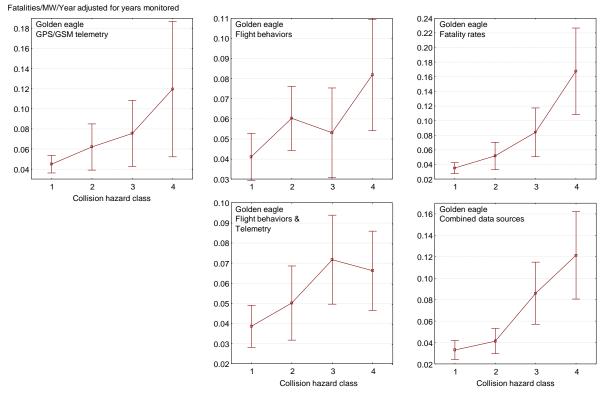


Figure 5. Responses of mean (90% CI) fatalities/MW/year to collision hazard class predicted by version 6 of the models developed for golden eagles based on GPS/GSM telemetry positions (top left), flight behaviors (top middle), fatality rates (top right), combined flight behaviors and telemetry positions (bottom left) and combined all data sources (bottom right).

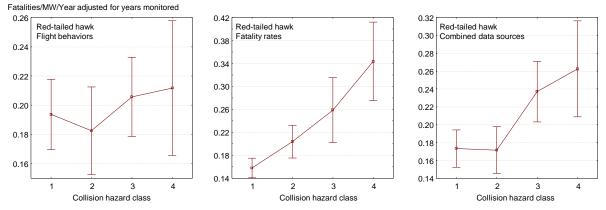


Figure 6. Responses of mean (90% CI) fatalities/MW/year to collision hazard class predicted by version 6 of the models developed for red-tailed hawks based on flight behaviors (left), fatality rates (middle), and combined flight behaviors and fatality rates (right).

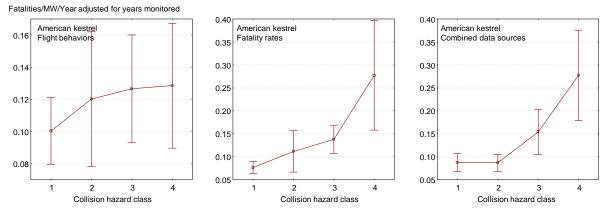


Figure 7. Responses of mean (90% CI) fatalities/MW/year to collision hazard class predicted by version 6 of the models developed for American kestrels based on flight behaviors (left), fatality rates (middle), and combined flight behaviors and fatality rates (right).

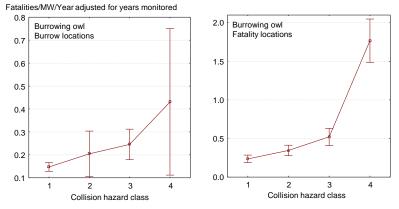


Figure 8. Responses of mean (90% CI) fatalities/MW/year to collision hazard class predicted by versions 6 and 7 of the models developed for burrowing owls based on burrow locations (left, version 6) and fatality rates (right, version 7).

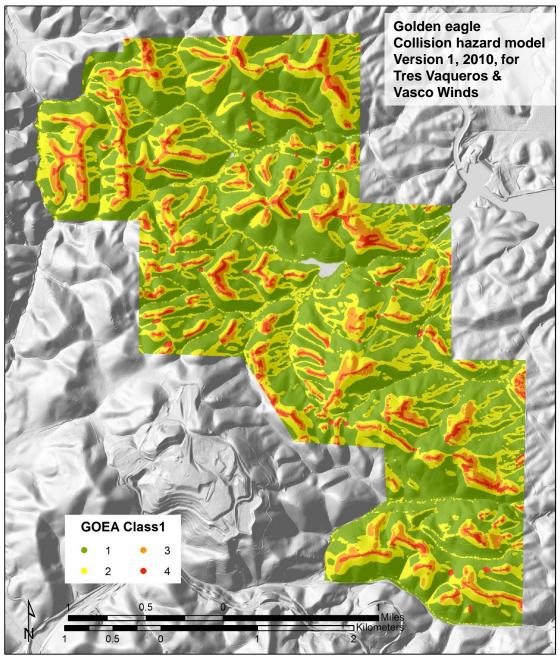


Figure 9. Version 1 of the golden eagle collision hazard classes composed of models developed from use data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

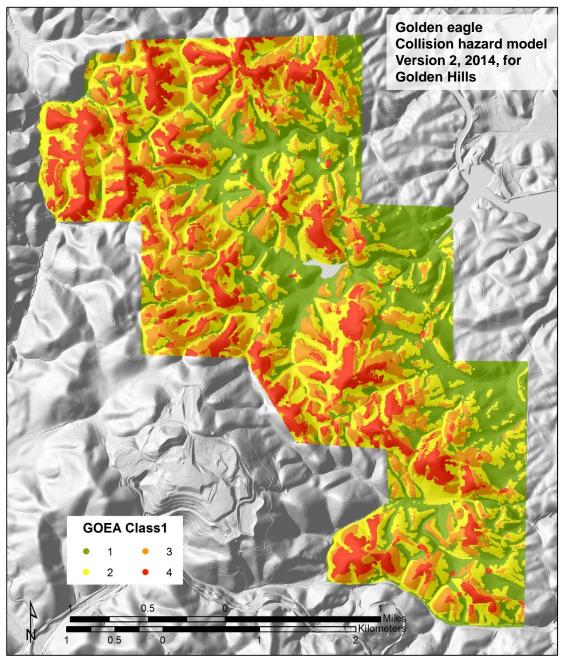


Figure 10. Version 2 of the golden eagle collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

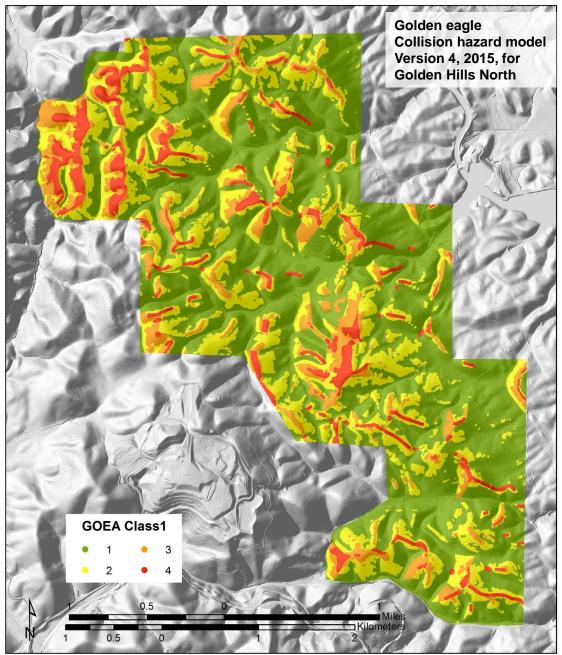


Figure 11. Version 4 of the golden eagle collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

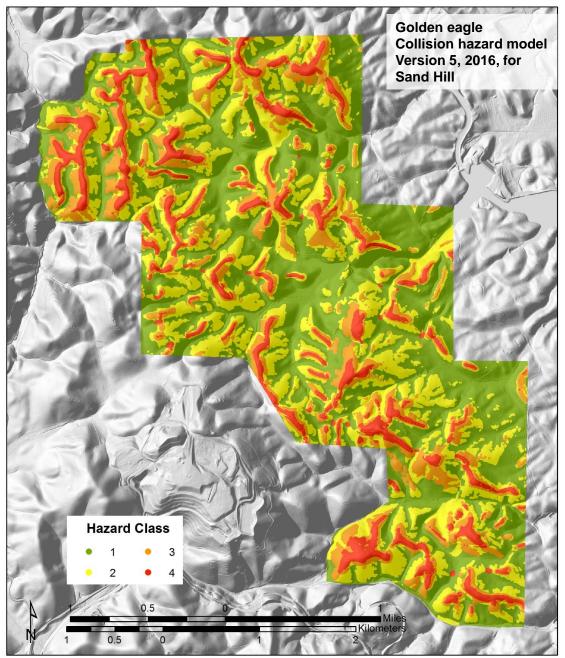


Figure 12. Version 5 of the golden eagle collision hazard classes composed of models developed from GPS/GSM telemetry positions, behavior data, and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

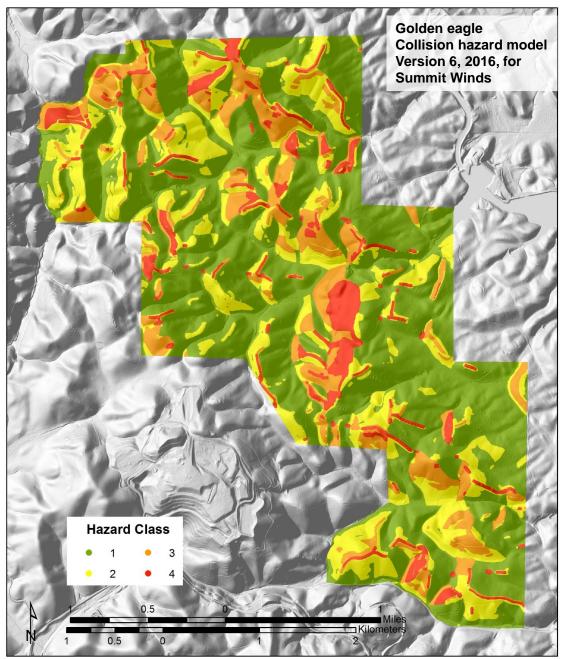


Figure 13. Version 6 of the golden eagle collision hazard classes composed of models developed from GPS/GSM telemetry positions, behavior data, and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

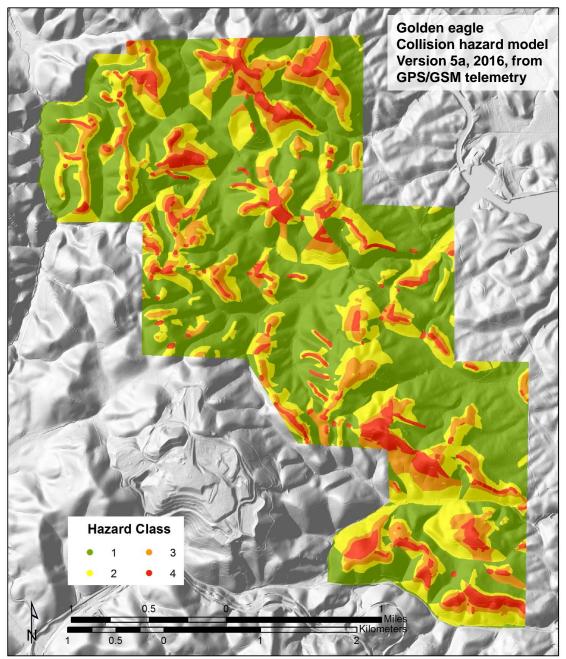


Figure 14. Only that portion of versions 5 and 6 of the golden eagle collision hazard classes composed of a model developed from GPS/GSM telemetry positions and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

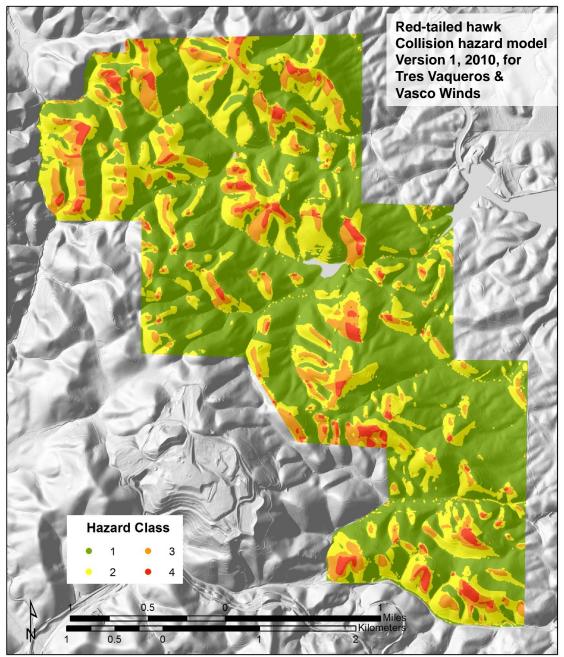


Figure 15. Version 1 of the re-tailed hawk collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

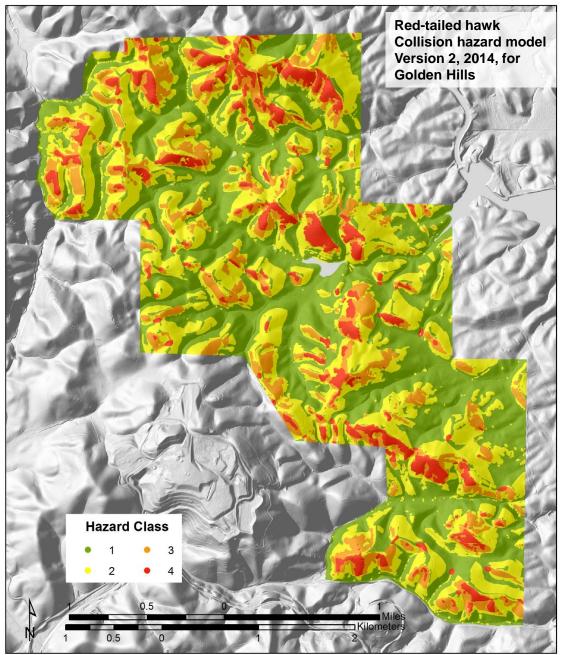


Figure 16. Version 2 of the re-tailed hawk collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

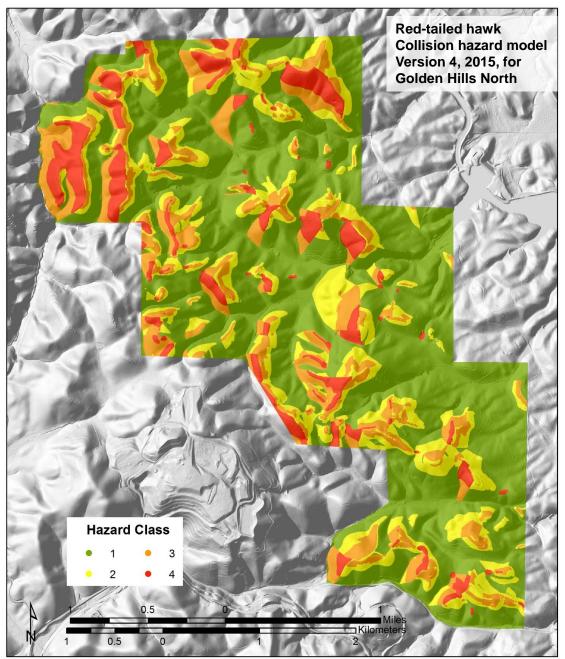


Figure 17. Version 4 of the re-tailed hawk collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

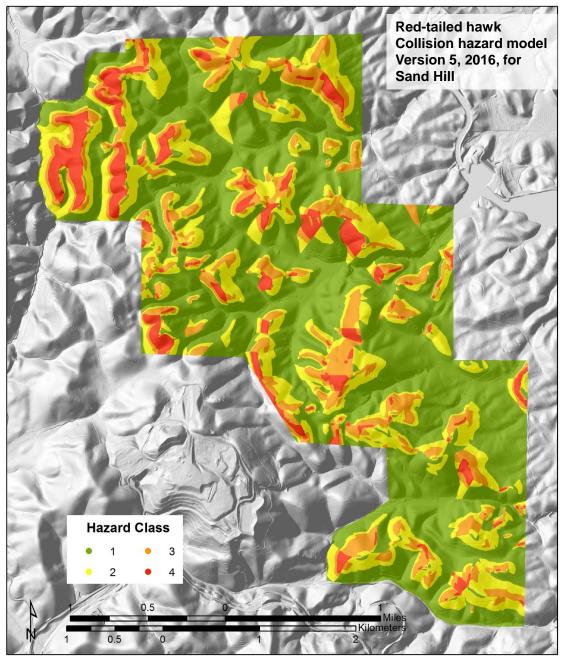


Figure 18. Version 5 of the re-tailed hawk collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

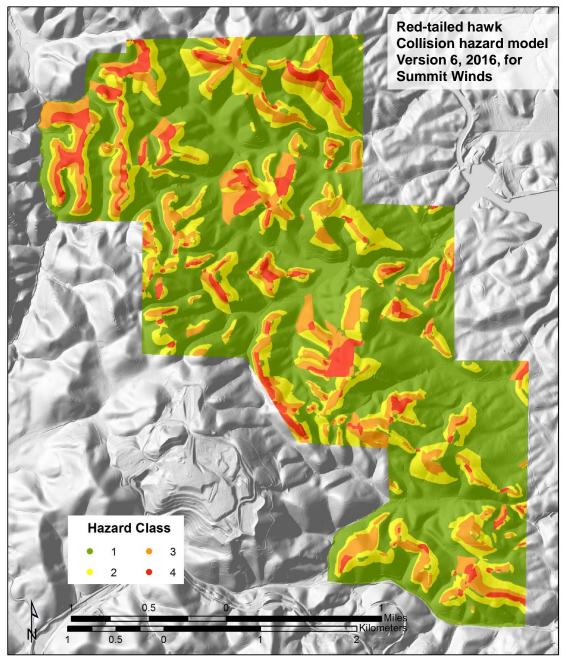


Figure 19. Version 6 of the re-tailed hawk collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

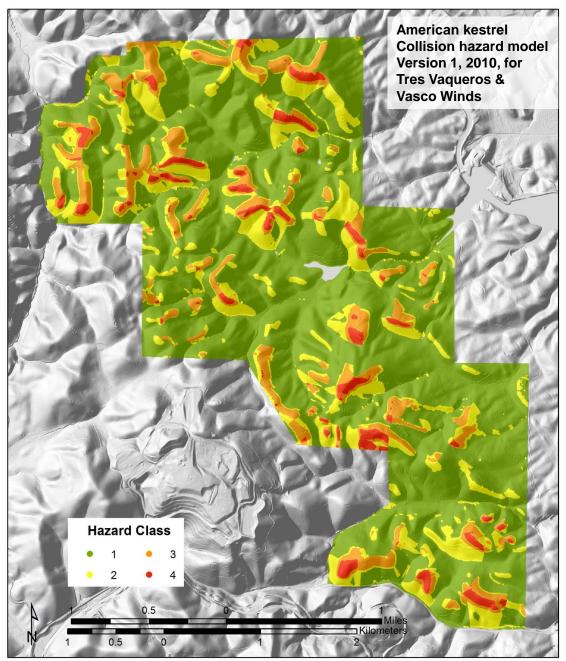


Figure 20. Version 1 of the American kestrel collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

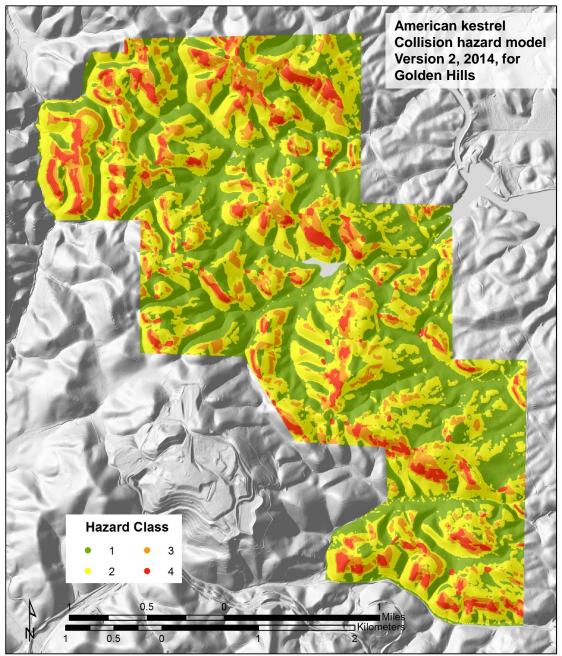


Figure 21. Version 2 of the American kestrel collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

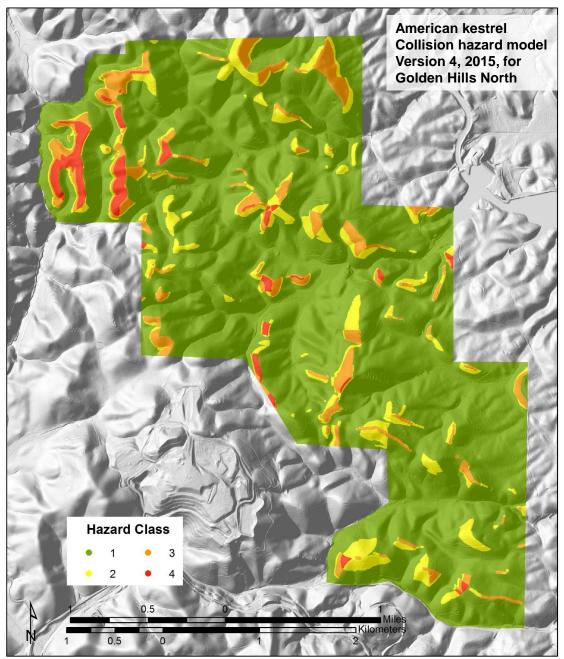


Figure 22. Version 4 of the American kestrel collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

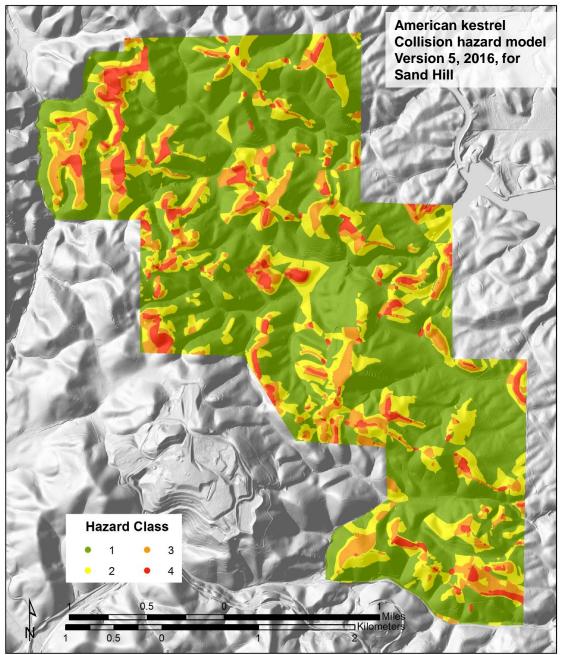


Figure 23. Version 5 of the American kestrel collision hazard classes composed of models developed from behavior data and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

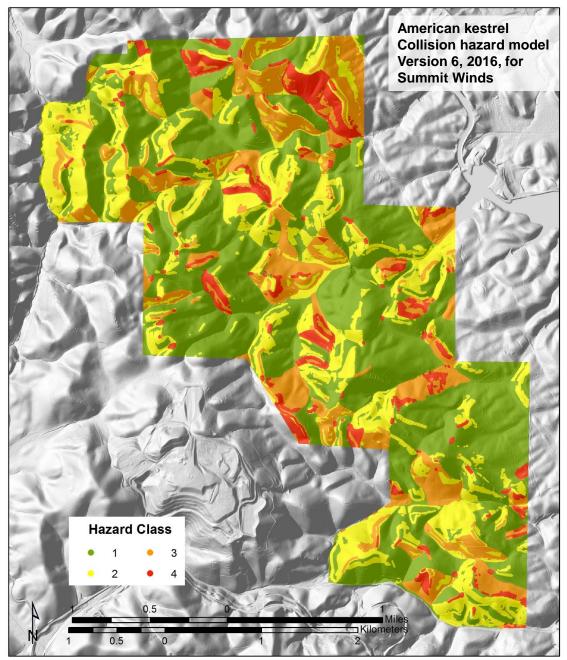


Figure 24. Version 6 of the American kestrel collision hazard classes composed of models developed from behavior data and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

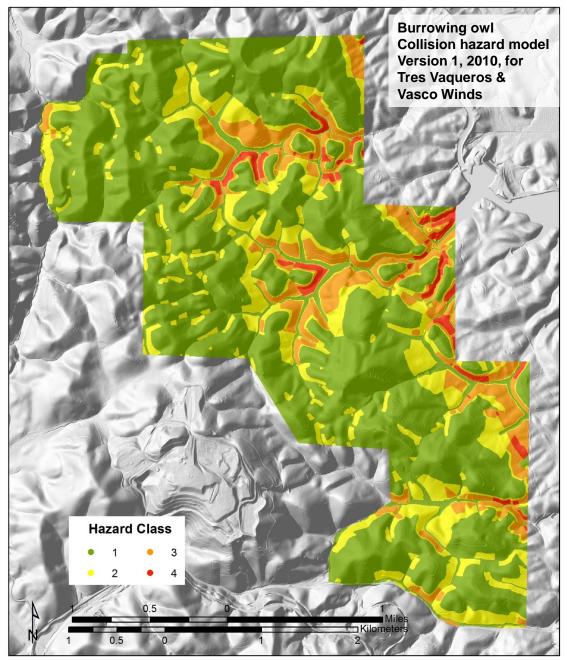


Figure 25. The earliest version of the burrowing owl collision hazard classes composed only of a model developed from burrowing owl locations and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

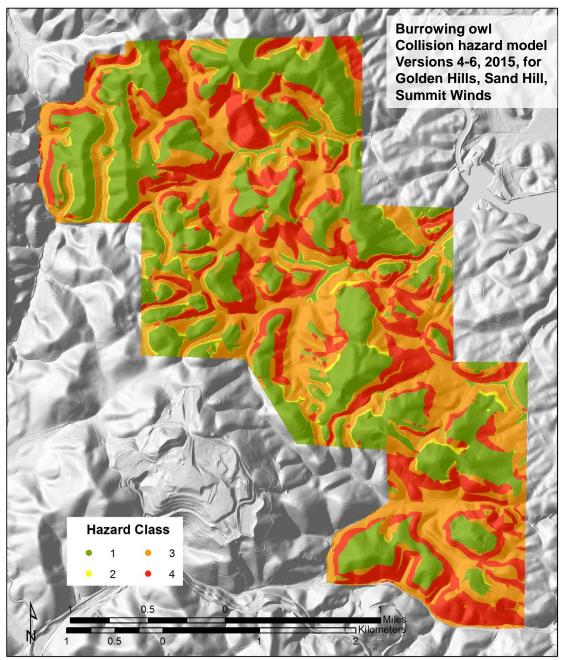


Figure 26. Later versions of the burrowing owl collision hazard classes composed of models developed from burrowing owl locations and fatality rates and extended roughly over the area proposed for the Golden Hills North repowering project in the Altamont Pass Wind Resource Area.

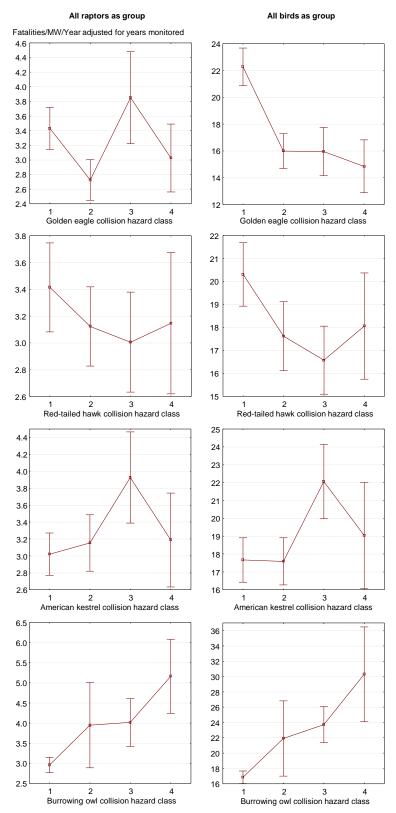


Figure 27. Responses of mean (90% CI) fatalities/MW/year of all raptors as a group (left column) and all birds as a group (right column) to collision hazard classes predicted for golden eagle, red-tailed hawk, American kestrel and burrowing owl.

### **ATTACHMENT 3**

### Addendum to Comparison of Wind Turbine Collision Hazard Model Performance: One-year Post-construction Assessment of Golden Eagle Fatalities at Golden Hills

K. Shawn Smallwood

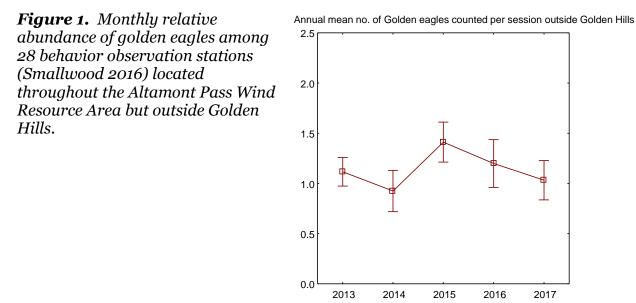
10 April 2018

At the time of this addendum to a report Lee Neher and I prepared last year (Smallwood and Neher 2017a), I was aware of 14 golden eagle fatalities at Golden Hills, including 12 found by the monitor during the first year of fatality monitoring, 1 found a month after the first year of monitoring, and 1 found by me prior to the commencement of monitoring. This number of golden eagle fatalities totaled twice as many as found during three years of fatality monitoring at the similar-sized repowered Vasco Winds project (Brown et al. 2016). An obvious question is whether the collision hazard models used to guide micro-siting (Smallwood and Neher 2015) were effective at Golden Hills. Another related question is whether anything can be learned from the data to improve future repowering projects, as was intended in the 2010 Settlement Agreement among Audubon Society, NextEra Energy, and the California Attorney General.

The question of whether map-based collision hazard models were effective is difficult to answer because the wind turbines were sited to minimize collision risk predicted by the models. Also, the maps produced to depict model predictions of collision hazard were not the only tool used for micro-sting. Expert opinion accompanied the collision hazard models because the models could not account for all of the collision risk posed by complex terrain features and potential changes to terrain made by grading for wind turbine pads and access roads. Expert opinion was provided principally in the form of qualitative hazard ratings on a 0-10 scale, similar to the ratings of old-generation wind turbines made by the Alameda County Scientific Review Committee during the years 2007-2010. I summarized these hazard ratings in a 3 December 2014 report, and I modified or added ratings as the Golden Hills layout changed through the planning period. Expert opinion was also expressed by statements of concern over whether and to what degree the terrain would be altered by grading for wind turbine pads and access roads (Smallwood and Neher 2015). The collision hazard models have always served as a starting point against which other factors are weighed, including other risk factors, collision risk to other focal raptor species, siting constraints such as infra-structure and residence set-back requirements, and company decisions on minimum project size and wind turbine size.

Without an experimental design, such as the opportune before-after, control-impact (BACI) design that was available for the Vasco Winds repowering project (Brown et al. 2016), it cannot be known whether the collision hazard models were truly effective at Golden Hills. Unlike the case of Vasco Winds, fatality rates at Golden Hills cannot be compared to fatality rates estimated from concurrent monitoring at other wind projects in the APWRA because no such monitoring exists. Based on fatality finds alone, there is

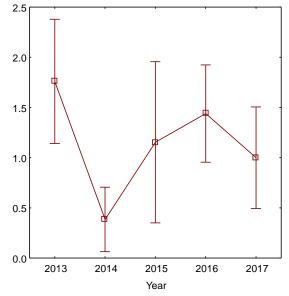
no telling whether the first year of monitoring at Golden Hills reflected a peak in relative abundance as part of a multi-annual cycle (see Smallwood 2017a,b). Without use surveys, no use rates could be estimated for comparing relative abundance to fatality rates (Smallwood and Neher 2017b). However, relative abundance data are available. While performing behavior surveys I counted golden eagles from October 2012 through the present. I observed no annual peak in golden eagles corresponding with the first year of fatality monitoring at Golden Hills, nor was there much of a difference in interannual eagle counts outside versus inside Golden Hills (Figures 1 and 2). Intriguingly, however, APWRA-wide use rates of golden eagle averaged 1.63× higher during 2013-2017 than compared to 2006-2011 (see Figure 87 in Smallwood and Neher 2017b).



**Figure 2.** Annual relative abundance of golden eagle among 5 behavior observation stations located within the Golden Hills project boundary. The year 2017 would largely correspond with the first year of fatality monitoring at Golden Hills, although operations began in January 2016. Note: All of the 2015 surveys were in April just before construction began, so representation of 2015 was not as balanced as for other years.

Annual mean no. of Golden eagles counted per session in Golden Hills

Year



58

Based on the patterns in Figures 1 and 2, it does not appear that golden eagles were any more abundant during the first year of Golden Hills fatality monitoring than during the few preceding years, although they were 46% more abundant that during 2006-2011 (Smallwood and Neher 2017b). Regardless, without an experimental design, there is no way to know whether the number of collision fatalities would have been any different had the wind turbines been sited without regard to collision hazard posed by the terrain. Based on fatality rates preceding repowering (Smallwood and Neher 2017b), it is likely that the number of fatalities would have been higher in the absence of micro-siting. After all, estimates of golden eagle fatalities at the old-generation wind turbines replaced by Golden Hills (same project area and same rated capacity) numbered 17 and 19 in 2006 and 2007 (Smallwood and Neher 2017b), or nearly twice the estimated post-repowering number in 2017.

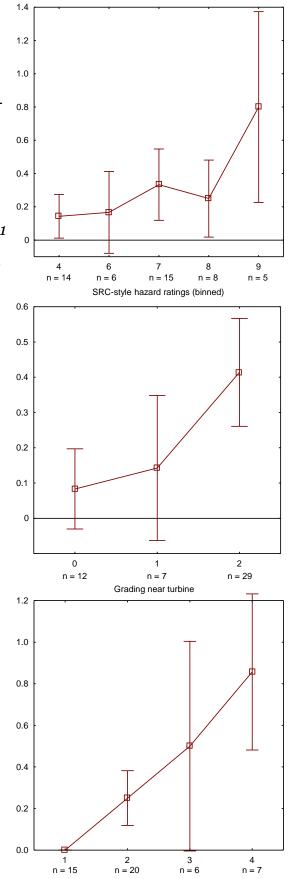
Although it is impossible to assess the effectiveness of the collision hazard models for the project on the whole, the effectiveness of micro-siting can be assessed among the wind turbines within the project. Micro-siting was not restricted to the use of mapbased collision hazard models, but also included my recommendations based on SRCstyle hazard ratings and grading concerns. The Golden Hills project is similar in rated capacity to Vasco Winds, but differed in several other respects. Contrary to Vasco Winds, going into the Golden Hills micro-siting we were aware of the potential impacts on collision risk due to grading because we had found golden eagle and red-tailed hawk fatalities where grading had altered the terrain around the associated turbines (Smallwood and Neher 2015). Also contrary to Vasco Winds, at Golden Hills I rated the proposed turbine locations for collision hazard based on my experience with the issue, using the SRC scale of 0-10. Finally, the 1.79-MW turbines at Golden Hills numbered 48, or 14 more than the 2.3-MW turbines built at Vasco Winds, and these 48 went onto a land area that was about 67% of the area of Vasco Winds. The wind turbine density at Golden Hills was more than twice that of Vasco Winds, leaving fewer opportunities for micro-siting to minimize collision hazard and likely creating more locations where grading was needed to accommodate pads and access roads.

Based on the 14 golden eagle fatalities of which I am aware, fatalities per turbine generally increased at Golden Hills with my SRC-style hazard ratings (Figure 3). Wind turbines rated 9 or 9.5 were associated with a mean golden eagle fatality rate that was  $5.7 \times$  higher than the mean fatality rate at wind turbines I had rated 4 or 5. Wind turbines rated in the 7 or 8 ranges were associated with mean fatality rates that were  $1.8 \times$  and  $2.4 \times$  higher than the mean fatality rate of wind turbines I had rated 4 or 5. However, some of my ratings were likely confounded by grading during construction.

Golden eagle fatalities per turbine were highest where grading left berms or cut slopes >3 m within 40 m of the turbine (Figure 3). At these turbines with substantial nearby berms or cut slopes, golden eagle fatalities per turbine numbered  $5 \times$  higher than at turbines without berms or cut slopes. Berms and cut slopes reduce the effective height above ground that low-flying eagles have to negotiate between the ground and the low reach of the turbine rotor, and the effect increases the closer the distance between turbine tower and the berm or cut slope.

Figure 3. Golden eagle fatalities per turbine relative to (top) SRC style hazard ratings binned 4 = 3 to 5, 6 = 6 and 6.5, 7 = 7 and 7.5, 8 = 8 and 8.5, and 9 = 9 and 9.5; (middle) Grading within 40 m of the turbine *leaving cut slopes or berms of* 0 = none, 1 = 1-3 m, and 2 = >3 m; (bottom) Combined indicator of SRC-style hazard rating, grading impact and whether low on declining ridge or within saddle or valley structure. The combined indicator was the sum of the binned SRC rating divided by 9, the binned grading impact weighted by half, 1 for sites low on ridge and 1 for sites within saddle or valley structures, and this sum was binned as 1 = 0 to 1; 2 = 1 to 2; 3 = 2 to 2.8, and 4 = >2.8. I note that I applied SRC-style hazard ratings to 6 turbine addresses postconstruction because these turbines had been relocated far from original sites during the planning process.







Two other terrain factors emerged from an examination of the fatality data, and those were the turbine's position on declining ridgelines and within ridge saddles. My SRC-style ratings would have accounted for these terrain settings at most but not all proposed turbine locations, so these terrain settings warrant additional examination. Golden eagle fatalities at wind turbines located low on declining ridge structures averaged  $2.5 \times$  other than expected (observed fatalities = 8, expected fatalities = 14 total fatalities  $\times$  11/48 wind turbines low on ridge structures = 3.2, so  $8 \div 3.2 = 2.5$ ). Those found at wind turbines located within a ridge saddle averaged  $2.9 \times$  more often other than expected. Golden eagle fatalities at wind turbines located both within a ridge saddle and low on declining ridge structures or slopes (n=4) averaged  $4.6 \times$  other than expected.

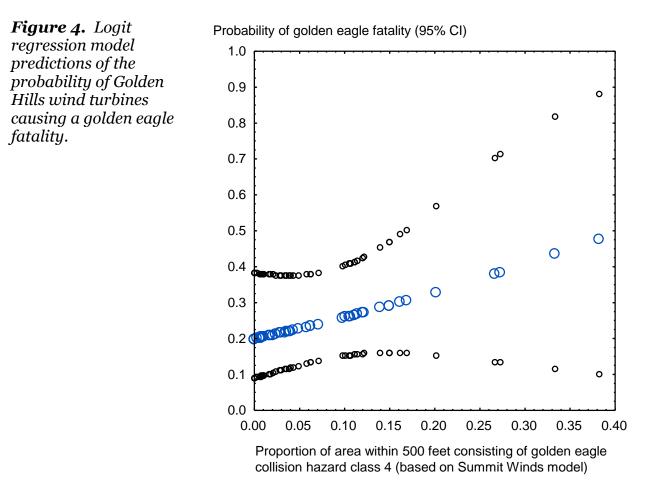
Combining my SRC-style ratings, level of grading, and whether the turbine address was low on a declining ridge or slope or within a ridge saddle, golden eagle fatalities among 7 wind turbines averaged 0.857 per turbine (0.5 fatalities/MW), whereas 0 fatalities were found at wind turbines located high on ridge or hill structures, lacking berms or cut slopes, and for which I rated low to moderate hazard (Figure 3). Mean fatality rates increased linearly with this indicator integrating multiple factors (Figure 3).

Figure 3 indicates that the process used to derive collision hazard models was fruitful for understanding factors related to golden eagle collisions with wind turbines. The hazard maps were derived from study of hundreds of golden eagle fatalities among oldgeneration wind turbines located on a landscape that was digitized and measured for dozens of terrain attributes. They were derived from hundreds of hours of behavior surveys, the data from which were also related to terrain attributes. Though not ready for use at the time of micro-siting at Golden Hills, later hazard maps were additionally derived from tens of thousands of GPS telemetry of nearly 30 golden eagles. From all of these data, and from observing the outcomes of repowering at Diablo Winds, Buena Vista, Vasco Winds and Golden Hills, I have learned that extreme grading for access roads and turbine pads can interfere with collision hazard model predictions by adding significant risk to turbine sites. I have also learned that turbines located low on ridge structures or within ridge saddles can be hazardous, even if the turbines are modern and large. These low-lying turbine sites are generally also where grading tends to be more extreme, exacerbating the hazard at these sites. My SRC-style hazard ratings anticipated most of this risk, but turbine sites 3 and 15 at Golden Hills exemplify sites where my ratings were too conservative.

The collision hazard models have advanced since Golden Hills. The most recent model advance was completed in support of the Summit Winds project (Smallwood and Neher 2016). To check whether the latest golden eagle collision hazard model would have predicted fatality locations at Golden Hills, I asked Lee Neher to count the 10x10-foot analytical grid cells within 500 feet of each turbine address that belonged to collision hazard classes 1, 2, 3 and 4, with 1 being the lowest hazard class and 4 the highest hazard class in these models. I converted the counts to areas and divided each by the area of the 500-foot count radius to obtain the proportion of the area consisting of each hazard class. I then logit-regressed whether wind turbines killed one or more golden eagles on the proportion of the 500-foot radius consisting of collision hazard class 4:

$$\widehat{F} = \frac{e^{-1.3846 + 3.3838 \times \log_{10} H4}}{1 + e^{-1.3846 + 3.3838 \times \log_{10} H4}},$$

where  $\hat{F}$  represents the predicted fatality outcome, and H4 represents collision hazard class 4 as a proportion of a 500-foot count radius (Figure 4). According to the Summit Winds model predictions, Golden Hills turbines with 39% of the surrounding area consisting of hazard class 4 were 2.4× more likely to kill golden eagles than turbines with no class 4 within 500 feet. The confidence intervals widen with increasing area in hazard class 4, however, probably due to confounding influence of grading. Even with these increasing confidence intervals, the prediction accuracy of the latest collision hazard model looks good, though still not as good as the expertise developed from iteratively checking field experience against collision hazard models.



Returning to the obvious question asked as early in this report, the collision hazard models were likely effective at minimizing golden eagle fatalities in the absence of grading, and the modeling process far more effective. However, grading for wind turbine pads and access roads was extensive. It also bears noting that minimizing golden eagle collision hazard was only one of multiple factors contributing to the layout. The wind company decides what wind turbine size to use and how many wind turbines to install in a project, subject of course to County permitting. After deciding on project size and turbine size, the layout is constrained by available land, suitable soils, wind

turbine manufacturer's minimum spacing requirements, opportunity for construction of suitable access roads, sufficient wind, locations of cultural resources, locations of endangered terrestrial species, potential for stream and pond sediment loading, and by setback requirements for residences, property lines, public roads, electric transmission lines, buried pipelines, and microwave transmission. Given all these constraints, the range of optional micro-siting recommendations for bird safety diminishes with increasing wind turbine density in the project area.

Returning to the second question about whether anything can be learned from the data to improve future repowering projects, the patterns reported herein suggest that the collision hazard modeling process revealed terrain settings that increase collision hazard. A decade ago the Alameda County Scientific Review Committee issued wind turbine relocation guidelines based on terrain settings *suspected* to be more hazardous to golden eagles and other raptors. We now know that ridge saddles and low-lying terrain are more hazardous, after having recorded many near-misses of flying golden eagles and having collected the GPS transmitters off of golden eagles tracked to their final locations at wind turbines (Bell 2017). I found one of these eagles at a wind turbine within a ridge saddle. Another was found near a turbine at the bottom of a declining ridgeline. One was found at a turbine on a break in slope. Another was found low on a declining ridgeline within a broad ridge saddle. These findings corresponded with the hundreds of documented fatalities of non-telemetered eagles among wind turbines in the Altamont Pass Wind Resource Area. We learned that collision hazard mapping needs to be combined with SRC-style hazard ratings to account for the effects of higher terrain around proposed turbines sites, and to account for interaction effects of construction grading with declining ridgelines and slopes that might create breaks in slope or enhance ridge saddles.

We have learned a great deal about causal factors, but minimizing collision risk will, at least in some cases, require more than the application of collision hazard modeling and expert judgment; it will require sacrifices in project size and micro-siting to optimize wind generation. It will also require reduced grading that avoids leaving tall berms or deeply cut slopes near the turbine.

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# Summit Ridge Wind Farm - Request for Amendment 4

## John Nelson <joteg@gorge.net>

Thu 2/21/2019 1:58 PM

To: MAY Luke \* ODOE <Luke.May@oregon.gov>;

February 21, 2019

Oregon Energy Facility Siting Council c/o Luke May, Siting Analyst Oregon Department of Energy 550 Capitol St. NE

Salem, OR 97301 luke.may@oregon.gov

#### Re: Summit Ridge Wind Farm – Request for Amendment 4

Dear Chair Beyeler and Members of the Council:

My name is John Nelson and I live in The Dalles, Oregon. I previously submitted written comments by email to the Council regarding the Summit Ridge project and the request to extend the construction deadlines. I understand that my prior comments have not been shared with the Council members, because the agency staff have deemed my comments to be "identical" to comments submitted by other persons.

I would like to point out that the Council is required to "consider **all** comments received on the record of the hearing." This is required by OAR 345-027-0067(7) and 345-027-0071(1). How can the Council be said to have considered **all** comments received, if it doesn't receive copies of all comments in the first place? How will the Council even know that I commented?

I also have rights under the federal and state constitutions to due process, and to petition the government for a redress of my grievances. I am a real person with real concerns about this project. I am not merely a number or statistic. My comments need to be shared with the Council members, even if my comments are deemed by staff to be "identical" comments.

In conclusion, I request that copies of my prior comments, as well as all comments received by other persons, be provided to the Council. Only then will the Council be able to "consider all comments received," as required by the rules. And only after the Council considers all comments should the next steps in the process proceed.

Thank you for considering my comments.

John Nelson The Dalles, Oregon +

February 22, 2014

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Luke May, Siting Analyst Oregon Department of Energy Energy Facility Siting Division Salem, Oregon 97301 email: luke.may@oregon.gov

#### **COMMENTS REGARDING SUMMIT RIDGE AMENDMENT 4**

Rules applying to all applications and amended applications providing basic requirements for review and information to be reviewed.

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Administrative Rule and/or Statute relied upon for all comments.

OAR 345-027-0085 covers requirements specific to requesting an extension to the construction deadlines. This administrative rule implements Oregon Revised Statute: ORS 469.503 This state law requires that the preponderance of evidence on the record supports the following conclusions: The facility complies with the applicable standards adopted by the counsil persuant to ORS 469.501 or the overall public benefits of the facility outweigh any adverse effects on a resource or interest protected by the applicable standards the facility does not meet.

In addition, ORS 469.503 states "In order to issue a site certificate, the Energy Facility Siting Council shall determie that the preponderance of the evidence on the record supports the following conclusions:" It goes on to state that the facility complies with the standards. It does not say that you THINK it will comply, or you will WORK OUT THE DETAILS so that it will comply. It sayss that there is evidence that it DOES comply. Putting off things as significant as a fire protection plan does not document compliance. Referring multiple tasks to be completed by the Oregon Department of Energy until a later date when there is no opportunity for the public to know whether or not there is compliance does not meet this standard. This amendment application is lacking in so many ways that my comments will only address the tip of the iceburg. **OAR 345-027-0075(I) Scope of Council Review** 

"in making a decision to grant or deny issuance of an amended site certificate, the council shall apply the applicable laws and Council standards required under Section (2) and in effect on the dates described in section 2)(b) (2)(b) states: "For a request for amendment to extend the deadlines for beginning or completing construction, after considering any changes in facts or law since the date the current site certificate was executed, the facility complies with all laws and Council standards applicable to an original site certificate application."

The rules are quite clear that an amendment to extend the construction start dates requires a full review of all council standards, regardless of whether or not the Council previously determined correctly or in error that a standard was met. My comments are

based upon 1) consideration of any changes in facts or law since the date the current site certificate was executed followed by 2) assuring the facility complies with all laws and Council standards applicable to an original site certificate application.

In order to determine if this facility currently complies with all laws and Council standards applicable to an original site certificate application, it is necessary to have the developer provide current, accurate information, not rely upon dated material from the previous site certificate owner.

#### **Comment One:**

ORS 469.310 and OAR 345-022-0000 require developments to be in compliance with water and other environmental protections including those of the Water Resource Department. ORS 537.545(I)(d) cannot be used to allow additional ground water use. The Operations and Maintenance Building is not considered a "domestic" structure.

Section 10.9 should not be changed. The Summit Ridge Wind Development is a commercial development which mandates a limit of 5,000 gallons per day well use absent permitting action. The change to the site certificate to support the develper's claim that the Operations and Maintenance building is considered to be a "domestic" purpose as opposed to an "industrial" purpose has no basis in fact. This action can only be interpreted as an attempt on the part of the developer to circumvent controls intended to protect critical ground water resources in this water scarce environment. The term "domestic purpose" is defined in Stroud's Judicial Dictionary (5th Edn.) the term "domestic purpose" is stated to mean use for personal residential purposes N.D.M.C v Sohan Lal Sachdev, (2000) 2 SCC 494 (497. This is a commercial site, not a residential site. It is also not consistent with ORS 469.310 or OAR 345-022-0060, Fish and Wildlife habitat due to the fact that wetlands and streams are dependent upon water coming from ground water reserves which would be impacted. This is also not consistent with Land Use Rules included in OAR 345-022-0030 requiring protection of Natural Resources.

The applicant provides no documentation that this building was built for "Personal residential purposes" as opposed to being used for commercial purposes relaterd to the monitoring, managment and coordination of the generation of electricity.

Attached is documentation from Wasco County's web site showing the results of their public survey which indicates a significant level of public concern regarding the availability of water for future needs.(I)

A second attachment entitled "Groundwater Issues in Parts of Wasco County" by Josh Hackett, Hydrogeologies, Groundwater Hydrology Section of the State of Oregon Water Resources Department shows declining well water levels in the are of this proposed wind development(2) Given the declining water levels in the area, and the fact that this is not a "residential development", there should be no bending of the rules to allow this development to access additional water resources.

#### Comment Two:

The Summit Ridge Wind Development is not in compliance with OAR 345-022-0040 requiring protected sites to be protected or the Cumulative Effects Standard OAR 345-024-0015 which requires that the applicant can design and construct the facility to reduce cumulative adverse environmental effects in the vicinity by such methods as using underground transmission lines, designing the facility to reduce risk of injury to raptors or other vulnerable wildlife in areas near turbines or electrical equipent, designing the components to minimize adverse visual features, and other methods.

The siting of this development in this location and placing turbines in the planned areas will have significant and cumulative impacts on the Lower Deschutes Wild and Scenic River which make it impossible for it to meet the requirements to issue a site certificate as required under OAR 345-022-0040. There are multiple different impacts which independently do not meet this requirement, and when viewed cumulatively as is required under OAR 345-024-0015 the data is overwhelming.

The Lower Deschutes River Management Plan requires that "There must be effective mechanisms and regulations in place--to provide for the long-term protection of those resources for which the river was deemed eligible." Scenic value is one of multiple values rated outstandingly remarkable for which the river was deemed eligible for the "Wild and Scenic Designation.(Note that the list of items the Council typically reviews is not incuded in the rule language and cannot be considered to be inclusive of all impacts needing to be reviewed.) Identification of the "Outstanding Remarkable" values that the designation is based upon includes the following: Cultural Pre-History--There have been identified 135 Pre-History Cultural Sites in the Lower Deschutes canyon and it is believed there are many more, Fisheries--It provides extensive spawning and rearing habitat for both resident fish and anadromous steelhead, chinook salmon and a regionally distinct run of wild sockeye salmon. Historic--There are 38 historic sites that have been documented relating to the Oregon Trail, early road and railroad construction. Recreation--Including fishing, hiking, camping, rafting, biking and relaxing.

The desciption of the scenic value states, "The Lower Deschutes River contains a diversity of landforms, vegetation and color. The river having carved a canyon nearly 2,000 feet deep in many locations out of rugged Columbia River basalt flows, provides a dramatic and diverse landscape. The clear water of the river framed by the green riparian vegetative fringe creates a stark contrast to the often barren and broken reddish and brown cliffs and hillsides of the canyon." ."

This river is enjoyed by thousands of people each year who use boats to access the area. The Summit Ridge Development will have negative impacts on virtually all the "outstanding remarkable" values it has. I will review some, but not all of the impacts

that will damage the values of this river.

1. The development is likely to have a significant negative impact on water resources within the canyon and the surroiunding area. There is documentation that the weight and vibration of wind turbines can cause damage to the movement of water into the groundwater system. In additon, the large concrete pads keep water from being able to move into the water table. This area currently has problems with groundwater restoration which is resulting in the water table going down. The Deschutes River receives water from springs and streams which are fed by the ground water system. If this development is going to be built, there needs to be long-term monitoring of changes in the water table in the area in comparison with areas outside the impact of the turbines. The reduction in ground water resources is a significant threat to the fish habitat as noted in attachments as reduced groundwater flow and the need to artificially recharge the water table results in sediment flowing into the river as well as a reduction in river flow. References: "Wind farms and groundwater Impacts: A guide to EIA and Planning considerations", Version 1.1, April 2015 Department of the Environment, Northern Ireland Enciroment Agency. (4); "Groundwater level Declines in the Columbia River Basalt Group and their Relationship to mechanisms for groundwater recharge: a conceptual groundwater system model. Columbia Basin Ground Water Maagement area of adams franklin, grant, and lincoln counties" June 2009, GSI Water Solutions, Inc.(5) and "Columbia Plateau Groundwater Availability Study" by the USGS, Washingon Water Science Center (6). This document also includes nine additional references which should suffice to show that approving a development that will impact the water table is a serious concern, which requires ongoing monitoring and holding the developer financially responsible for negative impacts should they occur, which appears likely.

2. The development will have a significant negative impact upon the visual quality of the Wild and Scenic Deschutes River which is addressed by OAR 345-022-0040 as well as the Land Use Rule OAR 345-022-0030. This rule states that it needs to be determined that facilities located outside the scenic corridor are not likely to have a significant adverse impact to the area.

The response to the issue of visual impacts to the Lower Deschutes River Canyon on Page 52 of the Draft Proposed Order on Request for Amendment 4 show a complete misunderstanding of the purpose and management of Wild and Scenic Rivers. The Draft Proposed Order states, "Relating to the Lower Deschutes River Canyon, the turbines would be visible from various locations along the river, however, they would not dominate views, would be subordinate to the landscape, or would otherwise be visible from areas that are considered to be "GENERALLY INACCESSIBLE." The council findings are stated thusly, "The Council previously found that the facility would not result in significant adverse visual impacts to any of the above protected areas. The council's reasoning was based, in pertinet part, that the protected areas were either (1) not managed or protected for scenic qualities; or that (2) THAT THE FACILITY WOULD NOT BE VISIBLE IN AREAS READILY ACCESSIBLE BY THE PUBLIC." The Lower Deschutes is managed to protect its "outstandingly remarkable" visual values. In addition, the designation at "Wild and Scenic" clearly communicates that being readily accessible is not a consideration in the designation, however, these were the only areas where any visual modeling occurred, and that was only in 5 locations along the entire impacted river as noted on the attached map. (7)

I did not find current use figures other than the fact that there are concerns regarding the numers of people floating the river, however figures from 1990 for segment 4 indicate at least 19,597 river boater days occurred during 1990.(8) Attachment 7 shows in yellow the areas of the Wild and Scenic Corridor being impacted by the development. Attachment (9) shows the primitive campsites along the stretch of river which would be overshadowed by turbines from Summit Ridge The fact that turbines will be visible intermittently for over 20 miles of the river is a significant impact, and the fact that there are areas where the public will be seeing not only the turbine rotors, but also portions of the supporting structures and lights at night indicates there will be a large and invasive presence in the landscape.

Contrary to previous comments from the Oregon Department of Energy, WCCP, Goal 5 Policy 5 stating the" Deschutes and John Day River Scenic Waterways shall be maintained and protected as natural and open space areas with consideration for agriculture and recreation" directly apply to this comment as does WCCP Goal 6 Policy 1 encouraging land uses and management practice which preserve both the quantity and quality of air, water and land resources." I refer you to the state statute, since statutes cannot be ignored or overruled by the Council. ORS 197.015(5) defines "Comprehensive Plan" means a generalized, coordinated land use map and policy statement of the governing body of a local goverment that interrelates all functional and natural systems and activities relating to the use of lands, including but not iimited to sewer and water systems, transportation systems, educational facilities, recreational facilities, and natural resources and air and water quality management programs. "Comprehensive" means all inclusive both in terms of the geographic area covered and functional and natural activities and systems occurring in the area covered by the plan. "General Nature" means a summary of policies and proposals in broad categories and does not necessarily indicate specfic locations of any area activity or use. A plan is "coordinated" when the needs of all levels of governments, semipublic and private agencies and the citizens of Oregon have been considered and accomodated as much as possible. "Land" includes water, both surface and subsurface, and the air." In other words, the language does not have to be specific in the manner stated by the Council and Department in determining that the Local Land Use Plan was not specific enough to be considered.

Any statements which indicate that the public has minimal concern with the impacts on this River, such as those made by the developer in their request for a Type B review are dishonest. The file regarding this development starting with the original application and continuing through the current amendment No 4 contains multiple comment letters from individuals and groups indicating that the visual impacts of this wind development on the Wild and Scenic Deschutes River are unacceptable. I am attaching by reference all those previous comments as well as those which will no doubt be submitted regarding this amendment request as documentation that the visual impacts are seen as very significant according to the public, non profit groups and agencies.

Each time actions have been taken on this development which provided an opportunity for public participation, there have been comments indicating that the Council determination that there woud be "no significant impact" to the Wild and Scenic Deschutes River was not correct. "Visible portions of turbines may include turbine blades, nacelles, and in some cases, protions of the tower. It is possible that several turbines visible from the Deschutes River will require FAA lighting thus increasing the impacts to the night sky." These statements alone would lead an individual to the conclusion that the impacts are significant as was argued by Friends of the Columbia Gorge. The Oregon Department of Energy stated in one final order that the objector had not established that the visibility from the area precludes compliance with the standard. The developer has the burden of showing that the site meets the standard through a "preponderance of evidence." That evidence does not exist in this case. The developer has not established through documentation that the level of impact these turbines will have on both the resource and the interests of those individuals utilizing the wild and scenic deschutes should be judged as having "no significant impact" In fact, it would be prudent to establish that individuals floating a "wild and scenic" river would find it a significant impact if they looked up to see wind turbines intermittently along the canyon ridgeline of their wilderness adventure. Among the documents from previous Summit Ridge applications that are being referenced are: 1. Septmeber 18, 2014 letter from BLM recommending that turbines be placed outside the viewshed of the lower Deschutes River.; September 9, 2014 letter from Oregon Parks and Recreation indicating concern due to the fact that turbines will be visible from the Deschutes river along several locations; Comments received from Doug Heiker, Oregon Wild stating concern regarding negative impacts on Scenic Values. The original site certificate did not disclose the content of most of the comments received, however, there were over 19 different public submissions, and at least a portion of them were stated to include comments regarding the impacts to the Lower Deschutes River. Energy Facility Siting Council meeting minutes from Mar. 4, 20ll reference a report from Sue Oliver regarding this development stating, "Impacts of greatest concern were potential visual impacts to the Columbia River Gorge National Scernic Area and the **Deschutes River.**"

4. There will be significant impacts to the wildlife utilizing the Lower Deschutes. OAR 635-415-0005(3) is the definition applied to OAR 635-415-0025 which is the habitat mitigation policy applied to EFSC defines "essential habitat" means any habitat condition or set of habitat conditions which, if diminished in guality or quantity, would result in depletion of a fish or wildlife species" The area of impact to golden eagles is significantly larger than the 1/2 mile from the site boundary as is planned as the survey area and which excludes the significant number of raptors which nest along the ridgelines above the Lower Deschutes river. Satellite telemetry data provide very accurate information on bird locations collected remotely and consistently for the life of the transmitter Analysis of over 28,000 satellite telemetry data points from eight golden eagles in Washington State show that a little over 50 percen of their activity is confined to within a two-mile radius of their nest, just over 85 percent of activity is within four miles, and nearly all their activity is within six miles of their nest site (Jim Watson, WDFW unpubl. data) Accoring to (Kochert et al. 2002) Home ranges of nesting golden eagles average about 10 mi square. representing a home range radius of about 1.75 miles around the nest. These figures are consistent with the raptor studies performed by ODFW during the assessment of the wildlife risk at the previously proposed Antelope Ridge Wind Development in Union County, Oregon. This is but one example of the inadequacy of the wildlife surveys being required. The current site certificate in condition 10.7 goes so far as to change the current site certificate to limit the required wildlife surveys to within 400 feet of areas of disturbance that fall within the siting corridors. This limited area for wildlife surveys is clearly not consistent with the definition in OAR 345-00l-0010(2) which describes the analysis area as "containing resources that the proposed faility may significanty affect". When the impact to raptors is being killed by turbine blades, there is little doubt that the affect is significant. It is also not consistent with the general requirements in OAR 345-00I-0010(59) which defines the "Study Area" for impacts to threatened and endangered animal species of 5 miles and and use impacts and impacts to fish and wildlife habitat as one-half mile.

In the past, the Oregon Department of Energy and Energy Facility Siting Council have addresssed each impact on a protected area independently of one another. While that procedure may be used initially, the Cumulative Impacts standard as well as the Protected Area Standard require a full review of the multiple negative impacts that will occur. There is a significant group of citizens that find that multiple impacts taken separately are significant in their impact, there is no question that when reviewed as a whole, the impacts are significant and unacceptable. It is precisely as a result of the multiple plans, laws, public and private groups and individuals input that provides a preponderance of evidence that this resource requires protection and that there are significant impacts which will occurr under the proposed site certificate.

3. There will be significant negative impacts to the outstanding significant recreational opportunities provided along and on the Lower Deschutes River. There is no documentation included in the application to indicate that the citizens who utilize this river for recreational purposes would not find that their "interest" in this resource are not significantly and negatively impacted by the intrusion of wind turbines standing above the canyon walls, the lights impacting their camping experience, and the increase in noise that may very well come with this development. I include noise due to the fact

that noise modeling does not account for either the height the noise is being generated from or from the impacts of the topography in terms of the canyon walls magnification of the noise. There is no indication that there is any monitoring planned to assure that the development is actually within the noise limits in spite of the lack of peer review studies confirming that the model being used will accurately predict impacts on this terrain.

4. Negative impacts to raptor use of the Deschutes River Gorge and habitat damage is addressed in comment four.

#### **Comment Three**

The management of weeds at the site of the Summit Ridge Wind Development is not consistent with the requirements of ORS 569.390 which states that the owner or occupant of land containing noxious weeds is responsible for assuring that no noxious weeds are permitted to produce seed. ORS 569.445 also requires that no machinery shall be moved over any public road without first thoroughly cleaning it. The current weed management plan will not preclude the spread of noxious weeds into the area of the Wild and Scenic Deschutes River or the farm land and wildlife habitat in the area. The Management Plan for the Lower Deschutes Wild and Scenic River states that lands will be maintained to achieve a minimum of 60 percent of the ecological vegetative potential within 15 years with the long range goal being the achievement of full potential. Upland vegetation on BLM lands will be managed to maintain between 51 and 75 percent of the plant composition found in the wild. Failure on the part of the proposed development to adhere to the state rules regarding noxious weed management will negatively impact the efforts of BLM to meet their objectives for the Wild and Scenic Corridor.

The plan as proposed by the applicant is not in compliance with state law due to the plan to have monitoring and treatment only occur on an annual basis. Noxious weeds develope and go to seed in approximatley 3 month cyles. Monitoring and treatment needs to be timed to preclude this and will require at least two monitoring and treatment cycles each year. The weed management plan needs to be updated to include the requirement that no weeds be allowed to go to seed and requirement that no machinery use a public road prior to being cleaned.

OAR 345-025-0016 states that "In the site certificate, the Council shall include conditions that address monitoring and mitigation to ensure compliace with the standards contained in OAR Chapter 345, Division 22 and Diision 24." Weeds need to be managed and controlled for the life of the project. Summit Ridge is responsible for managing weeds within the site boundary on a schedule that assures that seeds do not disperse.

Issue Four:

The developer has failed to provide protection to raptors and their habitat. There are multiple statutes and rules that are not being complied with in relation to raptor's. ORS 469.501 (I) (e) under the heading requiring the Energy Facility Siting Council to adopt standards states, "Effects of the facility, taking into account mitigation, on fish and wildlife, including threatened and endangered fish, wildlife or plant species." While raptors and specifically bald and golden eagles are not listed as "Threatened or Endangered" they are federally protected. The council was previously provided a letterd letter from Oregon Legislative Council stating that all animals must be considered under the habitat mitigation rules.

Allowing survey areas of only .5 miles from the site boundary for wildlife avoids acknowledging the outstandingly remarkable wildlife resources of the canyon. The designation of "outstandingly remakable" values of the Lower Deschutes River Canyon regarding wildlife states that there are over 300 species of wildlife. "Notable species are the bald eagle, peregrine falcon, osprey, Dalles sideboard snail and shortface lanx". The placement of turbines within habitat boundaries for bald and golden eagles constitutes a failure to provide protection from injury of federally protected wildlife. Some of the multiple statutes and rules which are not being followed in this action are: OAR 345-022-0060

ORS 183.332 requirng conformity of state rules with equivalent federal laws and rules. It states, "it is also the policy of the state that agencies attempt to adopt rules that correspond with equivalent federal laws and rules unless specific criteria can be met." OAR 345-024-0015(4), the Cumulative Effects Standard for Wind Energy Facility. It states that the department must assure that the energy developer is "...designing the facility to reduce the risk of injury to raptors or other vulnerable wildlife in areas near turbines or electrical equipment" By definition, federal threatened and endangered species as well as other protected species would be "vulnerable".

In addition, Oregon is currently in recept of at least two Section 6 grants including the Deschutes Basin Multi-Species Habitat Conservation Plan (Deschutes, crook, Jefferson, Klamath, Wasco and Sherman Counties) which require the state to provide protection for federally listed species in order to have these grants approved. Failing to honor this requirement can result in the state having to respond to a federal suit due to these actions.

#### **Comment Five:**

Condition 5.5 should not be changed. Contrary to the explanation provided, the generating capacity of the development must be included as a Mandatory Condition under OAR 345-025-0006(3) Failure to do so will not comply with ORS 469.407 which requires an amendment of an application to incease the capacity of a facility. It is clear that the capacity must be included in the site certificate.

#### Comment Six:

The Habitat Mitigation table is not consistent with OAR 345-022-0060 and OAR 635-415-0025. All elk winter range is considered Category 2 habitat and must be mitigated at a 2 to l ratio. There is no further determination that would result in a failure to mitigate for the entire 82.68 acres of temporary impacts and 68.01 acres of permanent impacts as listed on the Draft Proposed Order, Page 60. The only area which may not be winter range is approximately 1/2 of the transmission line which the developer indicates is a minute amount, See attached map.

#### **Comment Seven:**

The application fails to comply with OAR 345-022-0000 and all other sections of Division 22 due to the fact that they failed to include in the site boundary all facilities including related roads.

It is very apparent from looking at the claimed site boundary for this project that the developer will be developing roads and having impacts which should be included both for habitat mitigation and all other requirements of the site certificate. Looking at the site boundary, which currently only encompases the siting corridors, there is little doubt that the developer will be crossing between the siting corridors as opposed to back tracking every time they move equipment or personnel from one siting corridor to another. By definition, all related roads that will be developed or modified to support this development are to be included in the site boundary.

#### **Comment Eight:**

The draft site certificate fails to comply with ORS 469.40l due to the failure to require monitoring to assure compliance with he standards statutes and rules described in ORS 469.50l and 469.503. Of significant concern is condition 10.5 on page 66 of the draft proposed orderr indicating that there will only be a two-year post construction fatality monitoring program, the lack of requiring bird fatality surveys during the life of the development, failure to require nest surveys for the life of the development, a failure to monitor groundwater impacts for the life of the development,  $\rho$ , +C

#### **Comment Nine:**

The proposed change to Condition 10.12 allowing construction to occur in Big Game Winter Range between December 1 and April 15 should not be made. The impacts to elk and deer due to the development of industrial wind facilities are well documented. The attached report regarding a study of deer and elk which was performed by Western EcoSystems Technology, Inc. (WEST) along with ODFW comments regarding the data show clearly that there are significant impacts which can lead to population level impacts when animals are displaced from their winter range. It would be irresponsible for ODFW to ignore their own research, and any such change in the minimal protections being provided this public asset after the public is removed from having input on the decision would be an abuse of power on the part of both the ODFW and EFSC. It is outrageous that this change would be considered in a site certificate that was planned to be issued under a Type B process which would have denied the public any access to a contested case.

#### Comment Ten:

The use of a pre-construction survey distance of 500 feet fails to comply with both OAR 345-022-0060 Habitat Impacts and OAR 345-022-0070 Threatened and Endangered Species. Page 65 of the Draft Proposed Order indicates that there are records of 21 State Sensitive species. It then indicates that pre-construction surveys were completed within 500 feet of proposed facility components. I have no idea where this distance came from, but it is clearly not adequate under EFSC rules which require identification of wildife and habitat which will be impacted by the development.

#### **Comment Eleven:**

#### WCLUDO Section 19.030(D)(l)(c) Setbacks

Based upon the analysis provided, an exception to allow turbines to be placed within 1.1 times the maximum turbine blade height rather than 1.5 times the height as is the standard in WCLUDO Section 19. fails to comply with OAR 345-024-0010 the Health and Safety Standard for Wind Developments. The applicant and the Department failed to address the hazards associated with ice throw in the review of health and safety impacts Attached is a peer review study documenting the distances of ice throw. Given the amount of harsh winter weather, ice throw is a predictable event. The developer should be provided the option of providing setbacks consistent with the WCLUDO Section 19 as the minimum acceptable distance, or utilize documentation from the turbine manufacturer (in the cass of GE turbines, it was previously documented as 1.5 times the height of the turbine, or use the attached ice throw calculations which will result in a significantly greater distances. ("Analysis of throw distandces of detached objects from horizontal-axis wind turbines" by Hamid Sarlak and Jens N Sorernson) (1)) There is no documentation to support the use of a setback of 1.1 times the maximum blade height as providing even a minimum safety factor.

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## WASCO COUNTY 2040

## Category: Water

Strategy	% of
	Responses
Promote or incentivize use of reclaimed water in certain applications	54.90%
Better educational resources	43.14%
Adding water conservation measures to conditional use permits	39.22%
Restrict development near watershed properties and/or waterways	33.33%
Minimize residential development by maintaining current minimum lot sizes	25.49%
Landscaping requirements for residential properties	19.61%
I don't think Wasco County should be involved in water conservation	17.65%
Water conservation is not an issue and parcel sizes should be smaller	9.80%

## Water Conservation Public Input Results

Thank you to all participants at events and online! We got great feedback from people on how to leverage the land use planning program to help support conservation of water resources.

Results from the survey are closely aligned with what we heard at events; by in large, the community emphasized incentives and education as the best strategies for Wasco County 2040 to focus on when developing policy for water conservation.

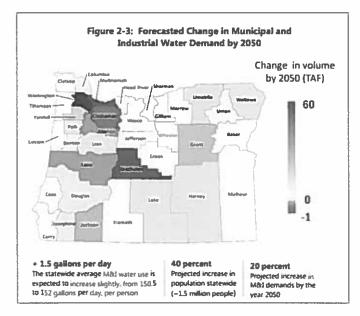
Here are the results from the survey:

Skranegy	1 m
	Responsion
Promote or incentivize use of reclaimed water in certain applications	54.90%
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Landscaping requirements for residential properties	19.61%
I don't think Wasco County should be involved in water conservation	17.65%
Water conservation is not an issue and parcel sizes should be smaller	9.80%

Wasco County Planning staff is currently preparing a report that analyzes all input during the last several months and offering some recommendations for Wasco County 2040 which will be initially presented to the Planning Commission in August.

We encourage you to attend our hearings, and submit comments online at any time.

If you are looking for more information about water conservation in Wasco County, we encourage you to visit the Wasco County Soil and Water Conservation District website for various resources. You can also learn more about water rights, wells, drought, water conservation, and various other water related issues impacting Oregon by visiting the Oregon Water Resources Department's website.



#### Water Conservation and Farms

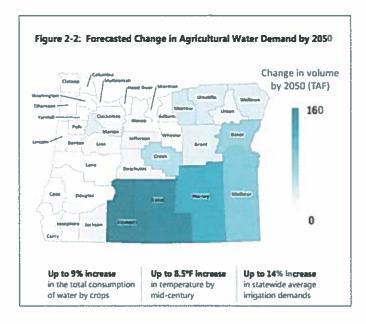
During the 2017 Vision work sessions, water was identified by the community as a priority for strategic planning. In May and June, during our 2018 road show series, water conservation ideas or other strategies related to water resources will be a topic of discussion.

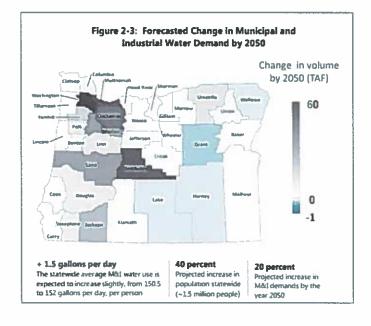
The Oregon Water Resources Department (OWRD) manages water supply needs and rights, and works to restore and protect streams and watersheds to ensure long term water availability. In 2015, they produced a flyer with tips for summer conservation of water on farms and ranches: Saving\_Water\_Farms\_Ranches

The flyer also has some additional resources for farmers and ranchers concerned about water and/or irrigation.

In 2017, OWRD adopted an Integrated Water Resources Strategy to address challenges throughout Oregon related to water.

The plan has useful data, including some forecasts for future water use:





These two graphics show that while Wasco County has a low predicted growth in municipal or industrial water over the next 30+ years, we will see an increase in agricultural water demand. This is, in part, because of a predicted 8.5 degree fahrenheit temperature increase by mid-century. (For detailed data on how they forecasted water demand, please see this document).

The implications for Wasco County 2040 are to think about ways land use planning and regulations for development can help support OWRD's strategies. The report has some specific recommendations for land use plans that we will be considering for Wasco County 2040:

Table 10: Land Us	able 10: Land Use Planning	
Agency	Program	
LOCAL	Comprehensive Land Use Planning – Develop and implement land use plans to comply with statewide planning goals and other laws,	
	Comprehensive plans include policies on sewer and water systems, transportation systems, recreational facilities, natural resources and	
GOVT	water quality management programs.	

### http://www.oregon.gov/lcd/pages/goals.aspx

Public Facility Plans (Goal 11) – Describes the water, sewer and transportation facilities which are to support the land uses designated in the comprehensive plan. http://www.oregon.gov/icd/docs/goals/goals/goal11.pdf

Land Use Compatibility Statement – State actions must align with local comprehensive plans. Local governments complete a land use compatibility statement to approve state actions.

Ordinance – Specify the standards to be used in developing sewers, streets, water lines, and other infrastructure, and establishes procedures for approving development actions. Also develops floodplain ordinances.

Floodplains - Regulates development in floodplains. Issues floodplain development permits. Requires elevation certificates construction within the 100 year floodplain.

Coastal Shorelands Planning Area – Local governments are required to identify the location of areas subject to geologic and hydrologic hazards, and identify evacuation routes.

We look forward to hearing community feedback on how to address water resource challenges. You can participate now by submitting comments or taking our survey.



### 2017 Integrated Water Resources Strategy

In talking to community members to date, we have heard a lot of concerns about water and the future of water availability in Wasco County.

The Planning Department works with several partners who manage things like water rights and water health. A critical partner is the Oregon Water Resources Department (OWRD).

OWRD has a public draft available on their website of the 2017 Integrated Water Resources Strategy and will be accepting comments until July 19th. This document updates an original strategy adopted in 2012, and houses guiding principles to meet Oregon's need on the water systems statewide.

You can find contact information and get a pdf copy of the draft by visiting their site here: http://www.oregon.gov/owrd/Pages/law/integrated\_water\_supply\_strategy.aspx#2017\_Public\_Review\_Draft\_Integrated\_Water\_Resources\_Strategy

The Planning Department is currently working with partners to better understand our local water issues and potential strategies, so stay tuned for more updates!



# Groundwater Issues in Parts of Wasco

### County

### **Groundwater Hydrology Section** Josh Hackett, Hydrogeologist

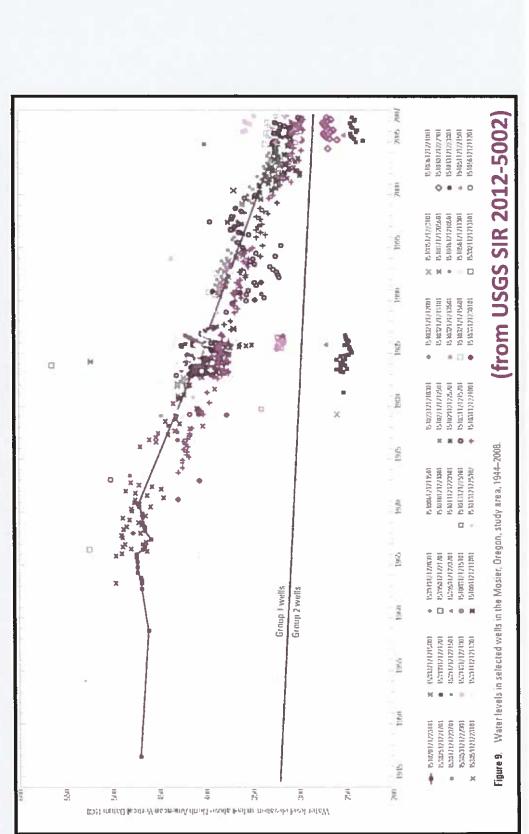
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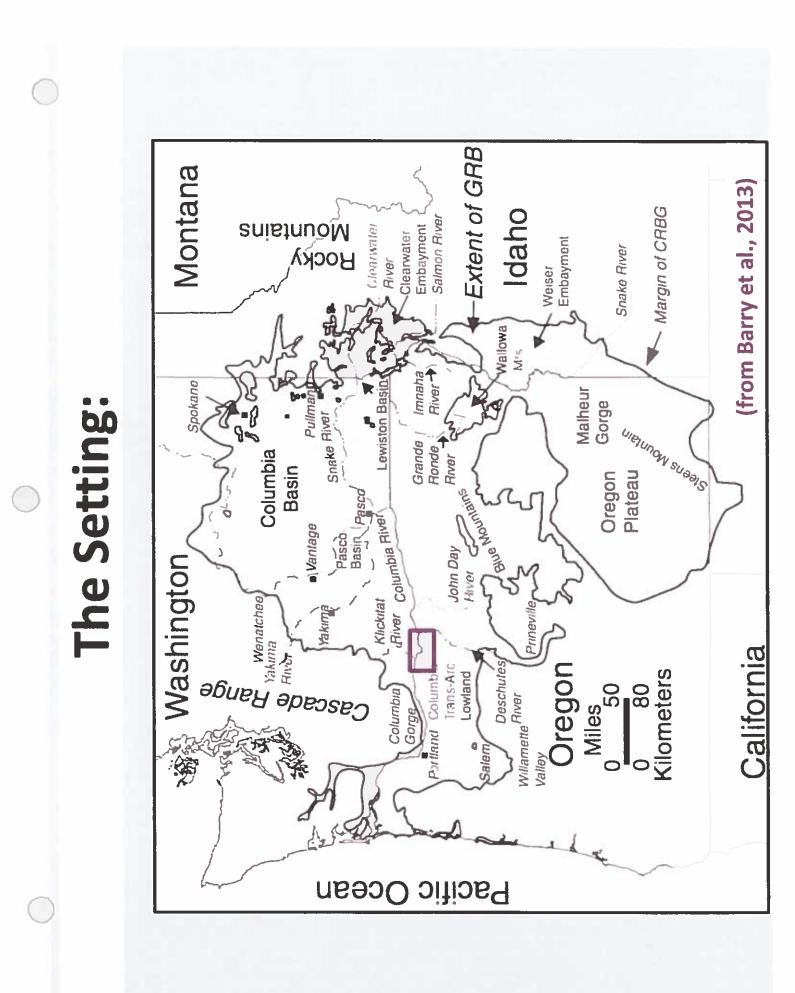
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- Water level decline in wells
- Decreased streamflow in hydraulically connected streams

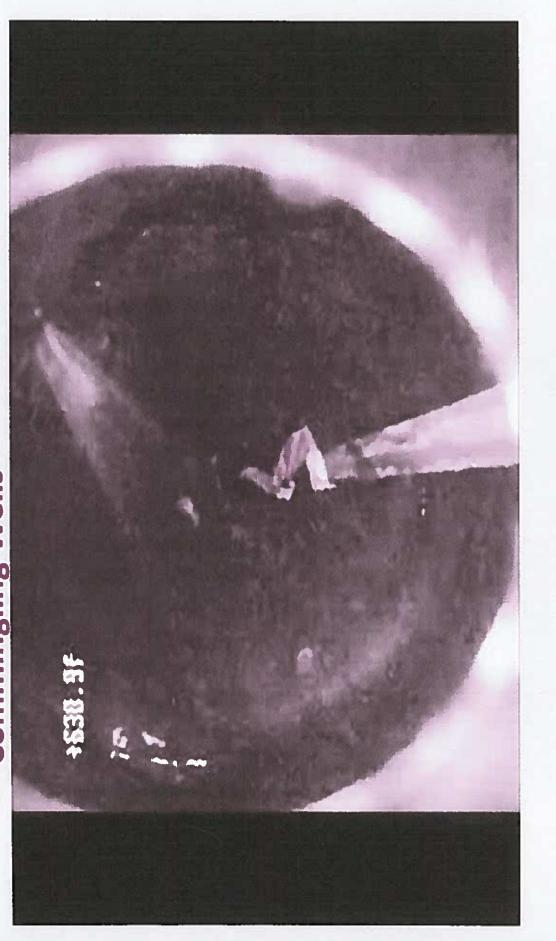


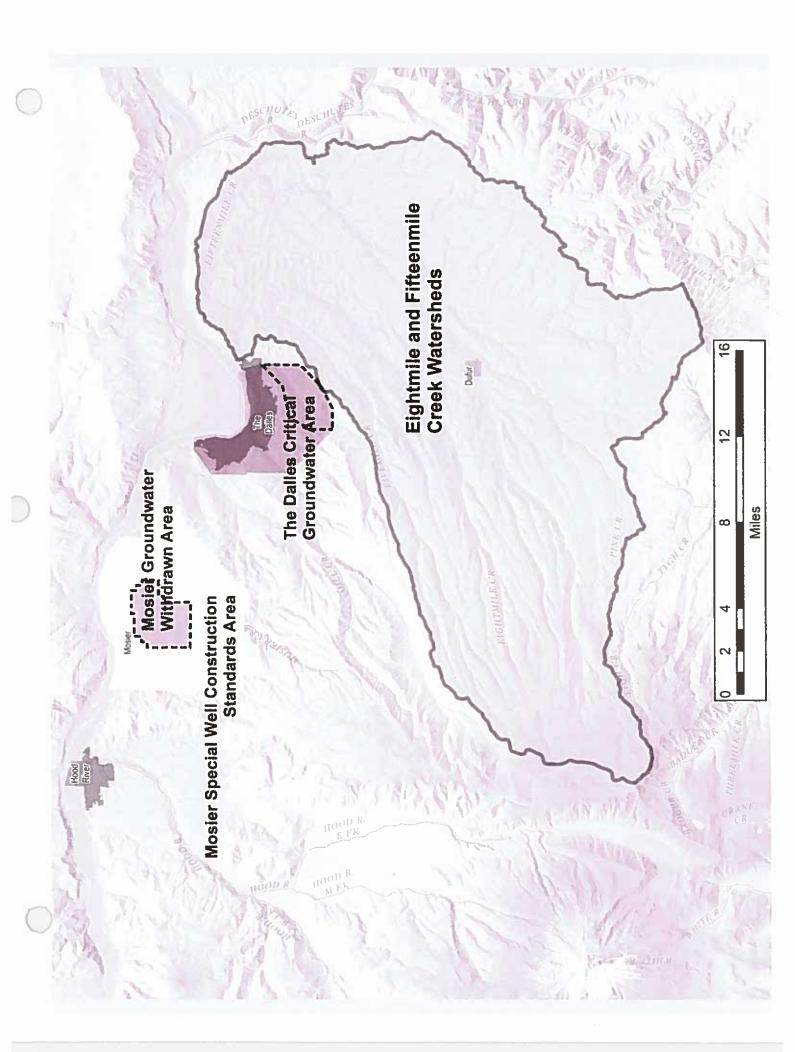


ver Basalt Flows	<ol> <li>1) Flow Top</li> <li>2) Dense Interior</li> <li>3) Flow Bottom</li> </ol>	Interflow zones (flow top & flow bottoms) can host aquifers Interiors separate aquifers	Simple Layering	
Structure of Columbia River Basalt Flows	Flow Top Dense Interior	Flow Top Zone	Dense Interior	Flow Bottom
Structu				

### **Potential Causes:**

- Overuse
- Commingling Wells





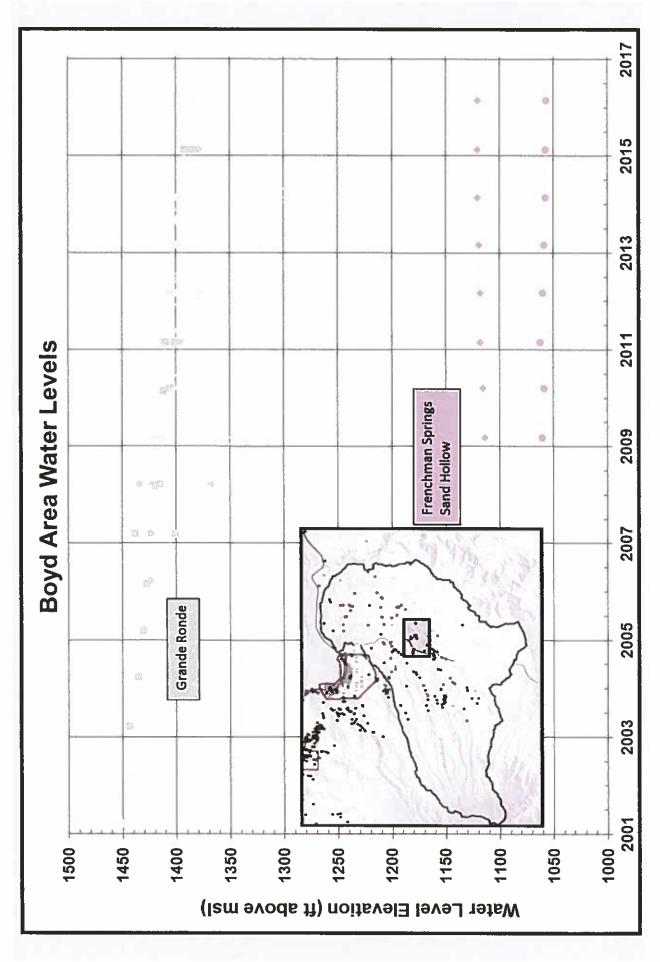
## **15 Mile Area Data Collection**

**Groundwater Level Measurements** 

- 80+ wells measured quarterly

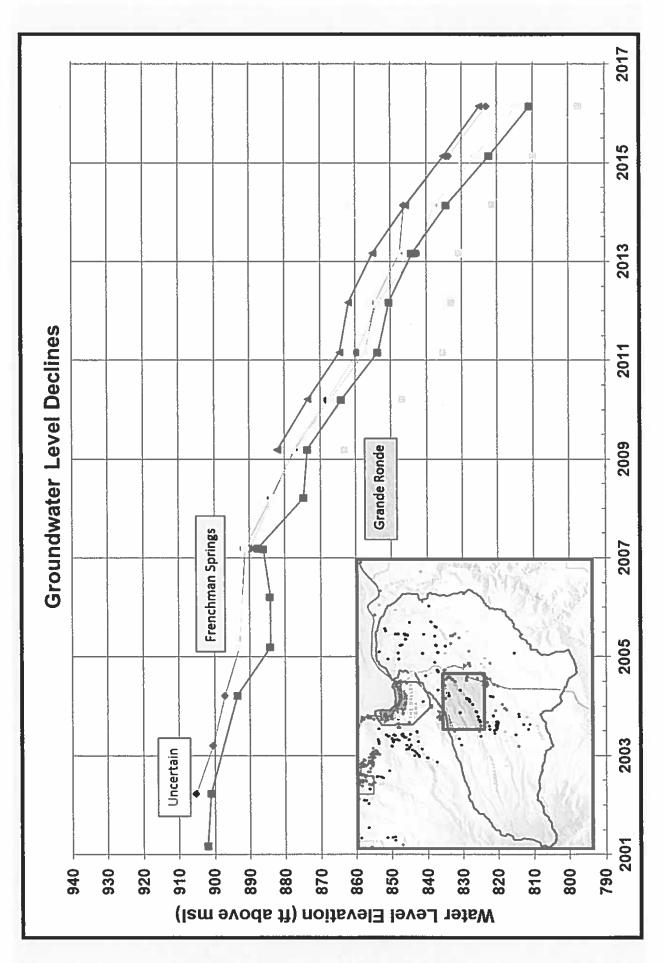
- Stream Gaging / Seepage Runs
- Drill Cuttings Samples
- 22 wells 14 with complete chemical analysis
- Surficial Geologic Mapping
- 6+ quadrangles
- Groundwater pumpage data
- Read flowmeters and calculate use where available
- Flowmeters required on newer water rights

**Boyd Area Water Levels** 

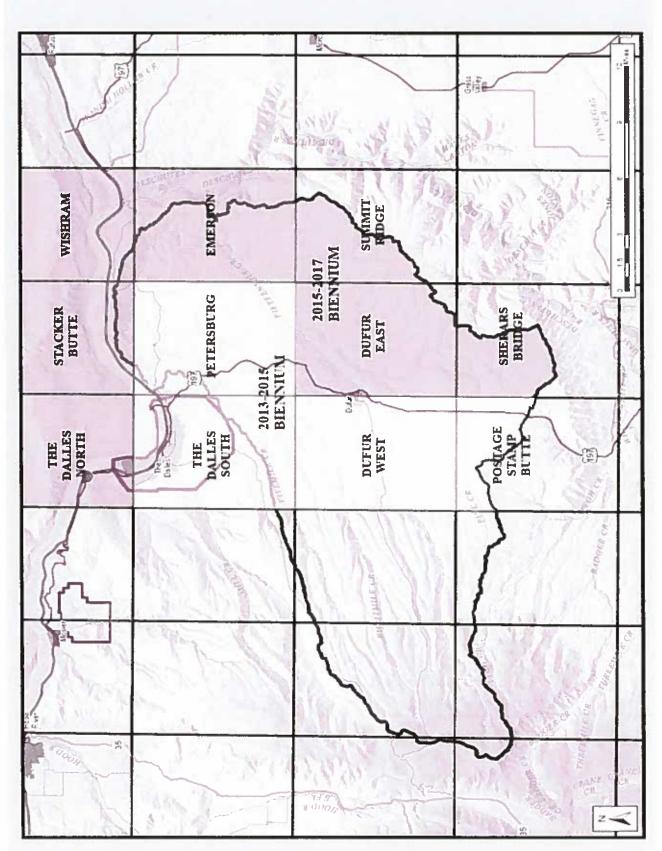


Area of Declining Water Levels

D

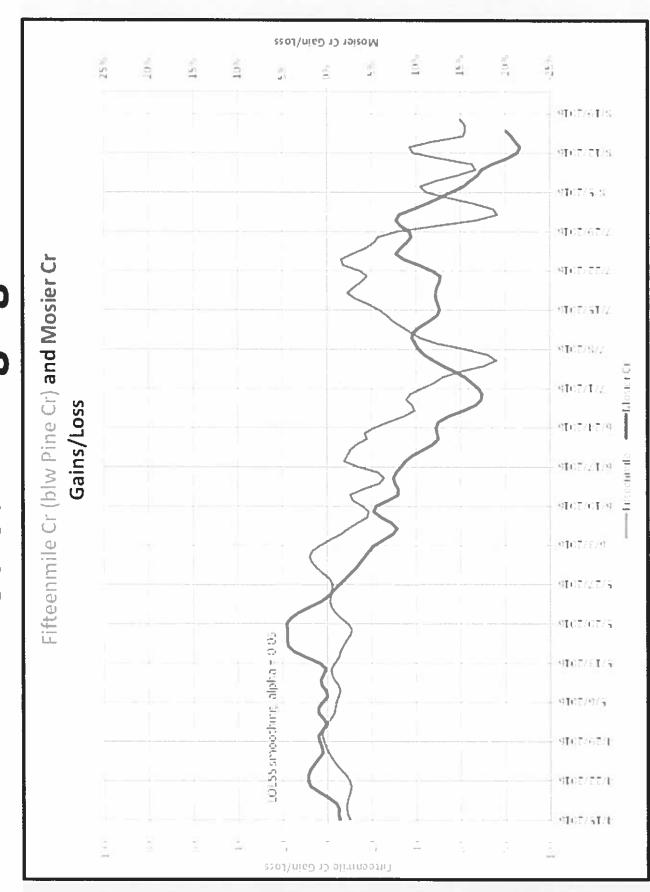


**Geologic Mapping - Quadrangles** 



D





## WHAT WE HAVE LEARNED

Unknown	Due to overdraft, commingling, or both? Total number of wells that commingle	Total number of wells that interfere
Cn	<ul> <li>Due to</li> <li>commi</li> <li>both?</li> <li>Total n</li> <li>wells th</li> </ul>	- Total r wells t
Known	Declines occurring Multiple aquifers Similar trends over large area Some wells commingle	Losing reaches Some wells interfere with streams
Data Source		Geologic Mapping

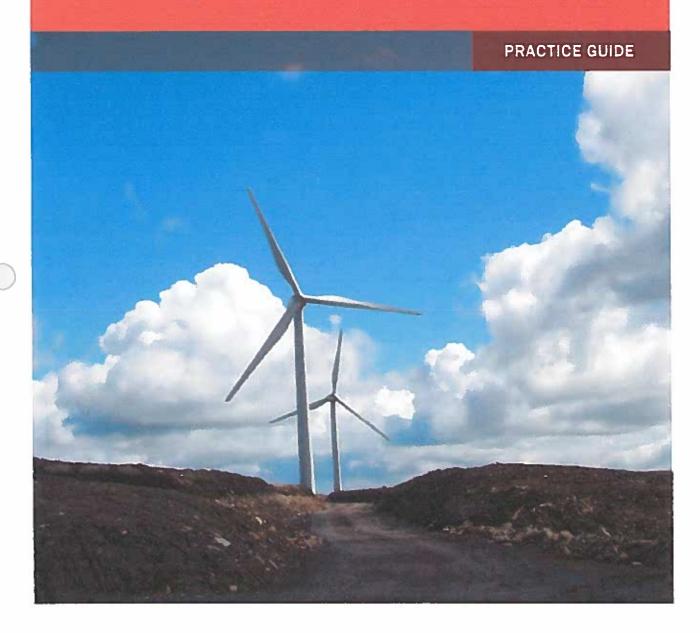
## **CONTINUING WORK**

- **Continue monitoring groundwater trends and** surface water / groundwater interference.
- Increase continuous water-level monitoring
- Identify wells connected to local streams
- Advance our understanding of geologic/hydrologic framework
- Incorporate surficial and subsurface geology into 3D geologic model
- Better estimate of GW pumpage from various aquifers
  - More flowmeters?

### Wind farms and groundwater impacts

A guide to EIA and Planning considerations

Version 1.1 / April 2015







**PRACTICE GUIDES** 

'This good practice guide aims to assist applicants and planning authorities achieve a consistent approach when considering wind farm development management on ground water. Its correct application should help applicants and planning authorities identify and satisfy legislative requirements with regard to groundwater in wind farm development projects.

This guide does not attempt to provide a detailed (account of the legislation and policy that underpin groundwater elements of wind farm developments in Northern Ireland and is not intended to be a source of legal advice. This guide is not intended to replace the need for judgement by planning officers and those making planning applications. Reference should always be made to the relevant legislation and if any discrepancy or conflict exists between the contents of this guide and the relevant legislation, the provisions of the legislation will prevail.

Further information can be obtained from the NIEA website <u>www.doeni.gov.uk/niea</u>. Links to external sources of information have also been provided in the text, where appropriate'.

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**APRIL 2015** 

WIND FARMS AND GROUNDWATER IMPACTS

### Contents

Intr	oduction	3
1	Why is it important to protect groundwater?	3
2	What impacts can a wind farm have on groundwater?	4
3	What does NIEA expect within an Environmental Impact Assessment in relation to groundwater for a wind farm application?	5
4	What data is collected for the baseline conditions?	6
5	Mitigation buffer zones	6
6	Mitigation measures	7
7	Information sources and further guidance	8

APRIL 2015

2 | P A G E

### Introduction

This guidance document aims to help developers identify and mitigate against potential impacts on groundwater associated with wind turbine applications. It helps to inform those producing Environmental Impact Assessments in support of planning applications for proposed on-shore wind farm developments in Northern Ireland. This advice note is also intended to offer guidance to local planning authorities considering the associated potential environmental impacts of onshore wind farm applications.

The guidance covers the main areas and issues to be considered when assessing the potential impact on groundwater. It should not be used to replace existing detailed guidance that may exist. Guidance is given on the collection of baseline information, use of mitigation buffer zones, and reference of Pollution Prevention Guidance Notes.

### 1 Why is it important to protect groundwater?

Groundwater has three major uses in Northern Ireland:

- Abstractions for agricultural and industrial purposes, food and beverage production (including bottled water);
- Public and private drinking water supply; and
- Maintenance of flow and water levels in rivers, lakes and wetlands, particularly during times of low rainfall.

The protection of groundwater from the risk of possible contamination is important because pollutants could cause health problems in human beings, reduce the quality of agricultural products, make water unsuitable for certain industrial processes, and pose a threat to our countryside and environment including their suitability for recreational purposes. In summary, the contamination of groundwater can not only have health and environmental impacts, but also serious economic consequences.

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### 2 What impact can a wind farm have on groundwater?

The development of a wind farm has the potential to impact on groundwater quality, groundwater quantity and/or the established groundwater flow regime. Figure 1 overleaf shows the scale and extent of the foundation of a single wind turbine which could potentially impact on the aquatic environment. Changes to the local water environment can affect receptors such as wells/boreholes, springs, wetlands and waterways, and can also have implications for groundwater dependent ecology and/or land stability.

The key impacts to groundwater that can result from the construction, operational and decommissioning stages of wind farms are summarised in Table 1 below.

	Construction Phase	Operational Phase	Decommissioning Phase
Groundwater Flow Regime	<ul> <li>Earthworks and site drainage:</li> <li>Reduction in water table if dewatering is required for turbine foundation construction or borrow pits;</li> <li>Changes to groundwater distribution and flow.</li> </ul>	<ul> <li>Physical presence of turbines and tracks:</li> <li>Possible changes to groundwater distribution;</li> <li>Reduction in groundwater storage.</li> <li>Reduction of forestry in site area:</li> <li>Changes to infiltration and surface runoff patterns, thereby influencing groundwater flow and distribution.</li> </ul>	<ul> <li>Physical presence of former turbines and tracks:</li> <li>Possible changes to groundwater distribution;</li> <li>Reduction in groundwater storage.</li> </ul>
Groundwater Quality	<ul> <li>Earthworks:</li> <li>Disturbance of contaminated soil and subsequent groundwater pollution.</li> <li>Materials</li> <li>Management: <ul> <li>Pollution from spills or leaks of fuel, oil and building materials.</li> </ul> </li> </ul>	Materials Management: Pollution from spills or leaks of fuel or oil.	Use of vehicles and machinery to remove infrastructure: Pollution from spills or leaks of fuel or oil.

### Table 1: Potential impacts on groundwater from wind farms

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PRACTICE GUIDES

Figure 1: Construction of the foundation of a single wind turbine.



3 What does NIEA expect within an Environmental Impact Assessment in relation to groundwater for a wind farm application?

Within a wind farm Environmental Impact Assessment (EIA) NIEA will expect the following to be provided:

- Detail of the baseline conditions of the site, obtained from desk study and a field survey;
- Identification of potentially sensitive receptors (for example private drinking water supplies – see also 'Undertaking a water features survey – guidance note');
- Identification of potential impacts to groundwater from the development, and assessment of impact significance;
- Evidence of appropriate incorporation of mitigation buffer zones in the layout design; and
- Proposed mitigation measures in line with Pollution Prevention Guidance (PPG) Notes.

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### 4 What data is collected for baseline conditions?

The degree of risk from the development is, in part, dependent on the sensitivity of local receptors. At a particular site, the local hydrogeological setting will influence the vulnerability of groundwater and associated receiving surface waters. For this reason it is important to establish local conditions prior to development, termed the baseline conditions.

The geology at the site will inform the hydrogeological setting, as well as other potential impacts; such as slope stability and peat slide issues. Information to be provided in the baseline should include:

- Quaternary (surface) geology and Bedrock geology mapping (provided by the Geological Survey of Northern Ireland (GSNI));
- Borehole log data if available (can be obtained from GSNI);
- The presence of peat to include data from a site walkover;
- Aquifer classification and vulnerability (can be obtained from GSNI GeoIndex); and
- The location of any proposed borrow pits and detail of their proposed restoration (as these may require dewatering) in addition to the proposed turbine and track layout.

A water features survey (to include a field survey) is required as it enables identification of the receptors that might be affected by the development both during and post-development. Guidance on undertaking water features surveys is provided in a separate NIEA Guidance Note. Early identification of sensitive receptors can be used beneficially to influence the design and construction of the wind farm to reduce potential risks.

The baseline conditions should also identify the potential for any existing contaminated or low quality groundwater at the site. The presence of these conditions at the site could affect the construction methods required (for example piling method or use of sulphate resistant concrete) or waste disposal required (for excavated soils or dewatered water disposal). An understanding of the historical setting of the site will determine if there is the potential for contaminated land or saline waters to be present.

The search radius for the baseline conditions will depend on the groundwater and surface water catchments likely to be affected.

### 5 Mitigation buffer zones

To reduce the risk of the wind farm development having an impact on the water environment the use of mitigation buffer zones should be considered in the layout design. Should elements of the development (tracks, foundations, borrow pits) be located within the buffer zones then further assessment (such as establishing the potential zone of contribution to the water feature) would be expected within the EIA to justify the expected impact significance.

Table 2 overleaf describes the mitigation buffer zone distances to be considered for potentially sensitive water features.

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### Table 2: Buffer zones for water features

Water Feature	Buffer Zone	
Surface Watercourse	10 m (minimum detailed in PPG 5)	
Water Feature used for Drinking Water (public or private)	250 m	
Water Feature <sup>1</sup> not used for water supply (but could provide a preferential flow pathway)	50 m	
Designated Wetland	250 m	

### 6 Mitigation measures

The presentation of mitigation measures for any wind farm development should make reference to the following Pollution Prevention Guidance Notes:

- PPG 1 Understanding your environmental responsibilities;
- PPG 2 Choosing and using oil storage tanks;
- PPG 5 Works in, near or over watercourses;
- PPG 6 Construction and demolition sites;
- PPG 13 Vehicle washing and cleaning;
- PPG 21 Pollution incident response planning;
- PPG 22 Incident response dealing with spills; and
- PPG 26 Storing and handling drums and intermediate bulk containers.

### The current PPG versions are found at:

http://www.netregs.org.uk/library\_of\_topics/pollution\_prevention\_guides.aspx

Water quality and flow monitoring prior to development to provide a qualitative and quantitative baseline might be required. In addition should an impact on a water supply feature be identified as significant then appropriate remediation measure(s) must be identified by the applicant. For example, in the case of a private water supply mitigation against deterioration in either the quality and/or the sufficiency of the supply should be considered.

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<sup>&</sup>lt;sup>1</sup> surface watercourse, spring, well, borehole

### 7 Information sources and further guidance

Geological Survey of Northern Ireland Tel: 028 90388462 Website: www.bgs.ac.uk/gsni/ Email: gsni@detini.gov.uk GeoIndex, geological maps, reports and memoirs, borehole and site investigation reports

### SpatialNI

Website: www.spatialni.gov.uk/geoportal/catalog/main/home.page

Environment Agency, May 2002. 17 Scoping the environmental impacts of windfarms (onshore and off-shore).

Drinking Water Inspectorate Tel: 028 90569282 Website: www.doeni.gov.uk/niea/water-home/drinking\_water.htm Email: dwi@doeni.gov.uk For further information in relation to private water supplies

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### **NIEA Waste Management Unit**

Klondyke Building Gasworks Business Park Cromac Avenue Ormeau Road Malone Lower Belfast BT7 2JA

T: 028 9056 9267 E: LGWinfo@doeni.gov.uk



GROUNDWATER LEVEL DECLINES IN THE COLUMBIA RIVER BASALT GROUP AND THEIR RELATIONSHIP TO MECHANISMS FOR GROUNDWATER RECHARGE: A CONCEPTUAL GROUNDWATER SYSTEM MODEL, COLUMBIA BASIN GROUND WATER MANAGEMENT AREA OF ADAMS, FRANKLIN, GRANT, AND LINCOLN COUNTIES

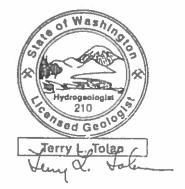
JUNE 2009

PREPARED BY

THE COLUMBIA BASIN GROUND WATER MANAGEMENT AREA OF ADAMS, FRANKLIN, GRANT, AND LINCOLN COUNTIES 449 E. CEDAR BLVD. OTHELLO, WASHINGTON 99344 509-488-3409 www.cbgwma.org

AUTHORS JOHN PORCELLO, TERRY TOLAN, AND KEVIN LINDSEY GSI WATER SOLUTIONS, INC. 1020 NORTH CENTER PARKWAY, SUITE F KENNEWICK, WASHINGTON 99336







Washington Water Science Center

### Columbia Plateau Groundwater Availability Study

The Columbia Plateau Regional Aquifer System (CPRAS) covers about 44,000 square miles of eastern Oregon and Washington and western Idaho. The primary aquifers are basalts of the Columbia River Basalt Group and overlying basin-fill sediments. Groundwater availability issues in the basin include: 1) widespread water-level declines caused by pumping, 2) reduction in base flow to rivers and associated effects on temperature and water quality, and 3) effects of global climate change on recharge, base flow, and groundwater availability.

The USGS conducted a study of the Columbia Plateau Regional Aquifer System with the broad goals of: 1) characterizing the hydrologic status of the system, 2) identifying trends in groundwater storage and use, and 3) quantifying groundwater availability.

**Problem:** The Columbia River Basin in Washington, Oregon, and Idaho supports a \$5 billion/year agricultural industry, leading the nation in production of apples and nine other commodities. Groundwater availability in the Columbia River basalts and the basin-fill sediments of the Columbia Plateau Regional Aquifer System (CPRAS) is a critical water-resource management issue in the Basin where the water demand for agriculture, economic development, and ecological needs is high. Groundwater availability is a primary consideration for balancing the conjunctive use of surface-water and groundwater supplies throughout the CPRAS.

Groundwater pumping from the Columbia River basalts has resulted in more than 300 ft of water-level declines in some areas of the aquifer system,

Status -Completed

### Contacts

### Sue Kahle

Hydrologist Washington Water Science Center Email: sckahle@usgs.gov Phone: 253-552-1616

### Erick R Burns

Research Hydrologist Geology, Minerals, Energy and Geophysics Science Center Email: eburns@usgs.gov Phone: 503-251-3250 placing important agricultural sectors at risk. Groundwater depletion also has contributed to adverse environmental impacts. Declining groundwater levels have significantly reduced stream flows and have contributed to loss of wetlands and degradation of aquatic habitat. Current streamflow in many subbasins is inadequate for certain fish listed under the Endangered Species Act. Conversely, in some areas of the CPRAS excess recharge from surface-water irrigation over the past century has resulted in additional ground water in both the sediments and basalts that is potentially available for use. The excess 'artificial' recharge has created wetlands now dependent on continued irrigation, and has contributed to landslides and excess sediment loads in the Columbia River at the Hanford Reach National Monument.

**Approach:** The Columbia Plateau Groundwater Availability study began in October 2007 and ended in 2015. The major elements of the approach include:

- Documenting changes in the status of the system. We compiled data from existing groundwater level monitoring networks maintained by a variety of agencies in the study area. We collected additional data in areas not covered by existing networks. The goal was to characterize the current status of groundwater levels and compare with the status in 1984 when the last regional scale compilation was made as part of the USGS Regional Aquifer System Analysis (RASA).
- 2. Quantifying the hydrologic budget for the system. We used a variety of hydrologic data and models to quantify the major components of the hydrologic budget for the aquifer system. The primary components of recharge include infiltration of precipitation and snowmelt and irrigation return flow. The primary components of discharge include groundwater pumping and discharge to streams.
- 3. Updating the regional geologic framework for the basalt aquifers. The RASA study mapped the extent and thickness of the major basalt formations and basin-fill sediments. We compiled and evaluated new geologic mapping and subsurface information and used it to refine the regional framework. The refined framework was also compiled in a three-dimensional spatial database
- 4. Developing a groundwater flow simulation model for the system. A conceptual model of the system based on the results of elements 1-3 was used to construct a three-dimensional numerical simulation model. The simulation model was used to evaluate and test the conceptual model and later to evaluate groundwater availability under alternative development and climate scenarios.

### Explore More Science

Columbia Plateau Groundwater Water Availability Water Availability and Use Groundwater Availability Water Use and Availability Groundwater Water

### Below are publications associated with this project.



### Year Published: 2015

### Groundwater availability of the Columbia Plateau Regional Aquifer System, Washington, Oregon, and Idaho

The Columbia Plateau Regional Aquifer System (CPRAS) covers about 44,000 square miles of southeastern Washington, northeastern Oregon, and western Idaho. The area supports a \$6-billion per year agricultural industry, leading the Nation in production of apples, hops, and eight other commodities. Groundwater pumpage and surface-water diversions...

Vaccaro, J.J.; Kahle, S.C.; Ely, D.M.; Burns, E.R.; Snyder, D.T.; Haynes, J.V.; Olsen, T.D.; Welch, W.B.; Morgan, D.S. *Attribution:*Washington Water Science Center, Water Resources <u>View Citation</u>



Year Published: 2015

### Groundwater resources of the Columbia Plateau regional aquifer system

The Columbia Plateau is a wide basalt plateau between the Cascade Range and the Rocky Mountains that covers parts of Washington, Oregon, and Idaho. The climate over much of the Columbia Plateau is semiarid with precipitation ranging from 7 to 15 in/yr in the central part (Vaccaro and others, 2015), yet the area supports a \$6 billion per year...

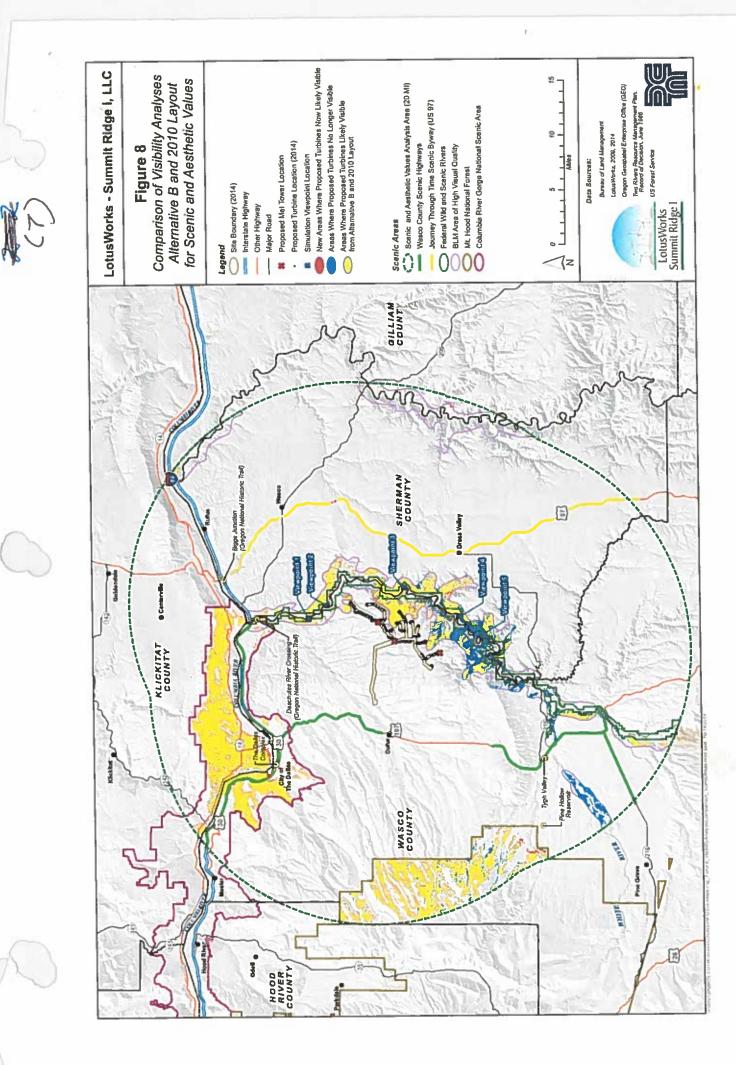
Kahle, Sue C.; Vaccaro, John J. *Attribution:*Washington Water Science Center, Water Resources <u>View Citation</u>

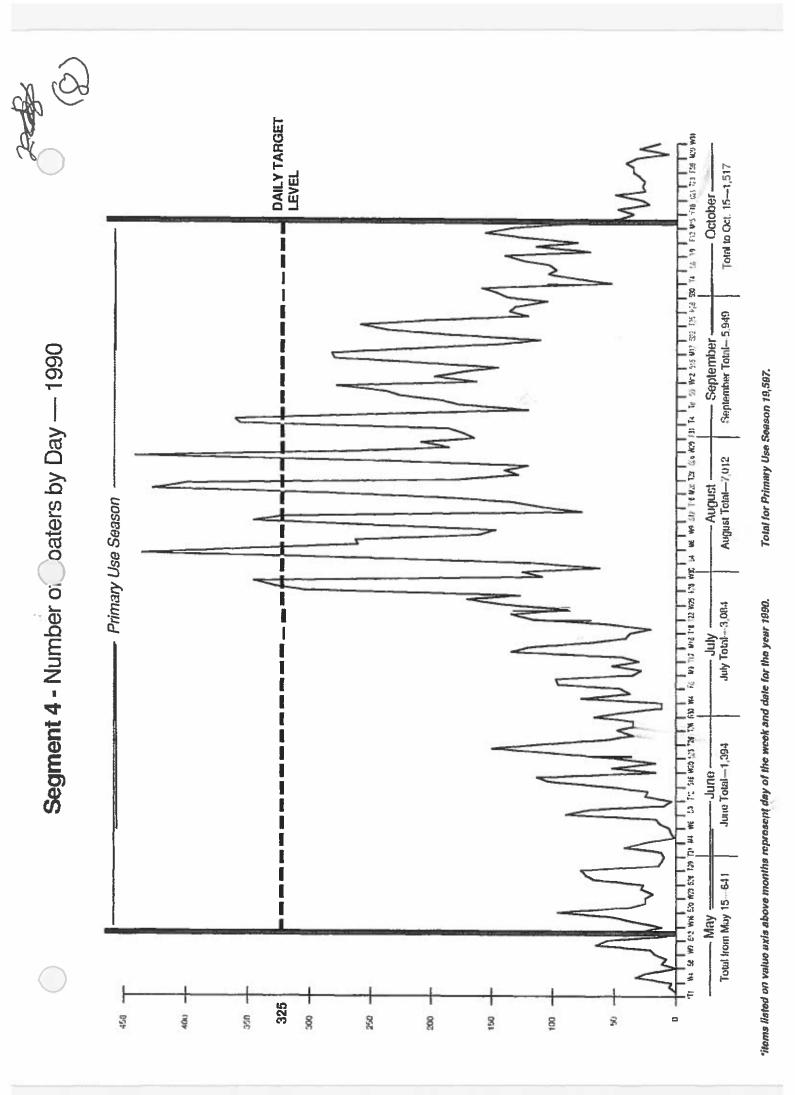


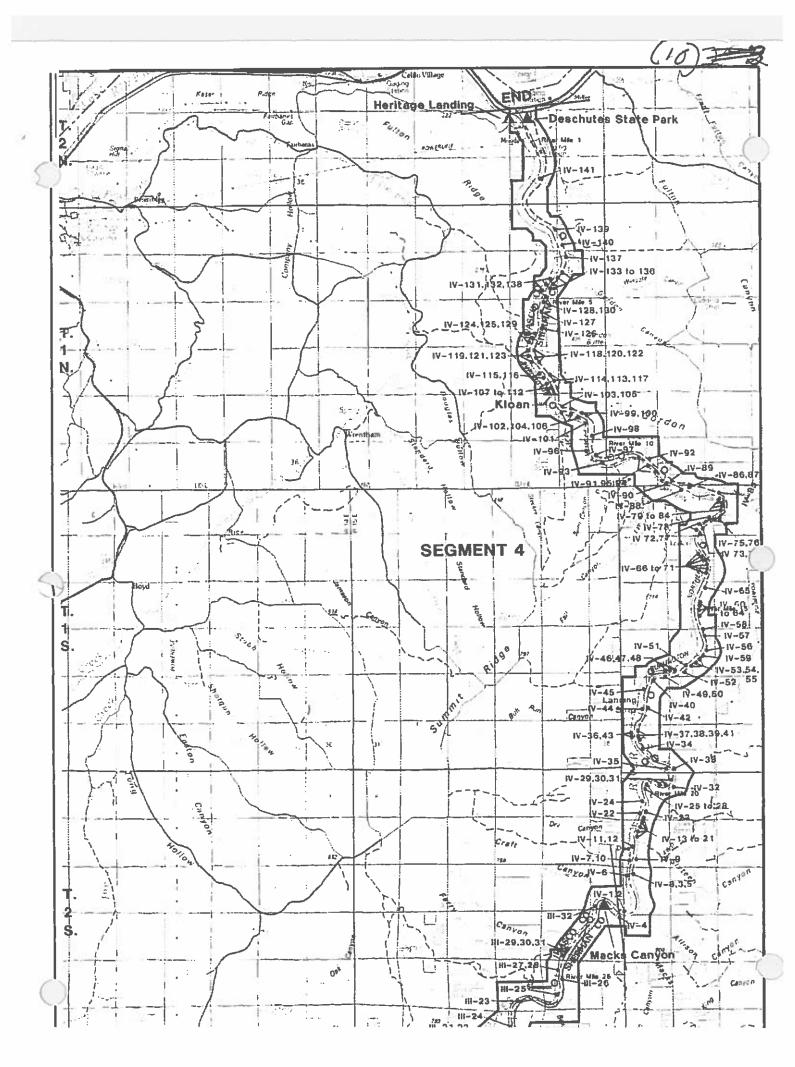
Year Published: 2014

### Numerical simulation of groundwater flow in the Columbia Plateau Regional Aquifer System, Idaho, Oregon, and Washington

A three-dimensional numerical model of groundwater flow was constructed for the Columbia Plateau Regional Aquifer System (CPRAS), Idaho, Oregon, and Washington, to evaluate and test the conceptual model of the system and to







Wind Energy

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WIND ENERGY

### RESEARCH ARTICLE

### Analysis of throw distances of detached objects from horizontal-axis wind turbines

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### ABSTRACT

This paper aims at predicting trajectories of the detached fragments from wind turbines, in order to better quantify consequences of wind turbine failures. The trajectories of thrown objects are attained using the solution to equations of motion and rotation, with the external loads and moments obtained using blade element approach. We have extended an earlier work by taking into account dynamic stall and wind variations due to shear, and investigated different scenarios of throw including throw of the entire or a part of blade, as well as throw of accumulated ice on the blade. Trajectories are simulated for modern wind turbines ranging in size from 2 to 20 MW using upscaling laws. Extensive parametric analyses are performed against initial release angle, tip speed ratio, detachment geometry, and blade pitch setting. It is found that, while at tip speeds of about 70 m/s (normal operating conditions), pieces of blade (with weights in the range of approximately 7-16 ton) would be thrown out less than 700 m for the entire range of wind turbines, and turbines operating at the extrema tip speed of 150 m/s may be subject to blade throw of up to 2 km from the turbine. For the ice throw cases, maximum distances of approximately 100 and 600 m are obtained for standstill and normal operating conditions of the wind turbine, respectively, with the ice pieces weighting from 0.4 to 6.5 kg. The simulations can be useful for revision of wind turbine setback standards, especially when combined with risk assessment studies. Copyright © 2015 John Wiley & Sons, Ltd.

### KEYWORDS

wind turbine accidents; blade element theory; blade detachment; ice throw; serodynamic model; HAWT

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### **1. INTRODUCTION**

The ever-growing number of wind turbines installed near inhabited areas, buildings and community facilities, such as bridges, power installations or highways, has resulted in an increasing concern by authorities to determine risk levels associated with wind turbine blade failure. From a safety point of view, the most serious failure is associated with splintering of rotor blades and detachment of debris, which could be thrown over long distances and damage people or property. Ice-throw from wind turbines installed in cold climate is also of high concern, especially for wind turbines crected near highways where the ice pieces thrown from a wind turbine may strike a passing car, which in the worst case may cause a fatal accident.

Various types of hazards regarding operation of wind turbines have recently been reported by Durstwitz and the Caithness Windfarm Information Forum.<sup>2,3</sup> According to a recent survey by the Caithness Windfarm Information Forum, blade failures resulting in either whole blades or pleces of blades being thrown from the turbine are the most important causes of turbine accidents.<sup>3</sup> A comparative graph showing the growth of wind turbine accidents over the past four decades is shown in Figure 1, where the share of blade accidents and accidents due to fire, which may eventually cause throw of fire patches, are also presented. Due to such accident data, energy authorities all over the world have tried to enforce safety distances around wind turbines and wind farms. The safety distance is a distance within which it is not allowed to build human structures such as buildings and roads. Shown in Table I is an example of the safety distances fall within an extensive range of

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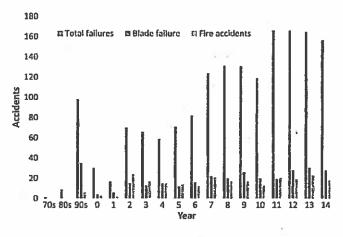


Figure 1. Comparison of wind turbine accidents and particularly blade failure data in a period from 1970s until 2014 (data taken from Calthness Windfarms<sup>3</sup>).

Table I. Safety distances of wind turbines from human structures as practiced in different regions of the world.<sup>17</sup>

Authority/source	Safety distance (m) (ft)
France	1609 (5280)
Germany	1609 (5280)
Rural Manitoba, Canada (1981)	(6500)
US National Research Council	762 (2500)
IL, USA	457 (1500)
Riverside County, CA, USA	3218 (10560)
MI, USA	304 (1000)

scales between 3.2km and 300m, and that the setback standards are not even similar in different regions of the same country. To standardize such safety guidelines, it is useful to employ mathematical models of the throw in various conditions and risk assessment tools to associate the probability of failure in each particular setting.

Motions of solid particles in fluids were first addressed analytically by Kirchhoff.<sup>4</sup> He showed that the equations of motion for a solid body in an ideal fluid reduce to a set of ordinary differential equations (ODE) based on Euler's equations. Further experimental investigations on falling objects revealed, despite originating from Euler's equations, various states of chaotic motion. It was also mathematically shown that Kirchhoff's equations had been prone to yield chaotic solutions [5]. Tanabe *et al.*<sup>6</sup> developed a set of two-dimensional equations of motion (including rotation) based on simple mechanics in which plates of zero thickness were subject to lift, friction and gravity forces. Based on those assumptions, they found five different falling patterns, ranging from a periodic movement to chaotic random motions depending on the density ratio between the solid and the surrounding fluid and on the length of the object. Pesavento and Wang<sup>7</sup> and Andersen *et al.*<sup>8</sup> performed more detailed studies to determine the motion of a falling two-dimensional elliptic object using direct numerical simulation of the Navier–Stokes equations. They took added mass and added moment of inertia into account and analyzed the transient motion and local jumps of the falling object thoroughly.

Due to complications in a real-life blade accidents (erratic motions, high Reynolds numbers, complex geometries etc.), the fundamental studies mentioned above could only partially help understanding the physics of wind turbine blade throw patterns. To cope with the wind turbine problems, simplified approaches were used. Macqueen *et al.*, <sup>9</sup> for instance, studied the problem of blade-throw from wind turbines, using classical ballistics and also assumption of constant lift and drag. A lift coefficient of Cl = 0.8 and a drag coefficient of Cd = 0.4 were used for the gliding simulations, with Cl = 0.0 and Cd = 1.0 for the tumbling motion. However, the probability that gliding would occur was deemed very small. Their maximum throw studies using simple ballistic analysis, that is, by neglecting aerodynamic forces, showed that in the extreme throw velocity of approximately 310m/s, the maximum throw length reaches 10km.

One of the first detailed studies on the aerodynamics of a detached wind turbine blade was performed by Sørensen<sup>1</sup> using a blade element approach. In this approach, the detached blade is divided into a number of sections and the aerodynamic loads are determined for each section. The total external aerodynamic load on the whole blade would then be determined as the summation of the individual forces on each section. Aerodynamics of runaway detachments from horizontal-axis wind turbines

The orientation of the detached part is determined through a matrix R, which gives the transformation from global coordinates to the body-fixed coordinates

$$\begin{bmatrix} \vec{r}_1 \\ \vec{r}_2 \\ \vec{r}_3 \end{bmatrix} = \begin{bmatrix} \mathbf{R} \end{bmatrix} \begin{bmatrix} \vec{i} \\ \vec{j} \\ \vec{k} \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix} \begin{bmatrix} \vec{i} \\ \vec{j} \\ \vec{k} \end{bmatrix} \text{ and similarly, } \begin{bmatrix} \vec{i} \\ \vec{j} \\ \vec{k} \end{bmatrix} = \begin{bmatrix} \mathbf{R}^{-1} \end{bmatrix} \begin{bmatrix} \vec{r}_1 \\ \vec{r}_2 \\ \vec{r}_3 \end{bmatrix}$$
(1)

Equation (1) holds for transformation of any variable between the two coordinate systems. This way of defining a vectorized rotation matrix (as opposed to Euler's scalar angles) ensures uniqueness of orientation angles and avoids the problem known as gimbal lock.

The full six degree-of-freedom motion is governed by Newton's second law of motion and Euler's equations of motion:

$$m\ddot{x}_{g} = F + mg \tag{2}$$

$$\dot{\omega_h} = \omega_h \times (I, \omega_h) = M \tag{3}$$

where *m* is the mass of the blade,  $\underline{x}_g$  is the position vector of the center of gravity,  $\underline{F}$  is the aerodynamic force acting on the center of gravity, *g* is the gravitational acceleration,  $\underline{I}$  is the moment of inertia tensor,  $\underline{m}$  is the angular velocity in the rotating frame of reference,  $\underline{M}$  is the aerodynamic force acting along the principal axis of the moment of inertia tensor and (.) denotes differentiation with respect to time. To close the system, the following relationship between the motion of the unit vectors of the body (the blade fragment) and the angular velocity is used:

$$\underline{\dot{r}} = \underline{\omega} \times \underline{r} \tag{4}$$

where  $\underline{\omega}$  is the angular velocity of the blade fragment in the inertial coordinate system, which by equation (1) is transformed into the local body-fixed coordinate system. The total set of equations are solved using a fourth-order Runge-Kutta-Nystrom or a third-order Adams-Bashforth method. For more information about the mathematical and numerical treatment of the equations, readers are referred to the early work of Sørensen.<sup>1</sup>

### 2.1. Aerodynamic modeling 👘

For the solution of the system of ODEs, a blade element approach is employed in which each blade is divided into *n* sections along the span. In each section, the external forces and moments are calculated from airfoil data based on the local wind speed and relative velocities.

The three-dimensional edge effects are to some extent considered through the finite aspect ratio assumption of the blade, and the aerodynamic coefficients of lift and drag are calculated for all angles of attack based on flat-plate theory. The induced velocities are, however, neglected, and the Reynolds-number dependence of the airfoil data is disregarded. Once the aerodynamic coefficients are found, the lift, drag and moments on the blade fragment are computed as

$$L_{l} = \frac{1}{2}\rho v_{l}^{2} A_{l} C_{Ll}, \quad D_{l} = \frac{1}{2}\rho v_{l}^{2} A_{l} C_{Dl}$$
(5)

where  $L_i$  and  $D_i$  are lift and drag forces on the *i*-th section,  $\rho$  is the air density,  $v_i$  is the local relative airspeed,  $A_i = c_i \Delta r_i$ is the local planform area where  $c_i$  and  $\Delta r_i$  are the local chord and the section lengths, and  $C_{U}$  and  $C_{Di}$  are the sectional lift and drag coefficients at the desired angle of attack.

The static forces aerodynamic coefficients of the airfoil only depend on the angle of attack. Unsteady effects at high angles of attack are included by using the dynamic stall model of  $\emptyset$ ye.<sup>18</sup> In this model, the dynamic lift coefficient is obtained by interpolating between the lift coefficient of an airfoil in a fully attached flow and a lift coefficient of the airfoil when the flow around the airfoil is fully separated, i.e.,

$$C_{l,dyn} = f_s C_{l,inv}(\alpha) + (1 - f_s) C_{l,fs}(\alpha)$$
(6)

where  $C_{l,inv}$  is the lift coefficient for a fully attached flow (i.e., inviscid flow assumption) and  $C_{l,fs}$  is the lift coefficient for fully separated flow. The stall-changing rate is defined as

$$\frac{df_s}{dt} = \frac{f_s^{ss} - f_s}{\tau} \tag{7}$$

where  $f_s$  is the time-dependent separation function, which can be thought of as the unsteady weighting function between the fully attached and the fully separated flow,  $f_s^{st}$  is a function of airfoil section,

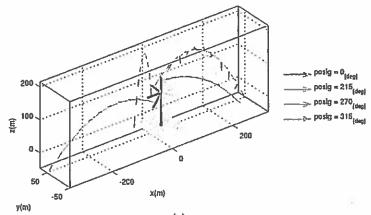
$$f_{s}^{sl}(\alpha) = \frac{C_{l,sl}(\alpha) - C_{l,fs}(\alpha)}{C_{l,inv}(\alpha) - C_{l,fs}(\alpha)}$$
(8)

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Size	$L^* = \frac{L}{R}$	L (m)	<i>m</i> (kg)	$I_X \{kg \cdot m^2\}$	l <sub>y</sub> (kg·m²)	Iz (kg·m²)
2.3 MW # = 45 m, H = 100 m	1.0	45	7.3E+3	0.1E+7	0.1E+7	0.3E+04
	0.5	22.5	2.4E+3	0.1E+6	0.1E+6	0.40E+03
	0.2	10	4.1E+2	0.4E+04	0.4E+04	0.2E+02
5 MW R = 65 m, H = 147 m	1.0	66	2.6E+04	0.9E+07	0.9E+07	0.2E+05
	0.5	33	8.2E+03	0.1E+07	0.1E+07	0.3E+04
	0,2	14	1.7E+3	0.3E+05	0,3E+05	0.2E+03
10 MW /r = 53 m, H = 208 m	1.0	93	8.2E+04	0.5E+08	0.5E+08	0.1E+06
	0,5	46,5	2.7E+04	0.6E+07	0.6E+07	0.2E+05
	0.2	20	5.3E+3	0.2E+06	0.2E+06	0.1E+04
20 MW $R = 132 \text{ m}, H = 294 \text{ m}$	1.0	132	2.6E+05	0.3E+09	0.3E+09	0.9E+06
	0.5	66	8.7E+04	0.4E+08	0.4E+08	0.1E+06
	0.2	29	1.6E+04	0.1E+07	0.1E+07	0.8E+04





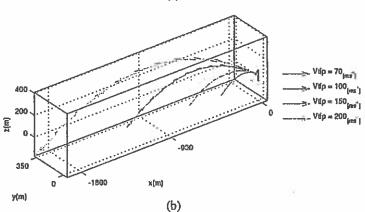


Figure 3. Schematic graphs of the throw distances for half-blade detachmont changing (a) the initial release angles (upwardclockwise reference) and (b) the tip speed velocities for the 2.3 MW reference turbine.

where  $I = (I_x, I_y, I_z)$ . In the previous relations,  $S_l = 1/2$  and  $S_m$  depends on actual scaling laws when increasing the size of the rotor. From simple upscaling rules,  $S_m$  would be equal to 3, but because of more elaborate rotor designs, this parameter is usually found to be somewhat smaller. In the present work, we employ  $S_m = 2.3$  (see UpWind<sup>20</sup> and TPI Composites<sup>21</sup> for more information on turbine scaling).

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of release tip velocity. As can be seen, the release tip speed is a very important factor influencing the maximum throw distances. Normal operating conditions with  $V_{lip} = 70$  m/s result in throw distances of about 500 m long, whereas a tip speed of  $V_{rip} = 150$  m/s may lead to throw distances up to 2 km.

For the quantitative analysis performed in the next section, the fragments are thrown at a release angle of 45° from the horizon (225° measured upward-clockwise) in all calculations. The full-blade and blade-shell throw calculations are performed using flat-plate assumption for the aerodynamic coefficients.

Figures 4, 5 and 6 show the throw distances for three different fragments of the full blade for a combination of three blade tip speeds ( $v_{tip} = 70$ , 100 150 m/s) and four different incoming wind velocities (with power-law profiles) ranging between 0 and 22 m/s at hub height.

The figures are divided into three groups, the first group (Figure 4) shows the throw distances, relative to the tower position, for different incoming wind speeds (shown on the horizontal axis) and different detachment lengths at a tip speed of  $V_{tip} = 70$  m/s. The detachment length  $L^*$ , shown with markers, is the length of the detached piece, measured from the blade tip and normalized by the blade length. The throw distances are calculated and plotted for the four considered wind turbine sizes ranging from 2.3 to 20 MW. As can be seen, except for the 2.3 MW machine, the effect of the incoming wind on the throw distance is almost negligible. Similarly, the effect of turbine size on the throw distance is minimal and the main

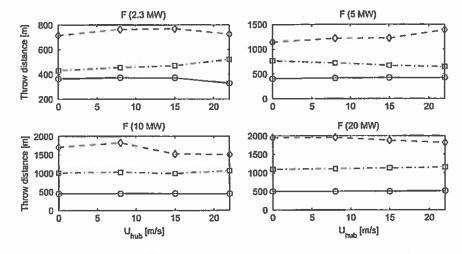


Figure 6. Throw distance calculations of full blade with three different datached lengths at an extreme tip speed of  $V_{tip} = 150$  m/s. Legends are similar to those in Figure 4.

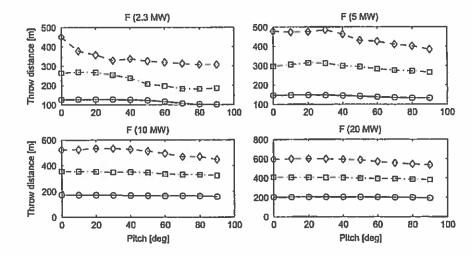


Figure 7. Sensitivity of throw distances of full blade to the initial pitch setting for 2.3, 5, 10 and 20 MW turbines operating at  $V_{tio} = 70$  m/s.  $\diamond \diamond \diamond c$ :  $L^* = 0.2$ ;  $\Box \Box \Box$ :  $L^* = 0.5$ ; and o o c:  $L^* = 1$ .

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## 3.2.1. Effect of initial pitch settings.

As explained earlier, analyses of the throw trajectories show that the throw distance for a particular wind turbine sometimes exhibits an erratic behavior going from one dominant solution to another with only a slight change in the initial conditions.

Table III. Aspect ratios, reference chord length  $C_{ref}$  and detached mass m of the blade shells  $(\rho_{shell} = 1700 \text{ kg/m}^3)$  used for throw simulation from turbines of different sizes.

	2.3 MW		5 MW		10 MW		20 MW	
Cases - AR	C <sub>ref</sub> (m)	<i>m</i> (kg)	C <sub>ref</sub> (m)	<i>m</i> (kg)	C <sub>ref</sub> (m)	m (kg)	C <sub>ref</sub> (m)	m (kg)
<i>AR</i> = 1		34		83		184		408
AR = 5	1	170	1.5	415	2.1	920	3	2040
AR = 10		340		830		1840		4080

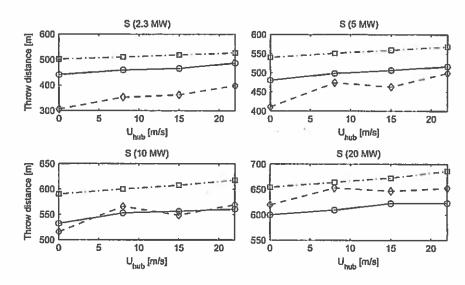


Figure 10. Throw distance calculations of blade shell with three different espect ratios (invariant chord length for each turbine) for 2.3, 5, 10 and 20 MW turbines at a normal operating condition of  $V_{tip} = 70$  m/s.  $\diamond \diamond \diamond$ : AR = 1;  $\Box \Box \Box \Box$ : AR = 5; and  $\circ \circ \circ$ : AR = 10.

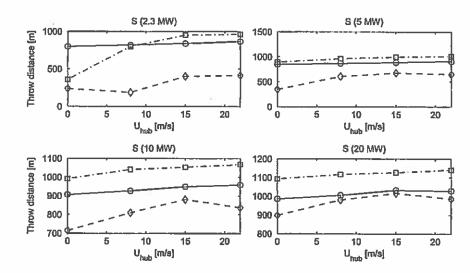


Figure 11. Throw distance calculations of blade shell at high tip speed of  $V_{tip} = 100$  m/s. Legands are similar to those In Figure 10.

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#### 3.3. Blade-shell throw analysis

An analysis of available data from blade failure accidents shows that depending on the manufacturing method and the structural integrity of the blade, it might first shatter into lighter parts, with the consequence that the shell layer is most likely to be thrown away. Three cases of different aspect ratios are considered for the shell throw analyses. For the reference case of 2.3 MW turbine, an average chord of 1 m and a shell thickness of 2 cm are chosen, and three aspect ratios (where AR is defined as the ratio of span to average chord) of 1, 5 and 10 are investigated. Then keeping the same AR, the analysis is repeated for each of the turbines introduced in the preceding sections. The density of the shell, consisting of fiber and glass, is assumed to be 1700 kg/m<sup>3</sup>. Table III shows the test cases used for blade shell throw simulations.

Throw distances for the four different turbine sizes with the same working conditions as those for the full-blade case are plotted in Figures 10–12. Here, the non-dimensional length is replaced by the aspect ratio of the blade shell and three different aspect ratios are considered. As can be seen, increasing the hub-height wind speed and the turbine size generally results in larger throw distance. Nevertheless, an erratic behavior, as mentioned in the previous section, appears in the

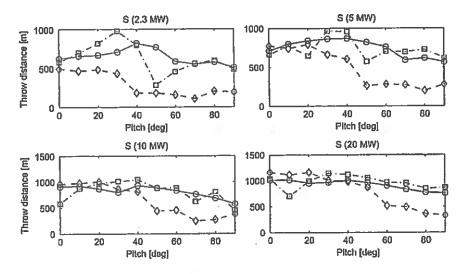


Figure 14. Sensitivity of throw distances of blade shell to the initial pitch setting at  $V_{t/p} = 100$  m/s. Legends are the same as in Figure 10.

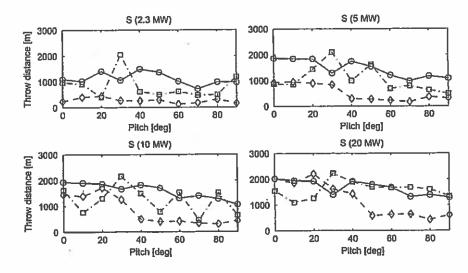


Figure 15. Sensitivity of throw distances of blade shell to the initial pitch setting at  $V_{tip} = 150$  m/s. Legends are the same as in Figure 10.

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a density of 700 kg/m<sup>3</sup> is used (see also Seifert *et al.*<sup>11</sup>). The dimensions of the tested ice fragments and corresponding turbine sizes are shown in Table IV. According to field studies performed by, e.g., Cattin *et al.*,<sup>22</sup> most of the ice fragments thrown away from turbine are broken into objects that typically are smaller than 1 kg. However, fragments as heavy as up to 1.8 kg have also been observed. Because the pieces are so light, the throw distance of an ice piece is mainly governed by the drag forces applied on it (which are only functions of mass-area ratio) and the incoming wind.

Similar to the previous section, studies of the effects of different parameters on throw distances are performed and plotted in Figures 16 and 17 with the graphs structured in the same way as in the previous sections.

For the simulations, no lift is considered and the drag coefficient according to the flat-plate assumption is used. Figure 16 shows that the throw distances of the standstill case range from 30 to 100 m for different turbine sizes and incoming wind speeds. For the running conditions however, the fragments can reach distances up to 600 m. It is also clear from the figure that in many cases the aspect ratio does not play a significant role in the determination of throw distances.

#### 3.5. Maximum throw distances

This section presents a summary of the previous results in terms of maximum throw distances. The maximum throw distances are obtained from the entire set of previous simulations regardless of the size and upcoming wind speed and plotted in Figure 18 for the full-blade and blade-shell cases and in Figure 19 for the ice-throw cases, respectively. In all

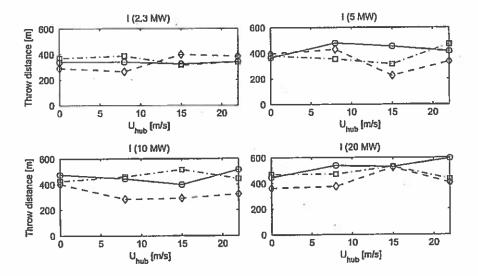


Figure 17. Throw distance calculations of ice fragments for three different aspect ratios for turbines in normal operation ( $V_{tip} = 70 \text{ m/s}$ ). Legends are the same as in Figure 16.

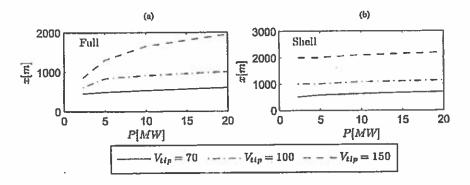
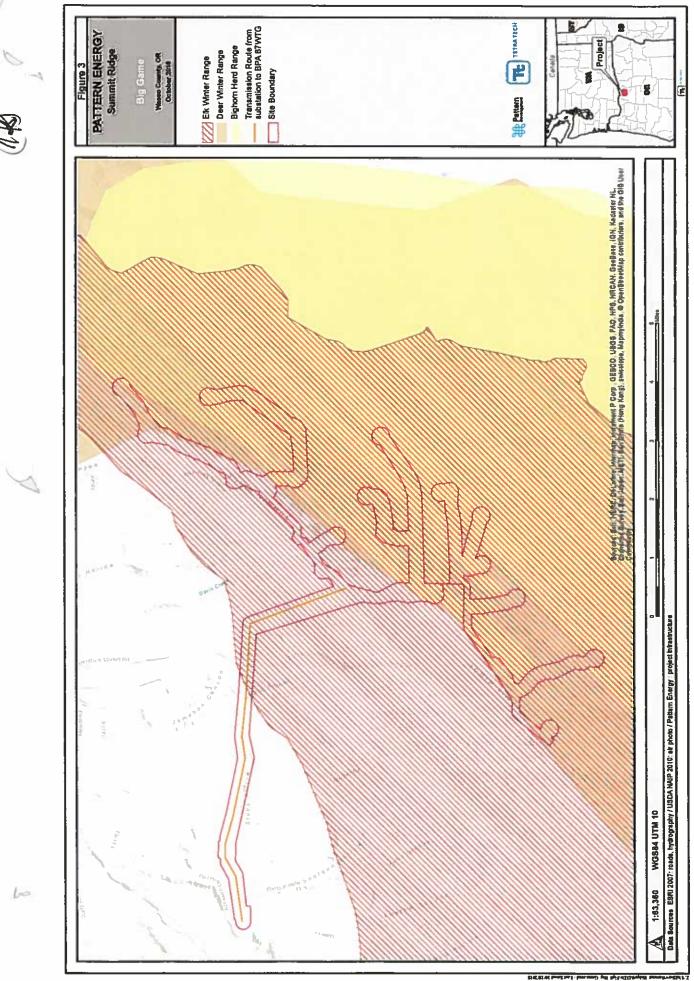


Figure 18. Maximum throw distances obtained for (a) full blade and (b) blade shell in different operating conditions. Blue line:  $V_{ijp} = 70$  m/s as a function of turbines power.

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**Department of Fish and Wildlife** Northeast Region 107 20th Street La Grande, OR 97850 (541) 963-2138



Valerie Franklin Project Manager Antelope Ridge Wind Farm 53 SW Yamhill Street Portland, OR 97204

May 31, 2010

Dear Ms. Franklin:

The Oregon Department of Fish and Wildlife (ODFW) appreciates Horizon and Western EcoSystems Technology, Inc. (WEST) providing ODFW the opportunity to review and comment on the draft Elkhorn Big Game Monitoring Study Report. Enclosed are ODFW's recommended edits and comments to this report which, if incorporated, ODFW believes would improve its content, discussion, and conclusions.

#### **Introduction**

Within the introduction, WEST indicates that ODFW's concern with the potential for displaced animals is increased crop damage. There will be areas of management complications if animals are pushed onto private agricultural lands in the valley. However, displacement of mule deer and elk from winter range will ultimately lead to population level impacts. Some of these animals will be displaced into summer range or poorer quality winter range where survival will be compromised. Therefore, ODFW recommends that the introduction be modified to include population level impact concerns associated with potential displacement of mule deer and elk from critical winter range.

# **Density Estimates**

Page 4 includes the methodology used to estimate the density and detection probability for big game species. ODFW does not believe the methodology included clearly describes how density estimates were calculated. Therefore, ODFW recommends that the final report include additional information on methodology used to determine and analyze density estimates. Please include a definition of cluster size. Also include if all locations from two flights per year or if only one flight per year was used to estimate density. If data from two flights per year were used (data pooled), there needs to be some clarification of how density estimates were adjusted or affected by potential double sampling. The results of the big game density analyses are presented on Pages 6-7. WEST concludes that based upon non-overlapping 90% confidence intervals (CI), significant increases in mule deer occurred between 2005 and 2008 and significant increases in elk occurred between 2005 and 2009. This paragraph should be modified to indicate there was a significant increase in mule deer and elk density estimates, not numbers, or provide the expansion of the density estimate to the population estimate for the study area.

Mule deer counted within the big game aerial survey project area decreased from 1,560 counted in three flights (average of 520 per flight) in 2004-2005 to 1,170 counted in four flights in 2008-2009 (average of 293 per flight). Given the information provided on numbers of deer and density estimates, a discussion is needed in the final report with possible explanations for why density numbers increased as they did, even though mule deer numbers decreased. To assist with this discussion, ODFW recommends that WEST insert an additional table in the final report which includes AIC models and analyses. The final report should reference this table and include a discussion of whether or not there were competing models and if these competing models produced different results. To further clarify analyses, ODFW also recommends that Table 4b be modified to include numbers of groups and individuals per species.

Density estimates, 90% CIs, and detection probability area presented in Table 4a. The confidence intervals in 2008 and 2009 are much broader than CIs for 2004 and 2005 indicating much more variation in the density estimate. This could be due to sample sizes in 2008 and 2009. Some overlap in CIs occurs between 2005 and 2009, between 2004 and 2008 and 2009, and between 2008 and 2009. The discussion should include an assessment of how these large and overlapping CIs may affect density analyses.

### **Group Size**

Group size information is presented on Pages 7-8. Based on the data provided to ODFW by Horizon and WEST, average mule deer group sizes included in the draft report are different than calculated by ODFW. For example average mule deer group size calculated by WEST in 2009 is 15.2 versus 17.03 calculated by ODFW. Therefore, for both ground and aerial surveys, ODFW requests that a table(s) be included in the final report summarizing number of animals and groups by species counted in each survey year.

# **ODFW Analysis**

The final draft report provides statistics that elk and deer were located further from wind turbines and associated activities in winter 2008 and 2009 compared to the baseline of 2004 and 2005 prior to initiation of construction. Missing from the analysis was an estimate of the threshold where responses of mule deer and elk to the project were not measurable.

Horizon and WEST provided trend flight information to ODFW. Using this information, ODFW scientists Bruce Johnson and Priscilla Coe examined changes in distributions of mule deer using two methods. First, ODFW estimated core areas using pre and post construction distributions with kernel estimators of groups of deer weighted by group size. Second, ODFW determined distances of groups (weighted by group size) in 500 m distance bands from the nearest turbine and visually inspected patterns to provide insight into shifts in distribution. ODFW then compared counts of animals within distance bands for pre and post construction periods. While this analysis provided similar trends in deer distributions presented in the final draft report where deer were further from the turbine strings, ODFW's analysis provided additional information in shifts in distributions. ODFW found that deer shifted core areas of use away from northern turbine strings, use of Ramo Flat was greatly reduced, and the core area identified in the pre construction flights on the site of the southernmost turbine string shifted further south (Figures 1, 2 and 3).

Compared to pre construction use, counts of mule deer during post construction surveys was reduced in the first seven distance bands evaluated, 0 to 500 m out to 3,000 to 3,500 m (Figure 4). This shift in distribution of mule deer is consistent with deer response to natural gas development in Wyoming (Sawyer et al. 2009<sup>1</sup>), where mule deer avoided liquefied gathering systems and selected areas greater than 2.6 to 4.35 km from a well pad.

Results from the Elkhorn big game study illustrate the dynamic nature of mule deer distribution and may be used to begin making predictions of how deer may respond to the development of the Antelope Ridge Wind Farm. Therefore, ODFW recommends that its analysis of changes in distribution of mule deer be included in the final report. ODFW also recommends that its analysis be the catalyst for Horizon to conduct an expanded analysis of distributions of mule deer and habitat selection that may be used to help in planning of the Antelope Ridge Wind Farm.

## Additional Analysis Requests

# Winter Monitoring Flights

In the draft final report, WEST's general conclusion was that deer and elk were located further from turbines following construction, but with the more severe winter weather conditions in 2008 and 2009 it was difficult to draw any conclusions. ODFW recognizes that the analysis was complicated by weather with winter weather more severe in 2008 and 2009. Unfortunately, the study was concluded in 2009 so trend flights were not conducted in 2010, which was a mild winter. ODFW believes it is in the best interest of both Horizon and ODFW to determine if mule deer habituate to the turbine strings. Therefore, ODFW recommends that WEST conduct a minimum of two additional years of winter monitoring flights to determine if mule deer habituate to the Elkhorn Valley Wind Project turbine strings and associated human activity.

Missing from the discussion of the draft final report is the decrease in mule deer numbers counted from 2004-2005 to 2008-2009. Mule deer counted within the project survey area decreased from 1,560 counted in three flights (average of 520 per flight) in 2004-2005 to 1,170 counted in four flights in 2008-2009 (average of 293 per flight). These counts indicate that deer likely shifted their distributions out of the project area.

Wind turbines are placed on ridges where wind currents are strongest and most consistent; areas in winter that would also have more bare ground and less deep snow pack because of the scouring effects of the persistent wind. Thus, one would predict that areas immediately adjacent to wind turbines prior to construction would have higher densities of deer and elk compared to areas where wind was not as strong resulting in deeper or more persistent snow pack. If there was no effect of either the turbines or human activities associated with the wind development, winter counts should be equivalent to predevelopment conditions. Because winter counts of mule deer decreased post construction, the presence of turbines and/or associated human activities likely influenced mule deer distribution.

# Mule Deer Distribution and Habitat Selection

WEST indicates it is unknown if the more severe winters that occurred during Elkhorn post-construction surveys may have influenced the shift in distributions due to environmental conditions (e.g., forage and refuge opportunities) or if the presence of the wind turbines and associated human activities led to an increase in density and herd size post construction. ODFW did not have access to or attempt to link any habitat characteristics with mule deer distributions pre and post construction. From inspection of locations on digital elevation maps, it appeared deer selected for south aspects. In addition, there were some characteristics of the landscape at 1501 to 2000 m (Figure 4) that deer avoided. Therefore, ODFW requests that Horizon conduct additional analysis of data to provide information on habitat selection of mule deer in the project area. ODFW recommends that the additional analysis of mule deer distribution include covariates such as elevation, aspect, slope, habitat type, and distance to nearest turbine pad. The additional analysis could be included in the final report or a subsequent report, and could be used to help in planning of the Antelope Ridge Wind Farm (Figure 5).

## **Report Conclusions**

Within the draft final report's conclusions, WEST indicates the number of big game animals in the survey area increased after project construction. However, mule deer counted within the project survey area decreased from 1,560 counted in three flights in 2004-2005 to 1,170 counted in four flights in 2008-2009. Given the decrease in number of groups and number of mule deer counted post construction, the final report should include a discussion of the decrease in mule deer and its conclusion should indicate that the number of mule deer in the survey area decreased after project construction.

One principal objective of this study was to recommend further studies or potential mitigation measures, if warranted. This objective is not addressed in the draft final report. Instead, the authors conclude that data regarding big game interactions with the Elkhorn Valley Wind Project are inconclusive at this point. There was a statistically significant increase in distance to turbines for mule deer and elk between pre and post construction surveys. Based on the information presented and analyses conducted, ODFW believes facility presence and human disturbance likely impacted big game distribution and habitat selection. However, it is unknown to what degree facility presence, human disturbance, or winter weather conditions may have influenced displacement responses. Therefore, ODFW recommends that its analyses be included in

this report and the additional analyses recommended by ODFW also be included in this or a subsequent report. ODFW also recommends that the discussion and conclusions in the final report be revised to include the comments and recommendations discussed in this letter.

ODFW would like to thank Horizon and WEST for providing mule deer data for our analyses. If you have questions or need additional information, please call me at (541) 962-1835 or Bruce Johnson at (541) 962-6556.

Sincerely,

Coller Fagan

Colleen Fagan NE Region Hydropower Coordinator

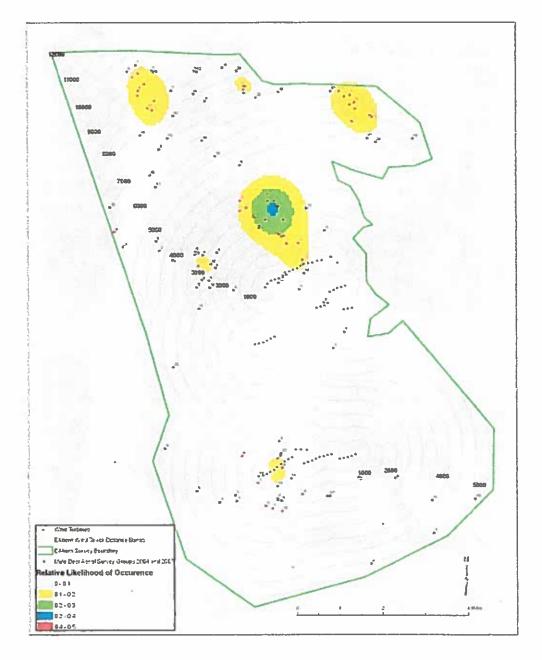
Cc: Craig Ely, ODFW La Grande Bruce Johnson, ODFW La Grande Jon Germond, ODFW Salem Gary Miller, USFWS Sue Oliver, ODOE

<sup>1</sup> Sawyer, Hall, M.J. Kauffman, and R.M. Nielson. 2009. Influence of Well Pad Activity on Winter Habitat Selection Patterns of Mule Deer. The Journal of Wildlife Management 73(7):1052-1060.

# Wind Turbines **Elkhorn Wind Tower Distance Bands** Elkhorn Survey Boundary Mule Deer Aarial Survey Groups 2004 and 2005 Mule Deer Aerial Survey Groups 2008 and 2009

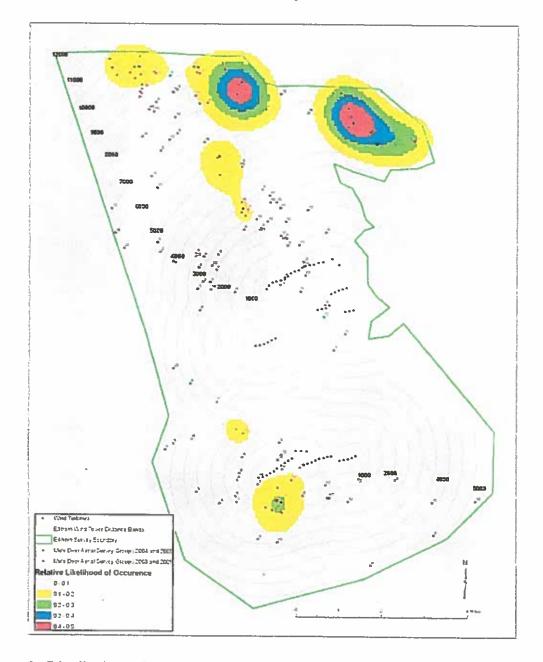
Mule Deer Groups in Distance Bands

Figure 1. Locations of mule deer recorded in 3 flights prior to construction and 4 flights post construction and 500 m distance bands around turbines in the Elkhorn Valley Wind Project. The most distant band is 12000 m from the nearest turbine string.



# Mule Deer Kernel Home Range Estimate 2004-2005

Figure 2. Distributions of mule deer during 3 flights in winter 2004 and 2005 prior to construction of the Elkhorn Valley Wind Project. Concentrations of deer are denoted by the kernel estimators, and colors indicate increasing probabilities of deer using an area.



# Mule Deer Kernel Home Range Estimate 2008-2009

Figure 3. Distributions of mule deer during 4 flights in winter 2008 and 2009 after construction of the Elkhorn Valley Wind Project. Concentrations of deer are denoted by the kernel estimators, and colors indicate increasing probabilities of deer using an area.

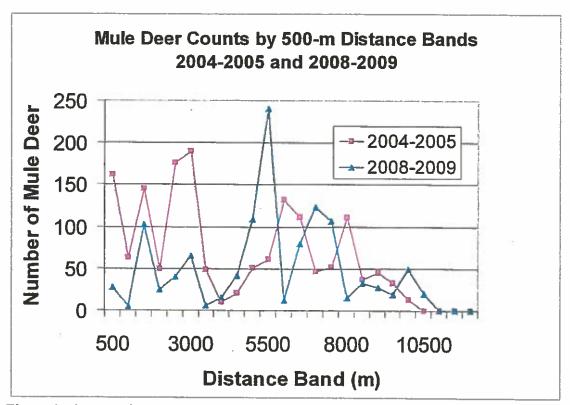


Figure 4. Counts of mule deer in 500 m distance bands from the nearest turbine from 3 pre construction (2004-2005) and 4 post construction (2008 – 2009) flights conducted within the Elkhorn Valley Wind Project.

# **ESTERSON Sarah \* ODOE**

From:	MAY Luke * ODOE	
Sent:	Wednesday, February 20, 2019 3:10 PM	
То:	ESTERSON Sarah * ODOE	
Subject:	FW: Summit Ridge Wind Farm Testimony	
Attachments:	summit ridge wind & pwr 2.pdf; summit ridge wind & pwr.pdf	

From: Weatherly Printing [mailto:weatherlyprinting@gmail.com]
Sent: Wednesday, February 20, 2019 3:08 PM
To: MAY Luke \* ODOE <Luke.May@oregon.gov>
Subject: Summit Ridge Wind Farm Testimony

Good afternoon.

This e-mail is being sent on behalf of David Thies. The attached files are for the Summit Ridge Wind Farm.

thank you -- Paul Weatherly

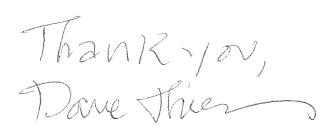
# **Weatherly Printing**

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1114 12th Street Hood River, OR 97031 Phone/Fax: 541-386-2800 **Monday - Friday: 8:30am - 5:00pm** 

To: ORegan Dept. of Energy Luke May, Siting ANAlyst. FAX: 503-373-7806 EMAIL: LUKEMAY@Oregon, Gov From: Columbia Gorge AuduBow Society 509-367-3578 Please distegard mailed Lotter From me. This FAxed lefter Hos been anmended & 15 the one I want in The record.

My Conservation Chair, Jill Barker, May send you her own Comments.



B M∖ זר π B S

FROM: Columbia Gorge Audubon Society P.O. Box 1393 White Salmon, WA. 98672

TO: Luke May, Siting Analyst Oregon Dept. of Energy 550 Capital Street NE, 1st Floor Salem, OR. 97301 Fax: 503-373-7806

Feb. 16, 2019

RE: Public Comment on, and opposition to the proposed Summit Ridge Wind Farm.

Kind People,

Columbia Gorge Audubon Society has been monitoring wind power along the Columbia River Gorge since it first arrived on the scene. At first we had hoped that - with proper siting - wind power would offer an environmentally responsible replacement for coal and nuclear power generation. However, as we watched wind power develop out, we believe that we have witnessed lack of planning, planning ignored, rubber stamping of projects, promises broken, "lap-dog" enviro-groups created and funded indirectly by wind power interests, attempts to subvert or buy off opposing groups through grants, wildlife agency ineffectiveness or corruption, state level political collusion with wind power interests, misrepresentation of bird studies, apparent attempts on our lives, industry corruption, tax evasion and fraud. This is the short list.

When the wind power industry first came here, they presented wind power as the "green energy alternative." When we and others publicly demonstrated that local wind power siting was a farce, and that their wind power machines killed way too many birds and therefore could not honestly be called "green," they pivoted and started calling wind power the "clean energy alternative," without changing their behavior one iota. Because the words "green" and "clean" rhymed, hardly anyone noticed this PR shift for what it was: a subtle recognition that our arguments had merit.

It took us a while - probably too long - but we eventually reached a point where we could no longer support industrial grade wind power. It had proven itself to us as just another big corporate industry strictly ruled by a desire to maximize profit and having neither a conscience nor a soul. Let them laugh and snicker at us for this comment, that doesn't diminish its truth.

When wind power first came to the Northwest, regional planners said that it should be dispersed throughout the region so that no one area would be severely impacted. But the wind power lobby, advocates, proponents, and economic factors proved to be a lot more powerful than the planners. Instead of a dispersed development of wind power, the industry focused on the Mid-Columbia Region, an area having very large, dense and varied bird populations. A lot of birds and a lot of wind power in one place is not a plot for a happy ending. The proposed site of the Summit Ridge Wind Farm is within the crosshairs of the north-south Cascade Bird Flyway and the east-west Columbia Gorge Bird Flyway. The wind power industry had promised not to develop in bird flyways when they first approached the Northwest with their wind power, but that promise has been broken too many times to count, and is all but forgotten.

Although bird kill rates are considered "proprietary corporate information," we have learned that a wind power project on the Washington side of the Columbia River, and not far from the Summit Ridge site, had an actual bird kill rate that was 12 to 17 times higher than the rate that had been submitted on a permit application. (We had been suspicious of projected bird kill rates for some time due to the drastic reduction of birds especially raptors - around wind power projects.) In this case, had the truth been on the permit application, there is no way that application could have been approved. But, the deception worked, the project was built, and even though it is now known to some that it was built on lies, it is still operating and killing an excessive number of birds. We believe that it is standard operating procedure for wind power companies to contort their bird impact studies in ways that greatly minimize their projected impact on birds, much to the consternation and disgust of some of the wildlife biologists doing those studies.

We recommend that projected bird kill rates be reviewed with great skepticism. Misleading bird studies will continue being a significant problem as long as wind power proponents are allowed to contract with, and make direct payments to, the companies doing the bird studies and projecting bird kill rates. After reading a lot of the studies done for projects along the Columbia river, we know of only one project (not wind power) where the study group stated that the impacts would be too severe for the project to proceed. We never heard from that study group again: never being hired again is the fate of biting the hand that feeds you. Therefore, we strongly recommend that the direct monetary tie between proponents and those doing the wildlife studies be severed, and that the money from the proponents instead be routed through the State, which would then pay the wildlife biologists to do the studies. In this way, the involvement of the few having a pecuniary interest in the outcome of the bird studies would be replaced by a much broader public interest. We believe that this would help to bring reality back into bird studies and wind power bird kill rate projections.

Wind power not only kills birds, it also kills fish. The Bonneville Power Administration has entered into contracts with wind power companies for power. In order to honor those

contracts and keep uncompetitive wind power on line, the BPA has to reduce their power production by dumping water over the dams, which kills salmon. Of course, we are also trading a cheap renewable power source (hydro-power) for an expensive renewable power source (wind power). While there are certainly multiple causes of the decline in our fishery, wind power must now be recognized as one of those causes. We suspect that wind power is playing a more important role in the recent decline of our fishery than is generally recognized. The dumping of spring run-off to benefit wind power diminishes the size of the fishing "pie" that all fishermen share. It goes without saying, that it also jeopardizes what remains of the salmon fishery in the Columbia River watershed.

As you know, or should know, there has been considerable segmentation of wind power projects for the purpose of illegally securing tax credits and avoiding proper environmental review. This has been accomplished by developers applying for a permit. getting it, and then returning for additional extensions to the project, while claiming that they had no idea at the outset that their project was going to be larger than they originally planned. A second method of segmentation has been to claim that projects are not related, even when they have the same owners, investors, developers, and share common components and infrastructure. Fortunately there are ways to get at the truth of the matter. When proponents order their wind towers they rely on "economy of scale" to save them money. By this, we mean that they reduce expenses by ordering all of the machines that they intend to use in the entire project in a single order. Of course, there can be dodges around this check too, like segmented orders (wink-wink!), and other outand-out misrepresentations. The regulators need to anticipate these dodges and figure out how to expose the fraud. In short, regulators need to ask how many machines developers are ordering when they receive proposals. A second way to uncover segmentation is to go to the engineers for the project and demand to see all of the plans that they have worked on. These counter-methods may expose the actual extent of the project. Too often, project segmentation has been used to illegally garner tax credits. The same segmentation also illegally circumvents the triggering of the National Environmental Policy Act, which would require a more in-depth environmental review of those projects. Regulators now know that these problems have occurred, and are real. To the extent that this issue has not been addressed, it is now time to take effective action to prevent these end runs around tax credit laws and the NEPA triggering threshold. Breaking these laws, should result in jail time, and projects built on lies and fraud should be considered illgotten gains, and confiscated. Unfortunately, we doubt that nothing short of this will give developers second thoughts about cheating for more profits.

To our knowledge there has never been a study of the cumulative impact of the massive development of wind power in the Mid-Columbia Region. The time for limiting consideration to each project proposal and it's merits is long past. We desperately need to know what the combined impact of wind power is on birds and wildlife, so that we will know when enough is enough. The regulators owe the public a major study of the cumulative impact of wind power on wildlife and birds.

It was originally predicted that our power transmission lines would be saturated at 31 hundred Megawatts. The last time we checked, we were at 50 hundred Megawatts. Not

only is our transmission system being stressed with too much energy, but cheap natural gas and the collapse of the California market has resulted in a decreased demand for local wind power. Compounding this problem, the public is having to pay the bill for a new "smart" transmission system so that we can handle the irregularities that are inherent in wind and solar power production. This problem of "passing on the bill to the public" for infrastructure is occurring nationally, regionally and locally. BPA power rates continue to increase to pay for "improvements" to, and expansion of the power grid. Local PUD's have made, and continue to make, major "investments" in infrastructure for alternative energy. As a consequence, local rate payers have felt the impact in their pocket books, while wind power builders have walked away with the profit. We will not be surprised, if some PUD's are eventually forced into bankruptcy because of huge debts incurred through over-investment in infrastructure for wind power. If there is an economic upset that further reduces the demand for electricity, the PUD's carrying the most infrastructure debt load may be forced into bankruptcy. If this happens, the major beneficiaries will be large private power corporations that will move in and take over. Those most hurt by such an eventuality will be, of course, the rate paying public.

An attempt is now being made to create a more steady flow of electricity from wind and solar power by creating huge earthen dams and reservoirs in which water would be pumped into when electric lines are at capacity, and from which water would be released through electrical generators when power is needed. This was obviously an integral part of the wind power plan, but was not revealed in the initial stages to the public, or the wildlife reviewers, and probably not even to the regulators. The proponents of wind power knew that the power that they generated was already going to be a lot more expensive than hydropower, so they withheld the information that there were huge additional expenses involving constructing a whole new "smart" electrical grid and building huge dams for power storage. Unfortunately, the full financial cost of wind power electrical production is not the only problem. There is also an additional environmental problem. From what we have seen so far, the plan is to site the huge holding dams and their reservoirs near the wind towers, because the land is already under lease, and to minimize transmission and access infrastructure expenses. Siting holding reservoirs in proximity to wind towers would attract a lot more birds into what would essentially be kill zones, and would result in a geometric increase in the bird kill rate, a rate that is already way too high. No genuine consideration is being given to this very real problem.

No one is asking why we should trade our paid for and operating renewable river hydropower system, that provides us with cheap and plentiful electricity, for a hugely expensive and inefficient earthen dam hydropower storage system, that will have to sell us power at an exorbitant price. This is exactly the trade-off that is planned so that an expensive, inconsistent and problematic wind power can function. And, it seems that whatever wind power touches, (birds, fish and wildlife and their regulators, our rural views, our BPA dams, our transmission lines, our cheap electricity, and our politicians) it corrupts or destroys. Looked at this way, Northwest wind power seems more and more like the hugely expensive and unnecessary boondoggle that it is.

But wind and solar power proponents continue with their refrain that we need their power to replace coal and nuclear power. And maybe this is true, but industrial grade wind and solar power is too big, too unwieldy, too expensive and has too big of an impact on the environment. Wind power - and yes, even solar power - has been designed for gross mass production and centralized use, because that is how proponents make their money. What we actually need to do is decentralize and reverse the flow of electricity in the grid. We need to disperse wind and solar power generation to our homes and businesses. Reverting to small scale energy production would solve a lot of big problems. Like big corporations controlling and twisting energy production to make it as expensive as possible. Like project siting impacts. Like habitat loss. Like the bird kill problem. Like transmission issues. And, like the conversion of our rural areas into industrial zones. But what about all those pesky little wind power blades going around on top of our houses, won't they kill birds? No they won't, because at that scale you just place a mesh around the blades. As for solar power, you just put it on your roof or the south facing wall of your home or business. Our rural areas could be nice again, and our environment could begin to heal. And, to the extent that small scale wind and solar power cannot meet our electrical needs, our river hydropower system can easily fill the gap. The big project boys say this impractical, as if they have a grip on, or care about, what is practical. Weigh it out yourself, which system is going to work best for the people and our land in the long run?

The fact that the proposed Summit Ridge Wind Farm has gone nowhere for ten years speaks eloquently for it's lack of need. The owners can't build it, and investors have not committed. Haven't the proponents already been given enough time to develop? They made a poor business decision, no doubt fed by their own hype. In our opinion, the negative consequences of the proponents bad decisions should fall on them, and not on the public. We request and urge that you do not extend the construction deadline yet again for this proposed project.

While considering this matter, we hope that you will give a reasoned consideration to the people that would be negatively impacted by this proposal. People living in rural areas such as this one have traded a lot in exchange for their rural lifestyle. Big moving blades and blinking towers on a distant ridge may seem insignificant to you, but for the people living in the area, the impact would not be insignificant. It involves the difference between living in the wide open spaces and living in an industrial zone. We have no doubt that some of the people that would be negatively impacted by this proposal will let you know of their opinions. But, you should understand that most people do not participate in public hearings, perhaps because they are not good public speakers, or they do not want to risk angering county leaders, or the hearing was scheduled while they will be at work, or maybe they just have lost or never had confidence that their opinions matter to you. In your mind you should magnify the numbers of those that comment negatively on this project, because they certainly reflect the views of many - probably most - of the people who are not telling you what they think. On the other hand, the proponents, their advocates, hopeful contractors and the lease holders will certainly participate. They are paid to be there, or they hope to be paid, and for the most part they will be eloquent speakers. But what you see of them, that's about it. There won't be

many - if any - others like them outside of the hearing room thinking, "I sure hope that this thing is built." If you think about this for awhile, we believe that you will come to see the truth in this claim.

We are informed that this project would be within the view shed of the Columbia River Gorge National Scenic Area and the Deschutes Wild and Scenic River. This raises the decision regarding the Summit Ridge Wind Farm to the level of national interest and concern.

You may wonder why we offer little specific avian information that might cause you to object to this project. It is our experience, that while wind power definitely impacts birds, birds have not in any way impacted wind power. Despite all the fluff about wind power development being guided by "studies," the real siting determinants have proven to be: (1) an agreeable county government and PUD that is pliable and willing to provide tax breaks and infrastructure at the public's and rate payers' expense, (2) land owners that are willing to lease their land cheaply and who do not understand that come time for decommissioning, they may get stuck with the bill, and (3) the project site must be relatively close to BPA power transmission lines. Neither birds, nor even wind strength, are given significant consideration (despite all the claims to the contrary). Of course this is "just our opinion," but, like we have said before, it is based on intently watching Mid-Columbia wind power development since it's inception. In this regard, we are offering you a rare condensed historic perspective. It is very sad for us to see how wind power's promise of a green energy future has devolved into the grim reality of wind power today.

You may not consider some of what we bring to you relevant to this project. Well, it should be. Please pass this up the ladder to those that need to hear something of the peoples history of wind power as we have experienced it, and our recommendations for regulatory improvement.

We submit this testimony without much hope that the Oregon Department of Energy will finally get a spine and call an end to this moribund proposal. But, one more time, we hope to be proven wrong.

Sincerely, avectures

Dave Thies, President, Columbia Gorge Audubon Society

PO Box 241 Husen WA 98623 Lieke May, Siting Analyst Feb. 20,2019 Oregon Dept. of Energy 550 Capital St. NE, 1st Floor Salem, OR 97301 Fay: 503-373-7806 Dear Mr. May, I am writing to comment on the proposed Summits Redge Und Farm I live in Washington but spend much time in Oregon. I have heled many times in the Deschutes River area and enjoy the views in the hells around the river, I also am very concerned about bird kills caused by wind turbines. I would hate to see the beautiful views around the Deschutes runed by large windmills, Driving East along the river it is bad enough with wind farms all over the place. Let's not make it worse. Thes area is a location with flyways for many birds, Raptors especially are approve this project for these reasons, Surcerely Joure Cross